



# $B^+ \rightarrow K^+ \nu \bar{\nu}$ and other highlights from Belle II

Elisa Manoni (INFN Perugia)  
on behalf of the Belle II Collaboration

CERN EP seminar  
November 7<sup>th</sup> 2023

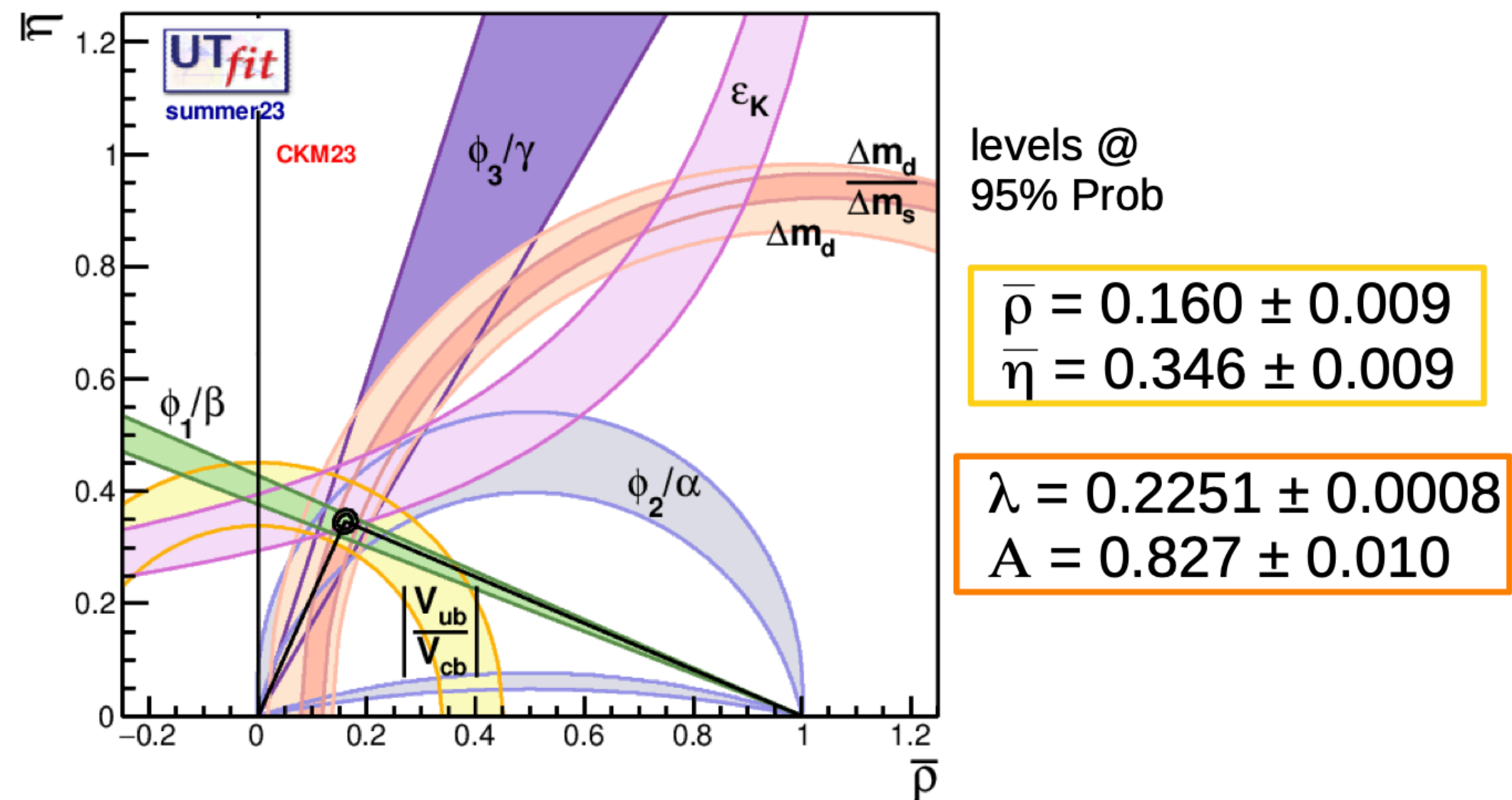
# Outline

- Indirect new physics searches
- Belle II experiment at SuperKEKB
- Selected highlights
  - $\tau$  mass measurement
  - $Z' \rightarrow$  invisible
  - Lepton flavour universality tests
  - Search for  $B^+ \rightarrow K^+ \nu \bar{\nu}$

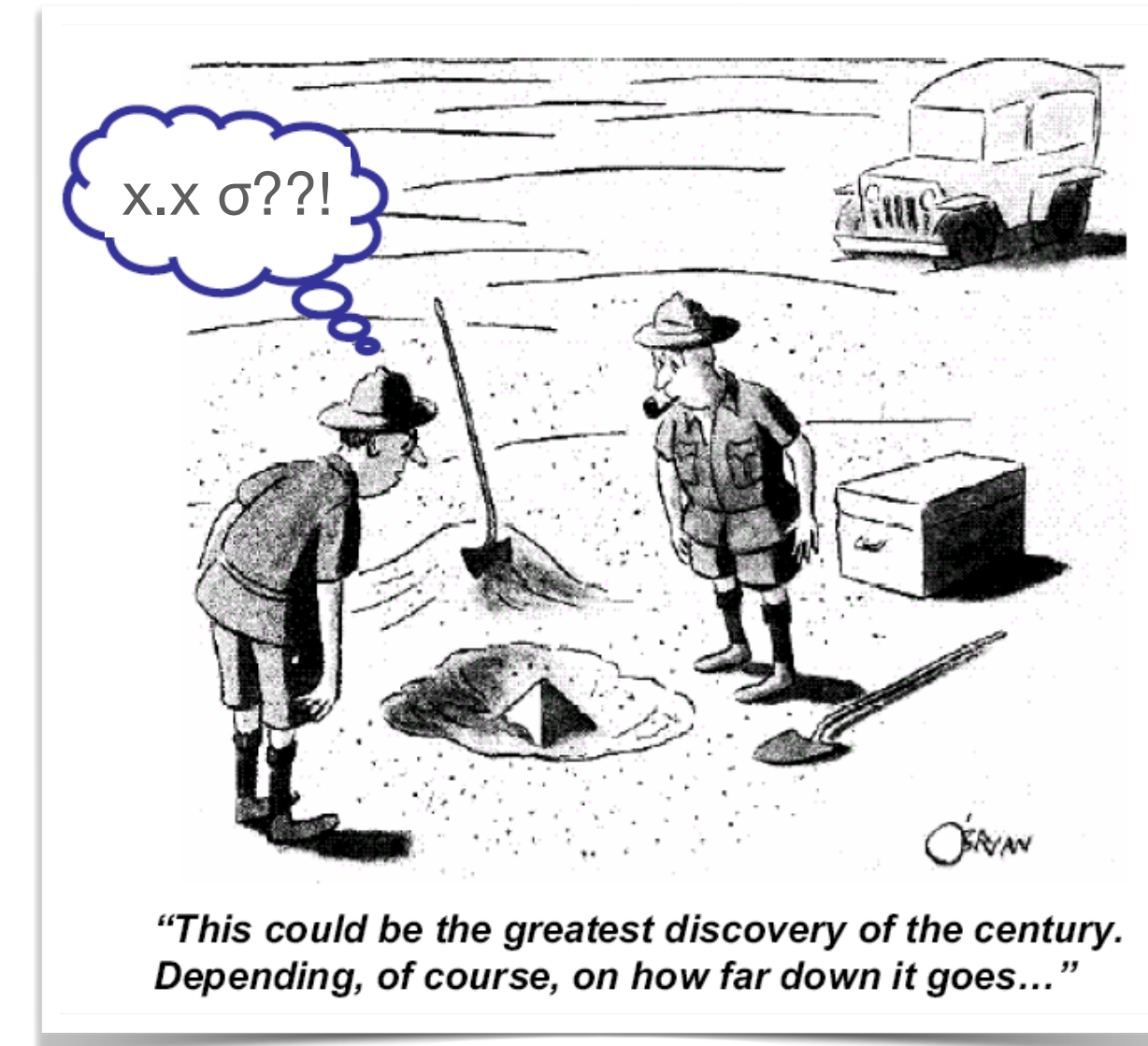


Belle II General Meeting, KEK, October 2023

# The flavour way



Standard Model (SM) flavour sector successfully tested



Tensions observed wrt SM prediction, but no clear path to New Physics (NP)

## The flavour way:

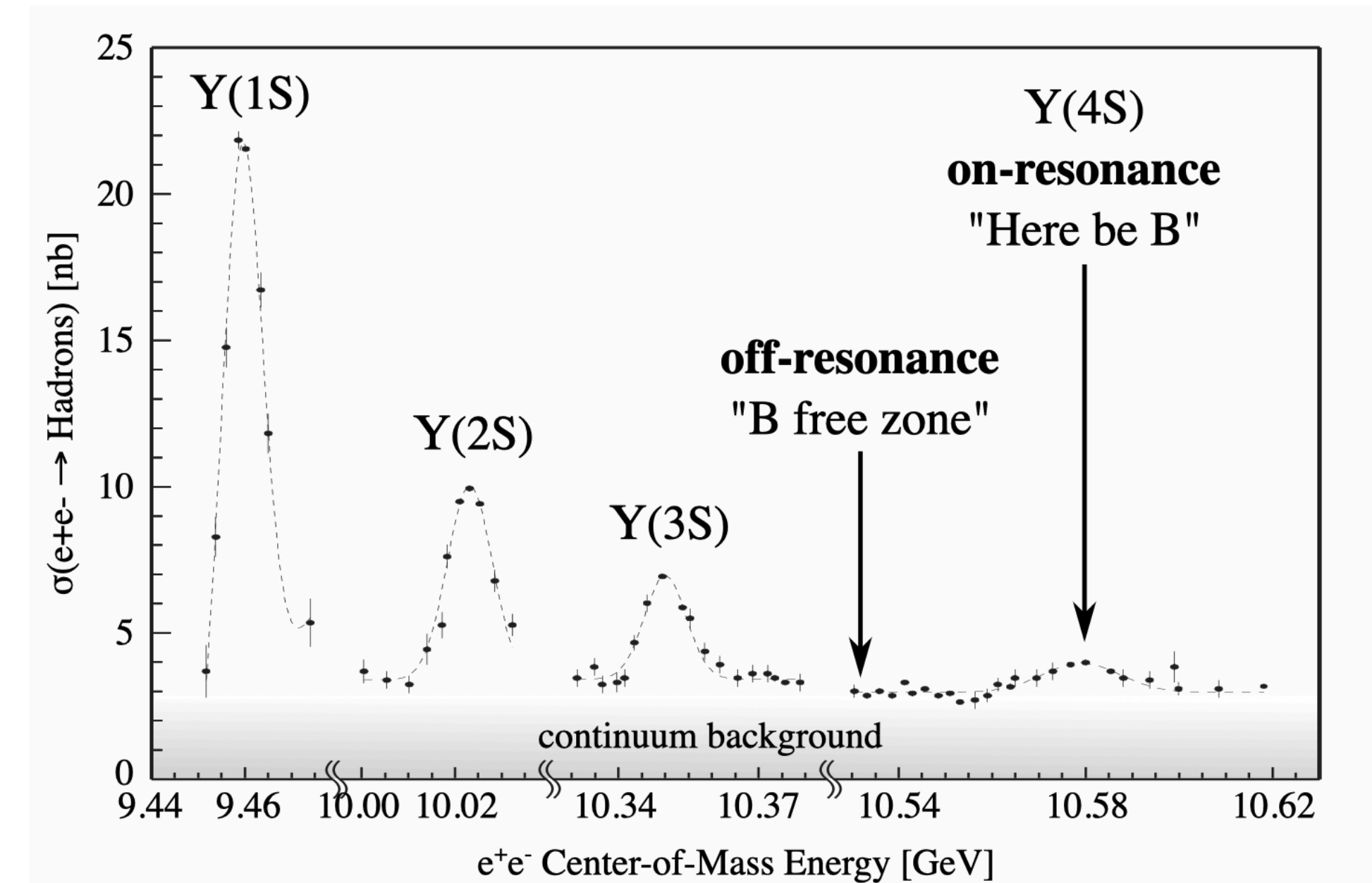
- probe NP in an **indirect** way, complementary to direct searches
- increase **precision** for favoured processes, explore suppressed ones which are unmeasured or “poorly” known

# Flavour physics at B factories

- $e^+e^-$  beams colliding @  $\Upsilon(4S)$  resonance:

$$e^+e^- \rightarrow \Upsilon(4S) \rightarrow B\bar{B} \text{ pairs, } \sigma(e^+e^- \rightarrow \Upsilon(4S)) \sim 1.11 \text{ nb}$$

- comparable cross sections for  $e^+e^- \rightarrow c\bar{c}/\tau^+\tau^-$ : B factories are also charm and  $\tau$  factories
- clean environment: events with low multiplicity and constrained kinematics allow for precision measurements



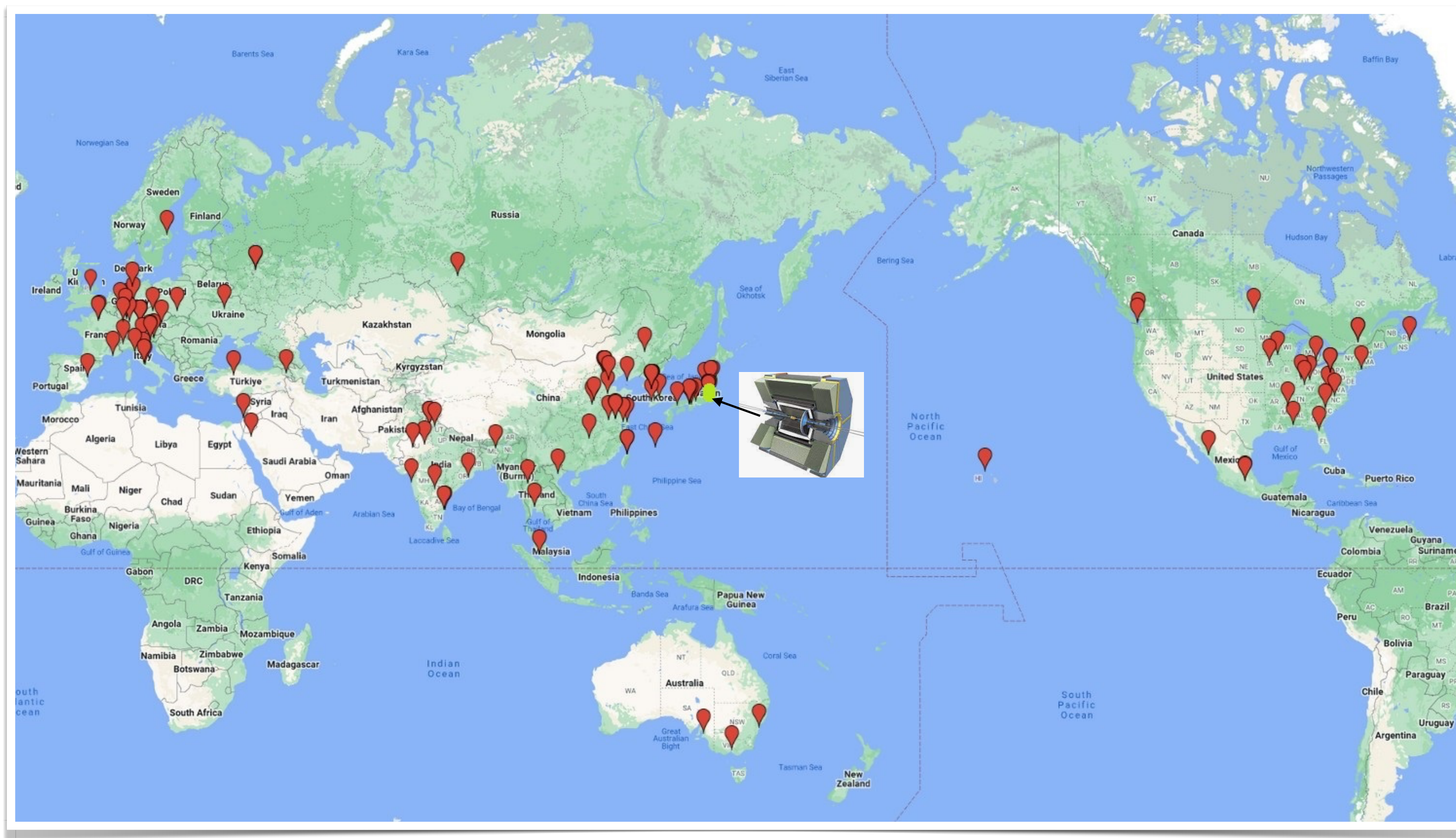
- First-generation B factories: Belle @ KEKB + BaBar @ PEP-II

- $\sim 1.5 \text{ ab}^{-1}$  collected @  $\Upsilon(4S)$

- many achievements, e.g.: confirmation of CKM mechanism,  $b \rightarrow c\tau\nu$ , direct CPV in B decay

- Higher precision requires higher luminosity  $\rightarrow$  Second-generation B factory: Belle II @ SuperKEKB

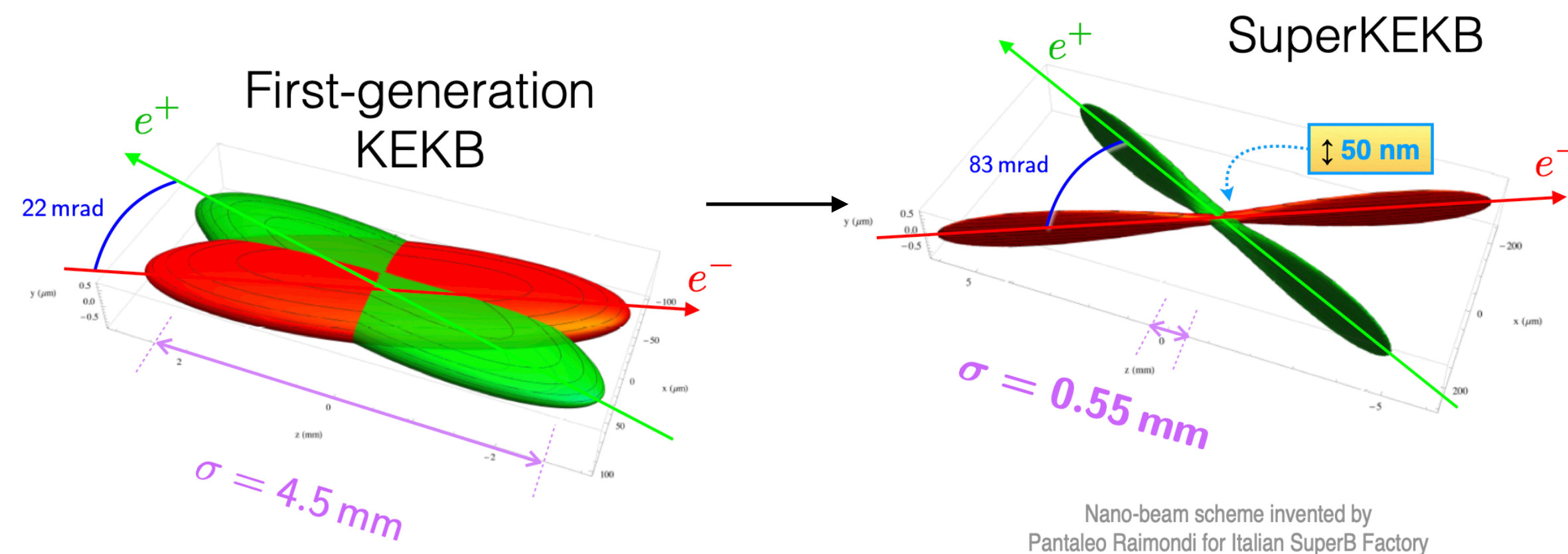
# The Belle II collaboration



~1200 physicist and engineers from 122 institutions in 28 countries/regions

# SuperKEKB

- Upgrade of KEKB accelerator to achieve x30 instantaneous luminosity and **multi-ab<sup>-1</sup> sample**
- In the nominal configuration:
  - x1.5 by increasing beam currents
  - x20 by nano-beam scheme
- While getting there, world record  $\mathcal{L}_{inst} = 4.7 \times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$
- **Toward  $10^{35} \text{ cm}^{-2}\text{s}^{-1}$ - regime**
  - SuperKEKB integrated luminosity was lower with respect to initial plans
  - mainly due to low injection efficiency, beam size, beam lifetime, orbit, and optics instabilities
  - strong effort to overcome this, e.g. simulations studies for improved optics tuning, hardware upgrades on collimators and injection system



$$L = \frac{N_+ N_- n_b f_0}{4\pi \sigma_{x,\text{eff}}^* \sqrt{\epsilon_y} \beta_y^*}$$

SuperKEKB is exploring uncharted territory

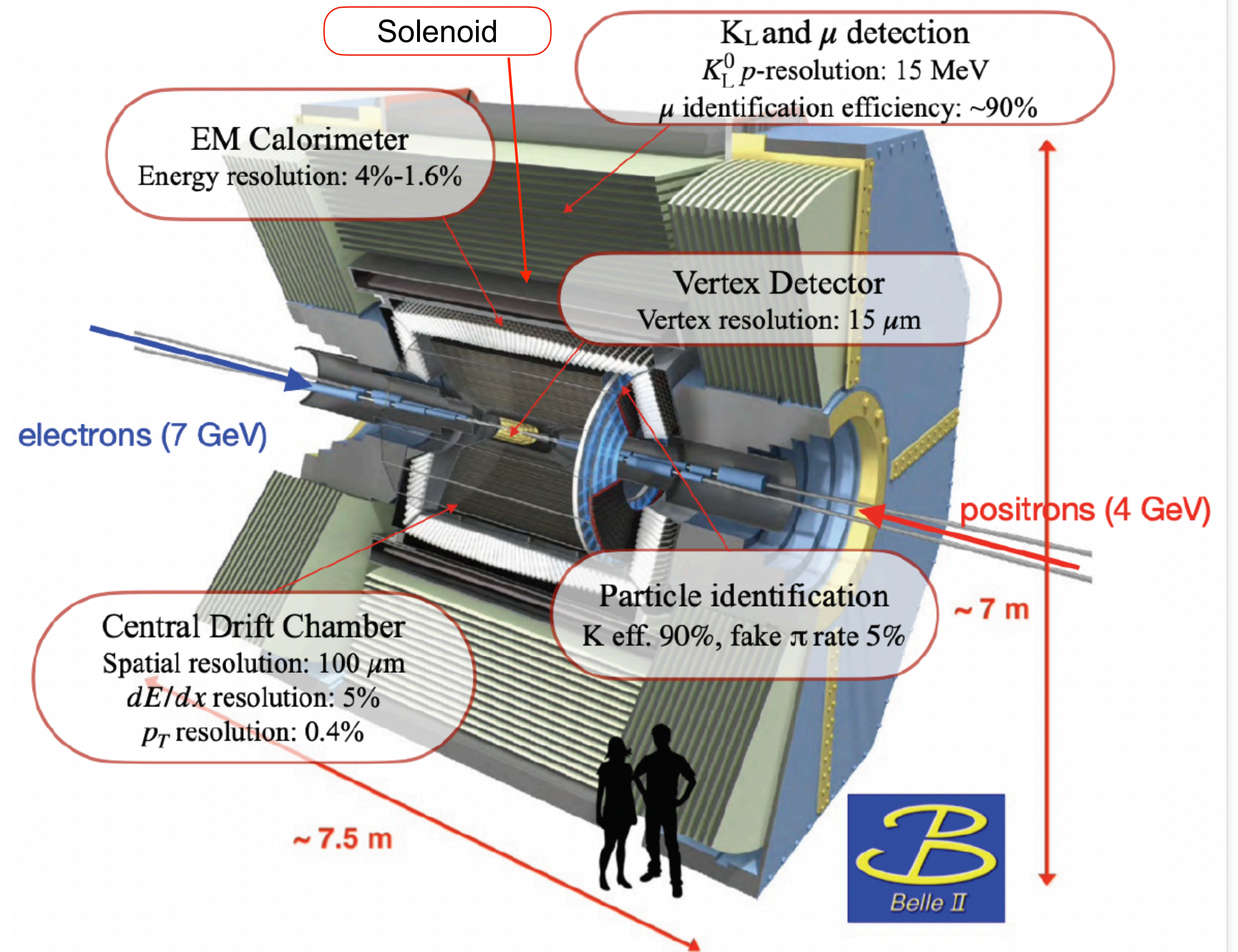
# The Belle II detector

arXiv:1011.0352

Major **upgrade of Belle** to keep similar or better performance in higher background environment

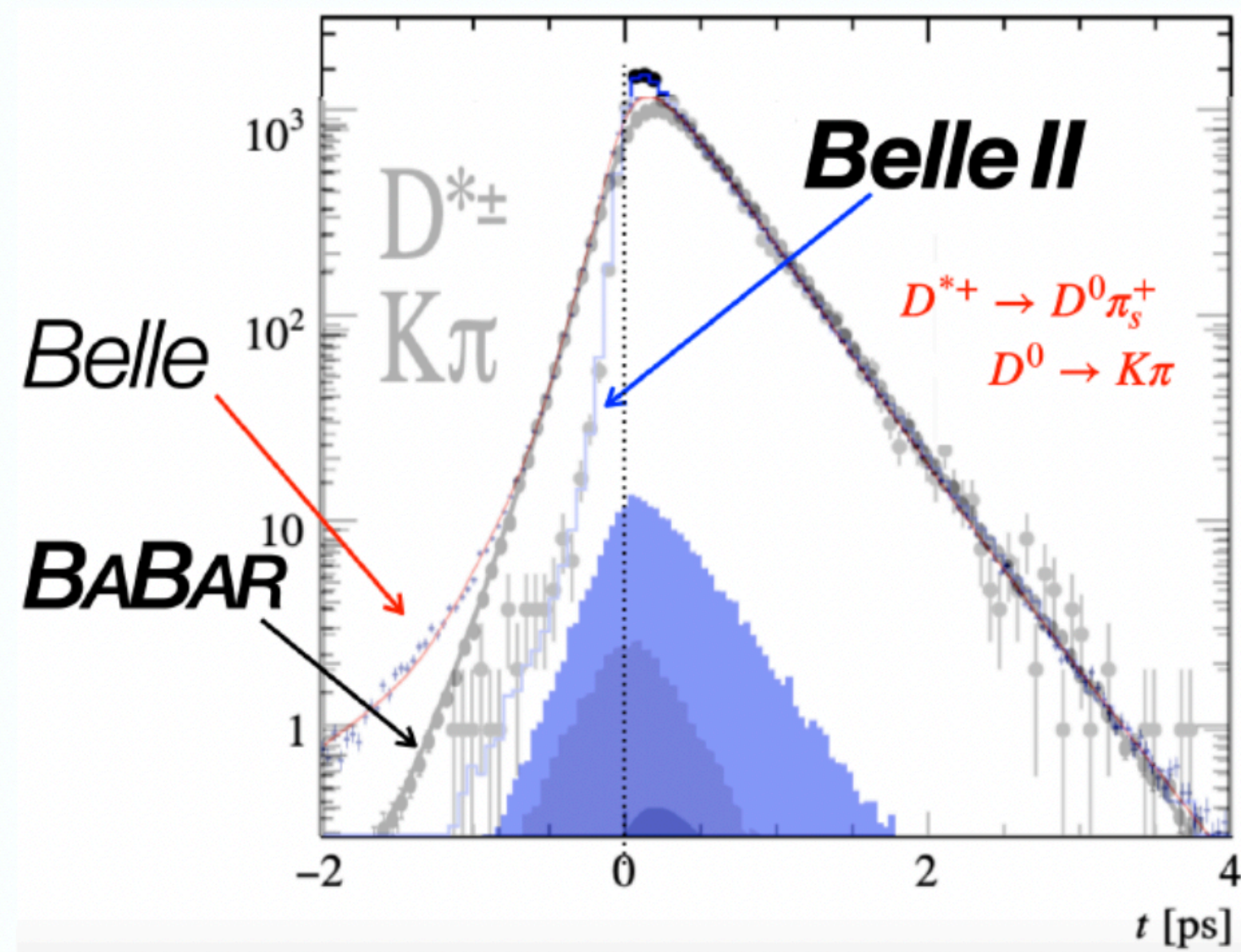
- all components are new or considerably upgraded
- only solenoid and CsI(Tl) crystals of EM calorimeter (red by upgraded electronics) are re-used

Nearly  $4\pi$  detector

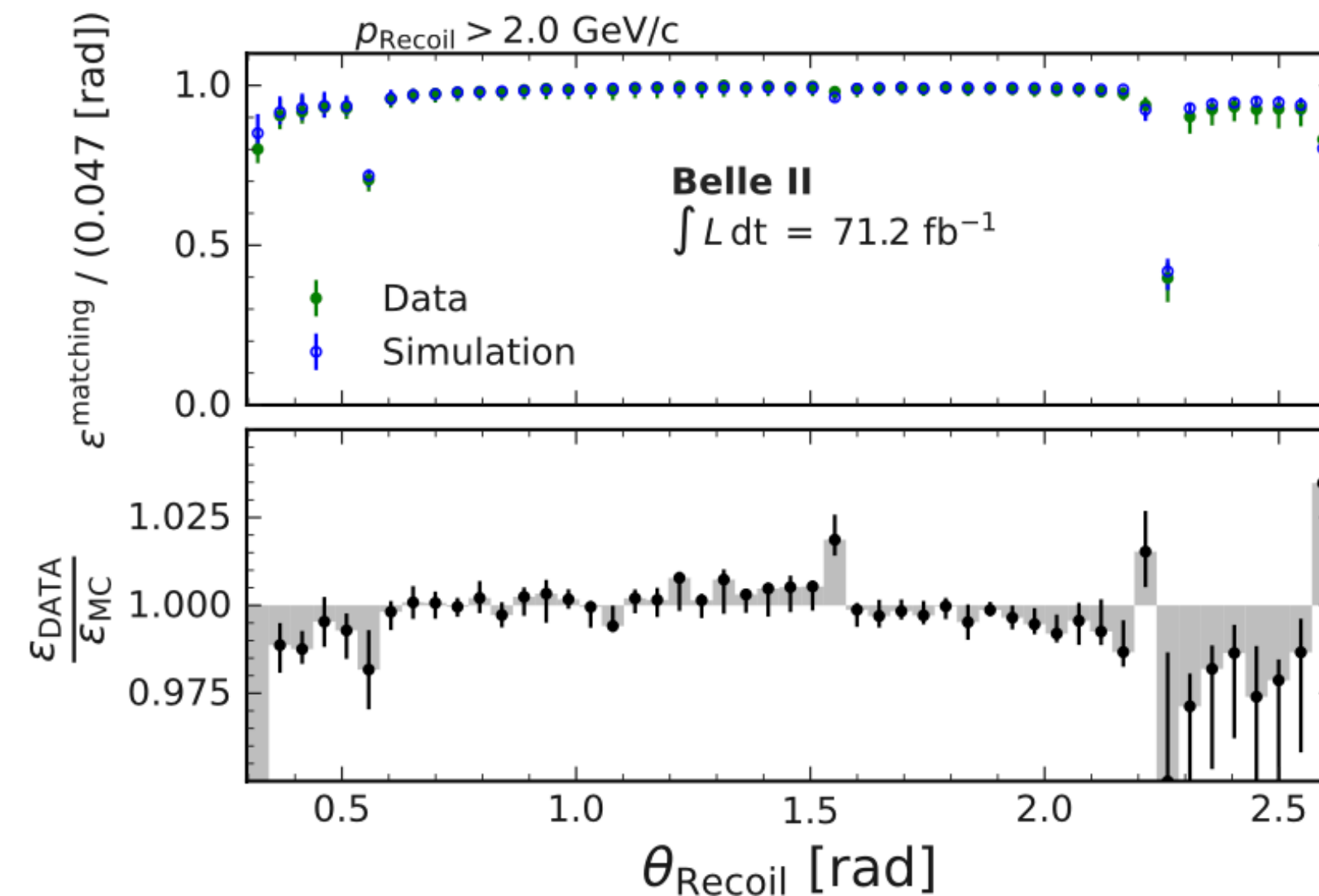


# Detection and reconstruction performance

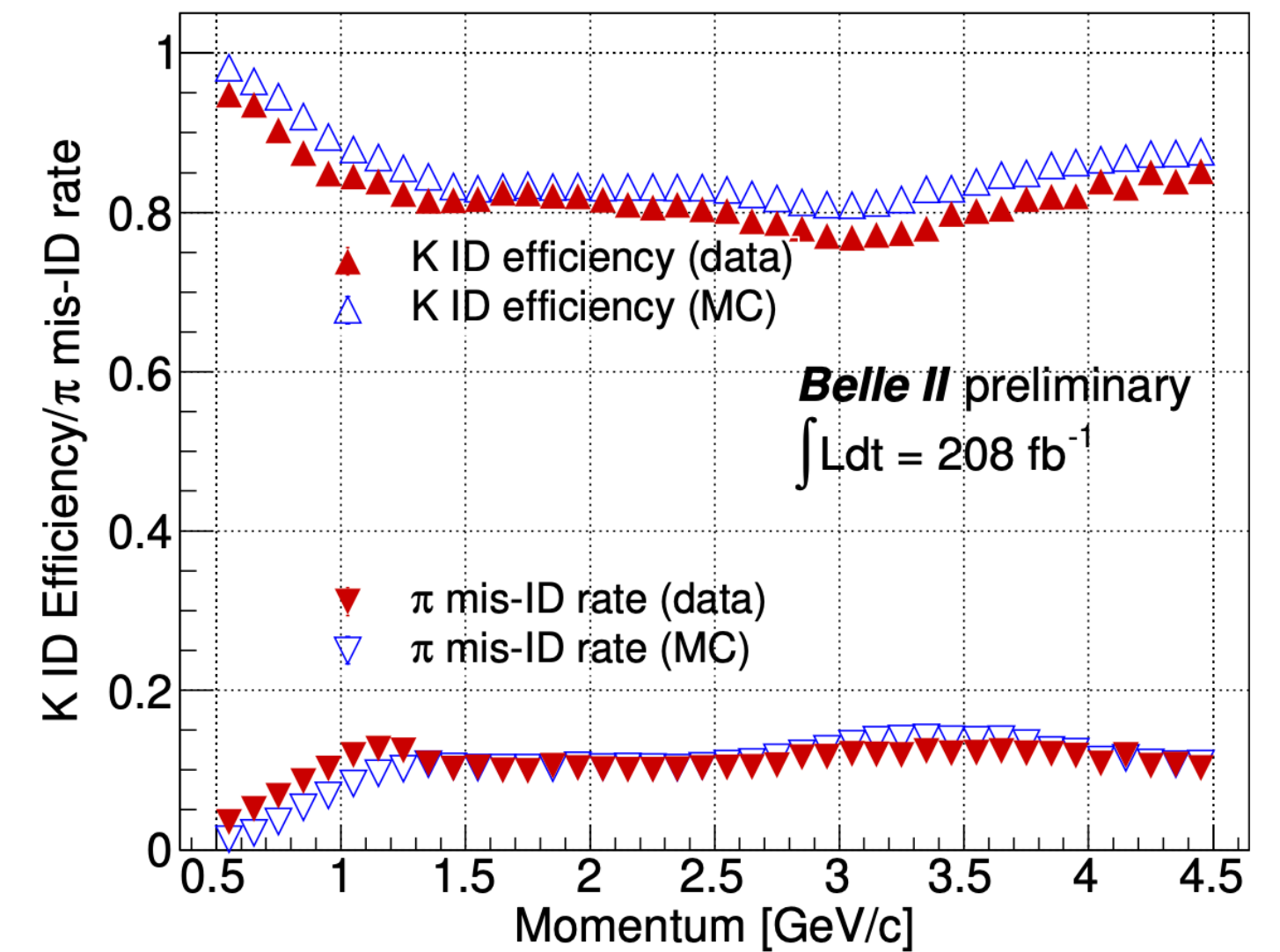
Some examples:



~2 x better **time resolution** wrt BaBar and Belle, thanks to new pixel silicon detector



High **photon efficiency** in all electromagnetic calorimeter regions

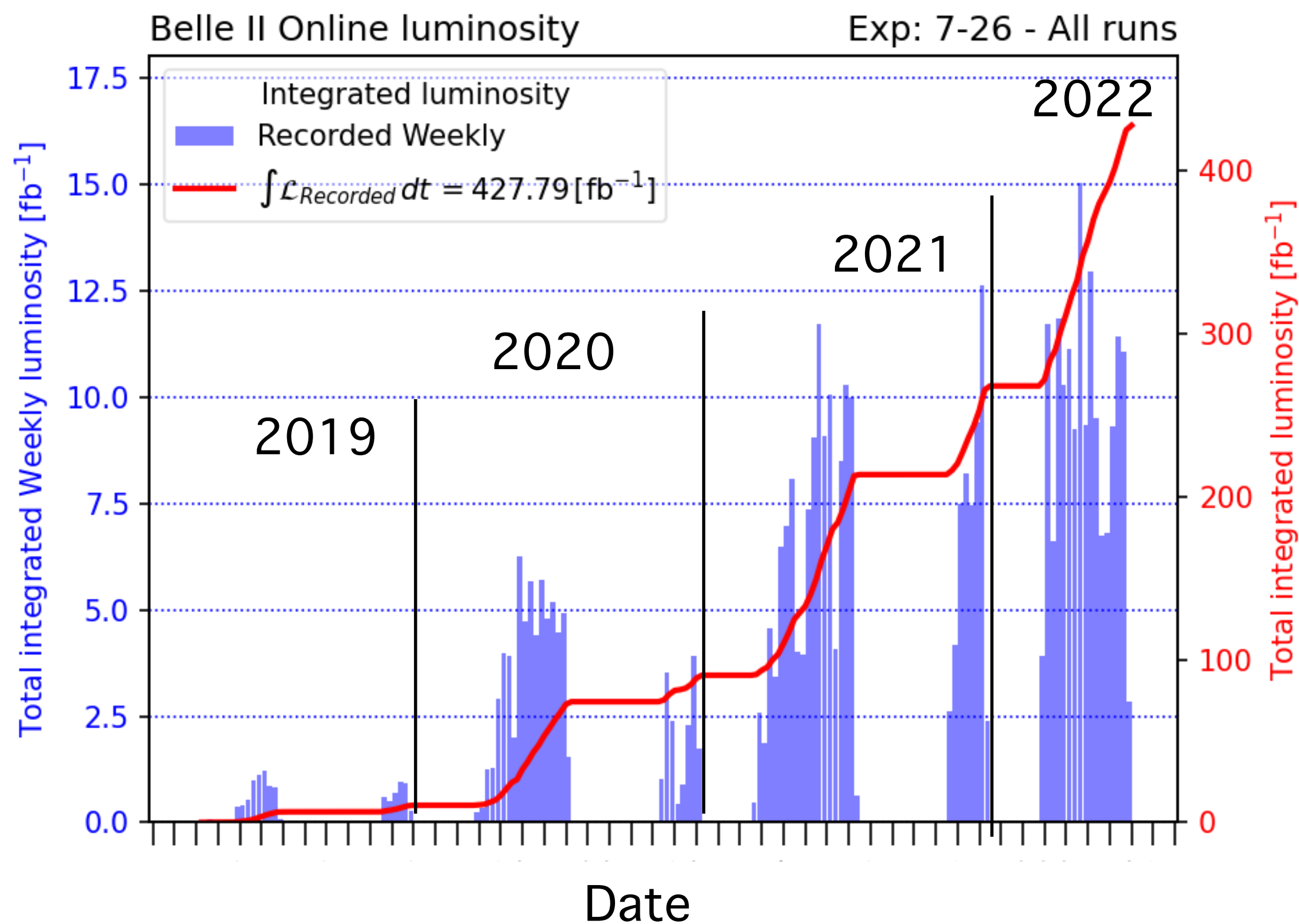


Good **kaon identification** in full momentum range

Good capabilities in reconstructing and identifying neutral and charged particles + constrained kinematics → Belle II is **well-suited to measure decays with missing energy**



# Dataset



- Between 2019 and 2022, collected:

Collected ...	Sample size (fb <sup>-1</sup> )
@ $r(4S)$	362 [(387±6)×10 <sup>6</sup> B $\bar{B}$ pairs]
60 MeV below $r(4S)$	42
above $r(4S)$	19

- Currently completing **Long Shutdown 1**
  - installation of the complete 2-layer **pixel detector** and other detector works
  - improvements on **accelerator** side to reach higher luminosities and mitigate machine background

# Physics reach

- Rich and diversified physics program
- By analysing partial or full  $\sqrt{s}(4S)$  sample recorded so far:
  - several world best measurements
  - several measurements unique to Belle II



Overview on future perspectives in [arXiv:1011.0352](https://arxiv.org/abs/1011.0352)

# Physics reach

- Rich and diversified physics program
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  - several world best measurements
  - several measurements unique to Belle II
- Today: a selected set of highlights



E. Gainev (DESY)

fil rouge: missing energy

Overview on future perspectives in [arXiv:1011.0352](https://arxiv.org/abs/1011.0352)

# A precision measurement: the $\tau$ mass

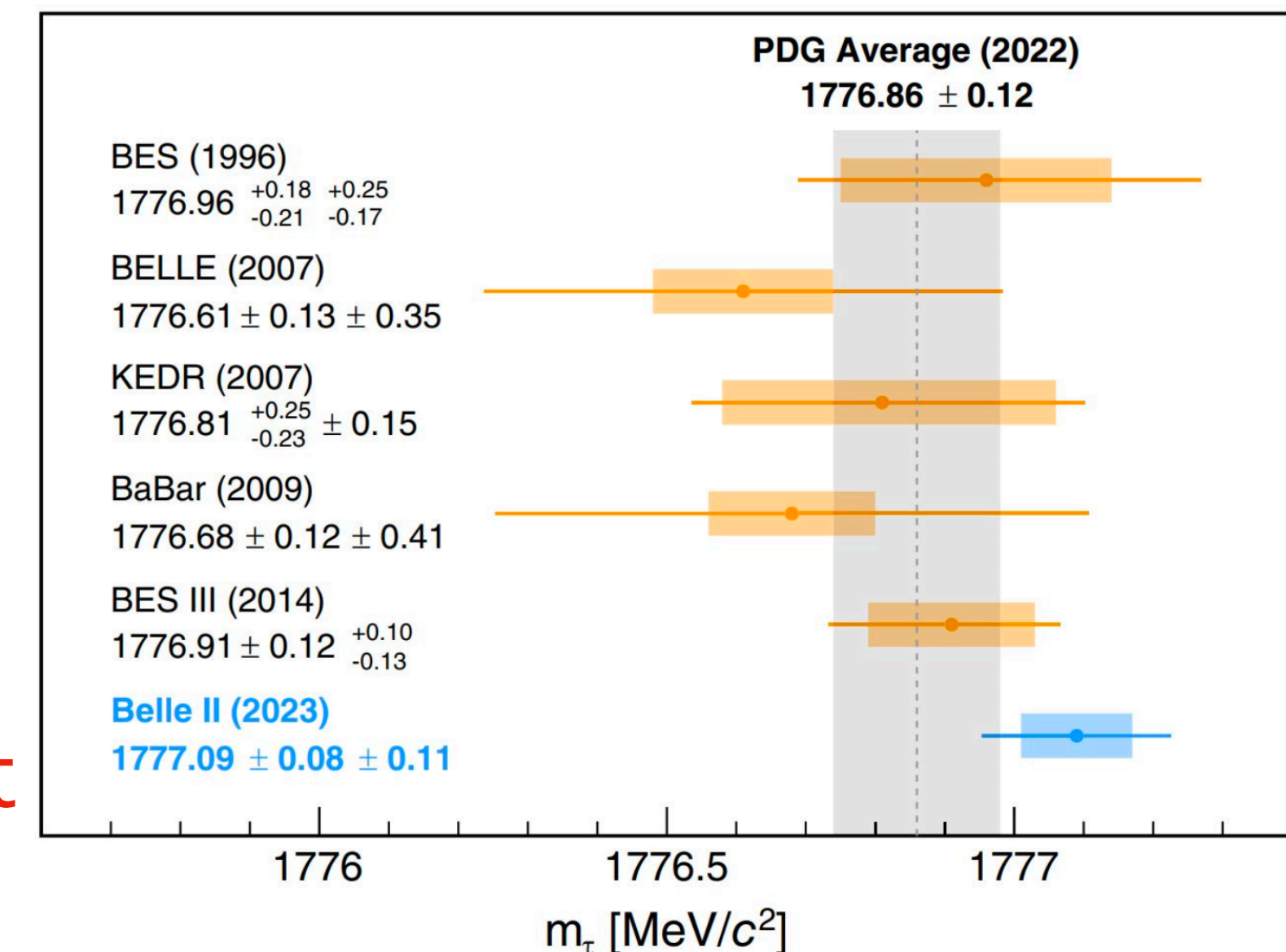
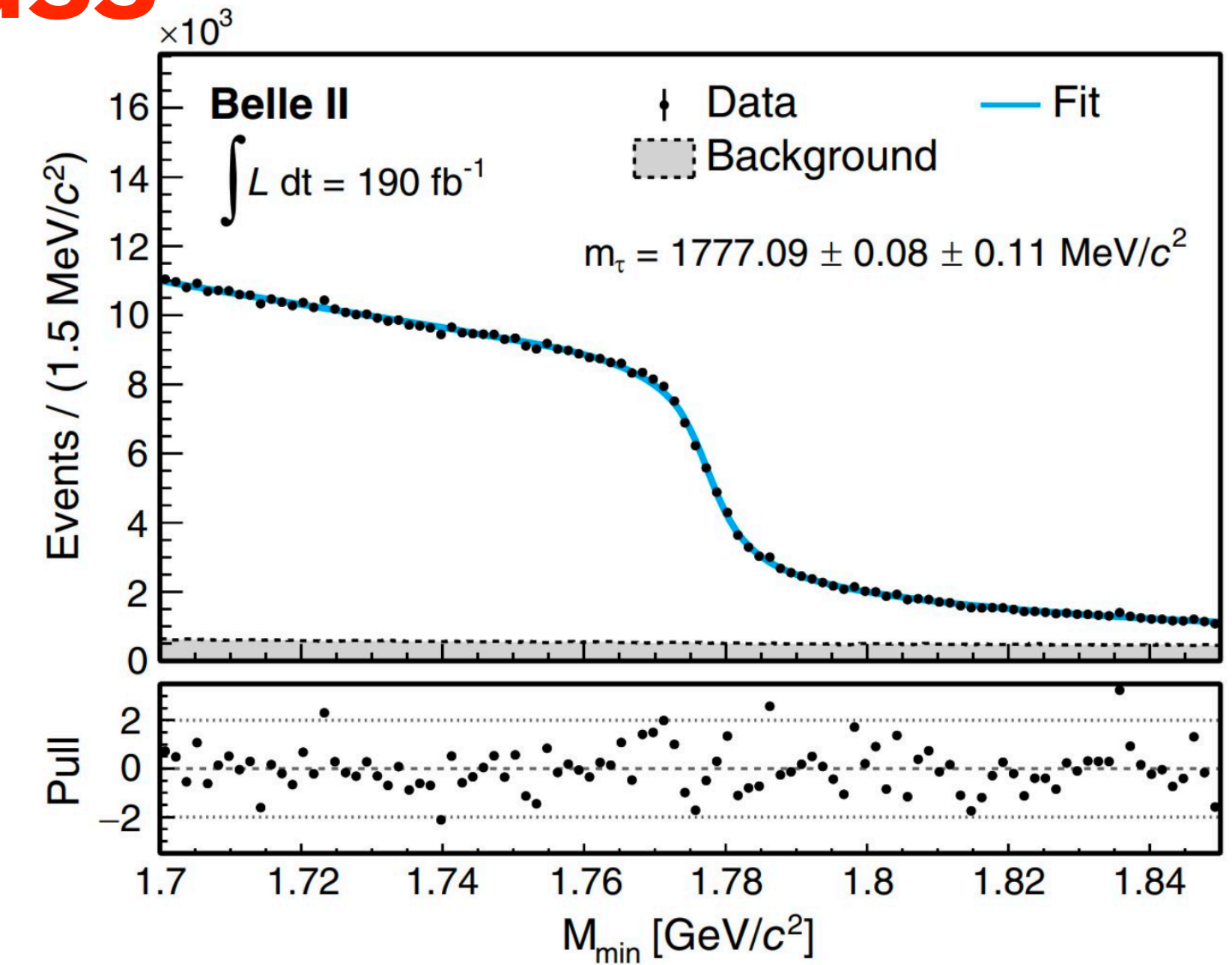
Phys. Rev. D 108, 032006

- Precision measurement of fundamental SM parameter and proof of Belle II capabilities for controlling systematics
- Measure  $m_\tau$  in  $\tau \rightarrow 3\pi\nu$  with “pseudo-mass” method
  - neutrino is collinear with  $(3\pi)$  system + beam energy constraint

$$M_{\min} = \sqrt{M_{3\pi}^2 + 2(\sqrt{s}/2 - E_{3\pi}^*)(E_{3\pi}^* - p_{3\pi}^*)} \leq m_\tau$$

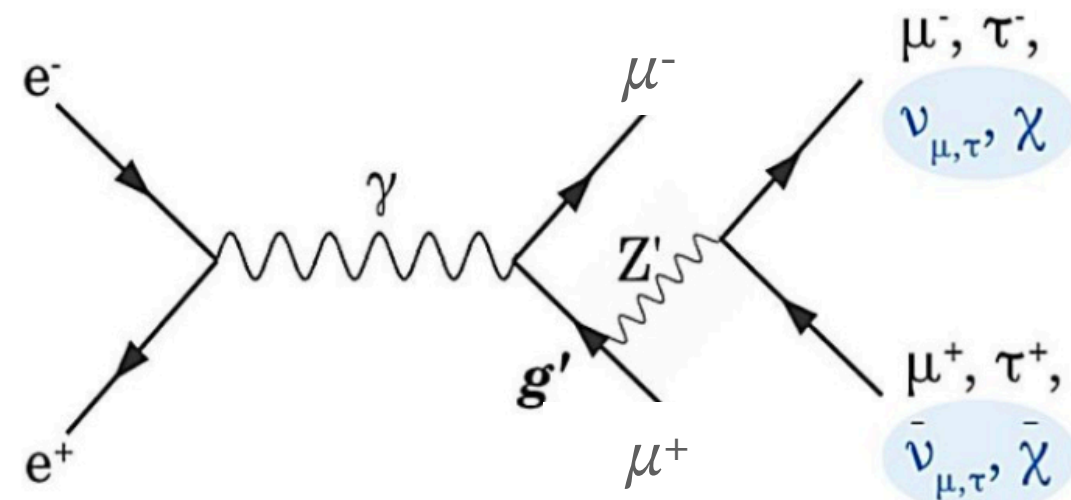
- Require excellent control of track momentum scale and beam energy
- ISR/FSR and decay form factors lead to moderate systematic uncertainty (0.02)
- Result:  $m_\tau = (1777.09 \pm 0.08 \pm 0.11) \text{ MeV}/c^2$ 
  - better statistical precision with smaller sample wrt BaBar and Belle
  - reduced systematic uncertainty

World best measurement



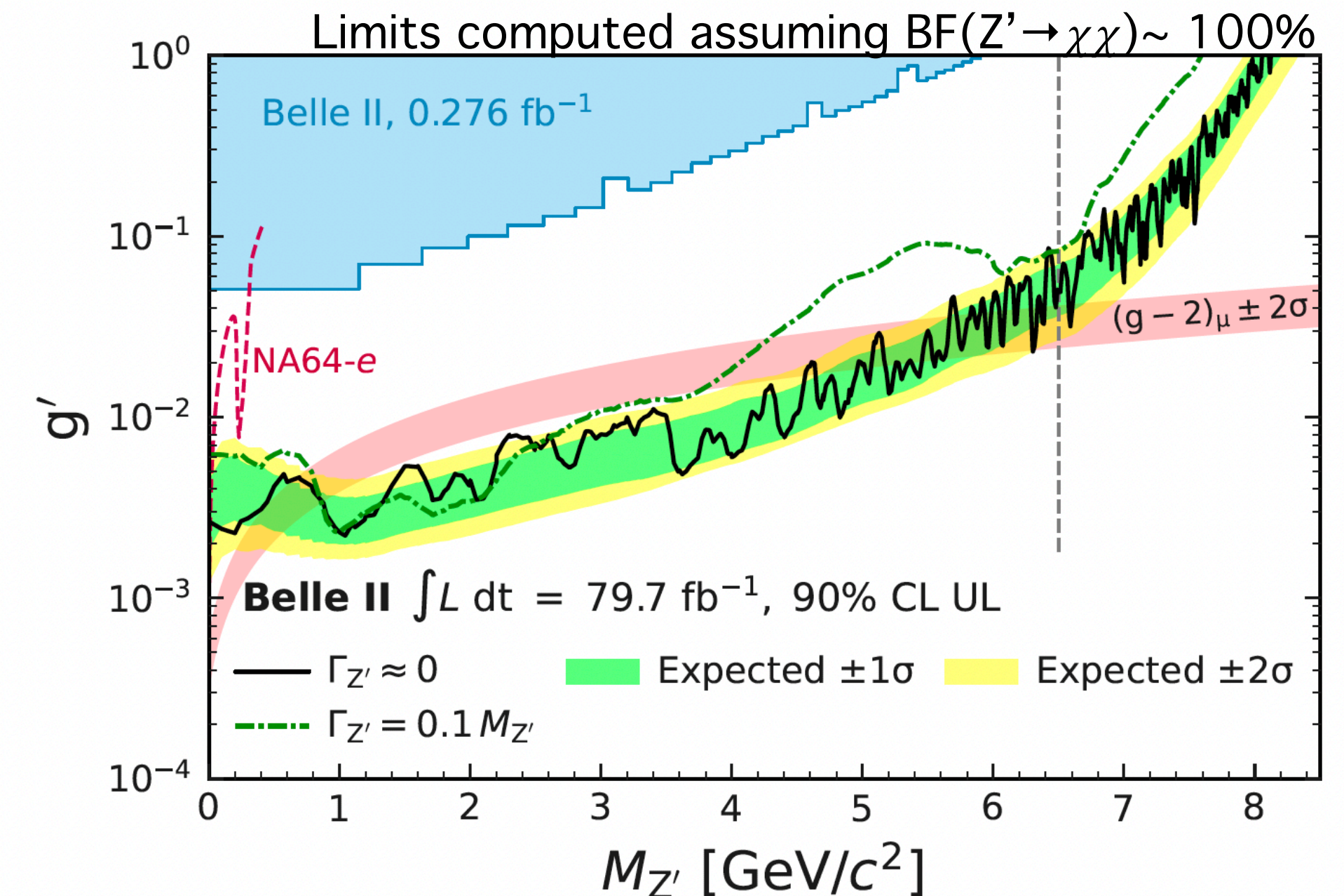
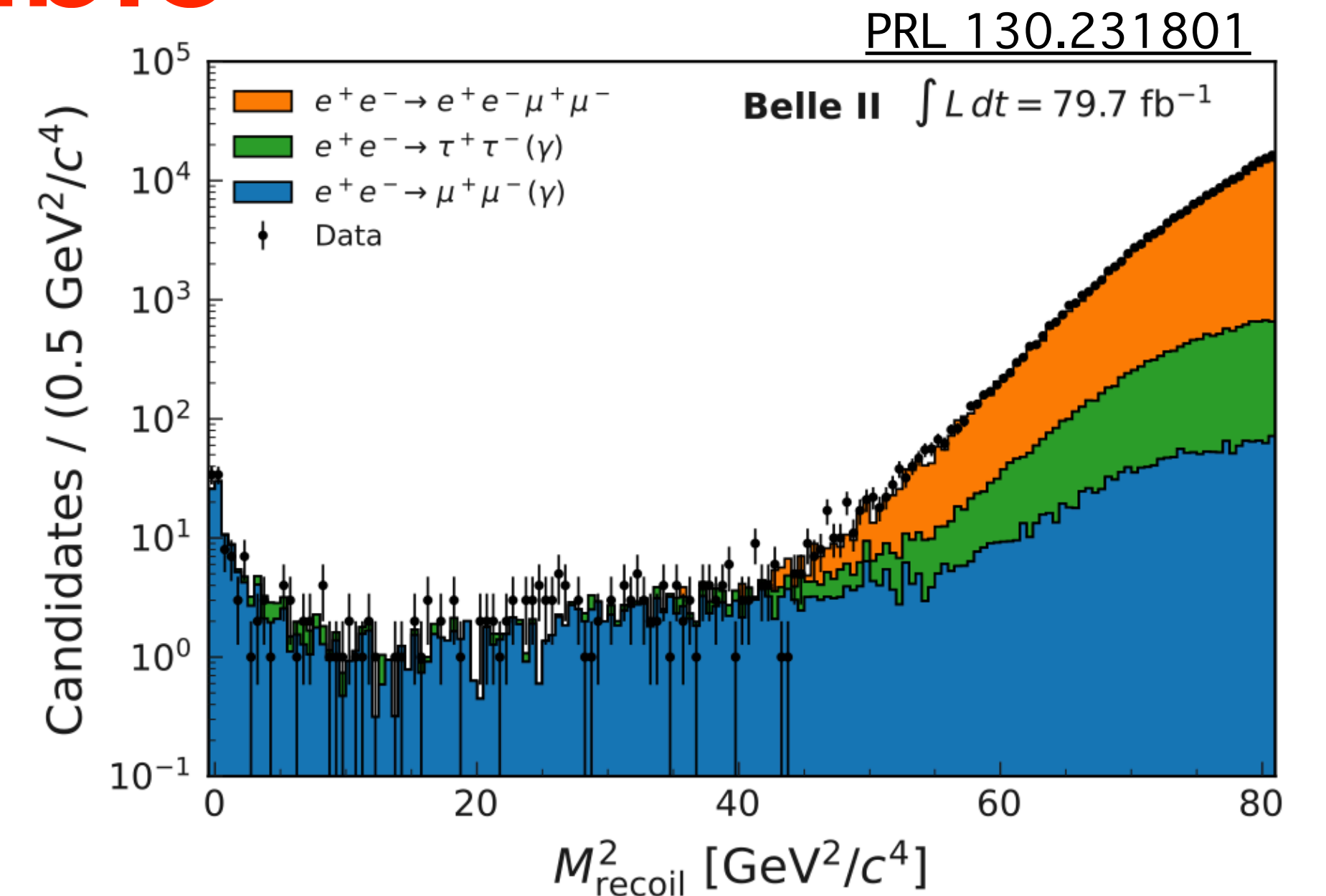
# Dark matter searches: $Z' \rightarrow$ invisible

- Belle II can access the GeV-range naturally favoured by **light dark sectors** with special low multiplicity triggers
- Existence of  $Z'$  boson, decaying to invisible particles, predicted in  $L_\mu$ - $L_\tau$  extension of SM [[Phys. Rev. D 89, 113004](#), [JHEP 1612 \(2016\) 106](#)]
- Reconstruct 2 muons and search for a **sharp peak** in the **squared recoil mass** distribution



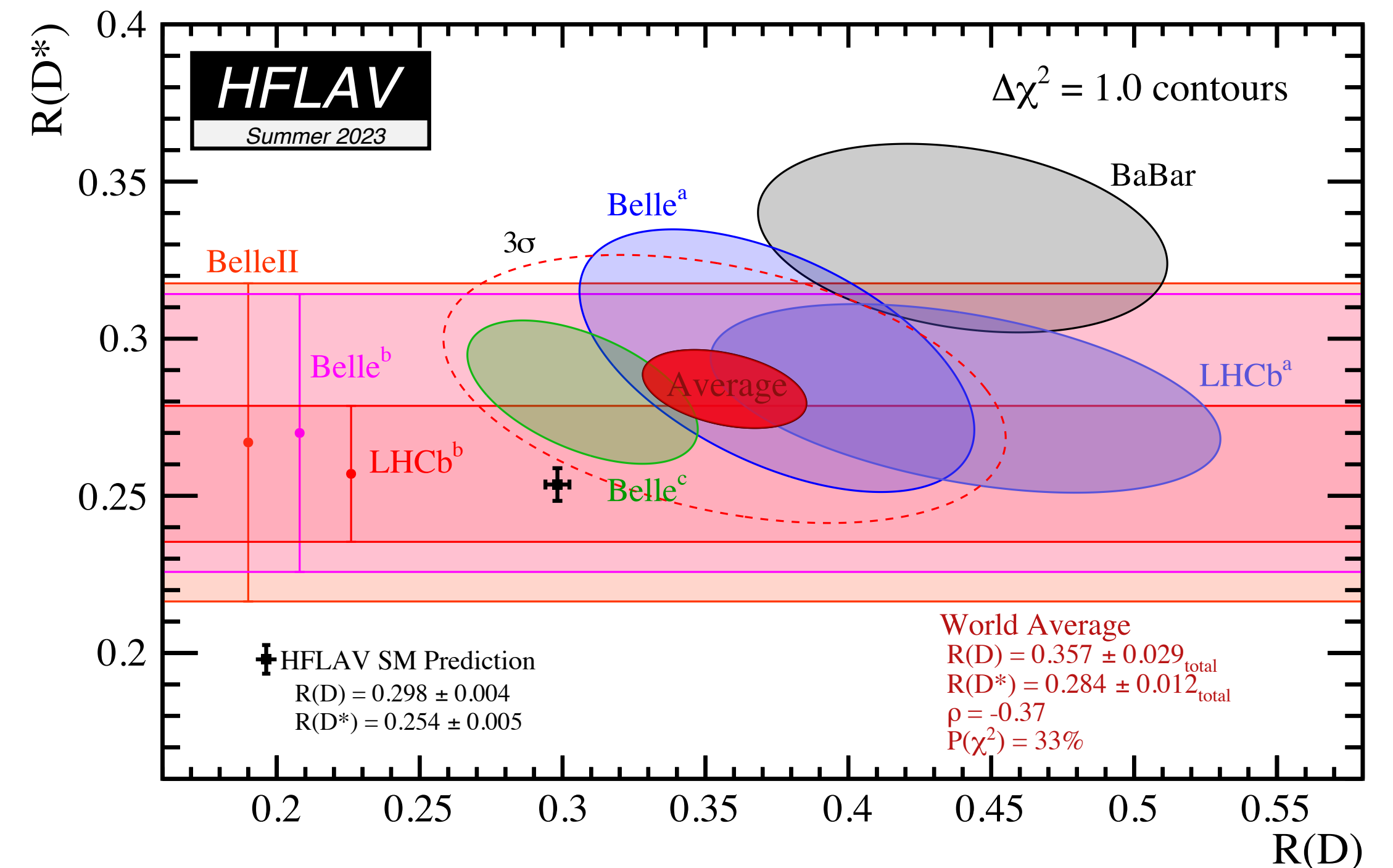
$Z' \rightarrow \tau\tau$  ([PRL 131,121802](#)) and  $Z' \rightarrow \mu\mu$  (to be submitted to PRD) also performed @ Belle II

- No evidence for signal, set **UL at 90% CL**
  - world leading result for  $M_{Z'} > 11.5 \text{ MeV}/c^2$  (upper bound on  $m_{Z'}$  search region dictated by  $\sqrt{s}$ )
  - first direct-search result to exclude  $Z'$  as explanation for  $(g-2)_\mu$  anomaly in  $0.8 < M_{Z'} < 5.0 \text{ GeV}/c^2$ .



# Lepton Flavour Universality tests in semileptonic B decays

- Some extension of the SM predicts lepton flavour violation
- $\sim 3\sigma$  tension between  $R(D^{(*)})$  measurements and SM expectation
- Belle II measurements with  $189 \text{ fb}^{-1}$ 
  - “traditional”  $R(D^*)$
  - inclusive  $R(X_{\tau/\ell})$ , complementary to exclusive measurements



# R( $X_{\tau/\ell}$ ) measurement: overview

- Inclusive ratio:  $R(X_{\tau/\ell}) = \frac{\mathcal{B}(B \rightarrow X\tau\nu_\tau)}{\mathcal{B}(B \rightarrow X\ell\nu_\ell)}$ ,  $\ell = e, \mu$

- $B_{\text{tag}}$  to hadronic final states

- 66 hadronic B decays, machine-learning based reconstruction algorithm [Comp.Soft.BigSci. 3, 6 (2019)],

$\epsilon_{\text{tag}} \sim O(1\%)$

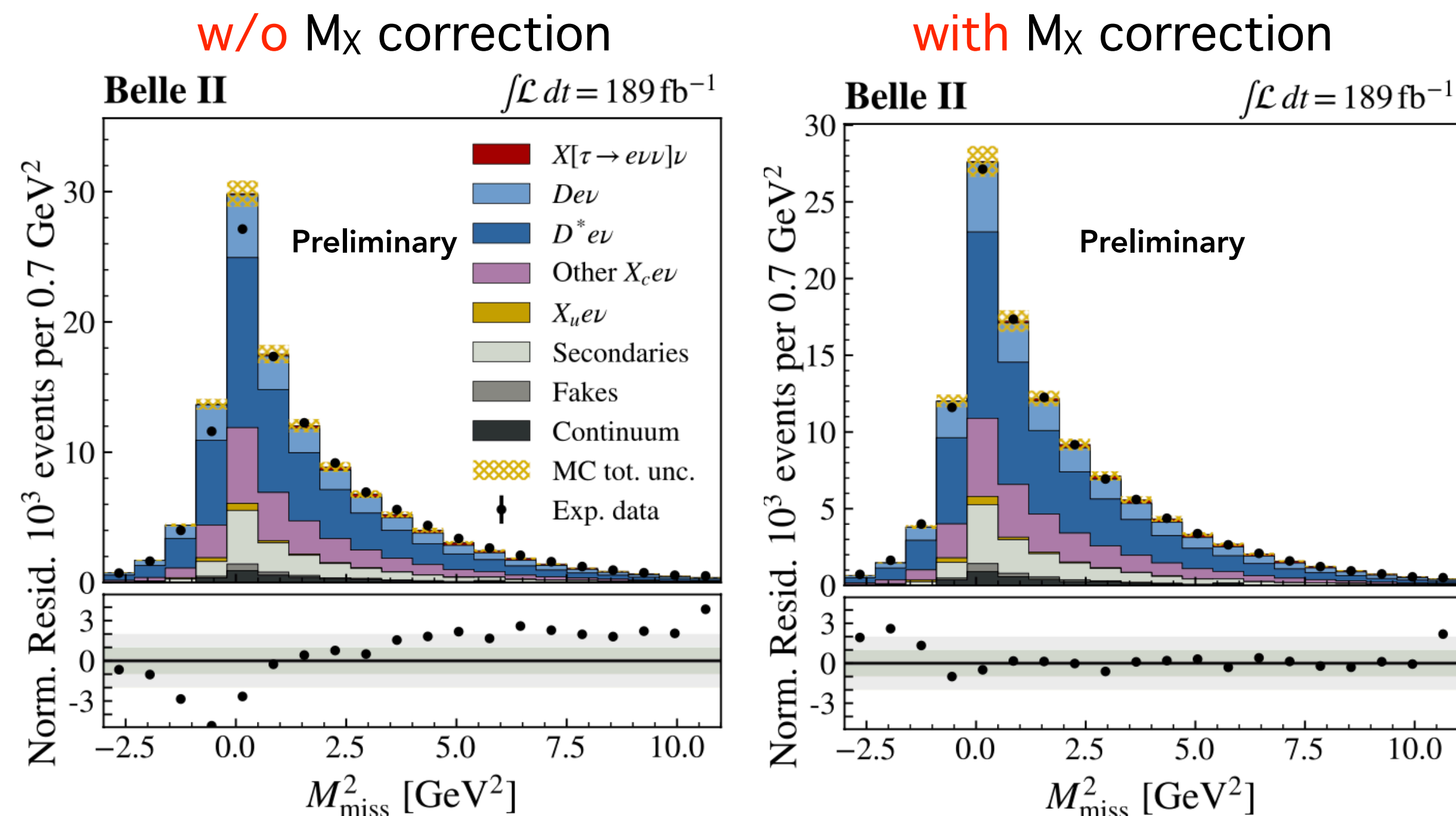
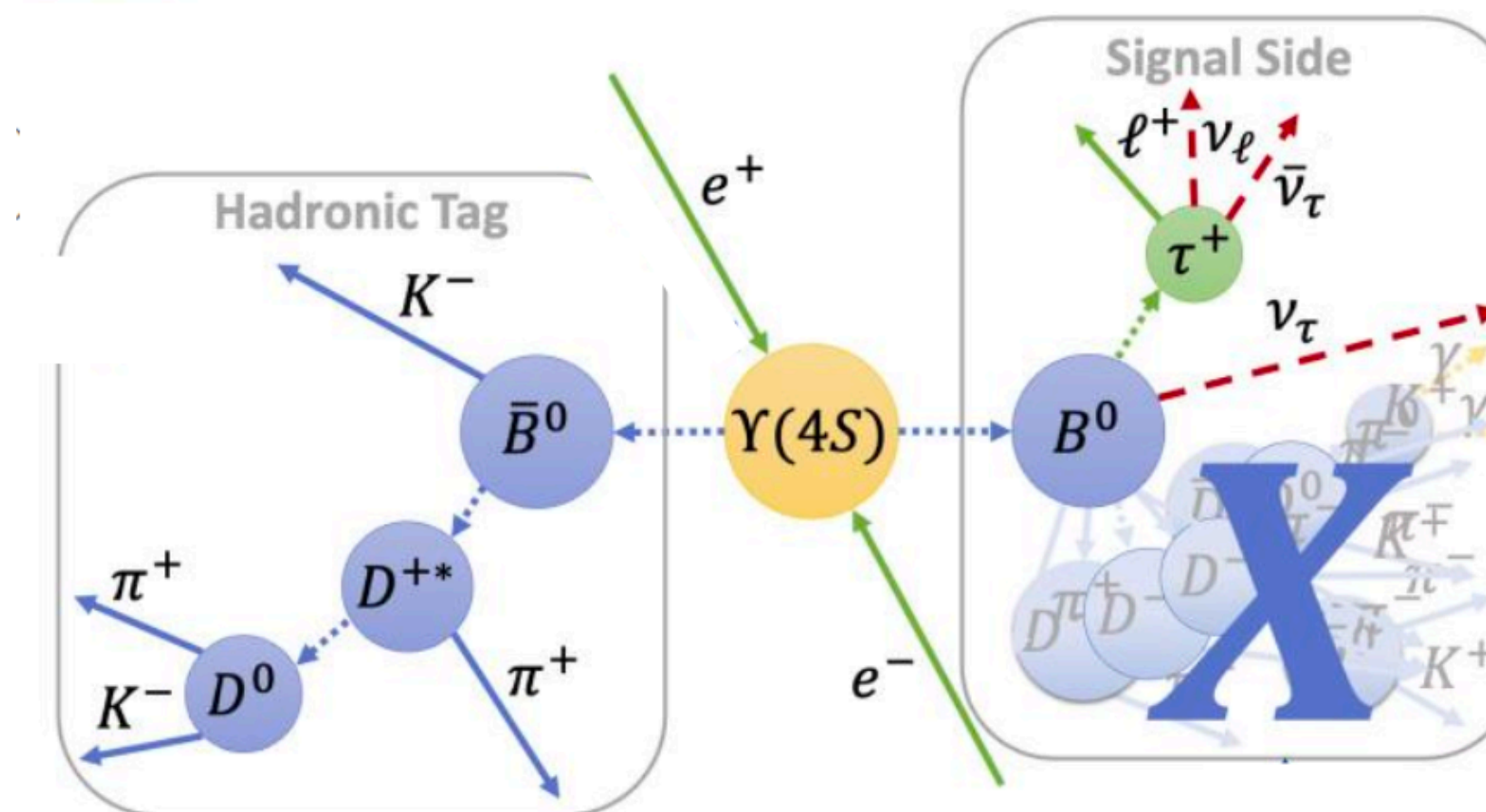
- Signal side  $\tau$  to leptons

- Variables for yield extraction:

- missing mass of undetected neutrinos ( $M^2_{\text{miss}}$ )
- lepton momentum in B rest frame ( $p^B_\ell$ )

- Extensive use of control samples to derive correction for fit templates

- example: correction to  $M_X$  from  $p^B_\ell$  sideband



# $R(X_{\tau/\ell})$ measurement: result

- Result:

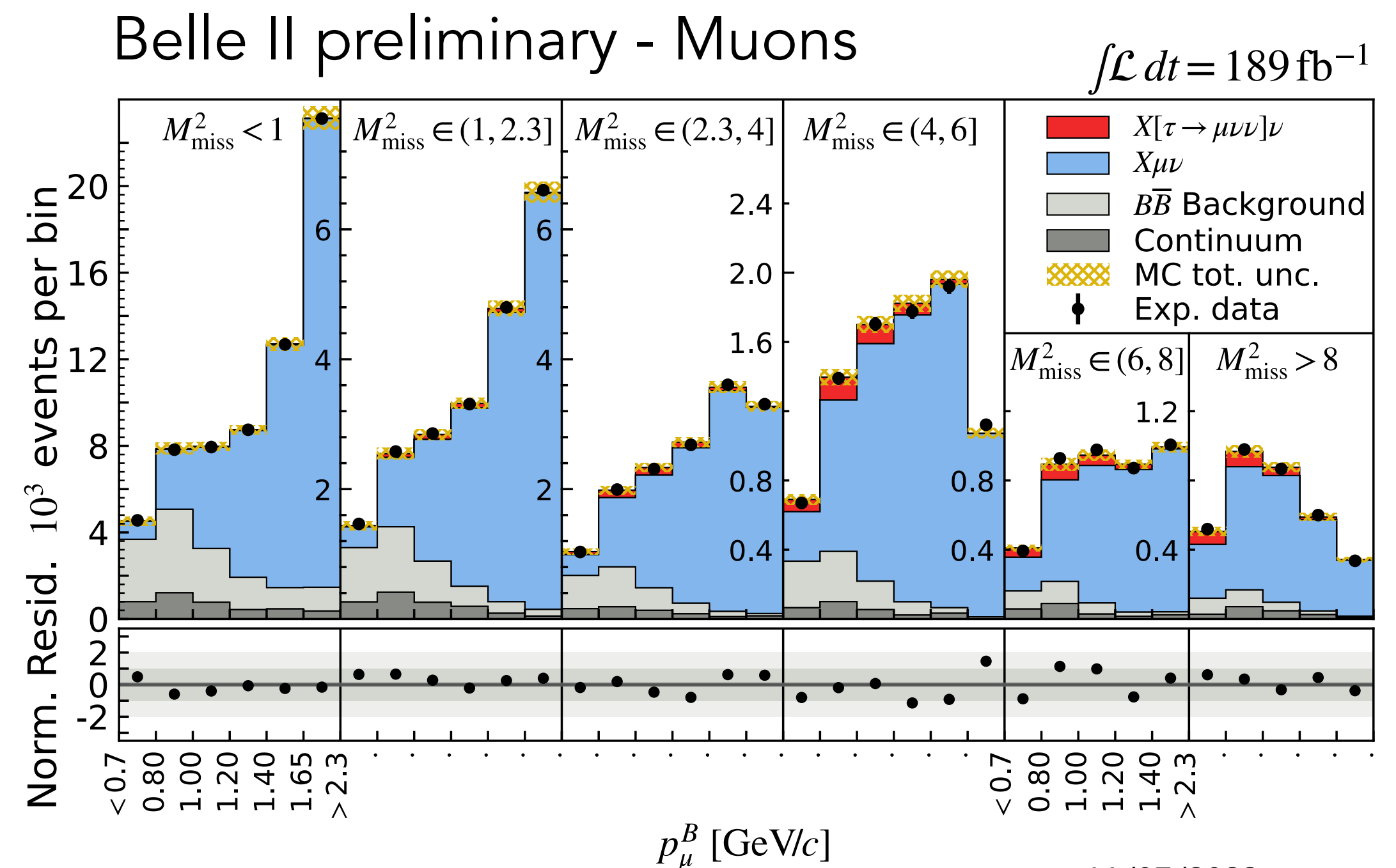
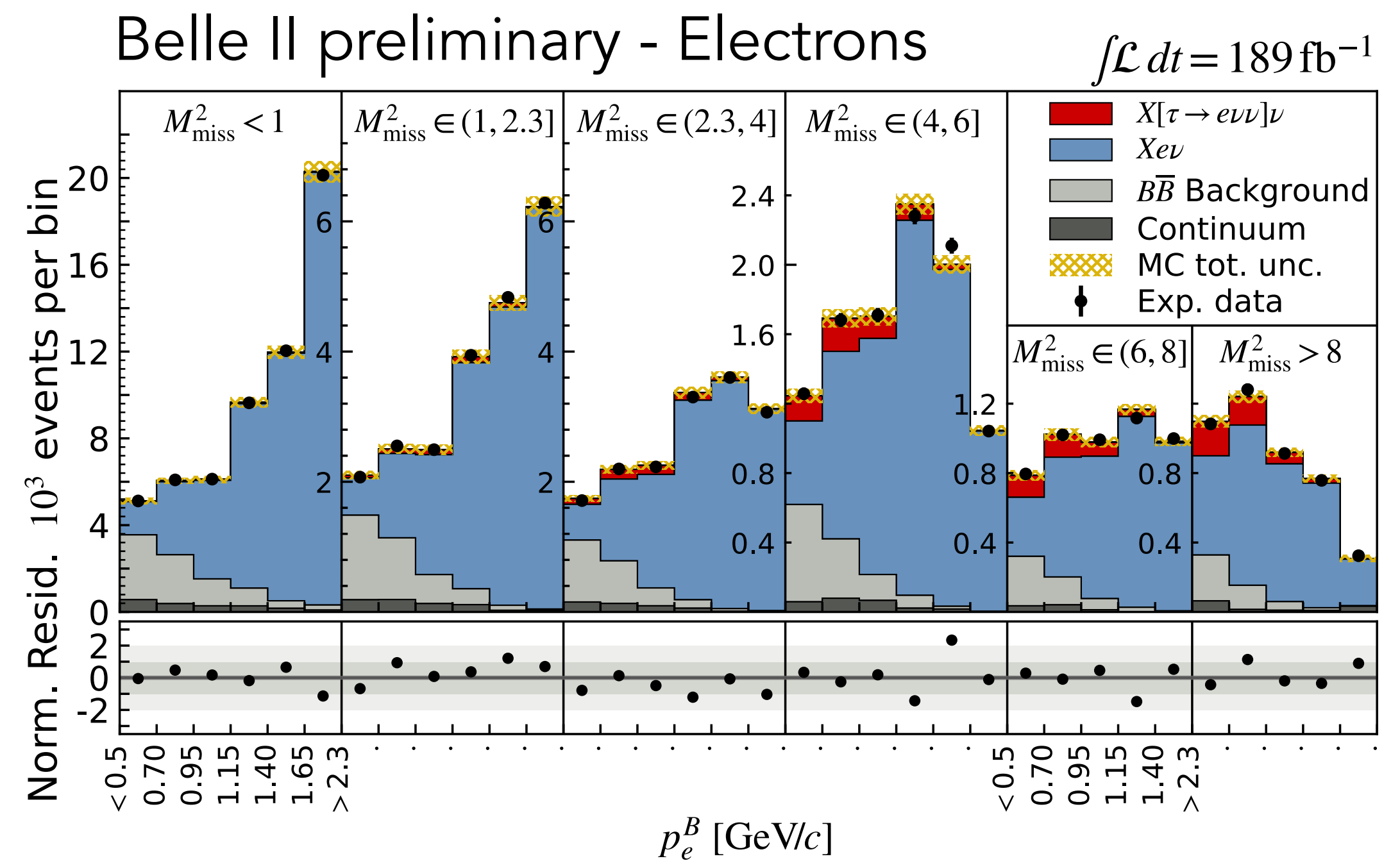
$$R(X_{\tau/\ell}) = 0.228 \pm 0.016 \text{ (stat)} \pm 0.036 \text{ (syst)}$$

- Main systematic uncertainties from  $B \rightarrow X_c \ell \nu$  BF and form factors,  $M_X$  correction

- several major systematics are statistical in nature and will decrease with more data

- In agreement with SM prediction and  $R(D^{(*)})$  measurements

First measurement of its kind at B factories

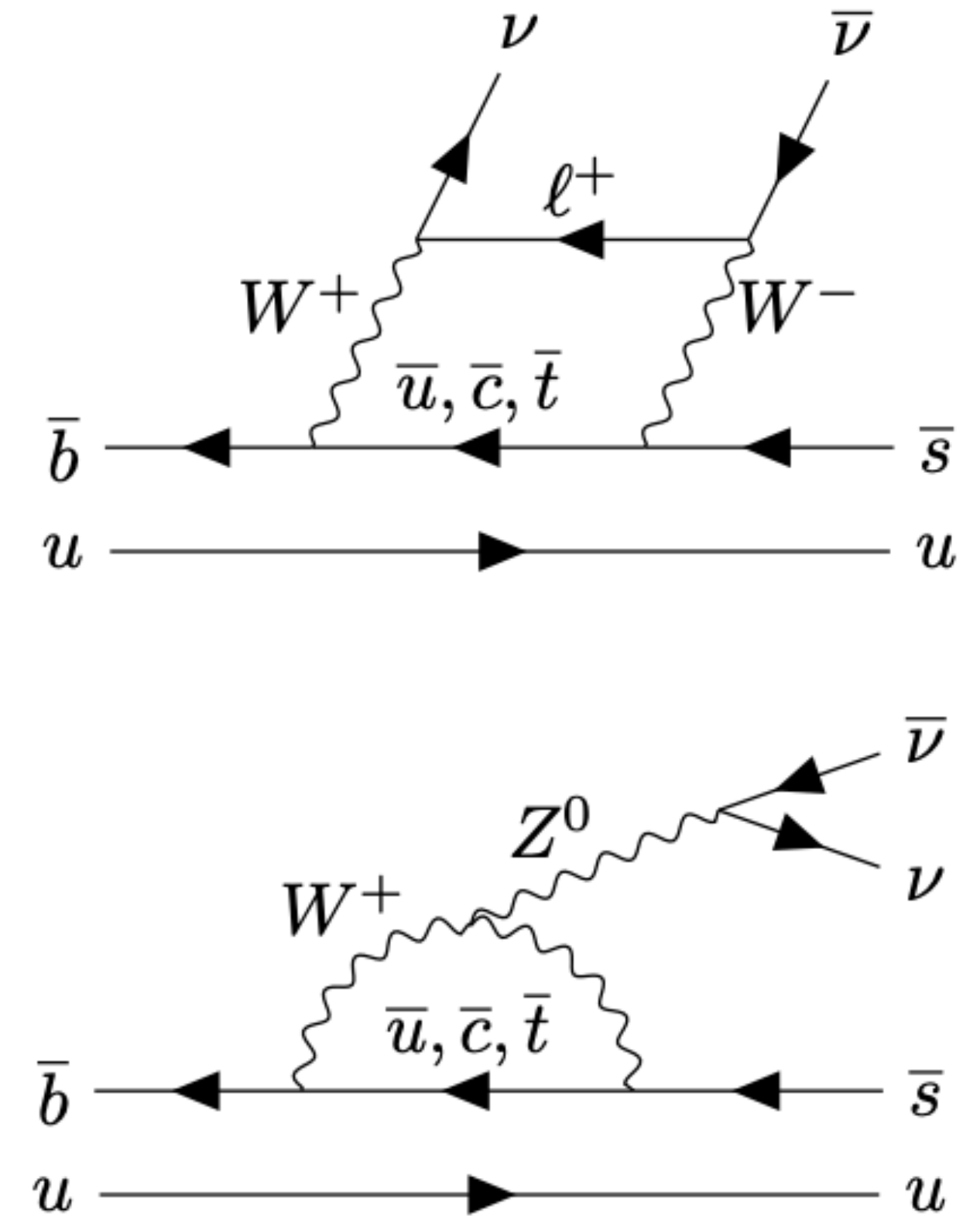




# Search for $B^+ \rightarrow K^+ \nu \bar{\nu}$

# Theoretical motivations

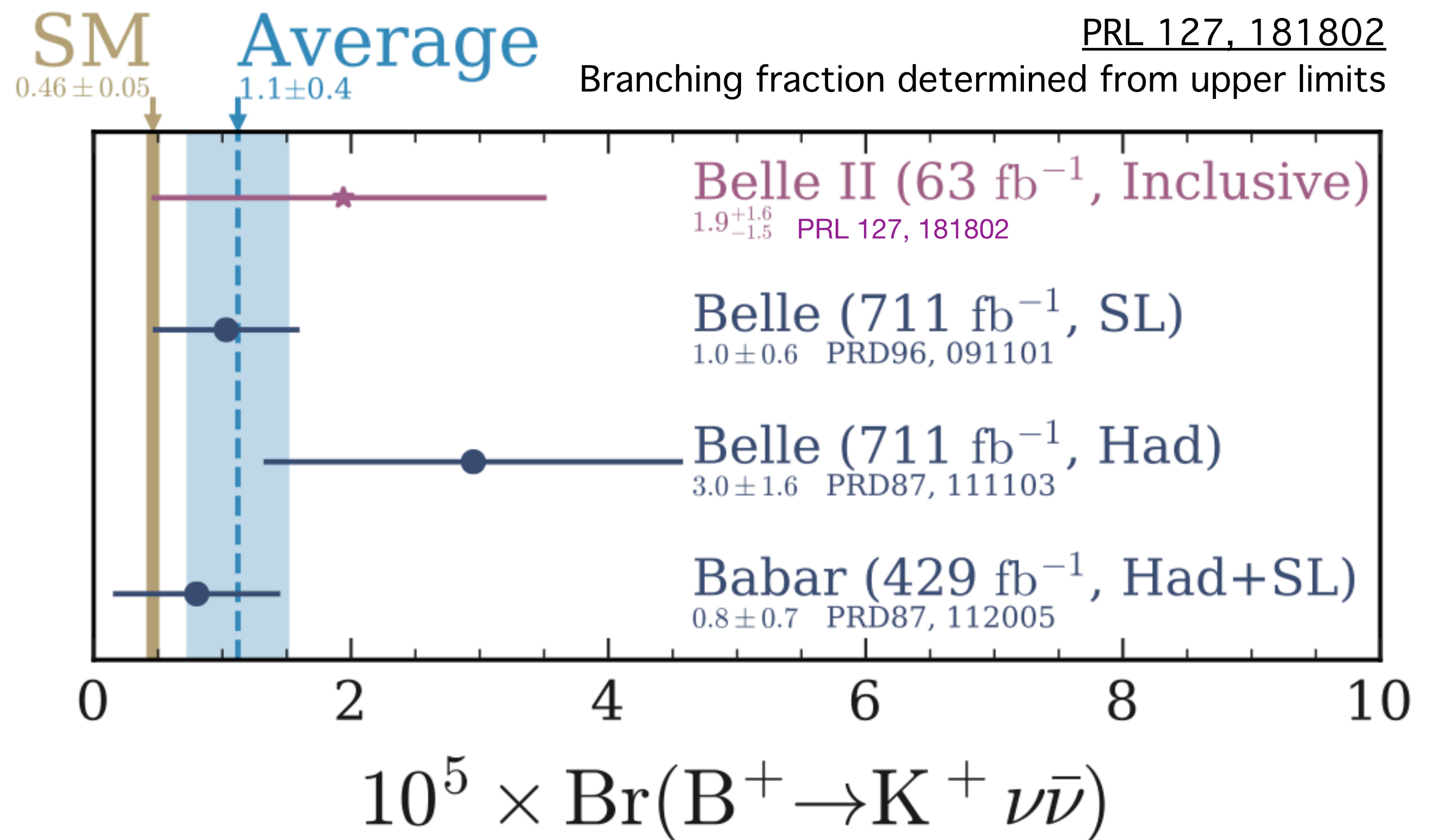
- $b \rightarrow s$  transition with missing energy in the final state
- Prohibited at tree level in the SM
  - branching fraction:  $(5.6 \pm 0.4) \times 10^{-6}$  [PRD 107, 119903 (2023)]
  - precision dominated by theoretical uncertainties from hadronic form factors
- Can receive significant **enhancements from NP**
  - new **invisible particles** in the final state, new **mediators** in the loop
  - interplay with  $B \rightarrow K^* \nu \bar{\nu} / K^{(*)} \tau \tau / K^{(*)} \tau \ell \rightarrow$  **probing third generation**
  - some common explanations of  $R(D^{(*)})$ , and muon  $g-2$  anomalies



Diagrams for short distance contributions  
(long distance: 10% of the total branching fraction)

# Experimental status before latest Belle II measurement

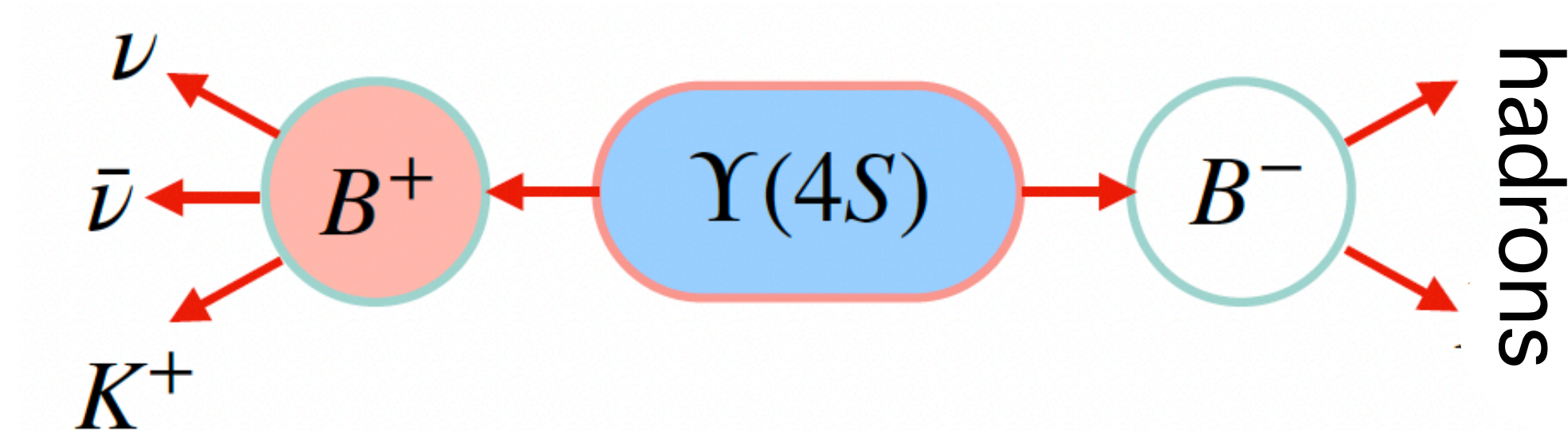
- **Challenges:**
  - low branching fraction with large background
  - no peak – continuous spectrum for the signal kaon momentum
- **Signal not observed** from previous measurements:
  - most stringent UL from BaBar
  - **promising inclusive tagging analysis** from Belle II on  $63 \text{ fb}^{-1}$



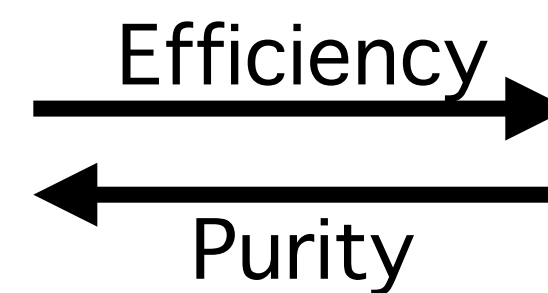
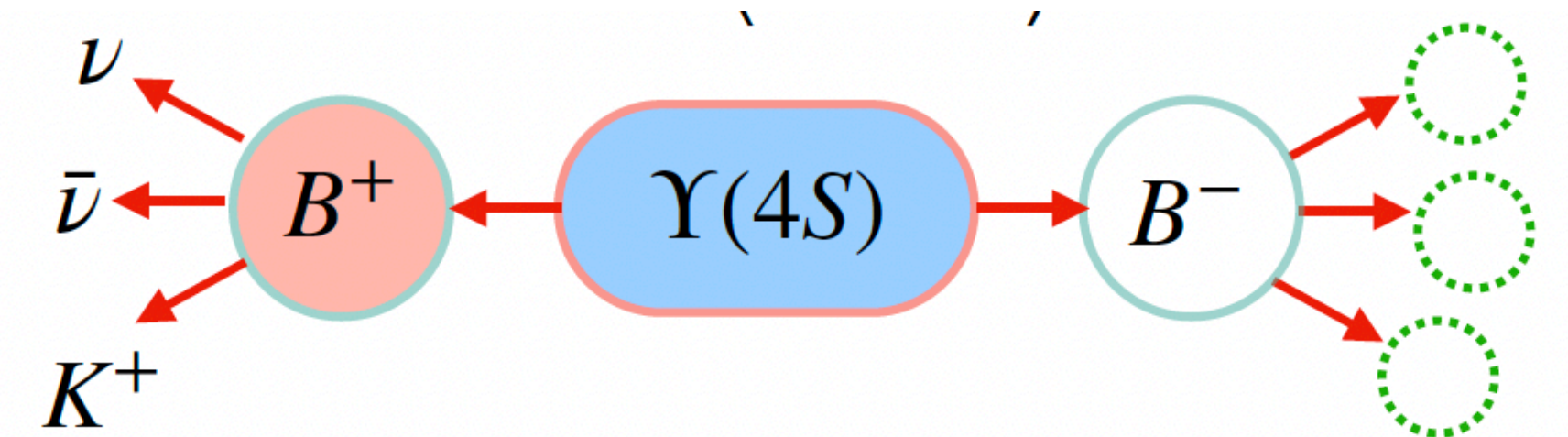
*Unique to  $e^+e^-$*

# Updated search for $B^+ \rightarrow K^+ \nu \bar{\nu}$ on full Belle II dataset

Hadronic Tag analysis (HTA)  
more conventional



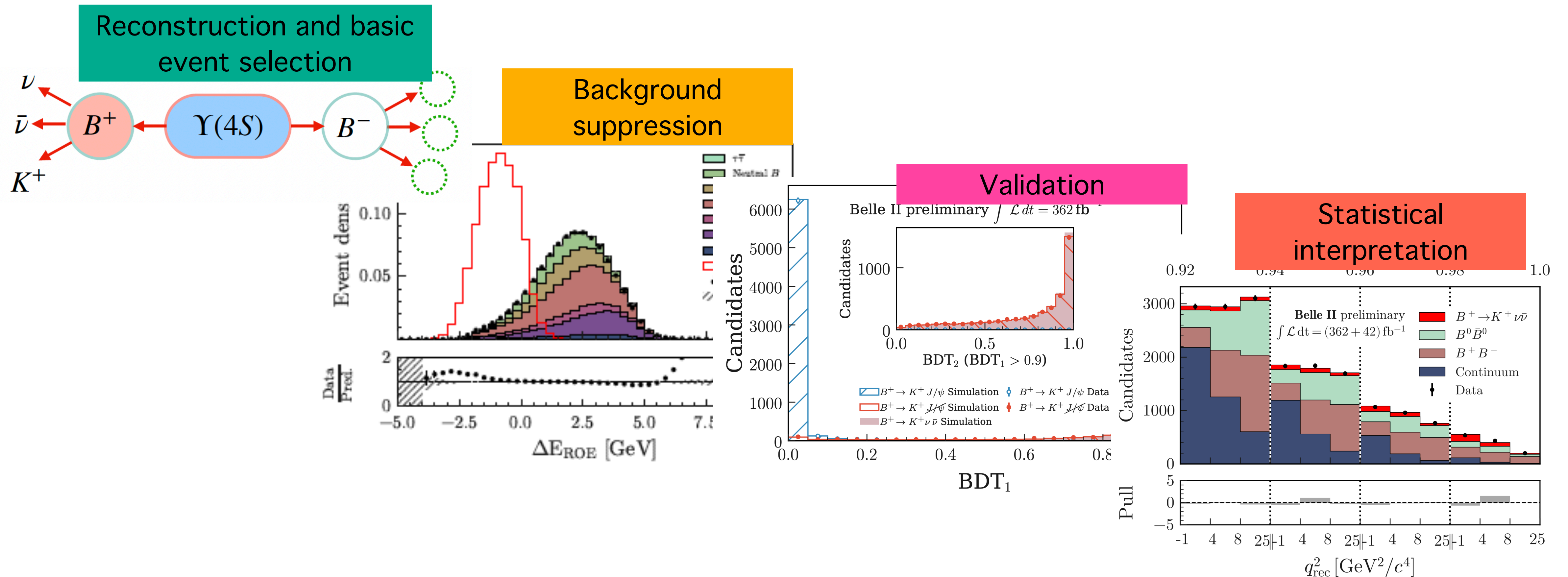
Inclusive Tag analysis (ITA):  
more sensitive



ITA is the main analysis, the driver for the final precision

Almost statistical independent samples

# Analysis flow in a nutshell



Except for the tagging method, ITA and HTA are kept as similar as possible in all steps

In what follows details of the ITA will be given, highlighting relevant differences of HTA

# Reconstruction and basic event selection (I)

## ITA

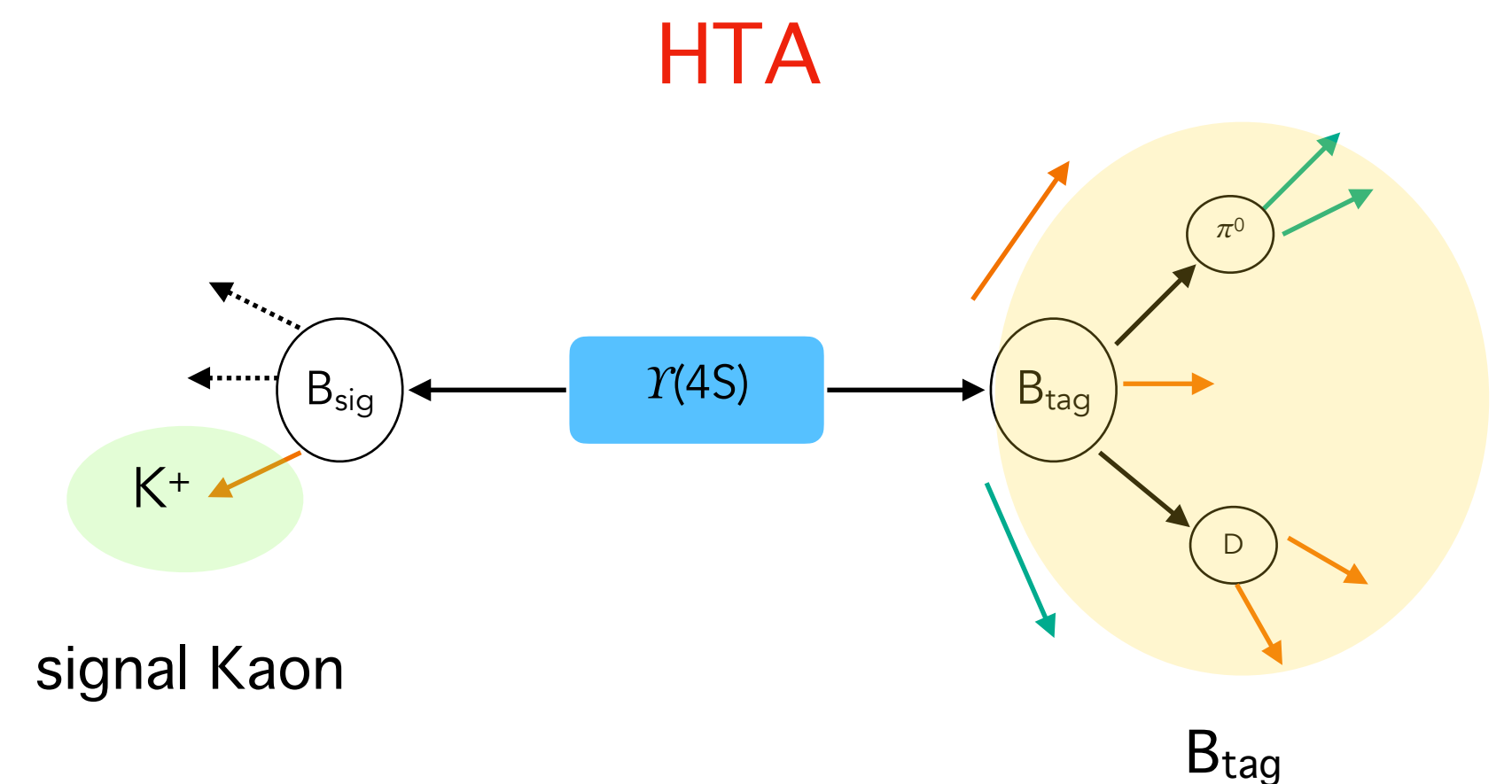
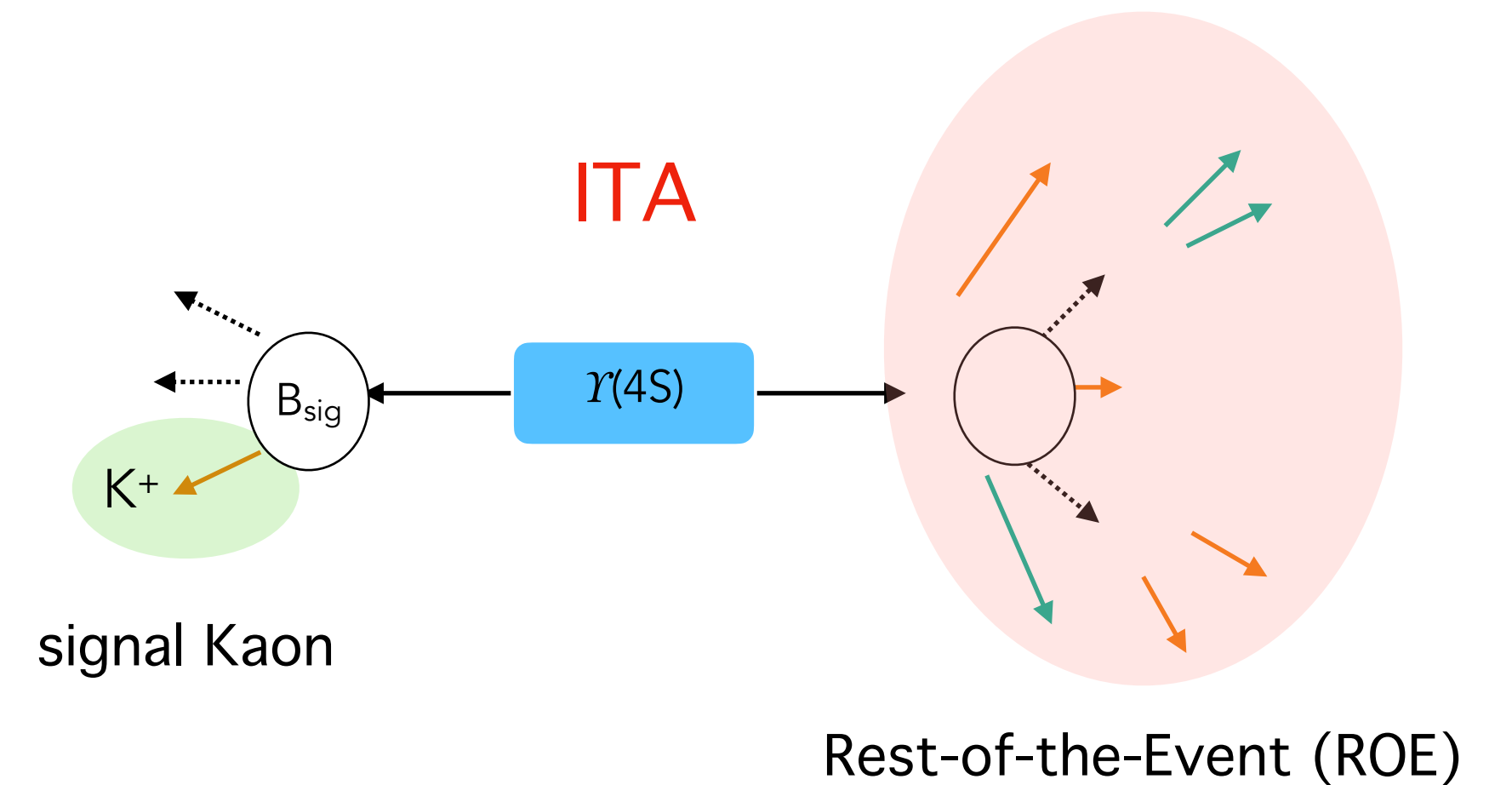
- No explicit tag reconstruction:  $\varepsilon \sim 100\%$
- **Signal candidate**: identified charged kaon
  - K-ID efficiency  $\sim 68\%$ , 1.2% K/ $\pi$  mis-ID rate
- Best signal Kaon chosen according to **smallest  $q^2_{rec}$** :

$$q^2_{rec} = s/(4c^4) + M_K^2 - \sqrt{s}E_K^*/c^4$$

- pick true K 96% of the times
- no bias in the procedure, x-checked by selecting best kaon at random

## HTA

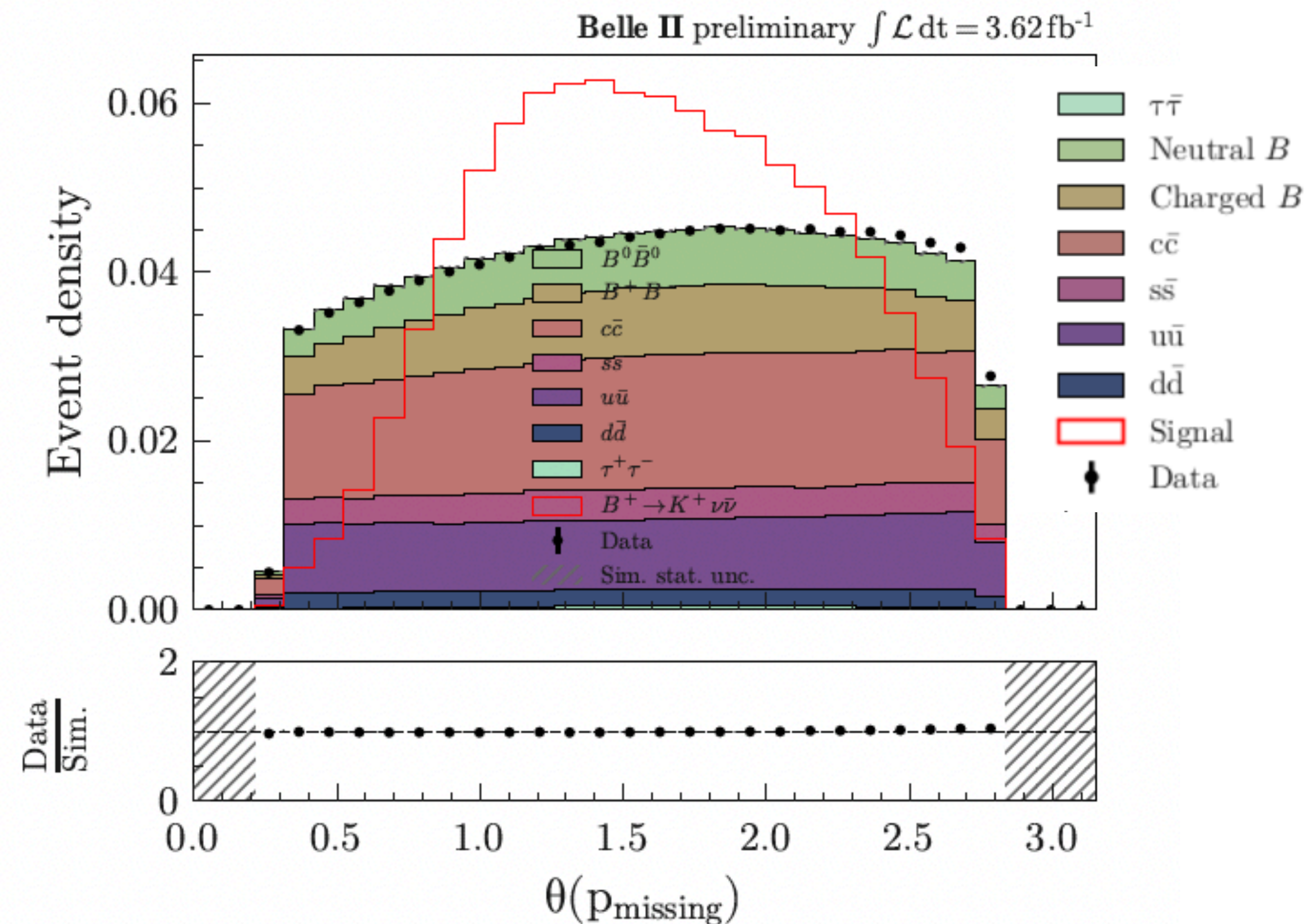
- Hadronic tag reconstruction, as in  $R(X\tau/\ell)$
- same signal kaon reconstruction but  $q^2_{rec}$  requirement (lower candidate multiplicity thanks to  $B_{tag}$  reconstruction)



# Reconstruction and basic event selection (II)

Main feature of basic event clean-up:

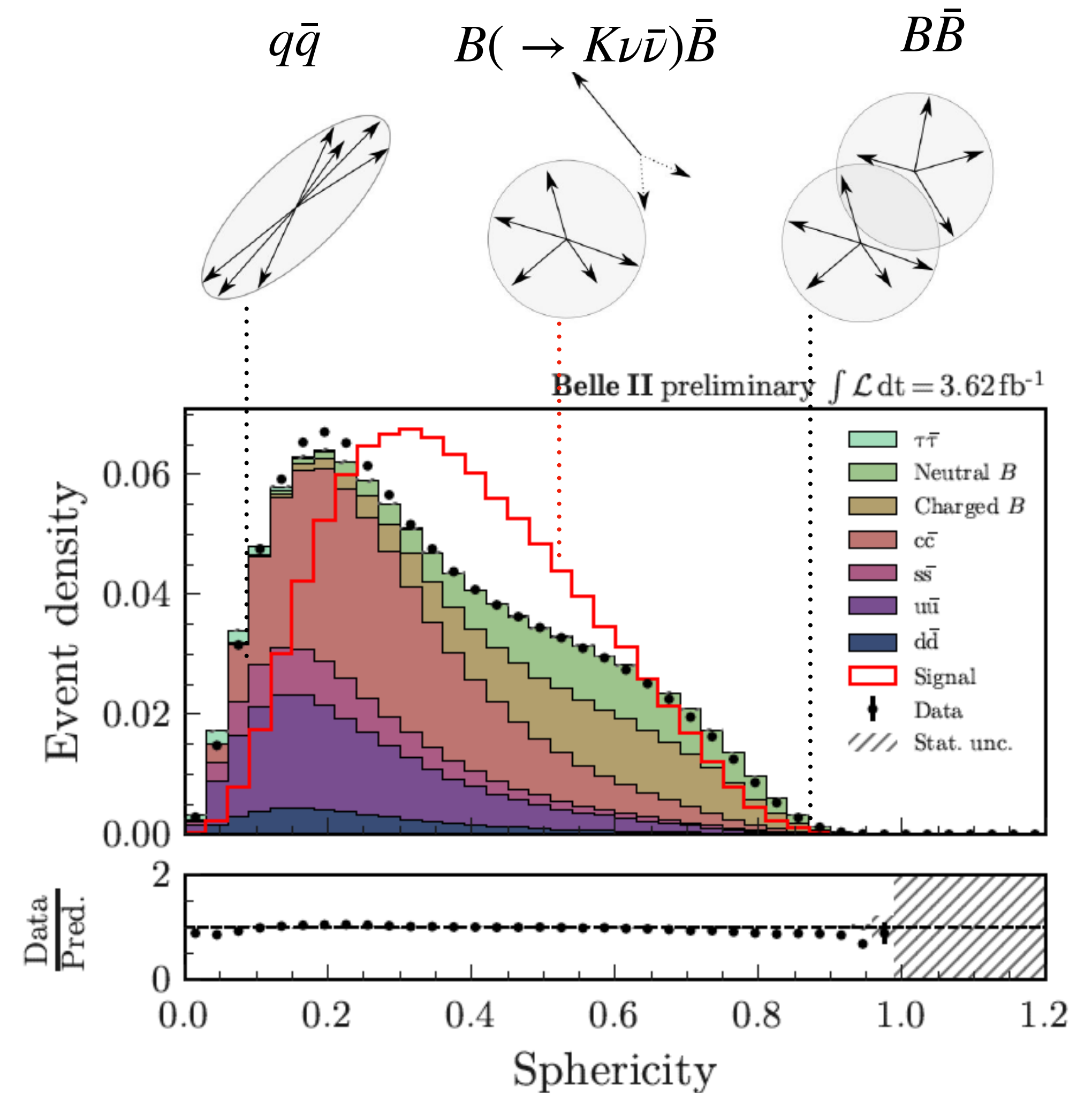
- total energy in the event and track multiplicity consistent with  $B\bar{B}$  events
- **missing momentum** required to be in the active detector volume



After reconstruction and basic event selection, background is dominated by  **$q\bar{q}$  events**

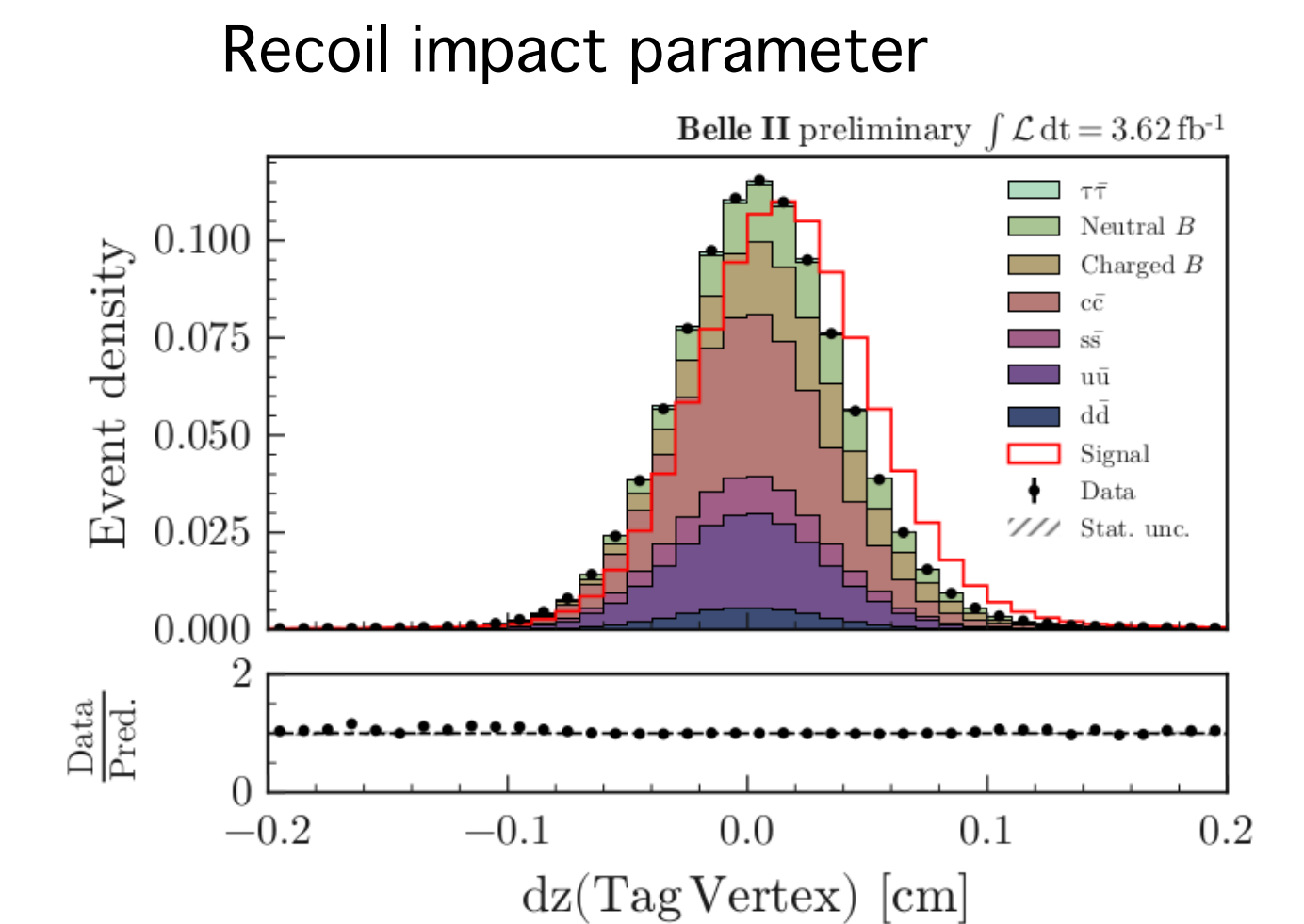
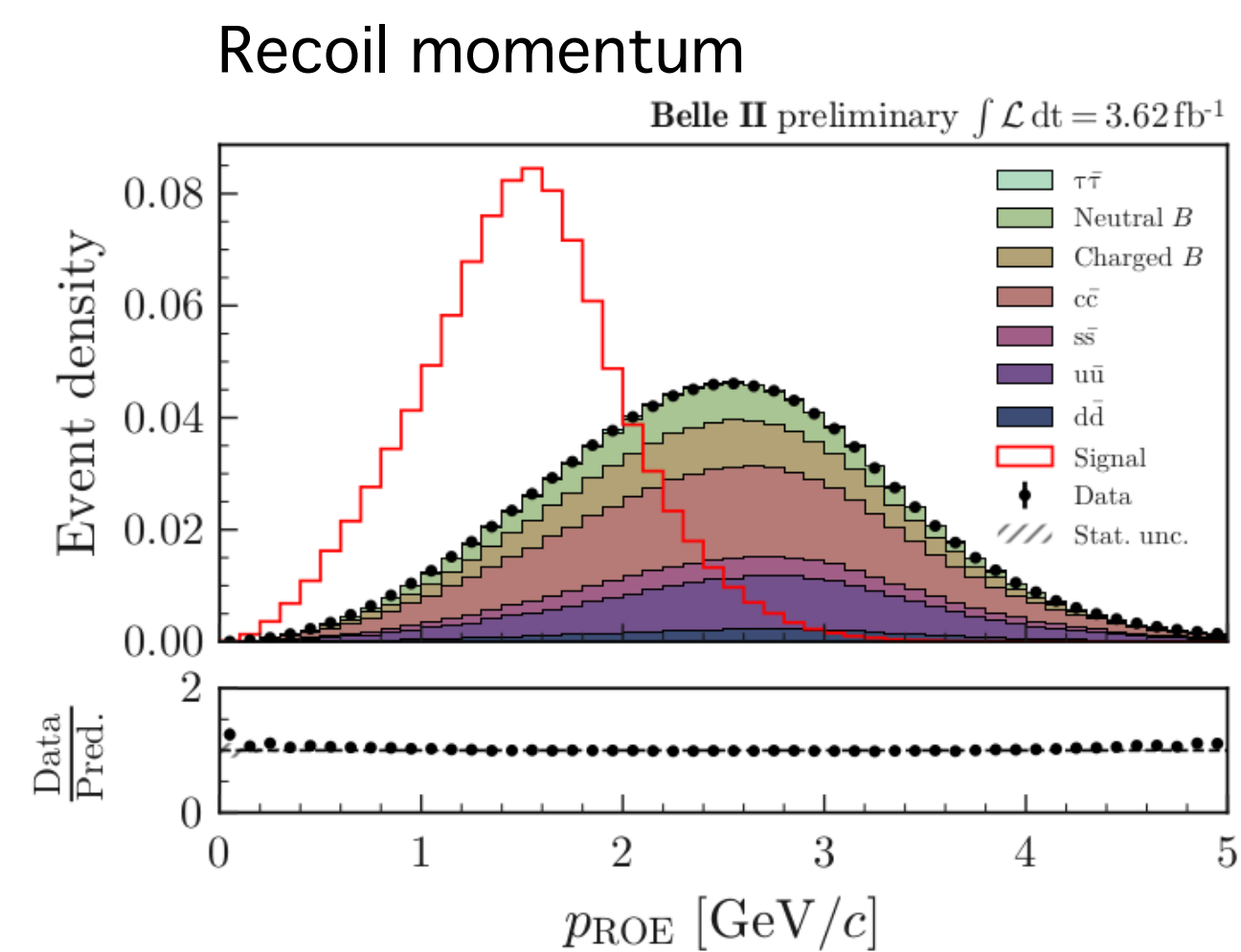
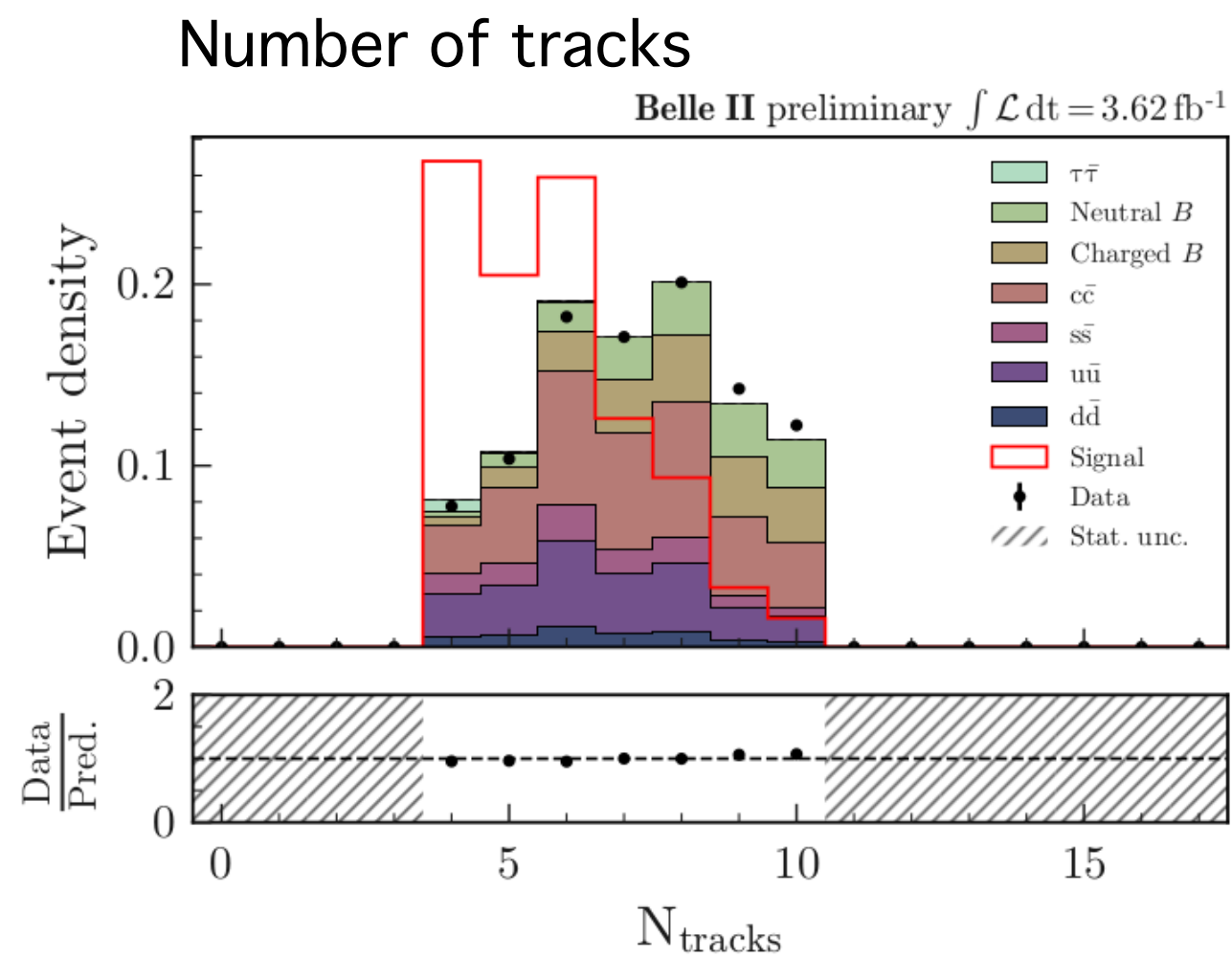
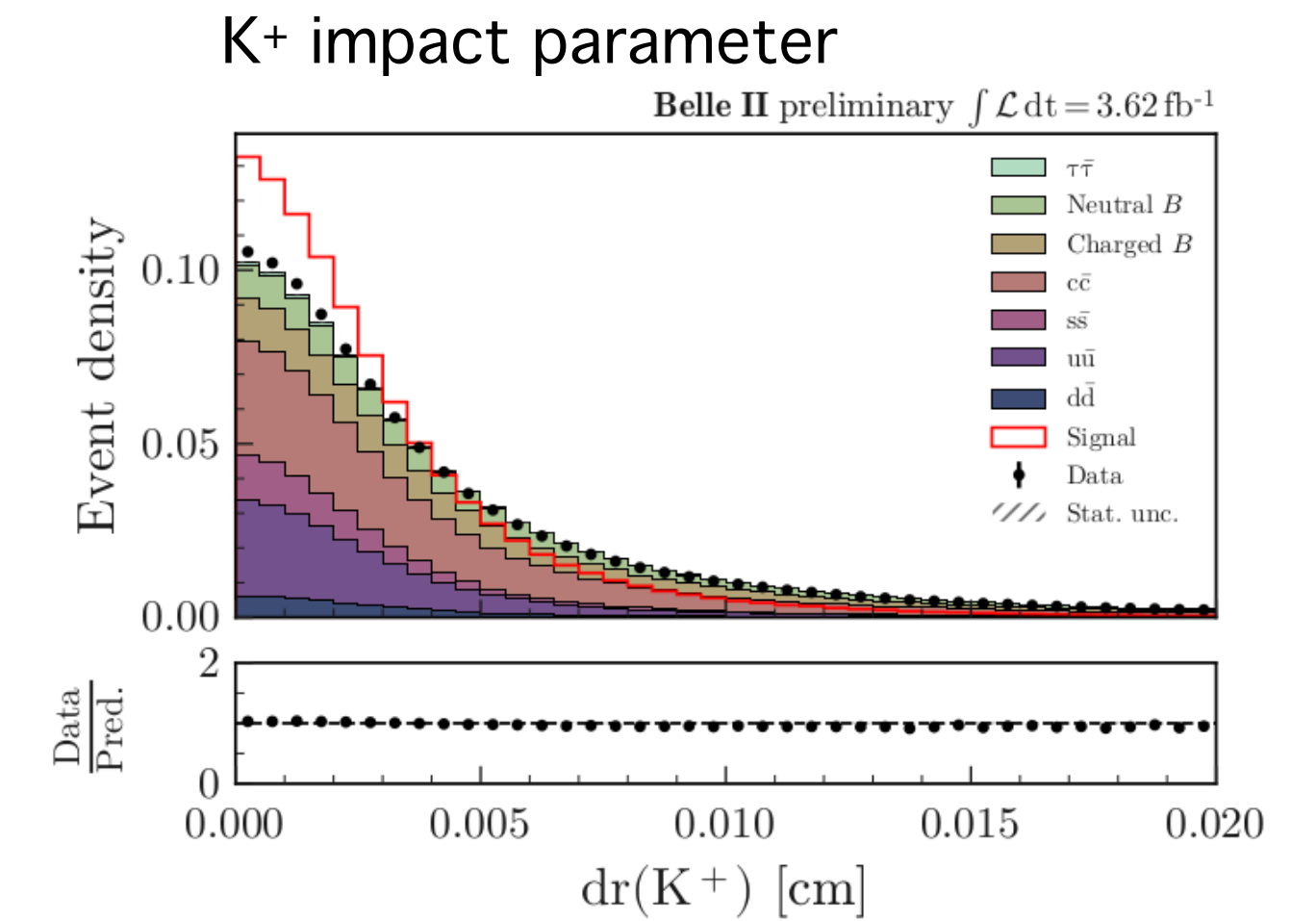
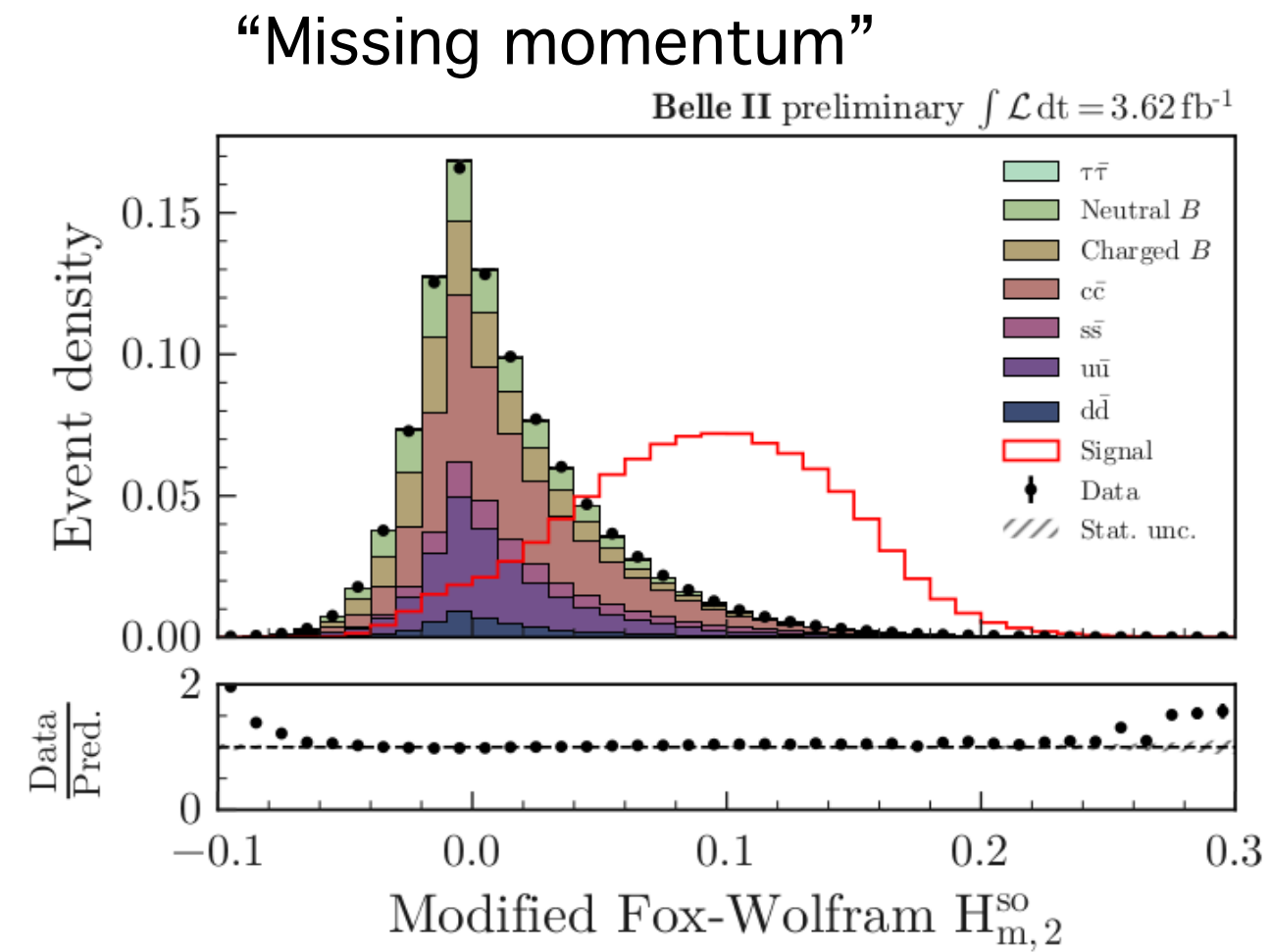
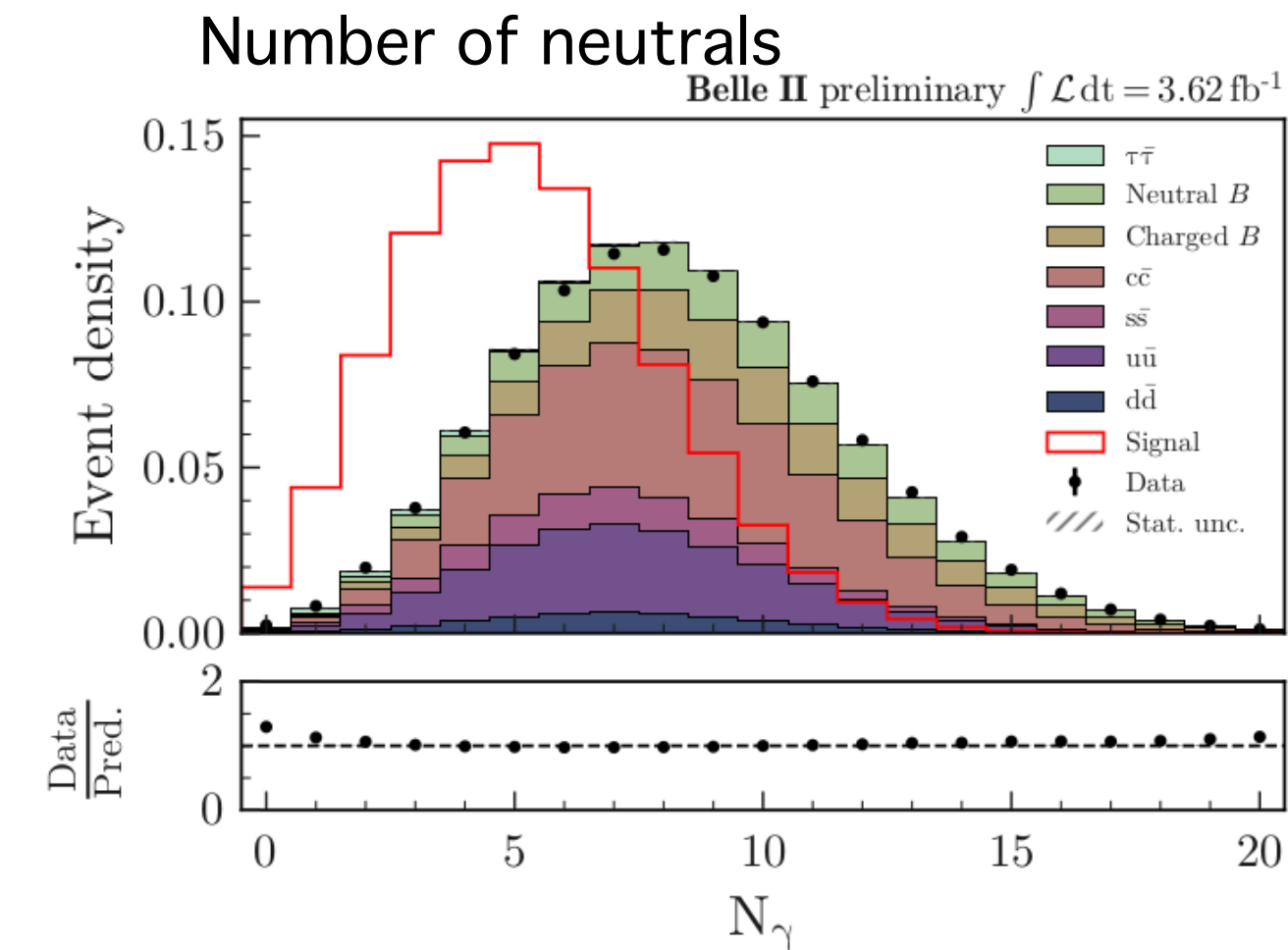
# Background suppression (I)

- Exploit “**event-shape**” variables to suppress non-resonant events
  - Signal events distributes in-between  $q\bar{q}$  and  $B\bar{B}$  regions
- **Kinematics**, **vertexing**, and **missing energy** information also used to discriminate between signal and background





# Background suppression (II)



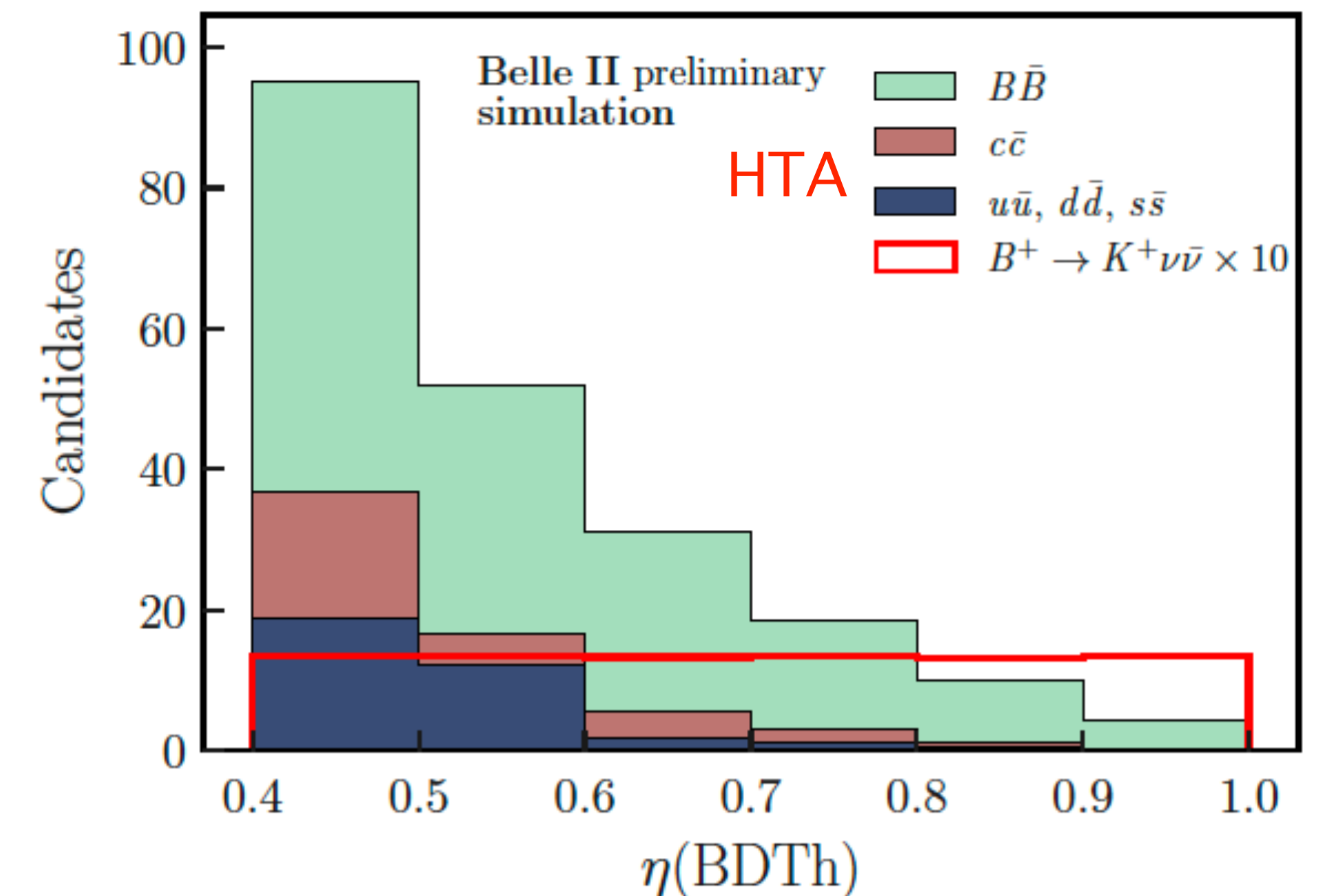
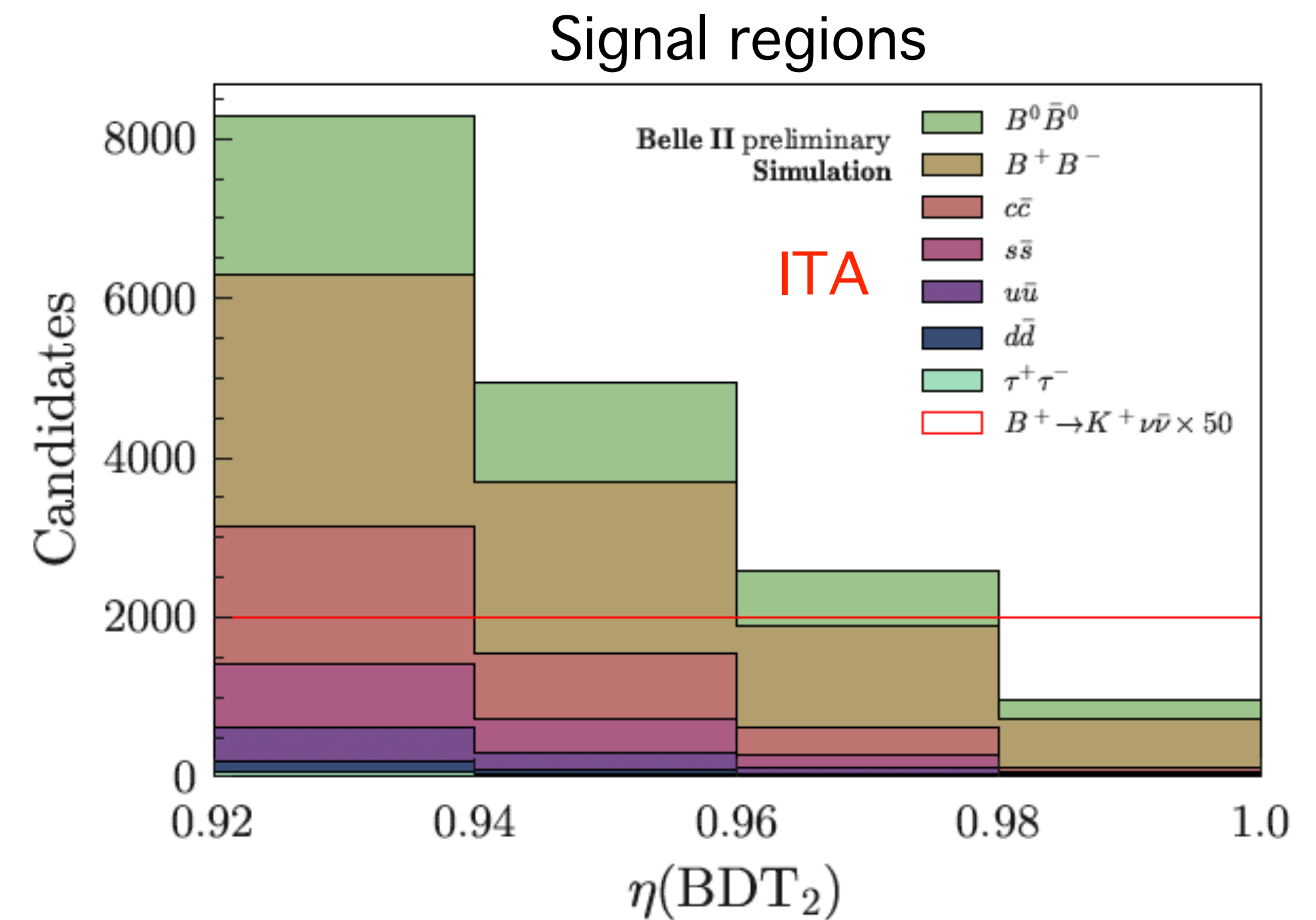
Examples of discriminating variables, at basic-event selection level, with 1% of the data

# Background suppression (III)

Combine discriminant and reasonably-modelled variables in boosted decision tree (BDT)

- **ITA**: two successive BDTs, BDT1 as basic filter and BDT2 as main tool for background suppression → x3 sensitivity increase wrt BDT1
  - total efficiency: 8%, expected purity: 0.8%
- **HTA**: Single BDT (BDTh)
  - total efficiency: 0.4%, expected purity: 7%

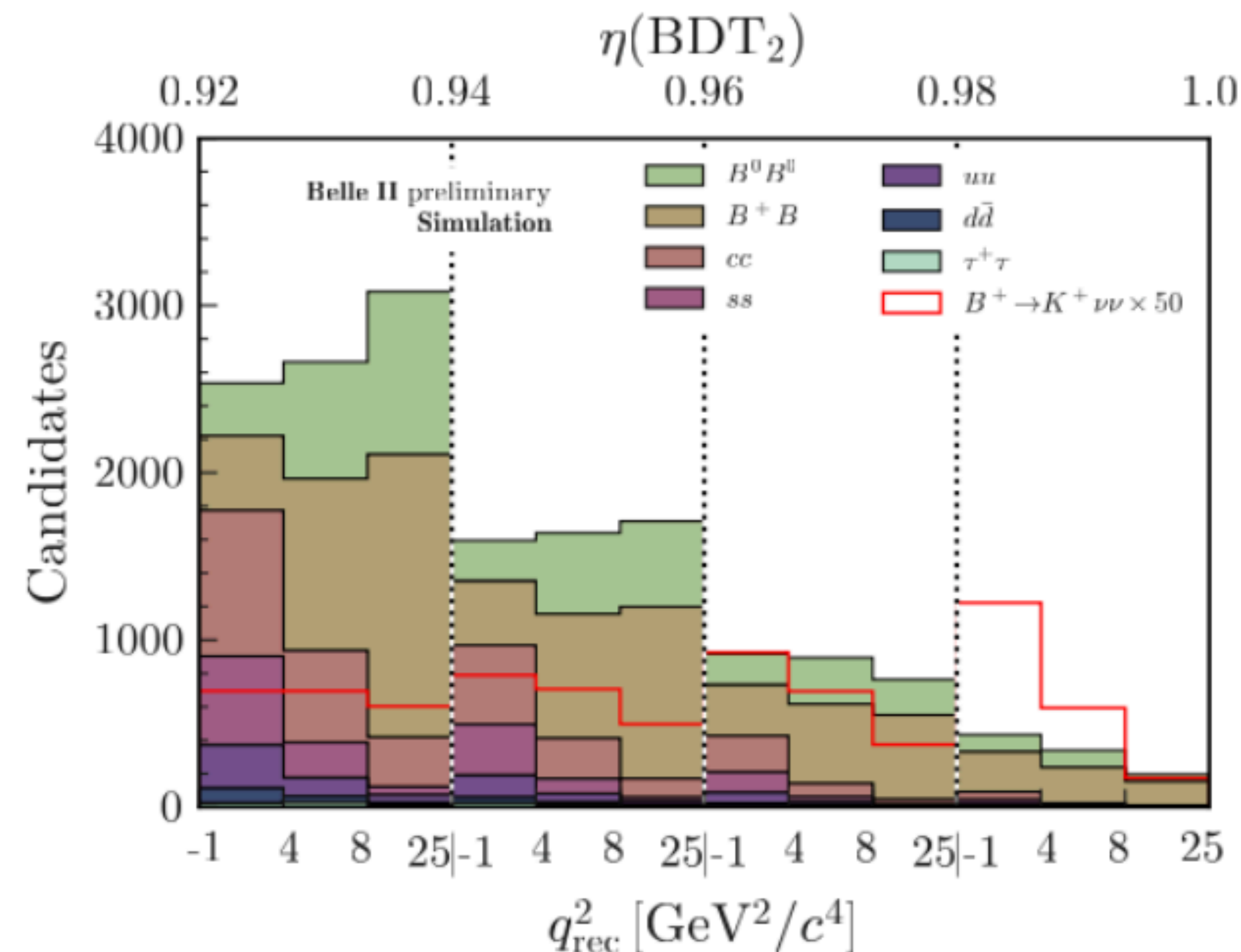
In both analyses, transformed to a uniform distribution equivalent to efficiency ( $\eta$ )



# Strategy for signal extraction

Measure **signal strength**  $\mu = \text{signal branching fraction in units of SM rate}$ , performing binned maximum likelihood fit

- **ITA**
  - fit variables: **classifier output** and mass squared of the neutrino pair ( $q_{\text{rec}}^2$ )
  - simultaneous fit to  $\gamma(4S)$  (**on-resonance**) sample and data taken 60 MeV below (**off-resonance**) to better constrain non-resonant component
- **HTA**: classifier output as fit variable, on-resonance data only
- **Systematic uncertainties** incorporated in the fit as nuisance parameters



# Strategy for signal extraction

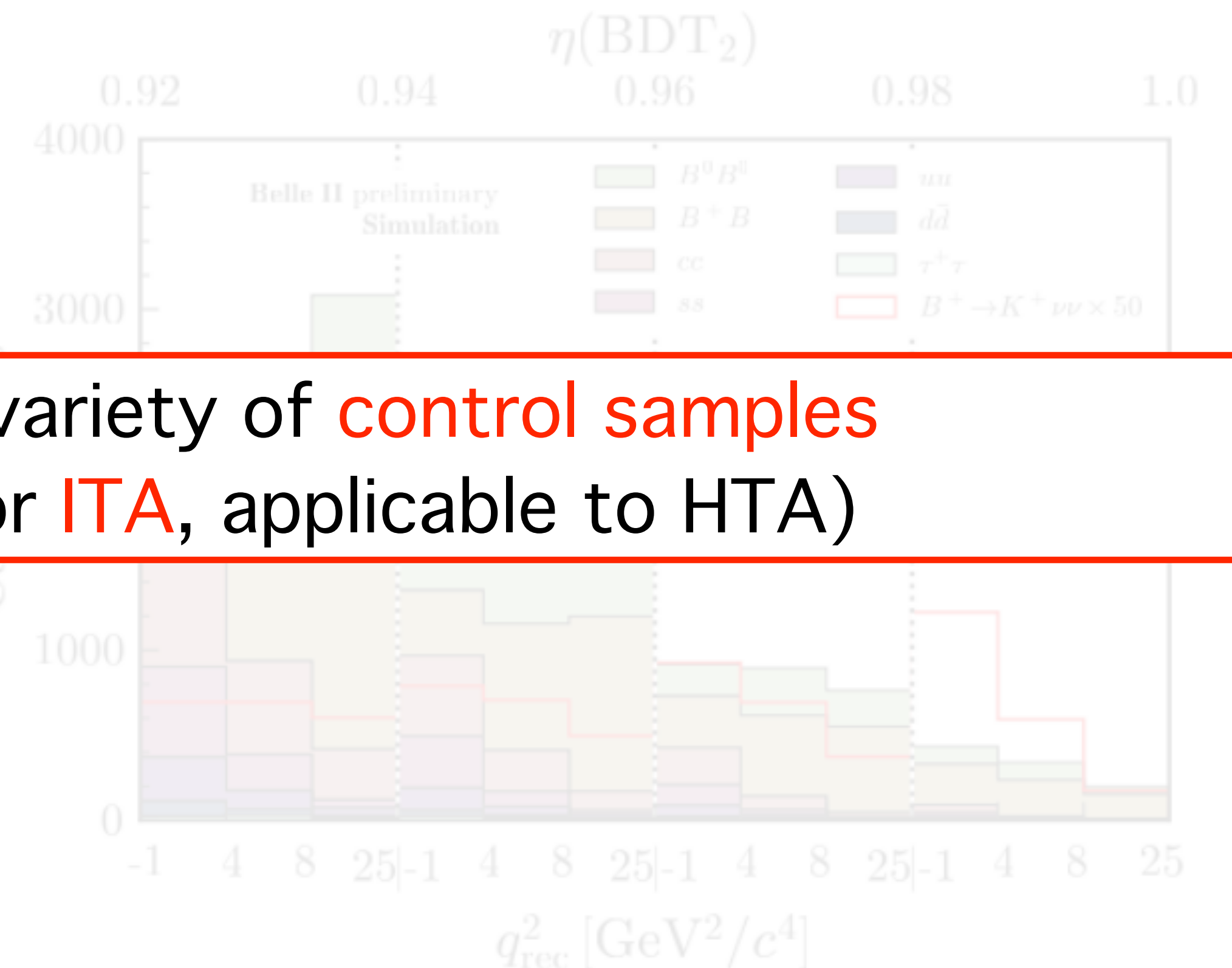
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Analysis strategy validation using a variety of **control samples** (in the following validation shown for **ITA**, applicable to HTA)

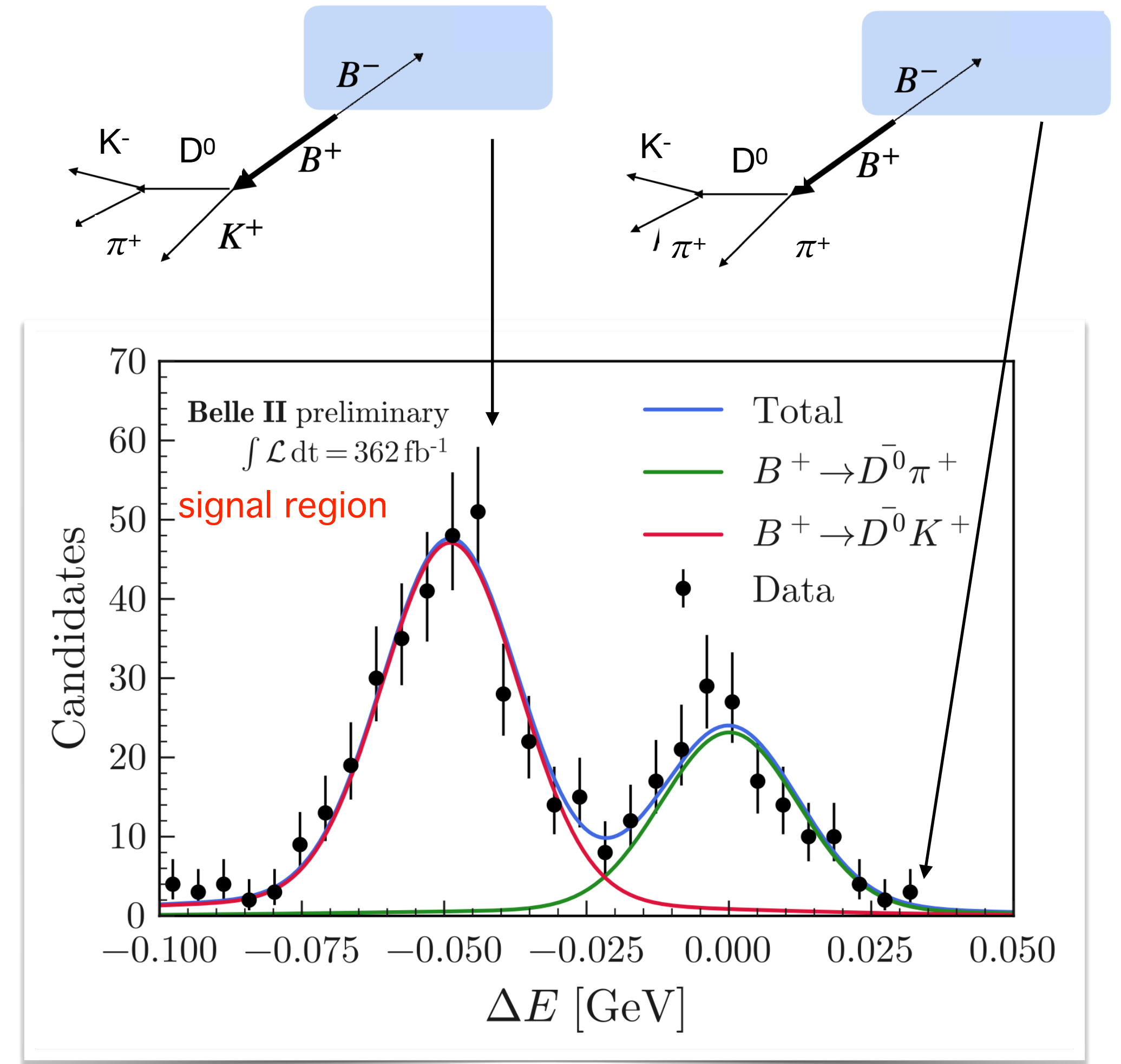
**resonance**) to better constrain non-resonant component

- **HTA**: classifier output as fit variable, on-resonance data only
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# Kaon ID requirement validation

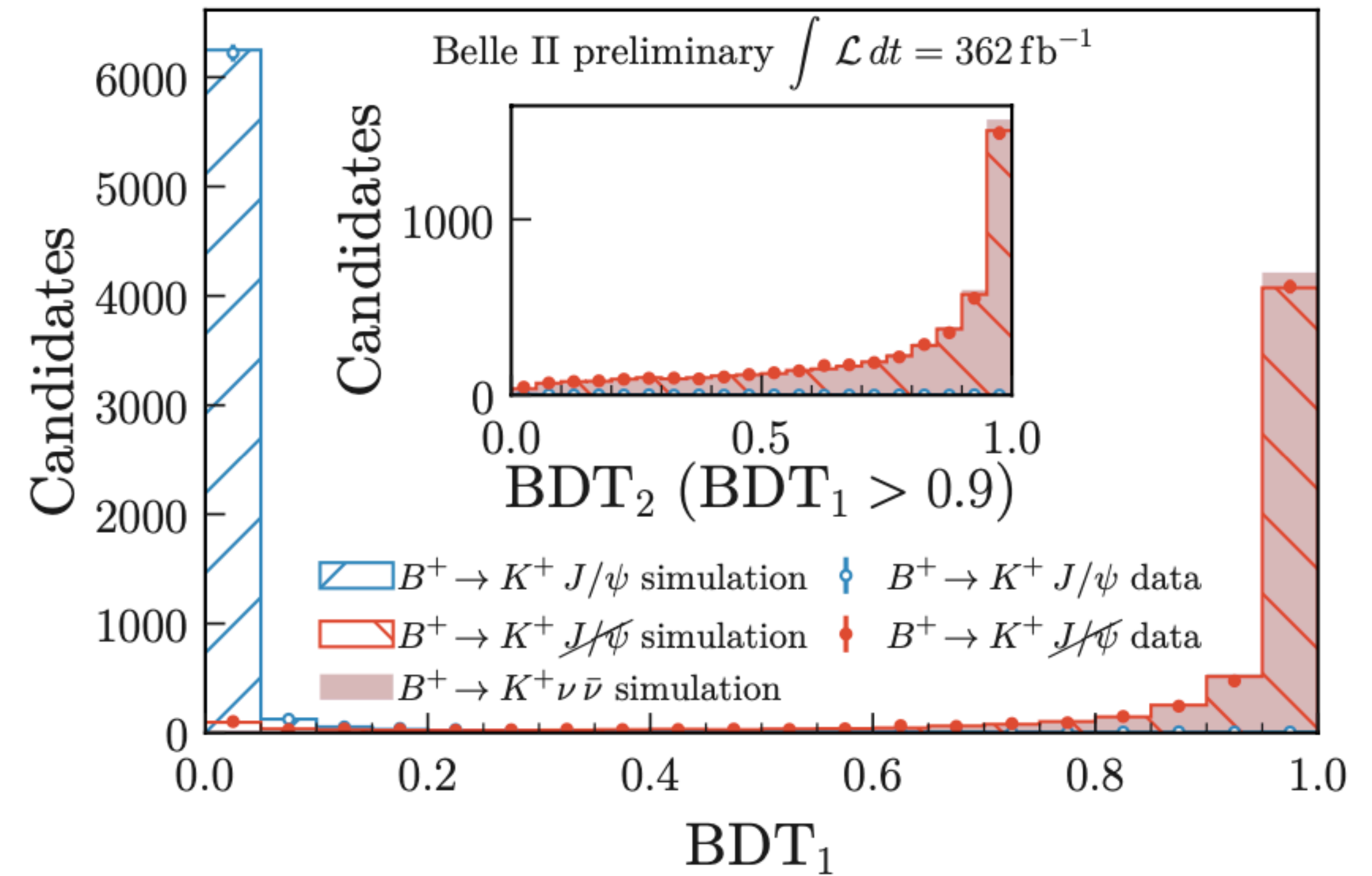
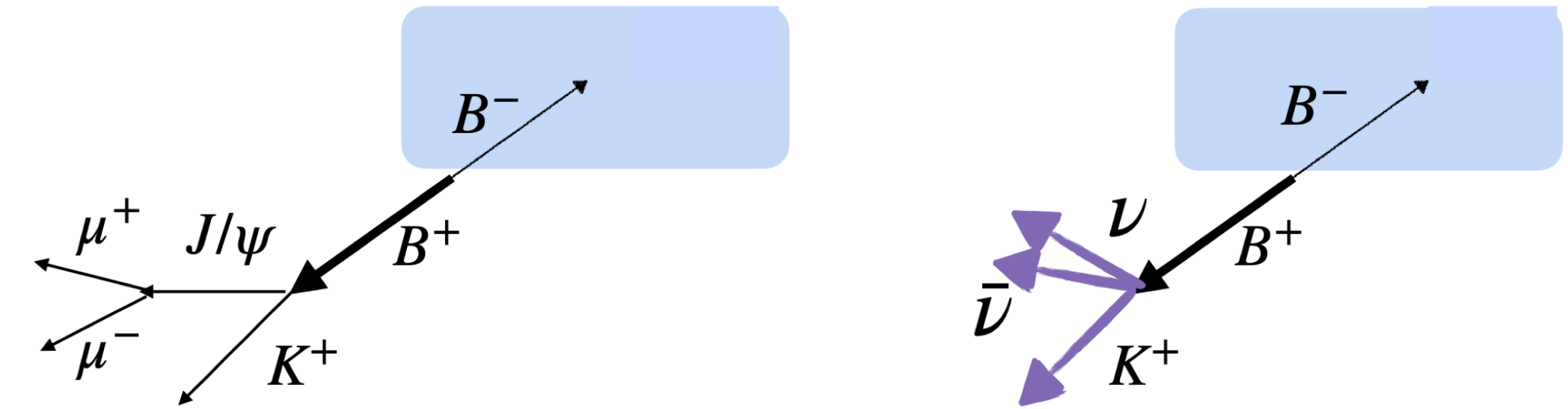
- K-ID efficiency and  $K \rightarrow \pi$  mis-ID rate from high statistics  $D^{*+} \rightarrow \pi D^0 (\rightarrow K\pi)$
- **Analysis-specific validation** using  $B \rightarrow D(K\pi)h$  ( $h = K, \pi$ )
  - remove D daughters to mimic signal topology and apply nominal selection
- Data/MC ratio of relative abundance of  $B \rightarrow DK$  and  $B \rightarrow D\pi$  from  $\Delta E$  fit:  $1.03 \pm 0.09$  



$\Delta E$  = difference between measured and expected energy

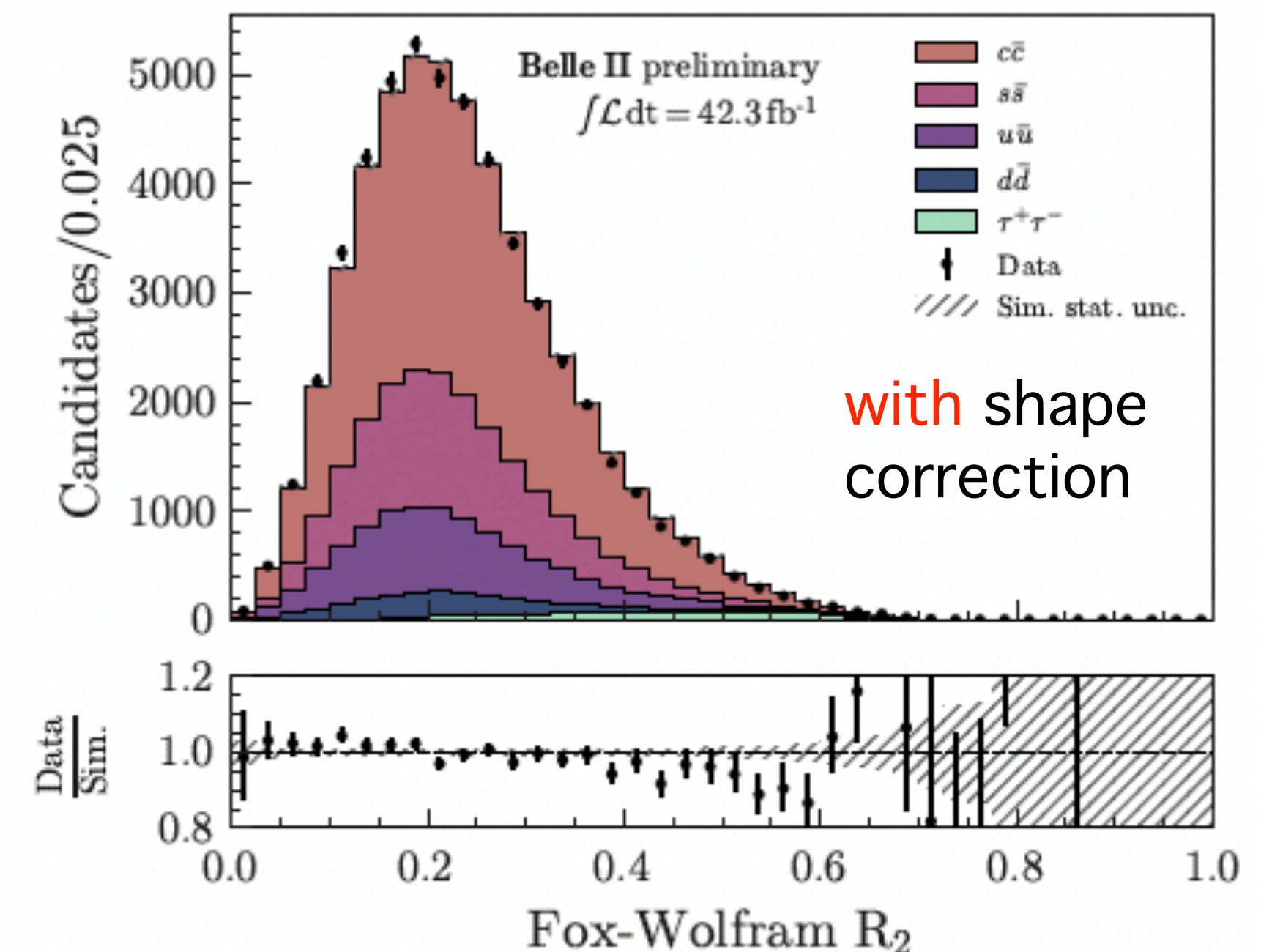
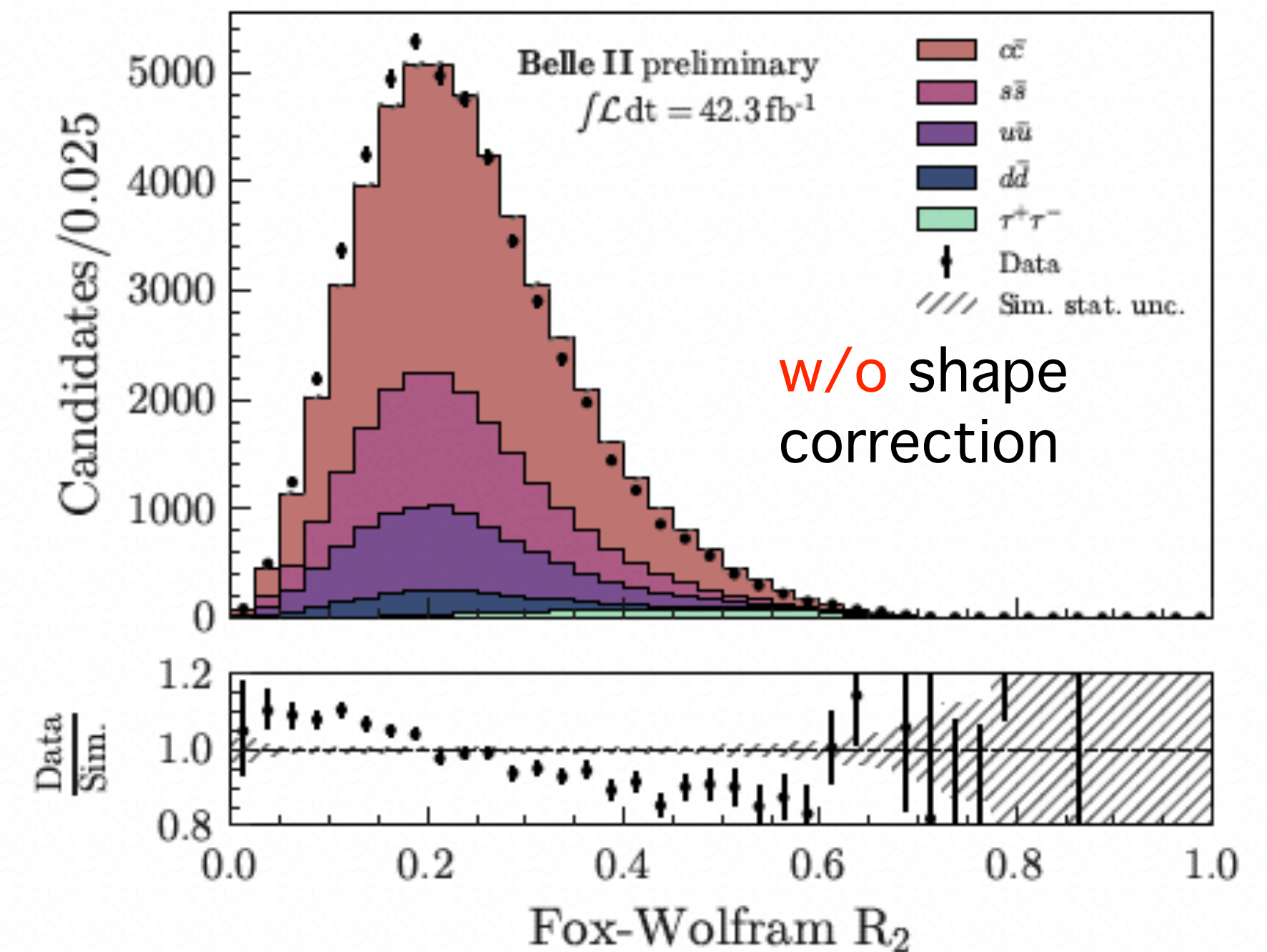
# Signal efficiency Validation

- Use  $B^+ \rightarrow J/\psi(\mu\mu)K^+$  control channel
  - “**embedding**” procedure: remove muons from reconstructed objects to mimic neutrinos and replace  $K^+$  kinematics from simulated signal events to match signal topology (both in data and MC)
- Data/MC efficiency ratio:  $1.00 \pm 0.03 \rightarrow$   **good agreement**
- **3%** is included as signal shape **systematic** uncertainty



# q $\bar{q}$ background studies

- $\sim 40\%$  of background events in **signal region** from **q $\bar{q}$  events**
- KKMC generator used to generate q $\bar{q}$  pairs, PYTHIA simulate hadronization, and EVTGEN for decay modelling
- Check modelling by comparing off-resonance data and q $\bar{q}$  simulation
  - 40% difference in data/MC **normalisation** (used as systematic uncertainty)
  - **shape** corrected by event-by-event data-drive corrections [[J. Phys.: Conf. Ser. 368 012028](#)]

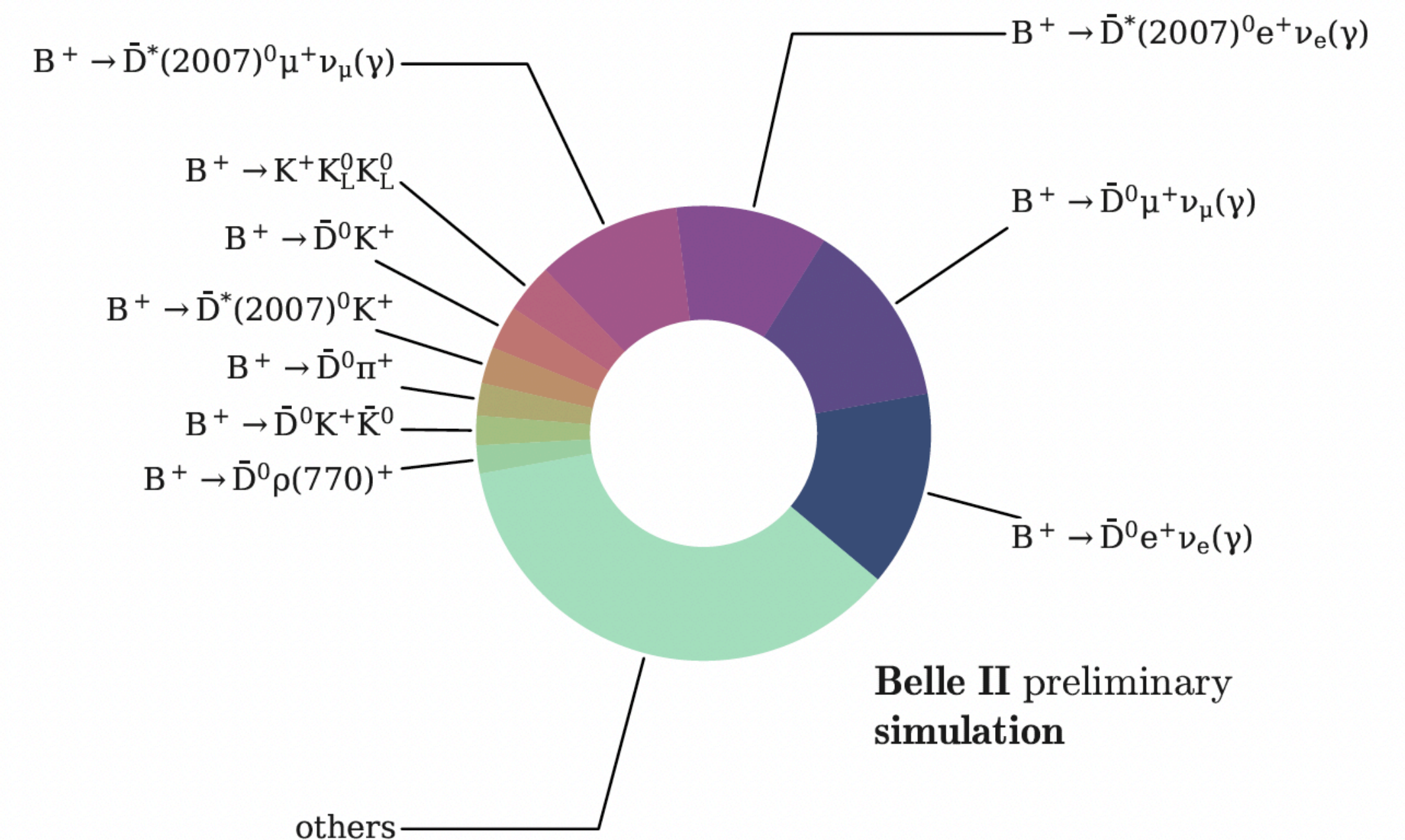
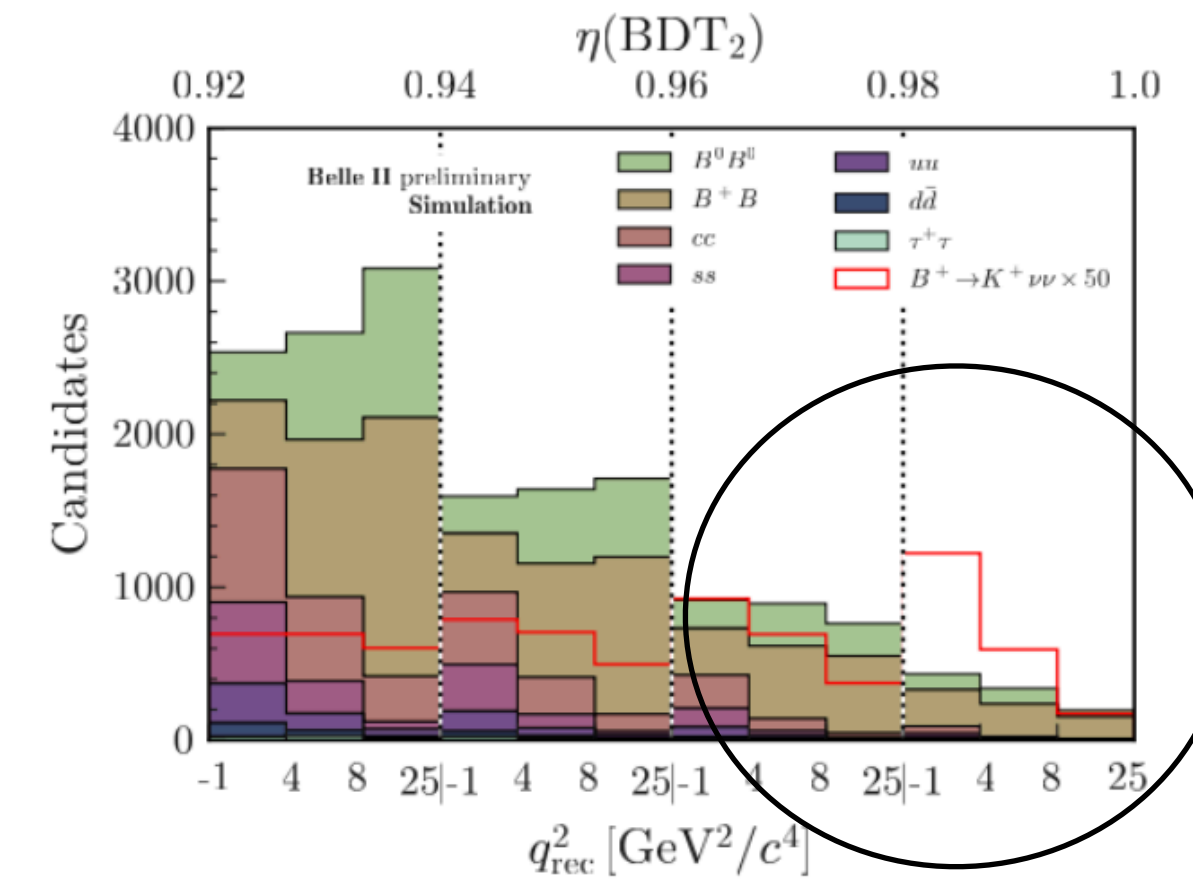


# BB background composition in the signal region

Production and decays of B mesons via  
PYTHIA and EVTGEN


Main background contamination from **charged  $B\bar{B}$**  (above all in the most sensitive regions):

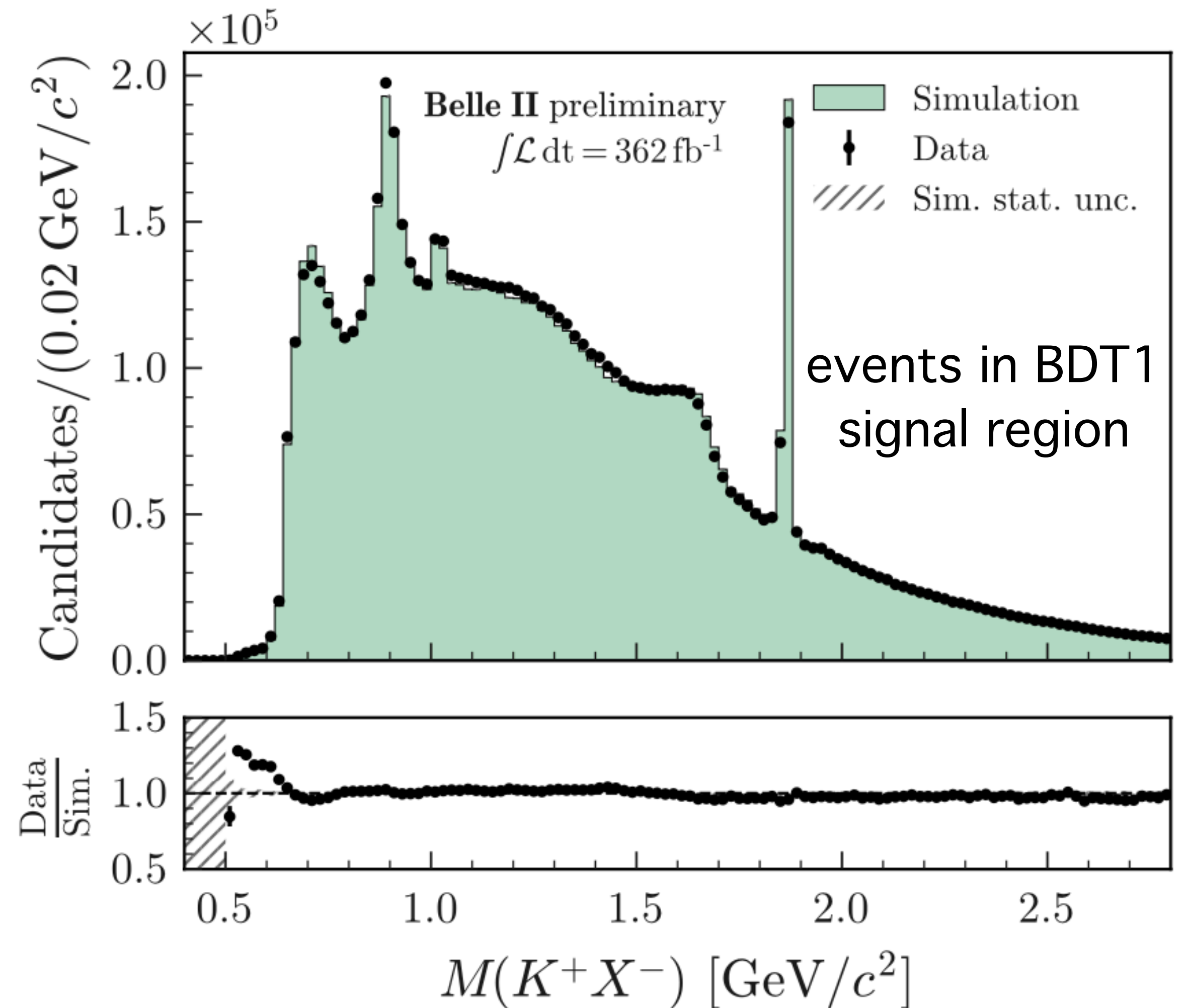
- **Semileptonic**  $B \rightarrow D^{(*)}(\rightarrow KX)\ell\nu$  decays: 47%
- **Hadronic**  $B \rightarrow D^{(*)}K^+$  decays: 38%
- Hadronic decays involving  $K_L$ : 17%






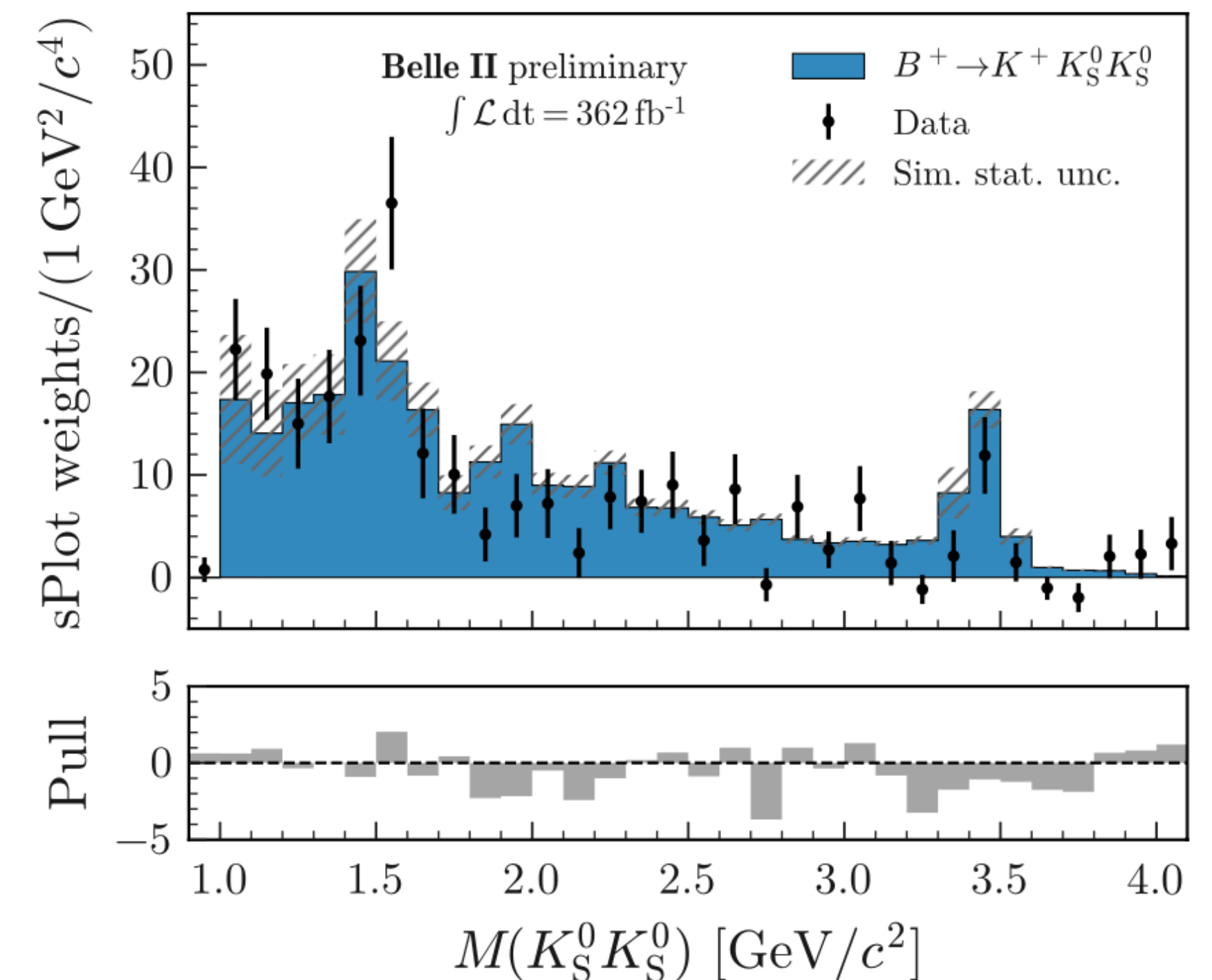
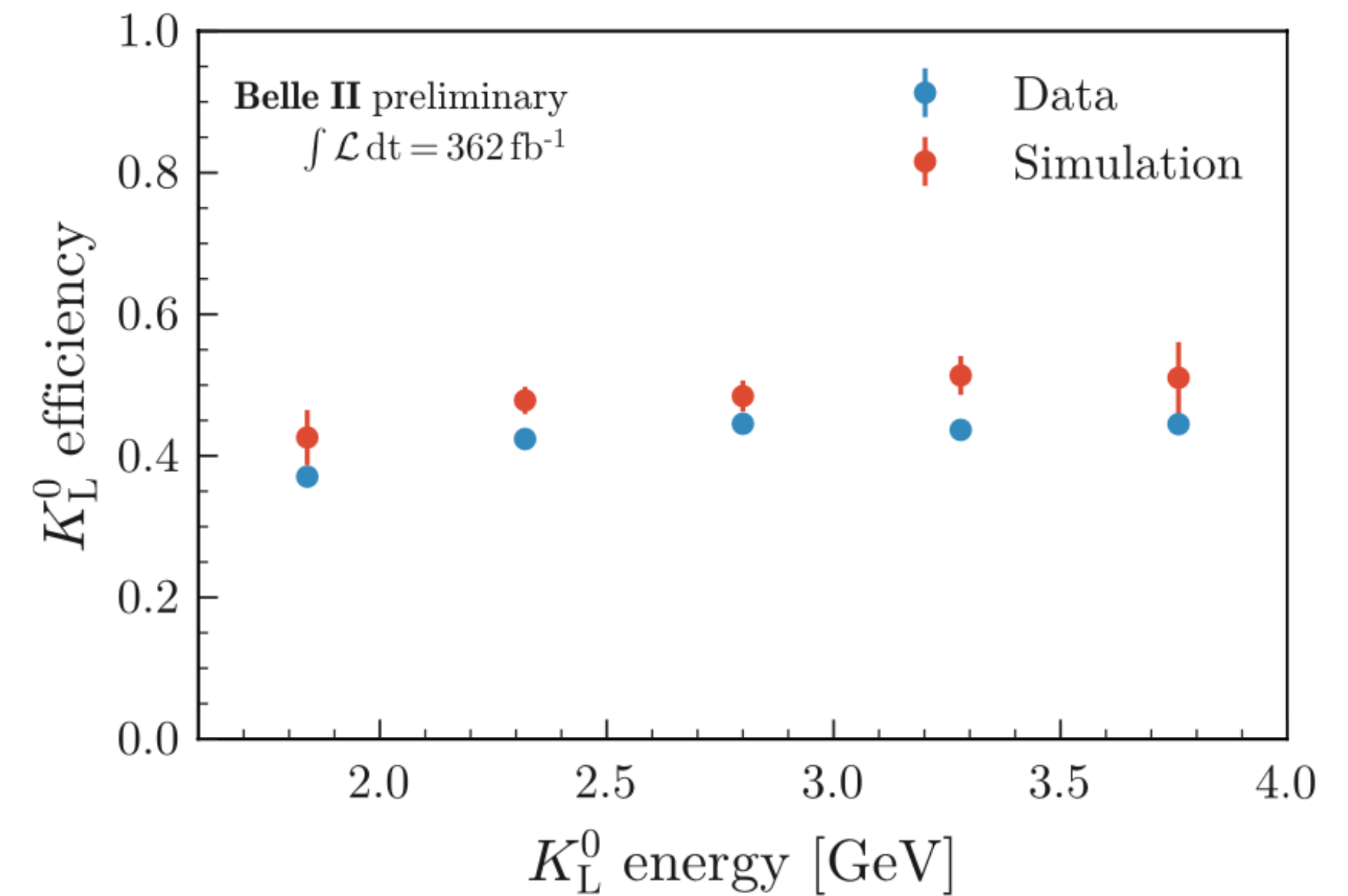
# Semileptonic $B \rightarrow D^{(*)}(\rightarrow K^+ X) \ell \nu$ decays

- Semileptonic B decays generally well modelled in EVTGEN, modes with  $D^{**}$  less well known
- Inspect **invariant mass** of **signal K** and any other **track** in the ROE
  - also used at background suppression stage
- **Resonances** well reproduced in  simulation
- Dedicated **systematic** uncertainties on decay branching fractions, enlarged for  $B \rightarrow D^{**} \ell \nu$  decays
  - impact of uncertainties on form factors found to be negligible




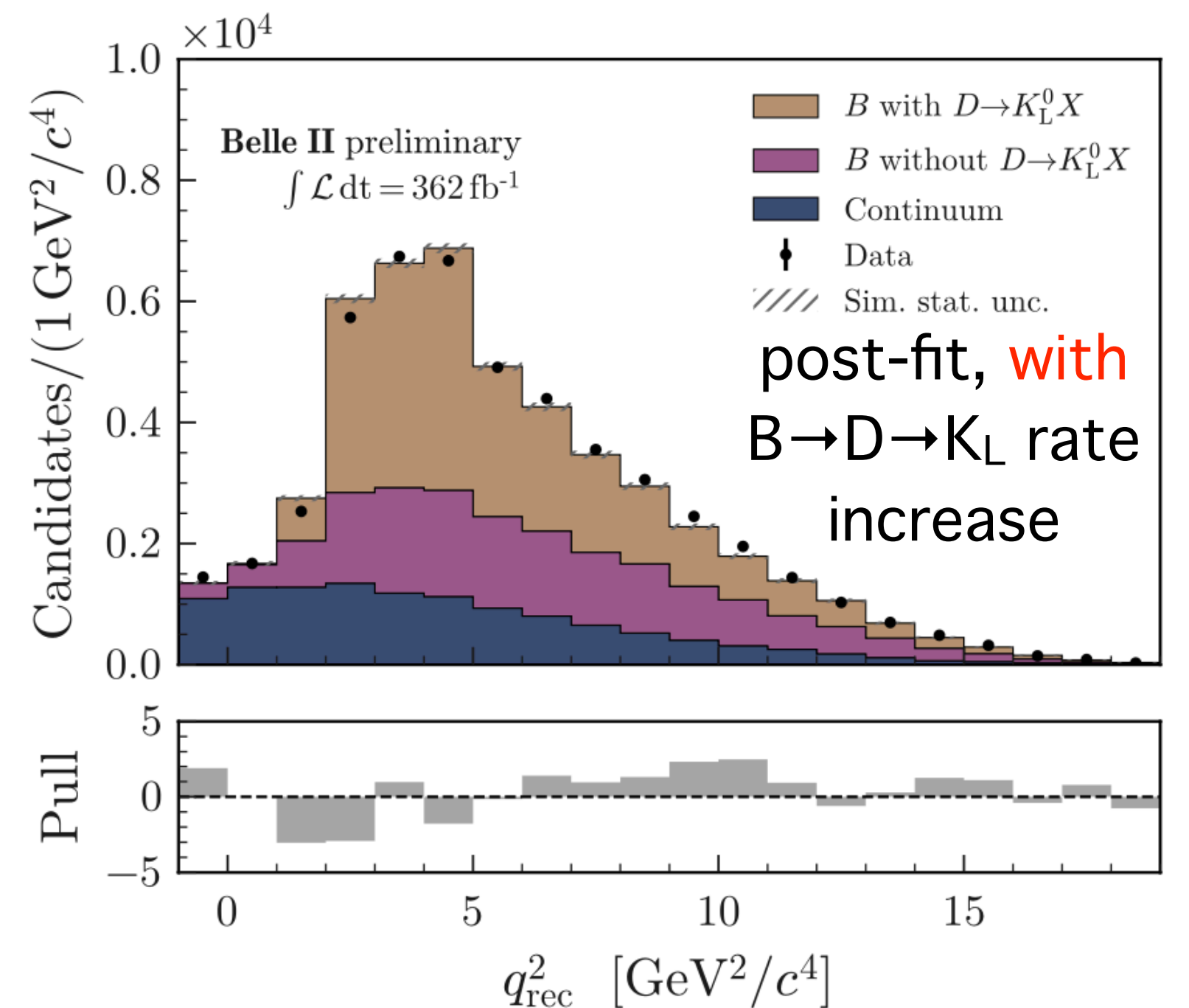
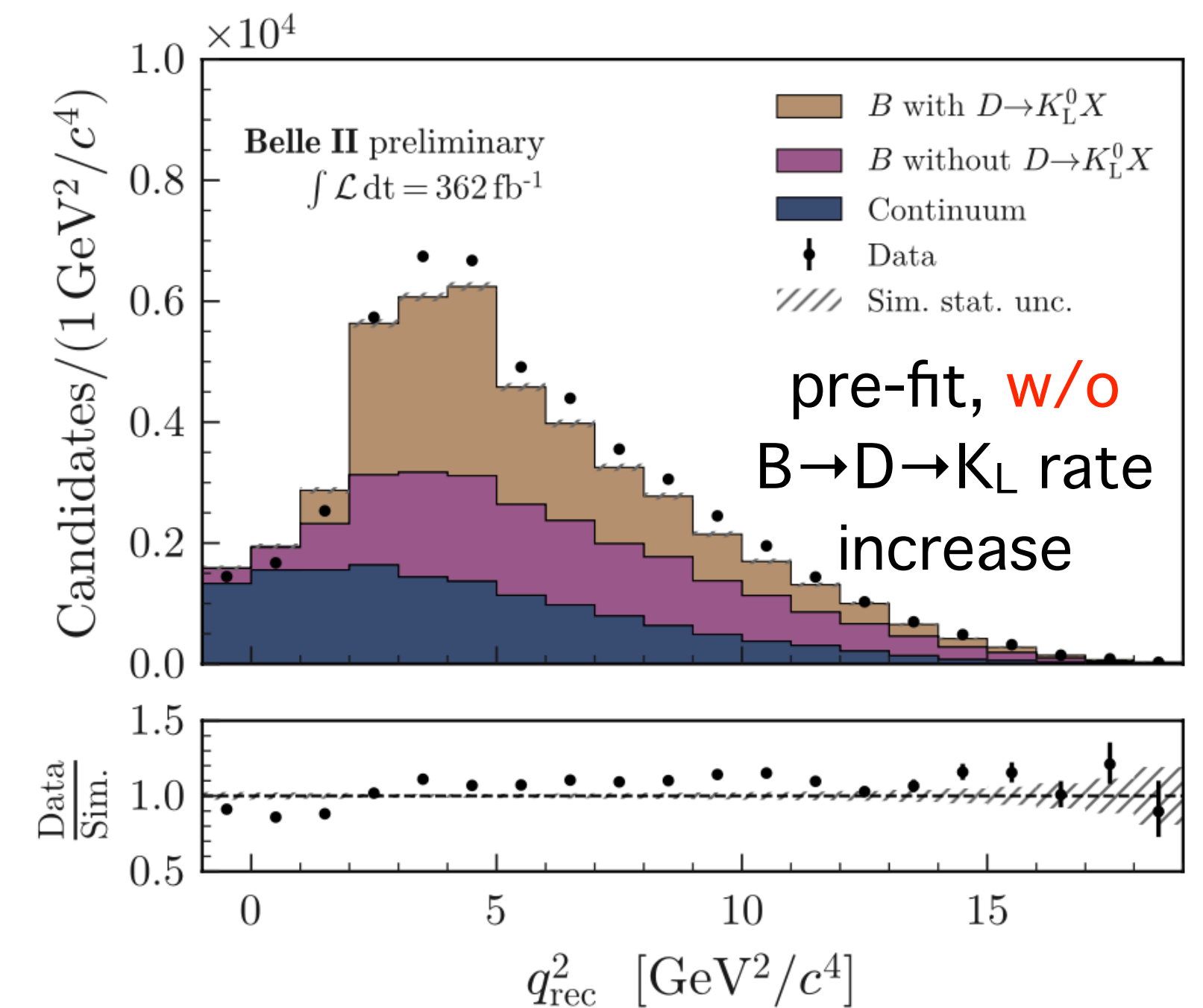
# $B^+ \rightarrow K^+ K_L K_L$

- Most signal-like background:
  - $O(10^{-5})$  branching ratio,  $K_L$  escaping electromagnetic calorimeter mimic missing neutrinos
- Study  $K_L$  detection efficiency in the calorimeter from  $e^+e^- \rightarrow \gamma\phi (\rightarrow K_L K_S)$  control sample: correct for 17% inefficiency in data wrt simulation in the whole  $K_L$  energy range
- Model  $B^+ \rightarrow K^+ K_L K_L$  according to BaBar analysis [[PRD 85, 112010 \(2012\)](#)]
- Validate the modelling on  $B^+ \rightarrow K^+ K_S K_S$  
- Similar study for  $B^+ \rightarrow K^+ \pi \pi$ , smaller contamination wrt  $B^+ \rightarrow K^+ K_L K_L$  mode



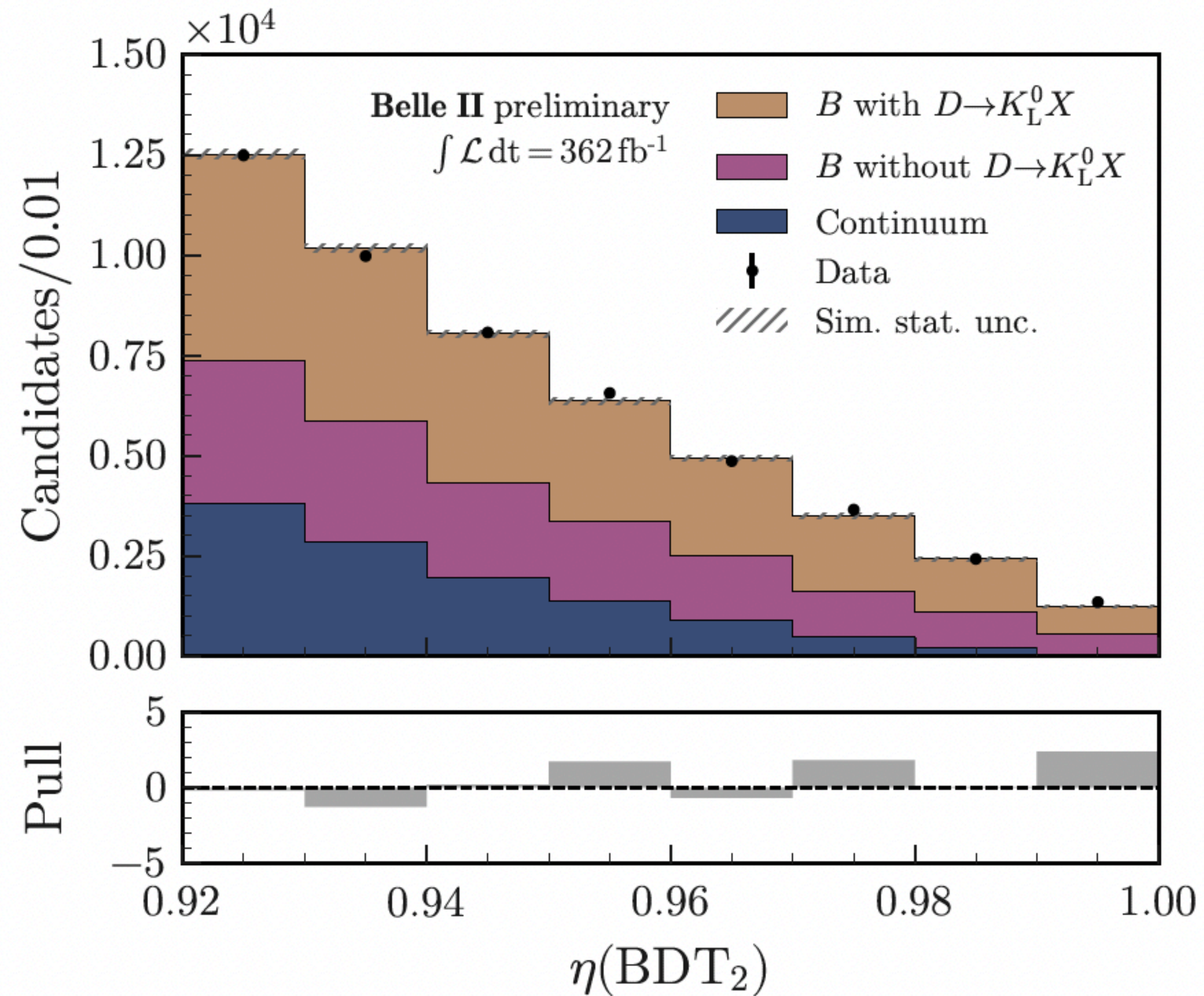
# Hadronic $B \rightarrow D^{(*)}K^+$ decays (I)

- Study **pion-enriched control sample** ( $B^+ \rightarrow \pi^+ X$ )
- Observed data excess in  $q^2_{\text{rec}}$  distribution above D threshold
  - $D^0 \rightarrow K^0/\bar{K}^0 X$  and  $D^0 \rightarrow K^0 \bar{K}^0 X$  simulated by EVTGEN have significant uncertainties
- Excess fixed by increasing  **$B \rightarrow D \rightarrow K_L$  component** by +30%
  - derived from 3-component fit to  $q^2_{\text{rec}}$
- Procedure successfully validated on **electron- and muon-enriched control samples** 
- **10% systematic uncertainties** to cover differences in scaling factor from the different sidebands




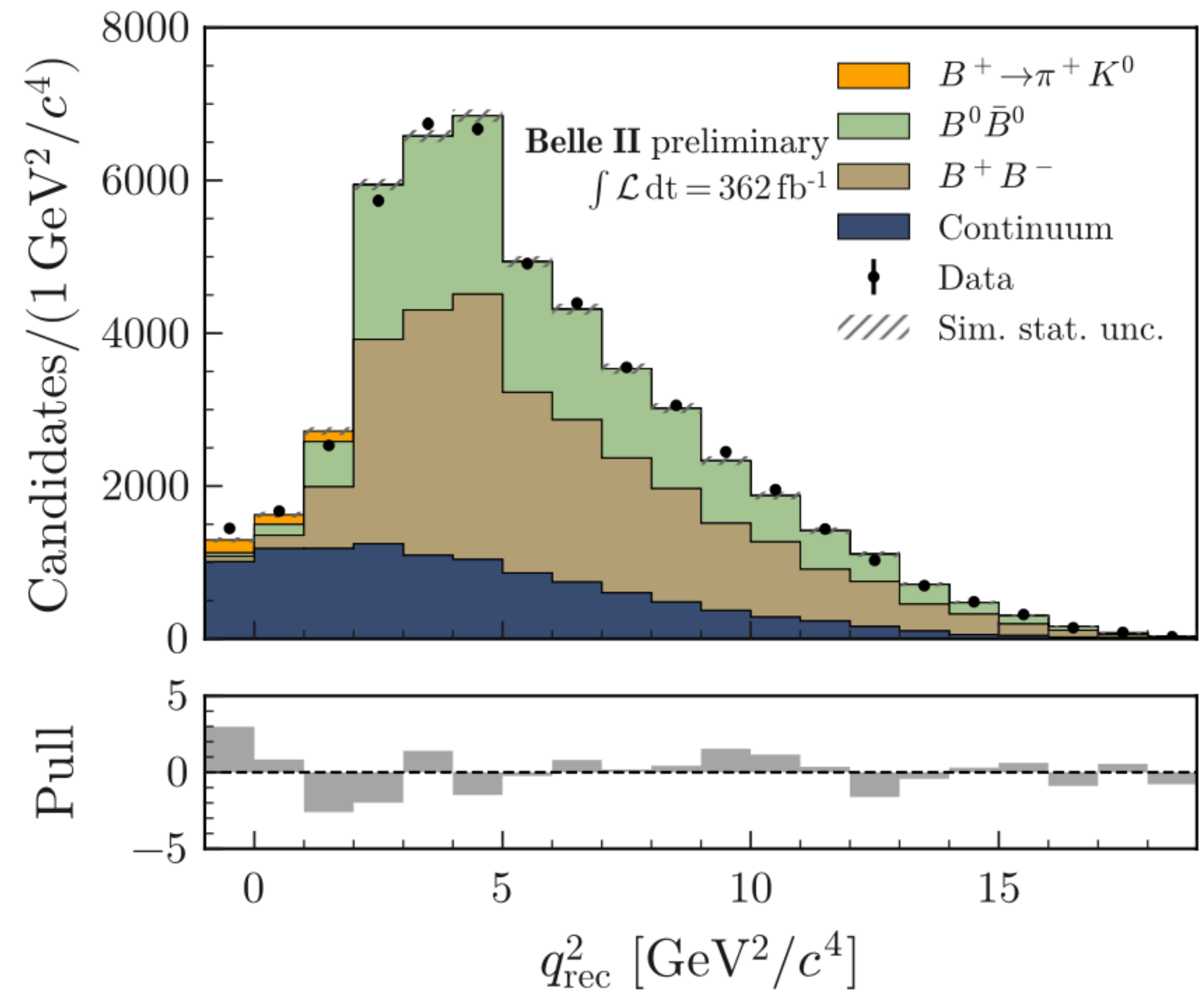
# Hadronic $B \rightarrow D^{(*)}K^+$ decays (II)

Classifier output for pion-enriched sample well reproduced when incorporating  $B \rightarrow D \rightarrow K_L$  scale factor



# Closure test: measuring a known and rare mode

- Measure  $B^+ \rightarrow \pi^+ K^0$  branching fraction by minimally adapting inclusive analysis strategy, e.g.
  - request pion-ID instead of K-ID
  - different  $q^2_{\text{rec}}$  bins to increase sensitivity
- Result:  $\text{BF}(B^+ \rightarrow \pi^+ K^0) = (2.5 \pm 0.5) \times 10^{-5}$    
**consistent with PDG** [  $(2.38 \pm 0.08) \times 10^{-5}$  ]



# Systematics

Source	Uncertainty size	Impact on $\sigma_\mu$
Normalization of $B\bar{B}$ background	50%	0.88
Normalization of continuum background	50%	0.10
Leading $B$ -decay branching fractions	$O(1\%)$	0.22
Branching fraction for $B^+ \rightarrow K^+ K_L^0 K_L^0$	20%	0.49
p-wave component for $B^+ \rightarrow K^+ K_S^0 K_L^0$	30%	0.02
Branching fraction for $B \rightarrow D^{**}$	50%	0.42
Branching fraction for $B^+ \rightarrow n\bar{n}K^+$	100%	0.20
Branching fraction for $D \rightarrow K_L^0 X$	10%	0.14
Continuum-background modeling, BDT <sub>c</sub>	100% of correction	0.01
Integrated luminosity	1%	< 0.01
Number of $B\bar{B}$	1.5%	0.02
Off-resonance sample normalization	5%	0.05
Track-finding efficiency	0.3%	0.20
Signal-kaon PID	$O(1\%)$	0.07
Photon energy	0.5%	0.08
Hadronic energy	10%	0.36
$K_L^0$ efficiency in ECL	8%	0.21
Signal SM form-factors	$O(1\%)$	0.02
Global signal efficiency	3%	0.03
Simulated-sample size	$O(1\%)$	0.52

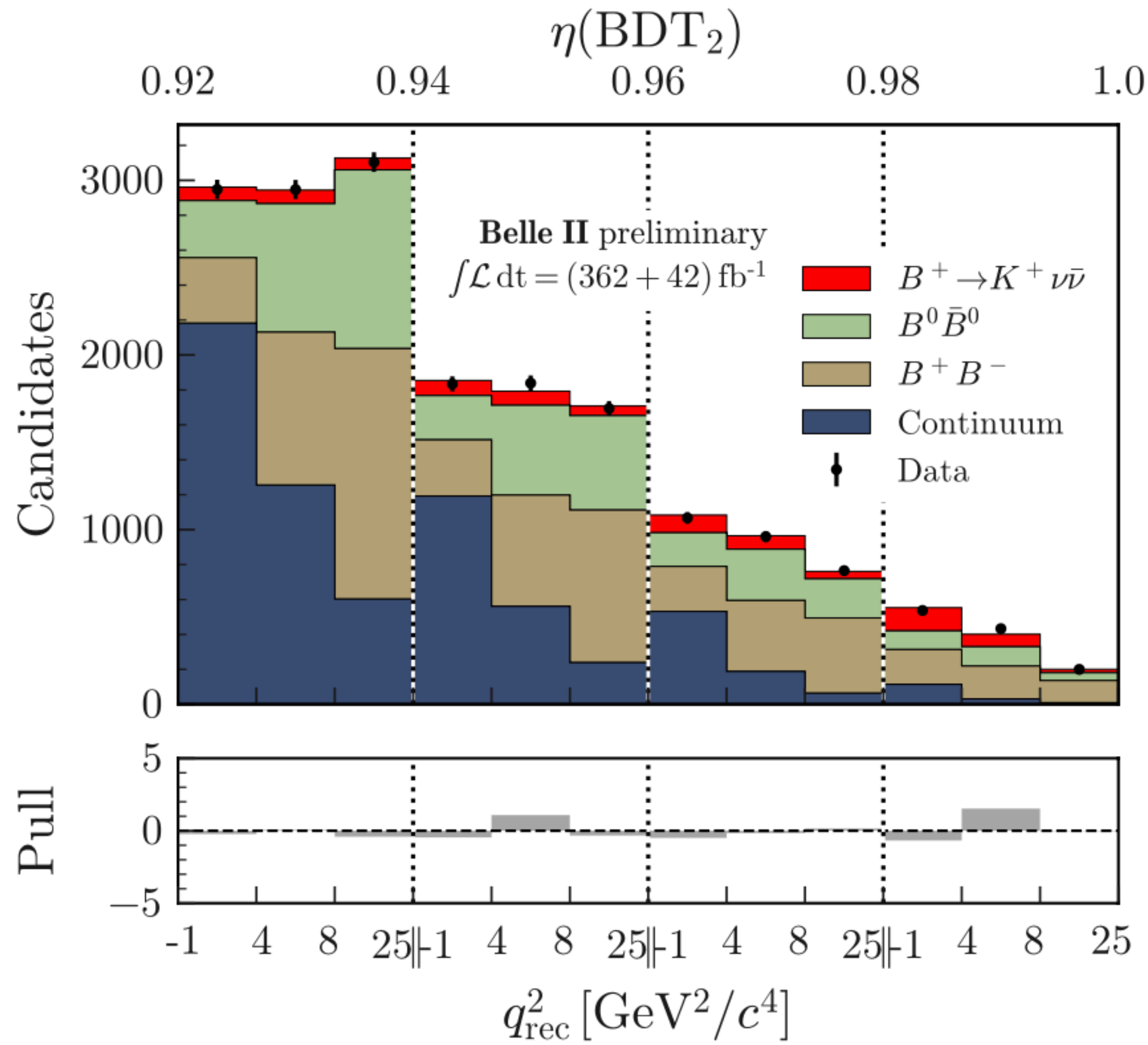
spoiler: statistical uncertainty = 1.1

- Dominant sources of systematic uncertainties for **ITA** :
  - **$B\bar{B}$  background normalisation**
  - Limited **size of simulation sample** for the fit model
  - knowledge of  **$B^+ \rightarrow K^+ K_L K_L$  decay rate** and **modelling of  $B^+ \rightarrow D^{**} \ell \nu$  decays**
- In **HTA**, dominant sources are background normalisation, simulation sample size, and systematic on mis-modelling of extra-photon multiplicity.

# Results: ITA

$$\mu = B_{\text{measured}}/B_{\text{SM,short-distance}}$$

with  $B_{\text{SM,short-distance}} = 4.97 \times 10^{-6}$



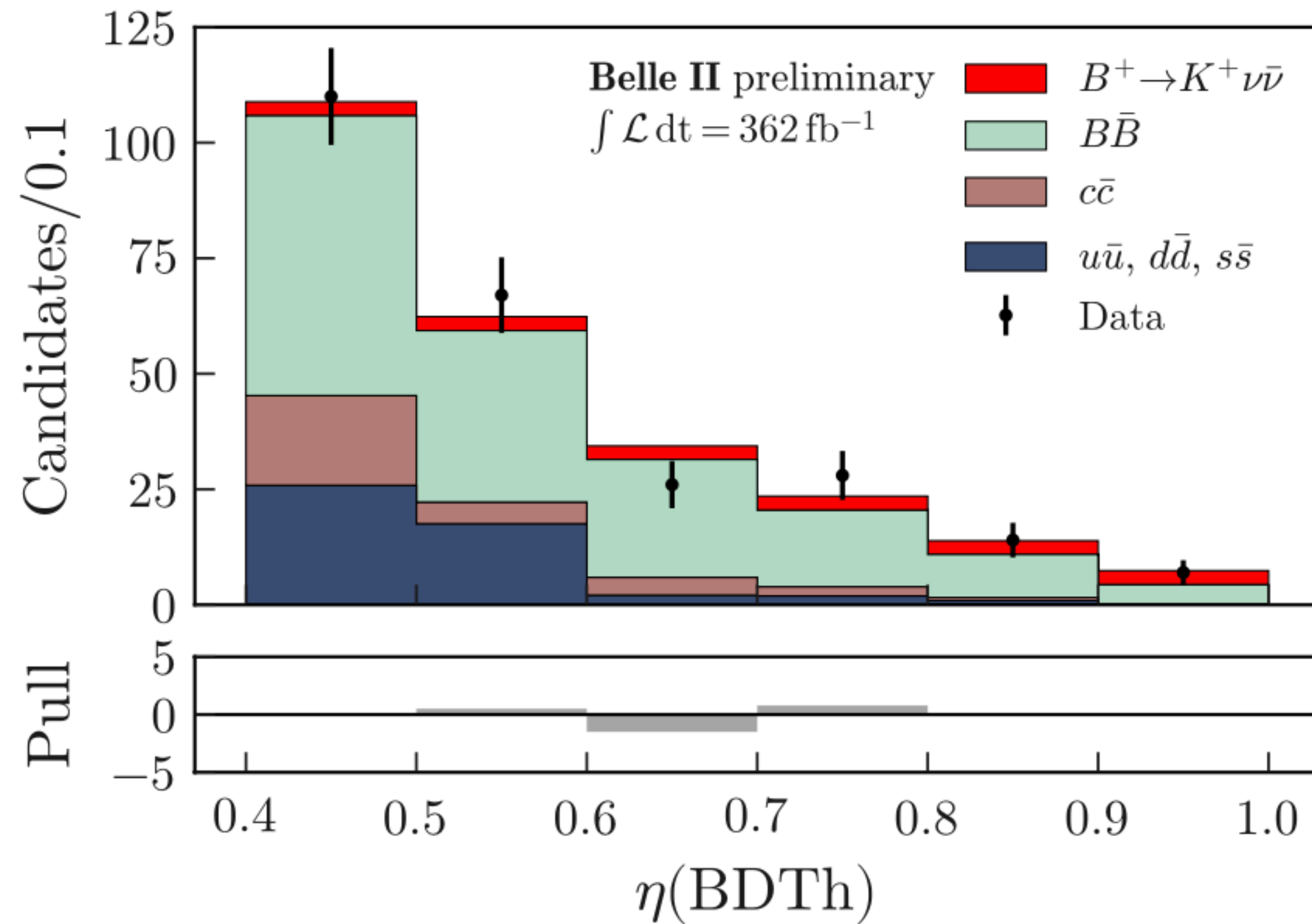
$$\mu = 5.6 \pm 1.1(\text{stat})_{-0.9}^{+1.1}(\text{syst})$$

- $3.6\sigma$  significance wrt background-only hypothesis
- $3.0\sigma$  deviation from SM
- Compatibility between data and fit result from pseudo-experiments: 47%

# Results: HTA

$$\mu = B_{\text{measured}}/B_{\text{SM,short-distance}}$$

with  $B_{\text{SM,short-distance}} = 4.97 \times 10^{-6}$



$$\mu = 2.2 \pm 2.3(\text{stat})^{+1.6}_{-0.7}(\text{syst})$$

- 1.1 $\sigma$  significance wrt background-only hypothesis
- 0.6 $\sigma$  deviation from SM
- Compatibility between data and fit result from pseudo-experiments: 61%



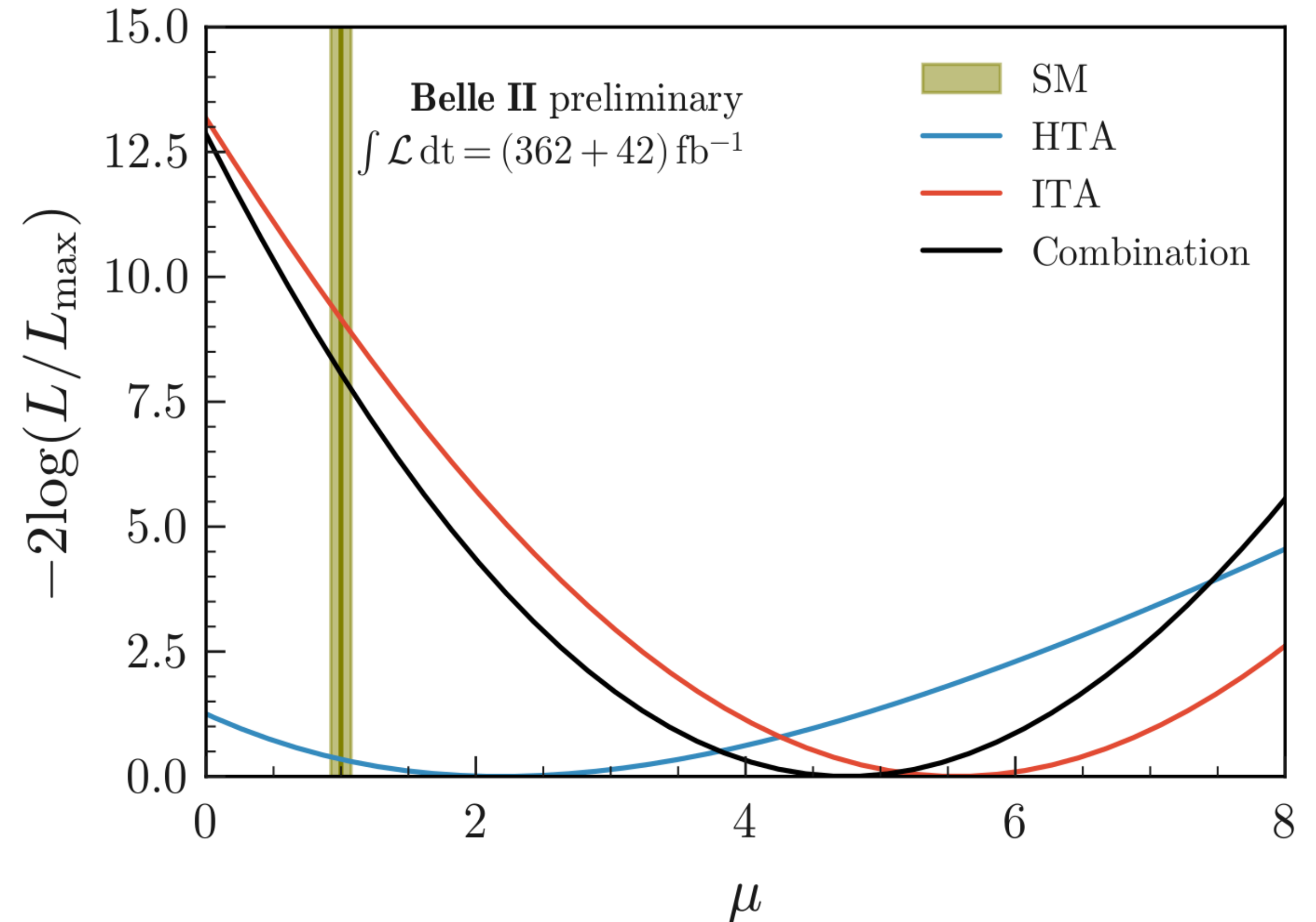
# Combination

- ITA and HTA results **consistent at  $1.2\sigma$  level**
- Remove common events from ITA sample ( $\sim 2\%$  of the total)
- Combine results taking into account common correlated uncertainties:

$$\mu = 4.7 \pm 1.0(\text{stat}) \pm 0.9(\text{syst})$$
$$\mathcal{B}(B^+ \rightarrow K^+ \nu \bar{\nu}) = [2.4 \pm 0.5(\text{stat})_{-0.4}^{+0.5}(\text{syst})] \times 10^{-5}$$

(10% improvement in precision wrt ITA only)

- $3.6\sigma$  significance wrt null hypothesis
- $2.8\sigma$  above SM expectation

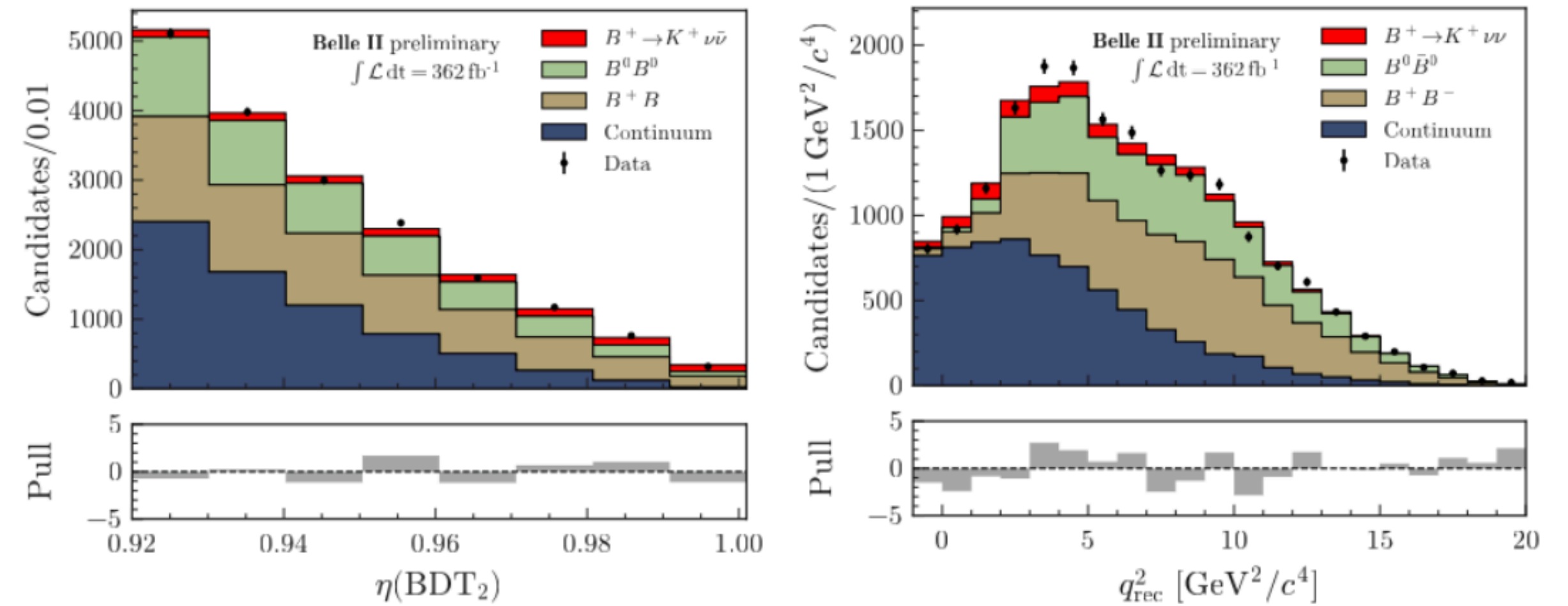


**First evidence of  $B^+ \rightarrow K^+ \nu \bar{\nu}$**

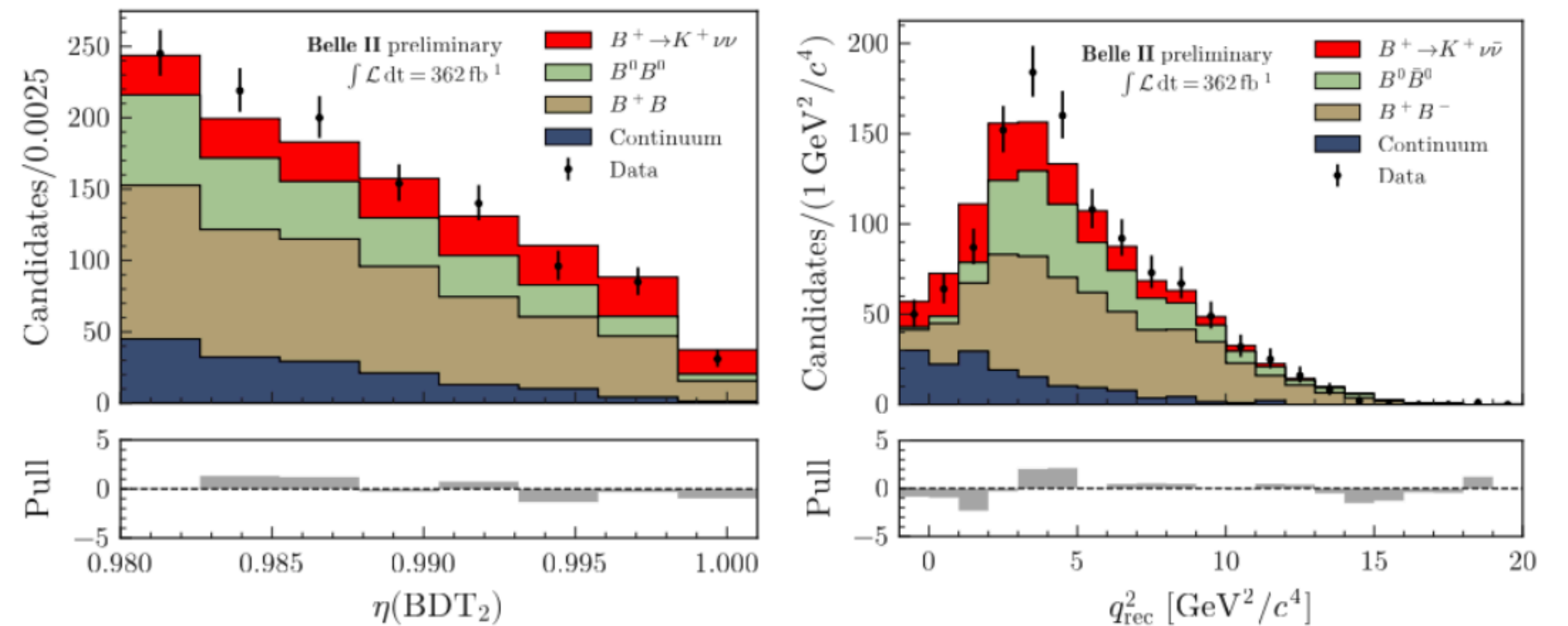
# Post fit distributions (ITA)

- Good description of classifier output
- Some difference in  $q^2_{\text{rec}}$ : not conclusive due to coarse binning choice, dictated from experimental resolution

Signal region:  $\eta(\text{BDT}_2) > 0.92$

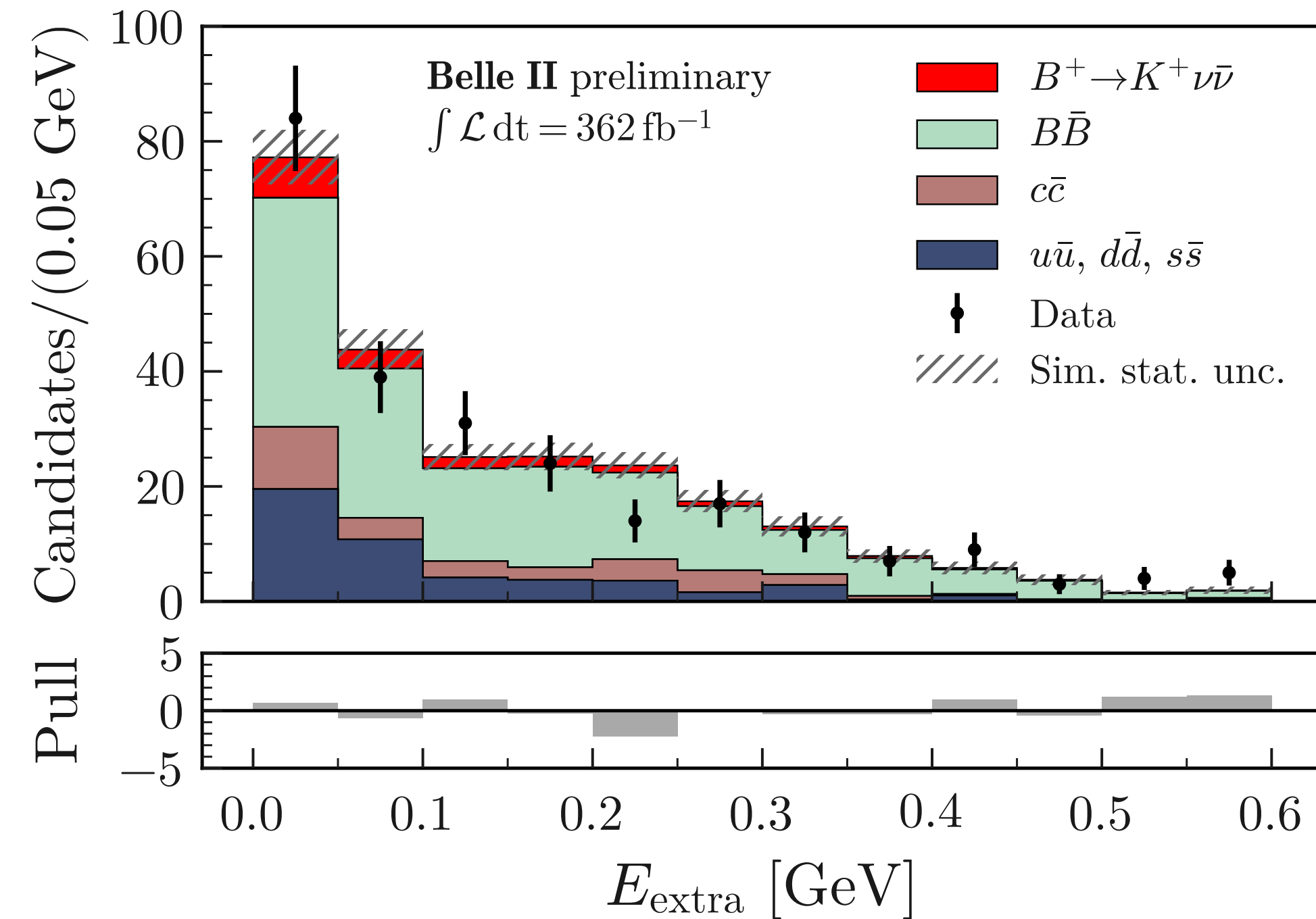
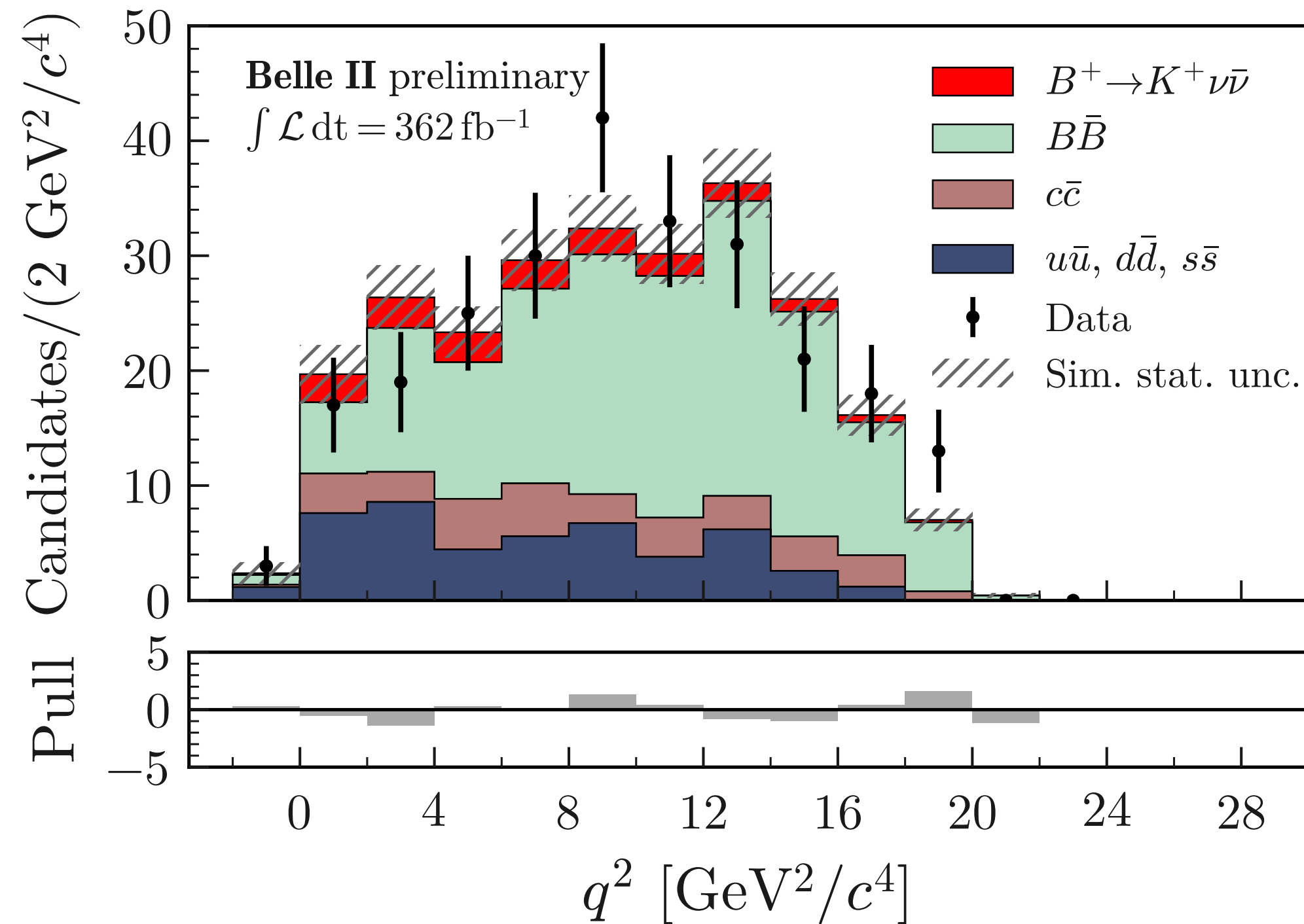


Most sensitive region:  $\eta(\text{BDT}_2) > 0.98$



# Post fit distributions (HTA)

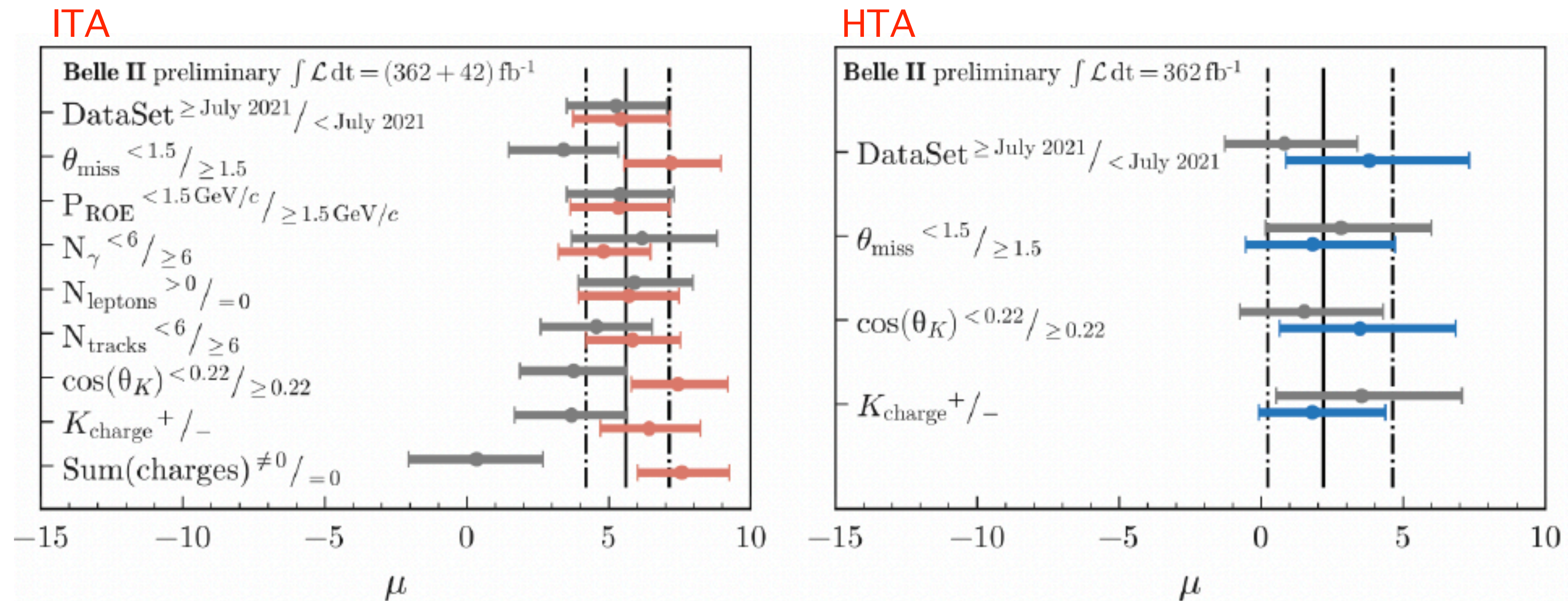
Signal region:  $\eta(\text{BDTH}) > 0.6$



Good description of  $q^2$  and extra neutral energy in the calorimeter (most discriminant variable)

# Consistency checks: one example

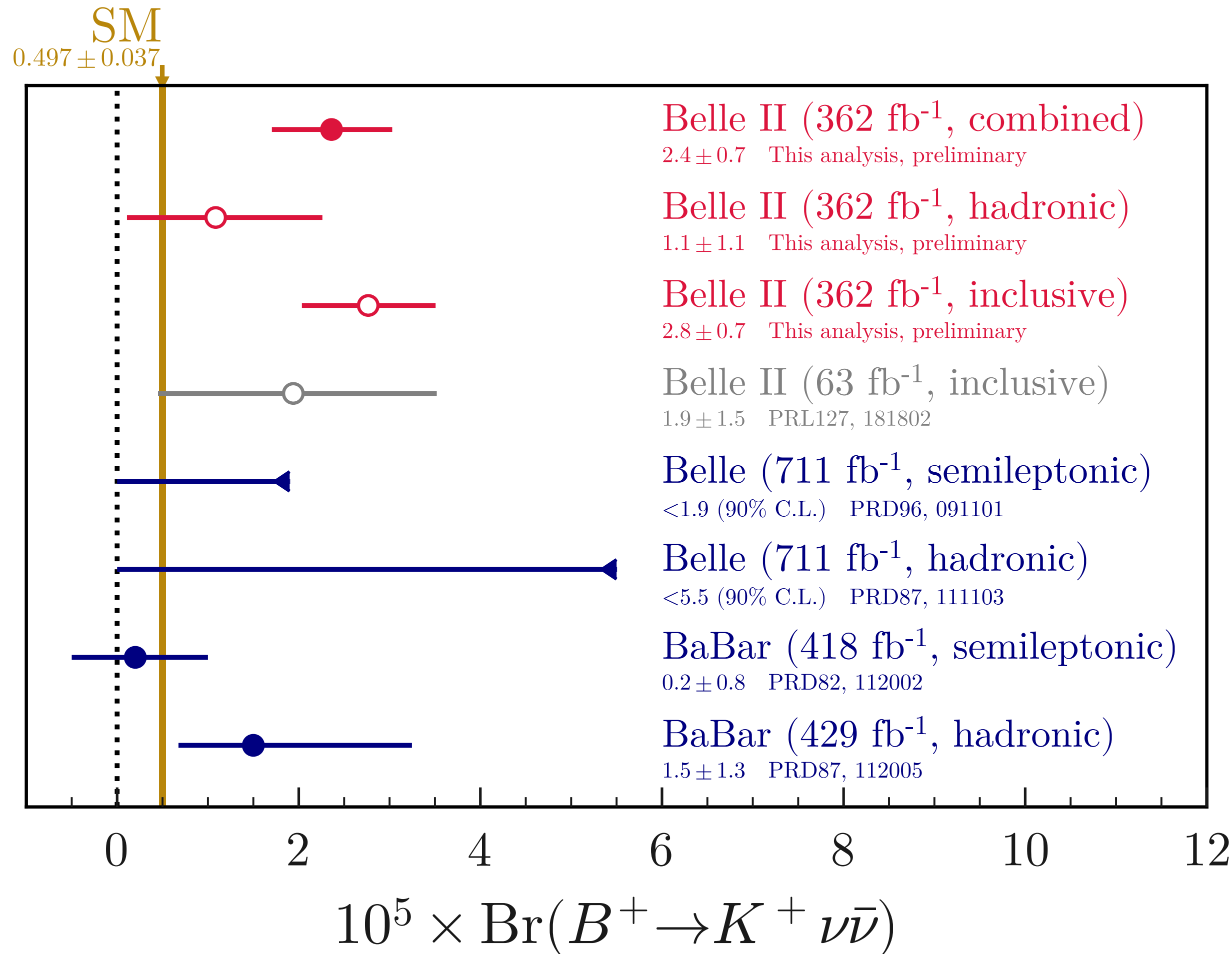
Divide data sample into pairs of statistically independent datasets, according to various features



Good stability for all splittings for both analyses

- Excellent agreement when splitting ITA sample according to **lepton multiplicity** (probing “semileptonic tag” vs “hadronic tag”)
- Tension in **“Sum(charges)”** for ITA consistent with statistical fluctuation

# Comparison with previous measurements



- **ITA** result:
  - in agreement with previous hadronic-tag and inclusive measurements
  - $2.4\sigma$  tension with BaBar semileptonic-tag analysis
  - comparable precision wrt previous best measurements
- **HTA** result:
  - in agreement with all previous measurements
  - most precise result with hadronic tag method
- **Overall good compatibility:** p-value  $\sim 30\%$

# Conclusions

- **Belle II at SuperKeKB**: rich and diversified physics program to probe new physics in an indirect way
- 362 fb<sup>-1</sup> collected at  $\Upsilon(4S)$  resonance corresponding to about **390M B $\bar{B}$  pairs**
- Few highlights presented today, using full or partial dataset
  - results are **world leading**, despite the lower statistics with respect to first generation B factories, or **unique to e<sup>+</sup>e<sup>-</sup> experiments**
  - **first evidence of B<sup>+</sup>→K<sup>+</sup> $\nu\bar{\nu}$ , 2.8 $\sigma$  above the SM prediction**
- Data taking to resume early in 2024 – target instantaneous luminosity of 10<sup>35</sup> cm<sup>-2</sup>s<sup>-1</sup>

# Extra-slides

# KeKB vs SuperKeKB

	KEKB		SuperKEKB		SuperKEKB		SuperKEKB	
	Achieved		2020 May 1st		2022 June 22nd		Design	
	LER	HER	LER	HER	LER	HER	LER	HER
$I_{\text{beam}} [\text{A}]$	1.637	1.188	0.438	0.517	1.363	1.118	3.6	2.6
# of bunches	1585		783		2249		2500	
$I_{\text{bunch}} [\text{mA}]$	1.033	0.7495	0.5593	0.6603	0.606	0.497	1.440	1.040
$\beta_y^* [\text{mm}]$	5.9	5.9	1.0	1.0	1.0	1.0	0.27	0.30
$\xi_y$	0.129 <sup>a)</sup>	0.090 <sup>a)</sup>	0.0236 <sup>b)</sup>	0.0219 <sup>b)</sup>	0.0398 <sup>b)</sup>	0.0278 <sup>b)</sup>	0.0881 <sup>c)</sup>	0.0807 <sup>c)</sup>
	0.10 <sup>b)</sup>	0.060 <sup>b)</sup>			0.0565 <sup>d)</sup>	0.0434 <sup>d)</sup>	0.069 <sup>b)</sup>	0.061 <sup>b)</sup>
$\mathcal{L} [10^{34} \text{cm}^{-2} \text{s}^{-1}]$	2.11		1.57		4.71		80	
$\int \mathcal{L} dt [\text{ab}^{-1}]$	1.04		0.03		0.424		50	



# Long shut-down 1 activities

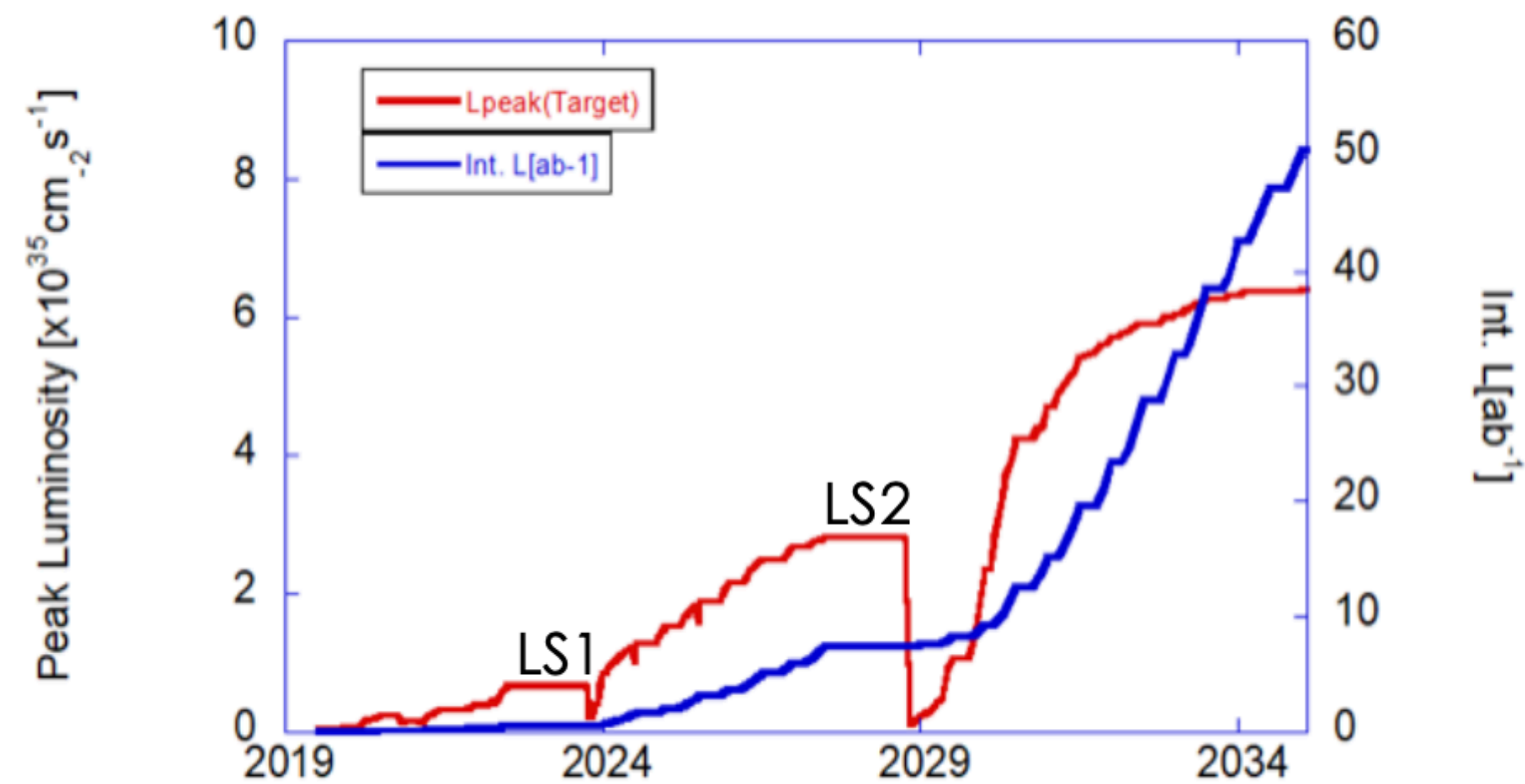
Belle II stopped taking data in Summer 2022 for a long shutdown

- replacement of beam-pipe
- replacement of photomultipliers of the central PID detector (TOP)
- installation of 2-layered pixel vertex detector
- improved data-quality monitoring and alarm system
- completed transition to new DAQ boards (PCIe40)
- accelerator improvements: injection, non-linear collimators, monitoring
- replacement of aging components
- additional shielding and increased resilience against beam bckg

# Belle II upgrade program

C. Marinas

SuperKEKB **peak** & **integrated** luminosity vs time



LS1 (2022): Actual detector consolidation

LS2 (2027): IR and detector upgrades

→ Currently: CDR preparation

Path to the future:

1) Improve machine performance and stability  
Beam blowup, lifetime, injection power, beam losses

2) Reduce detector backgrounds  
Single beam, injection and luminosity backgrounds

3) LS1 Detector consolidation toward  $2 \times 10^{35} \text{ cm}^{-2} \text{ s}^{-1}$   
Installation of more robust components

4) LS2 Detector upgrade toward  $6 \times 10^{35} \text{ cm}^{-2} \text{ s}^{-1}$   
Including a redesign of the interaction region

→ More performant detector and robust against machine-induced backgrounds

# The B factory way (II)

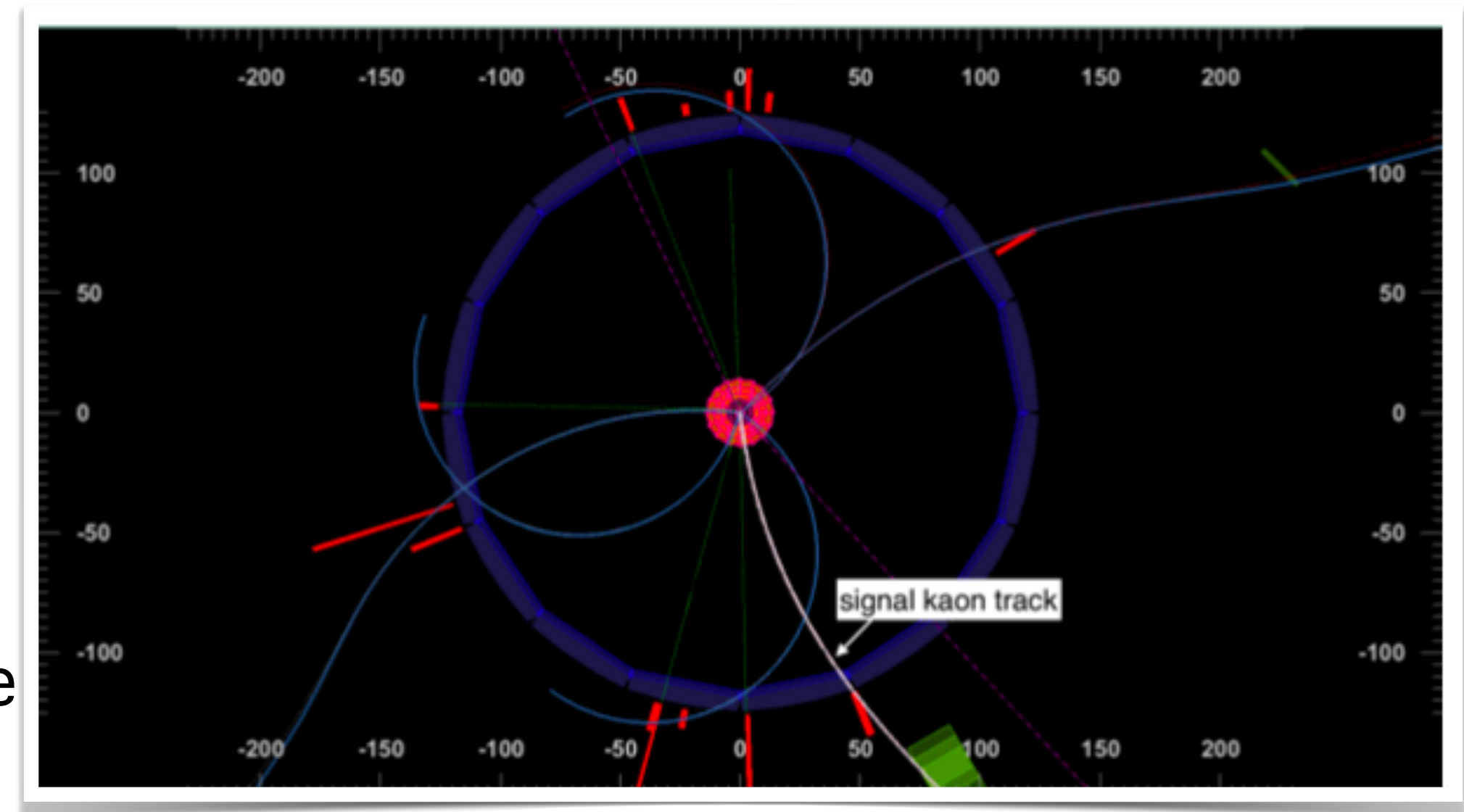
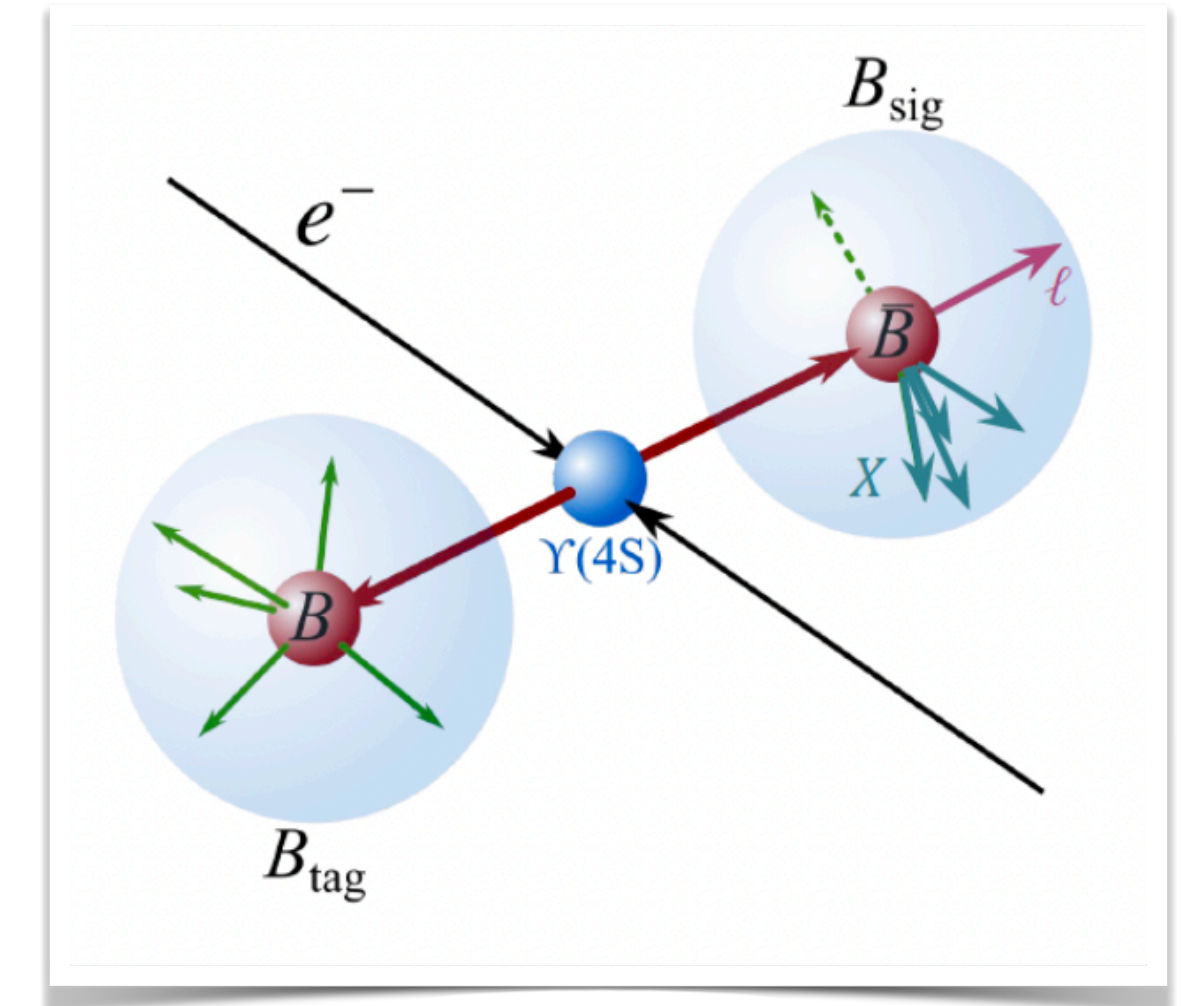
With respect to hadronic machines:



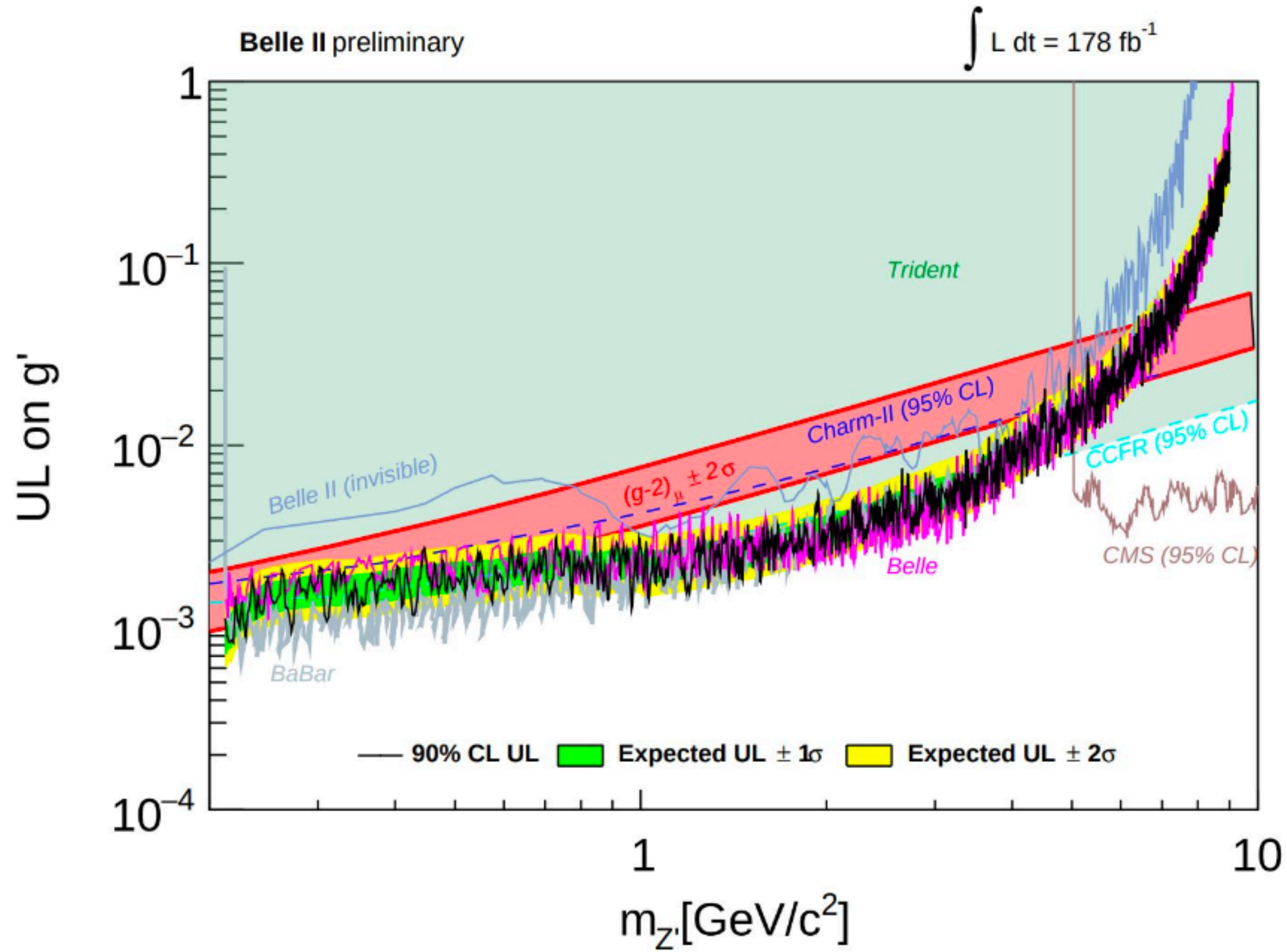
- low average multiplicity - neutral reconstruction
- constrained kinematics (and hermetic detector) - good missing momentum reconstruction
- correlated  $B\bar{B}^0$  - high flavour-tagging efficiency
- open trigger - 100% efficient for almost all B decays



- cross section - 150,000 times smaller
- no  $B_s$ ,  $B_c$ , or  $\Lambda_b$  produced - can run at  $\Upsilon(5S)$  for  $B_s$
- no boost in the c.m. frame - partially overcome by the asymmetric beams



$$Z' \rightarrow \mu\mu$$



[“Belle II (invisible)”]:  $Z'$  search assuming  $Z'$  decays to SM particles only]

# $\tau$ mass measurement: systematics (I)

- Historically, the systematics have been dominated by:

- momentum scale of the tracks
- beam energy scale

$$M_{\min} = \sqrt{M_{3\pi}^2 + 2\left(\frac{\sqrt{s}}{2} - E_{3\pi}^*\right)(E_{3\pi}^* - P_{3\pi}^*)}$$

Belle (414 fb<sup>-1</sup>) [arXiv:hep-ex/0608046](https://arxiv.org/abs/hep-ex/0608046)

TABLE I: Summary of systematic uncertainties

Source of systematics	$\sigma$ , MeV/c <sup>2</sup>
Beam energy and tracking system	0.26
Edge parameterization	0.18
Limited MC statistics	0.14
Fit range	0.04
Momentum resolution	0.02
Model of $\tau \rightarrow 3\pi\nu_\tau$	0.02
Background	0.01
Total	0.35
stat:	0.13 MeV

BaBar (423 fb<sup>-1</sup>) [arXiv:0909.3562](https://arxiv.org/abs/0909.3562)

TABLE VII: Systematic uncertainties in  $M_\tau$ .

Source	Uncertainty (MeV)
Momentum Reconstruction	0.39
CM Energy	0.09
MC Modeling	0.05
MC Statistics	0.05
Fit Range	0.05
Parameterization	0.03
<b>Total</b>	<b>0.41</b>
stat:	0.12 MeV

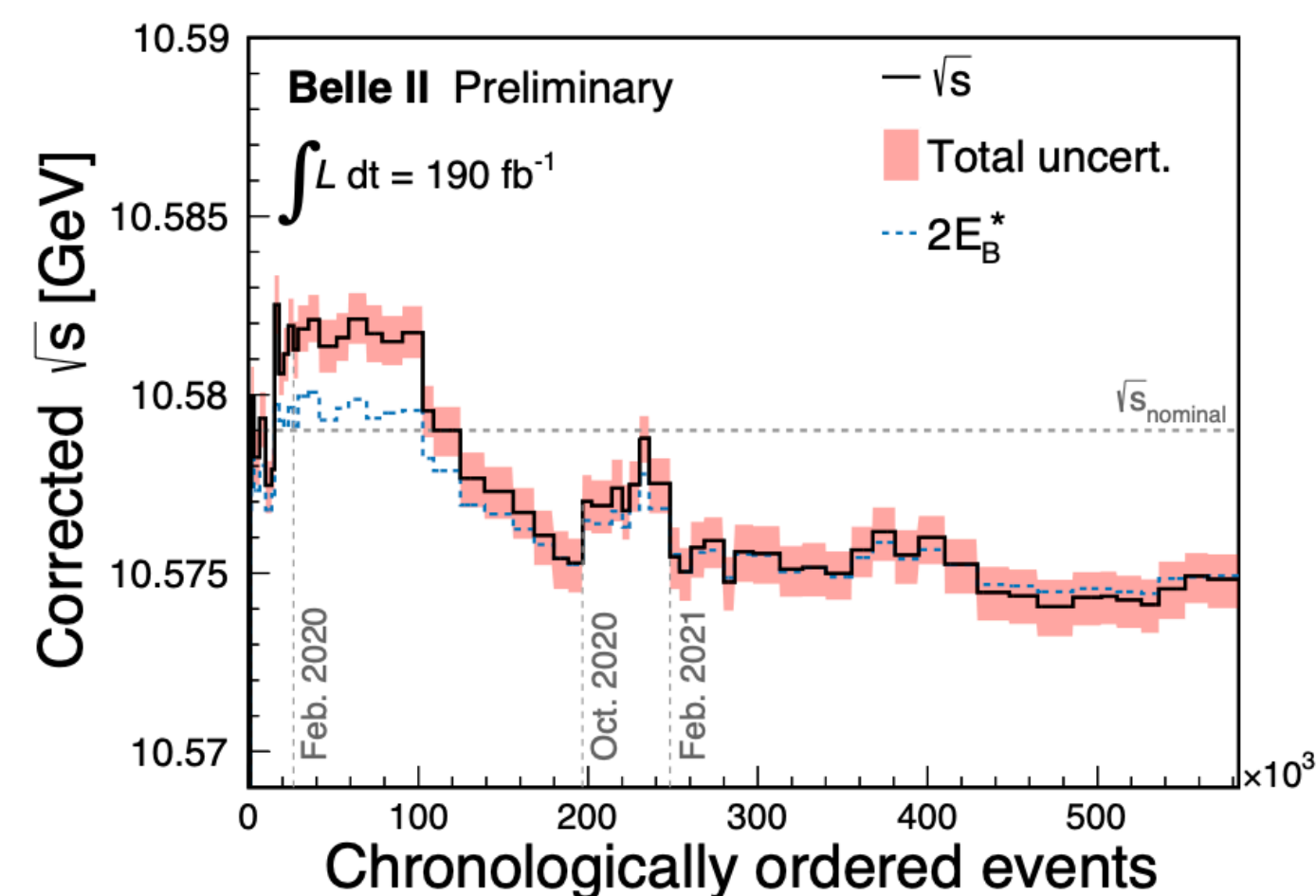
⇒ **Challenge for Belle II:** improve the understanding of these effects and squeeze the systematics! (also... only 190/fb used here!)

Belle II

Source	Uncertainty [MeV/c <sup>2</sup> ]
Knowledge of the colliding beams:	
Beam energy correction	0.07
Boost vector	≤ 0.01
Reconstruction of charged particles:	
Charged particle momentum correction	0.06
Detector misalignment	0.03
Fitting procedure:	
Estimator bias	0.03
Choice of the fit function	0.02
Mass dependence of the bias	≤ 0.01
Imperfections of the simulation:	
Detector material budget	0.03
Modeling of ISR and FSR	0.02
Momentum resolution	≤ 0.01
Neutral particle reconstruction efficiency	≤ 0.01
Tracking efficiency correction	≤ 0.01
Trigger efficiency	≤ 0.01
Background processes	≤ 0.01
Total	0.11

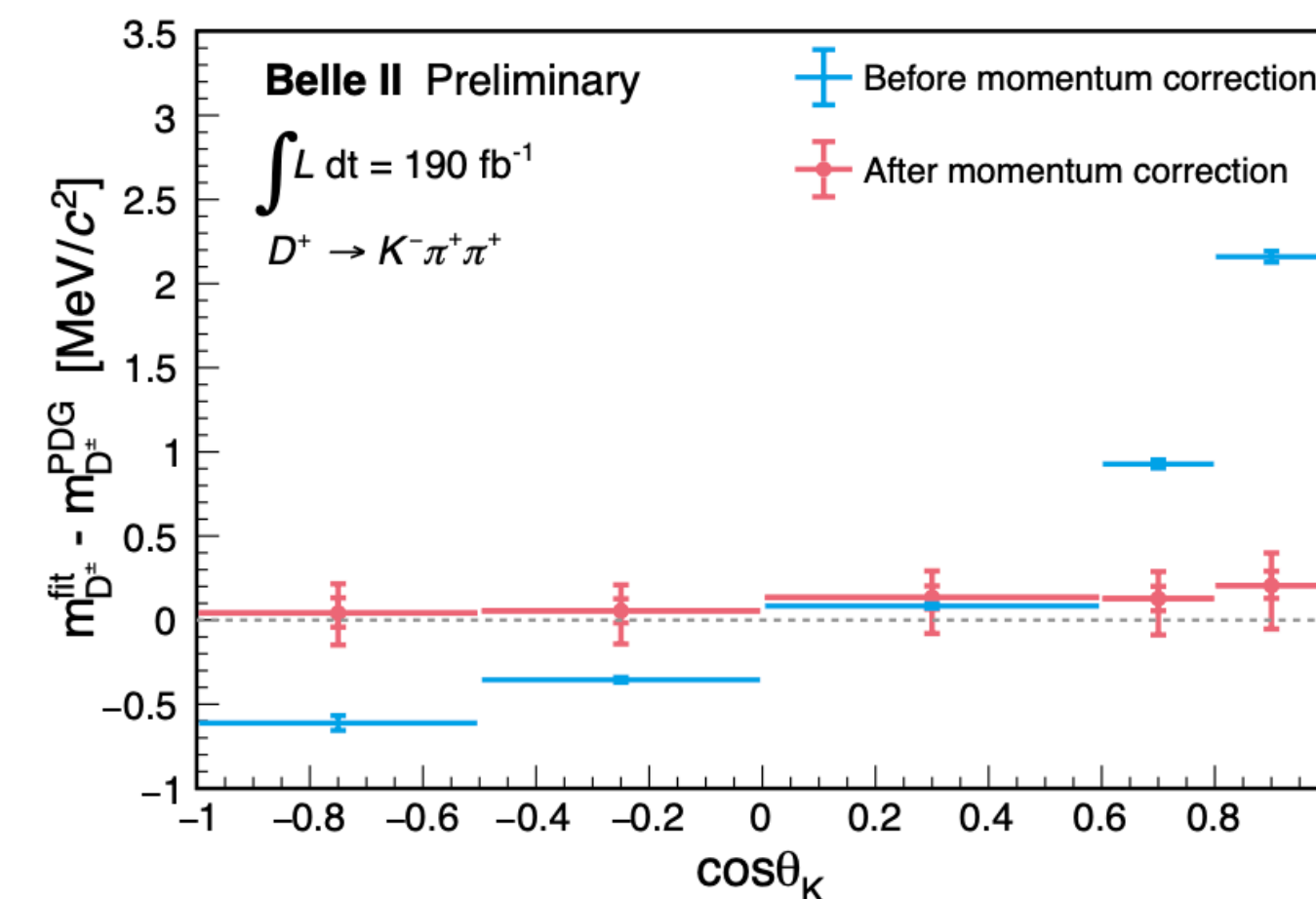
# $\tau$ mass measurement: systematics (II)

Belle II	
Source	Uncertainty [MeV/c <sup>2</sup> ]
Knowledge of the colliding beams:	
Beam energy correction	0.07
Boost vector	$\leq 0.01$
Reconstruction of charged particles:	
Charged particle momentum correction	0.06
Detector misalignment	0.03
Fitting procedure:	
Estimator bias	0.03
Choice of the fit function	0.02
Mass dependence of the bias	$\leq 0.01$
Imperfections of the simulation:	
Detector material budget	0.03
Modeling of ISR and FSR	0.02
Momentum resolution	$\leq 0.01$
Neutral particle reconstruction efficiency	$\leq 0.01$
Tracking efficiency correction	$\leq 0.01$
Trigger efficiency	$\leq 0.01$
Background processes	$\leq 0.01$
Total	0.11

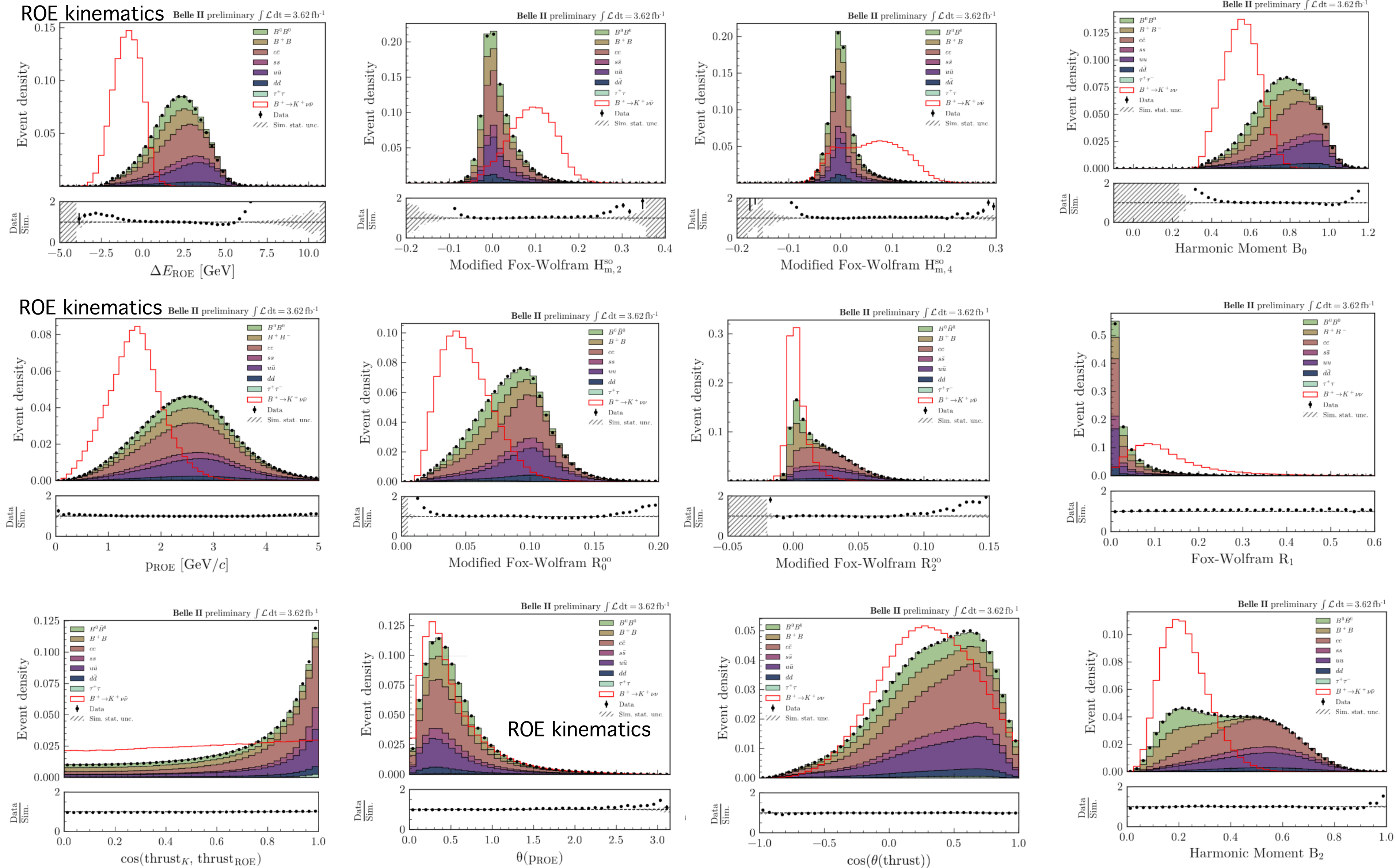


Use fully reconstructed B mesons to estimate time-dependent beam energy

Use D decays to estimate and validate pion momentum scale



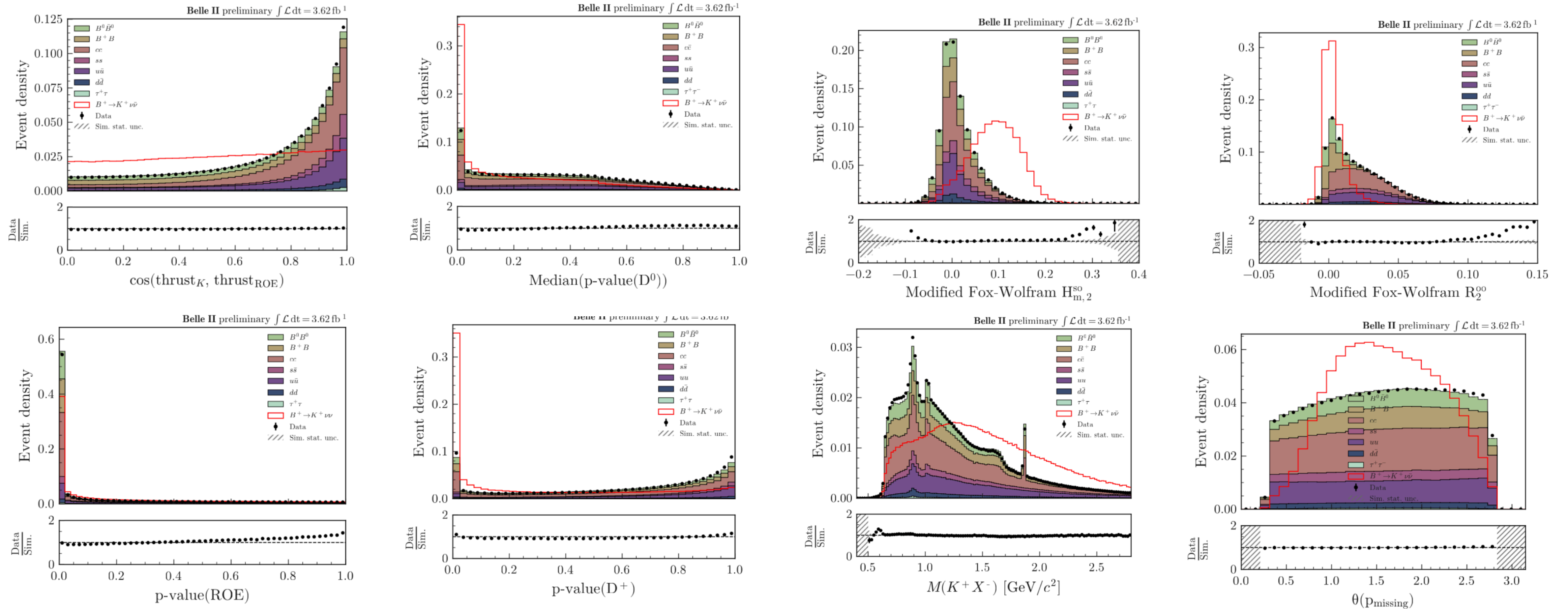
# BDT1: the 12 input variables



BDT1 input variables at pre-selection stage, 1% of the data

3 variables related to ROE kinematics, 9 to global event properties

# BDT2: the 8 most discriminant input variables

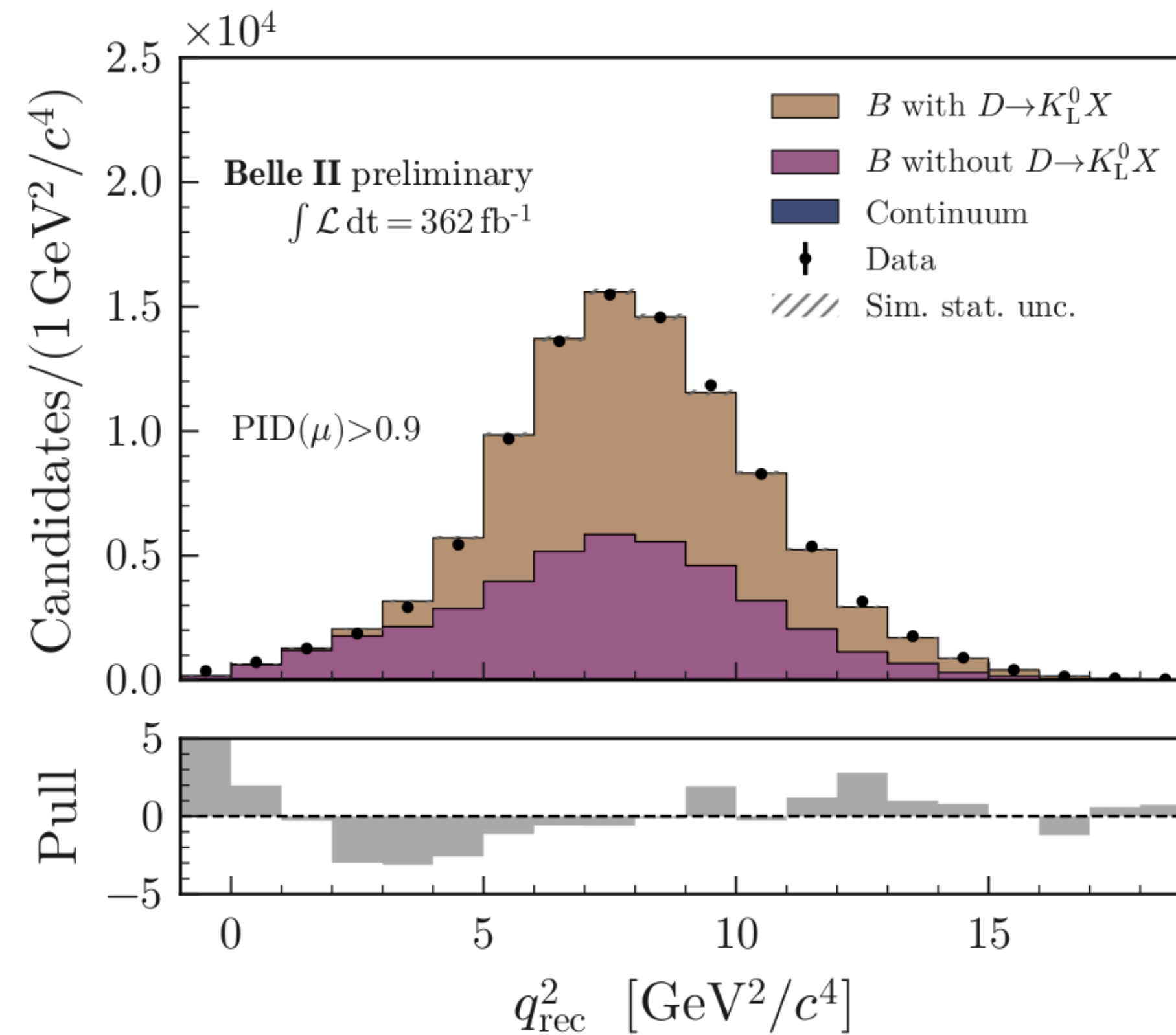
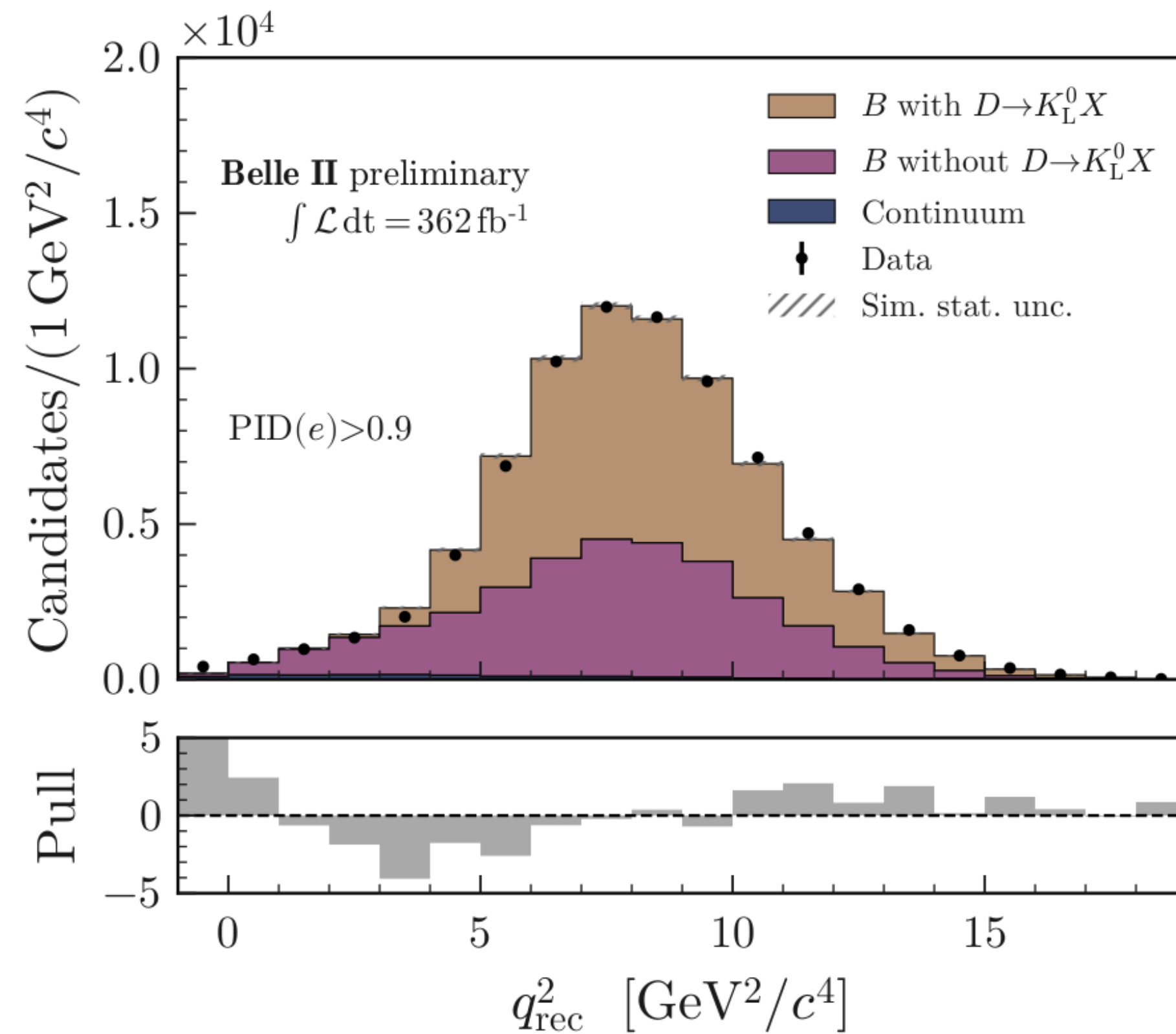


8 most discriminant BDT2 input variables, out of 35, at pre-selection stage, 1% of the data



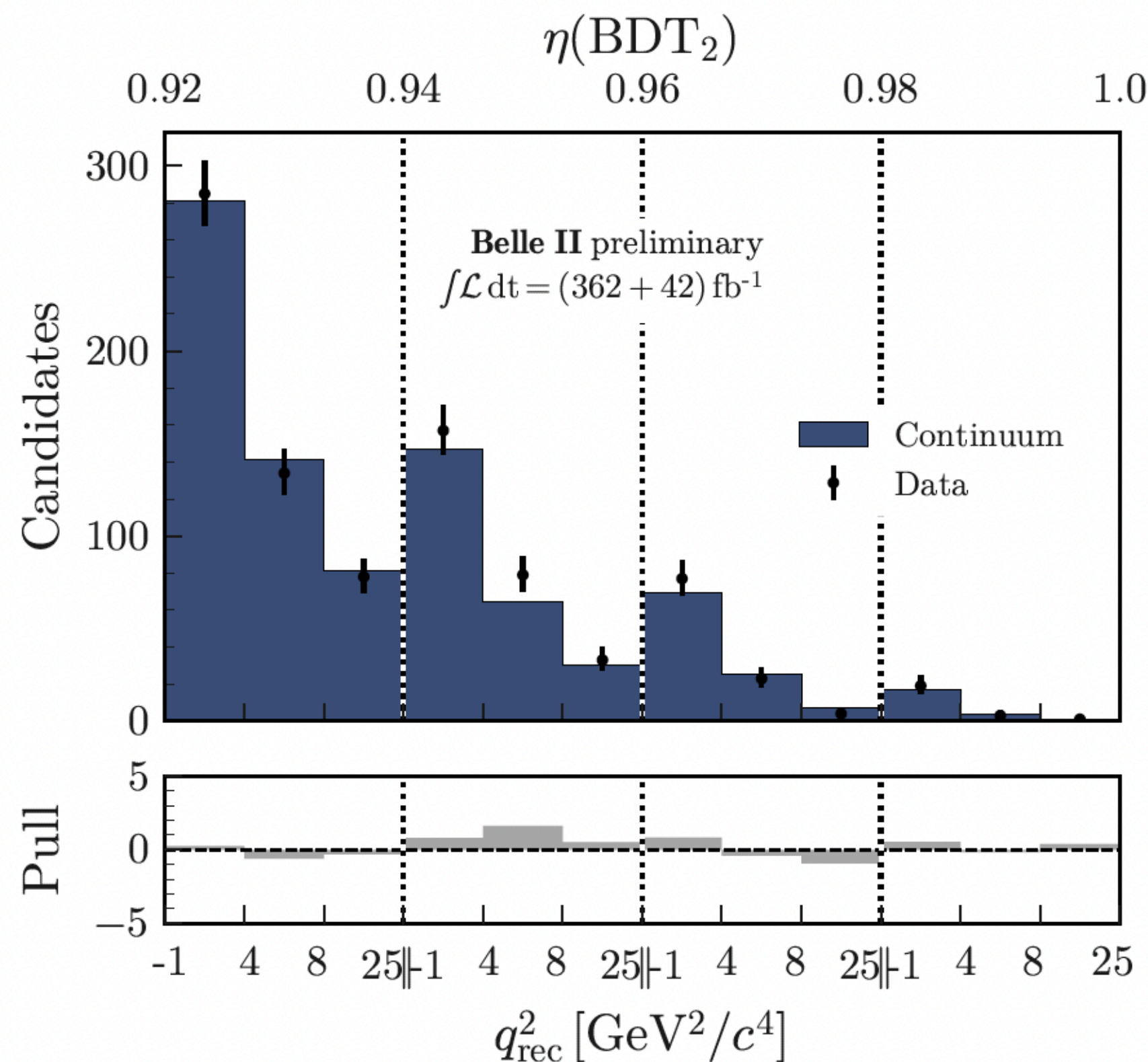
# Hadronic $B \rightarrow D^{(*)}K^+$ decays

- Result of 3-component  $q^2_{\text{rec}}$  fit to estimate scaling of  $B \rightarrow D \rightarrow K_L$  component in electron- and muon-enriched control sample to validate the procedure establish from the pion-enriched control sample study

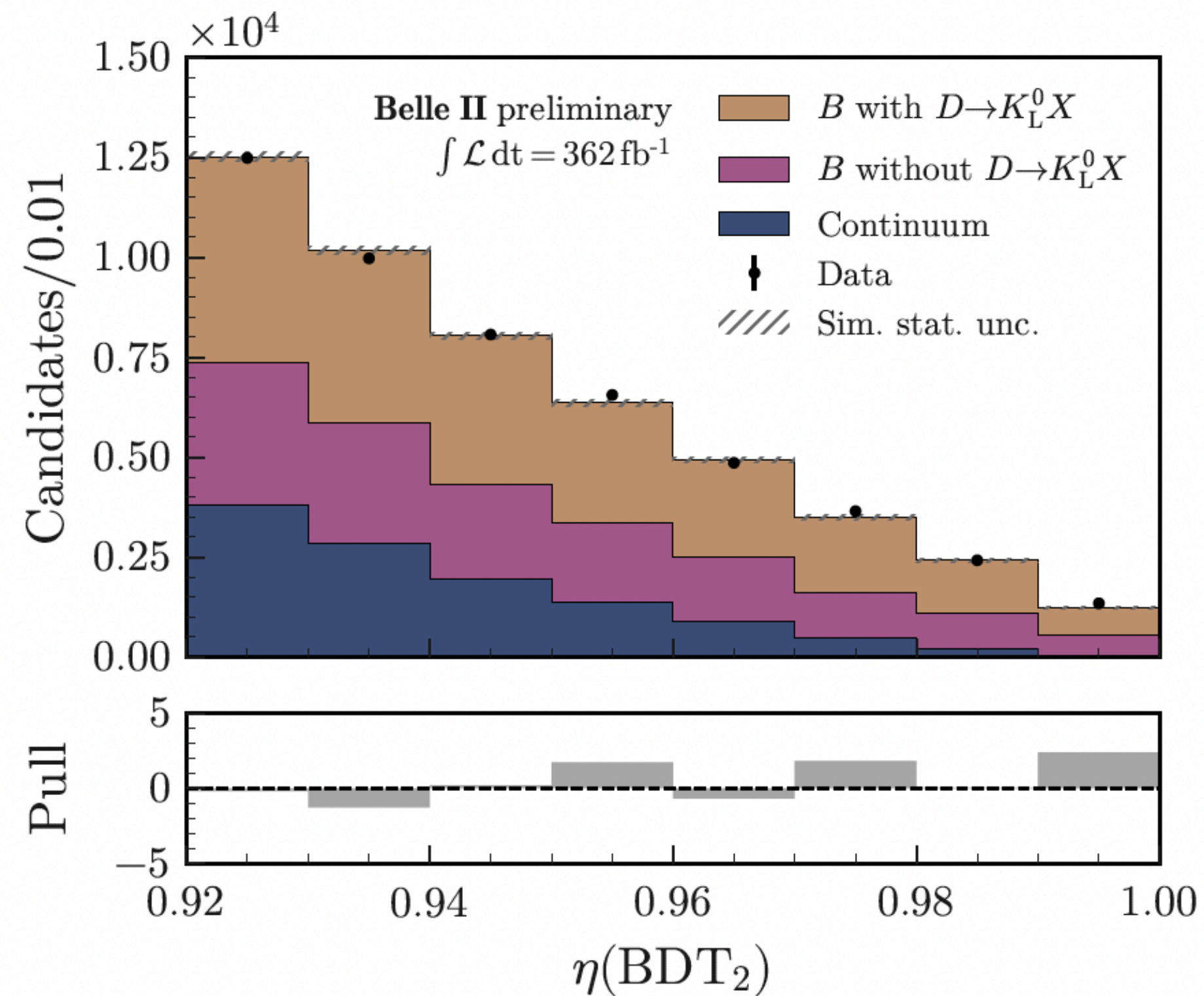


The scaling factors found in the three sidebands are within 10%  $\rightarrow$  considered a systematic uncertainty

# BDT2 output in control samples



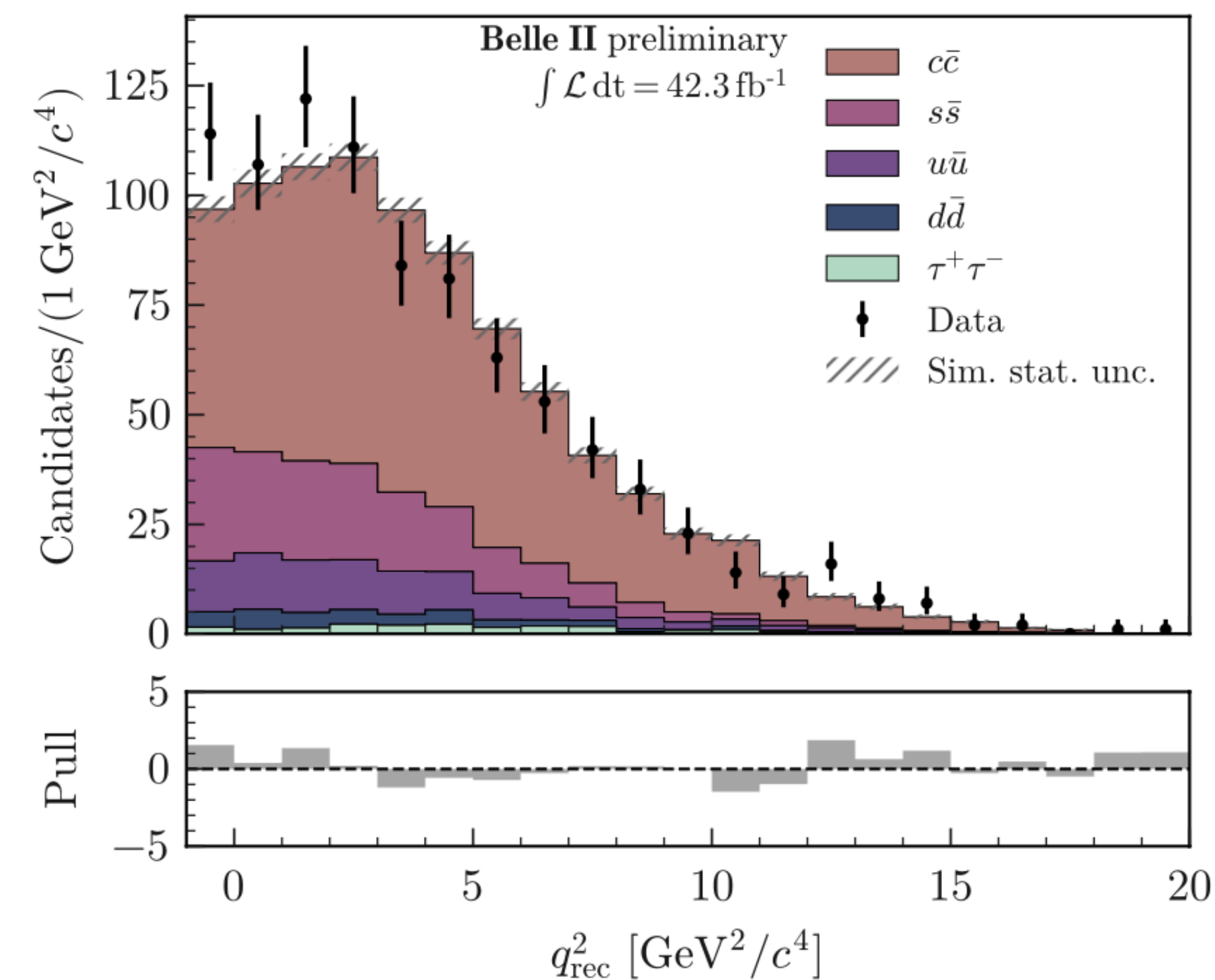
off-resonance data  
 simultaneously fitted with on-resonance data  
 in the signal strength extraction fit



classifier output for the pion-enriched  
 sample

# BDTc details

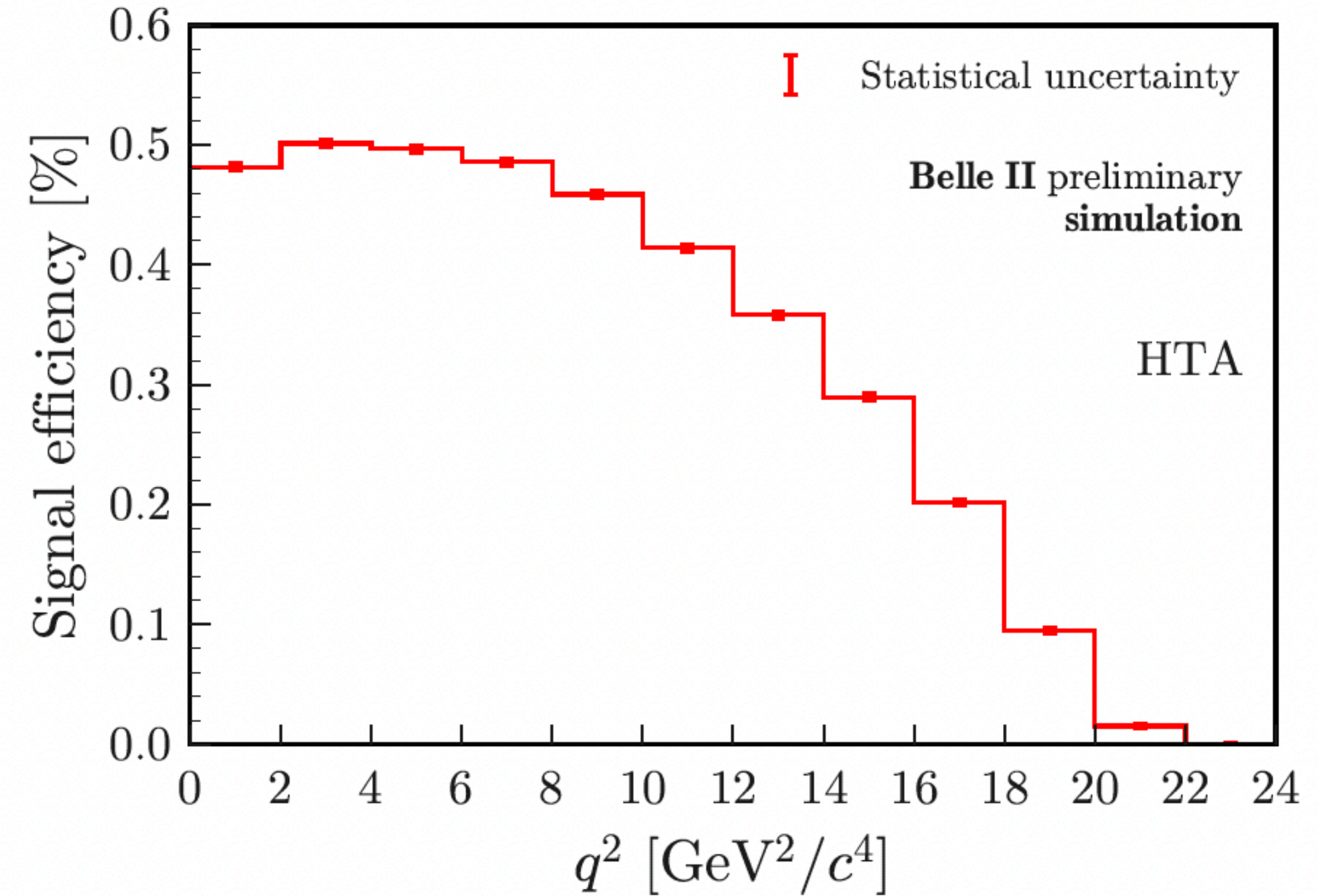
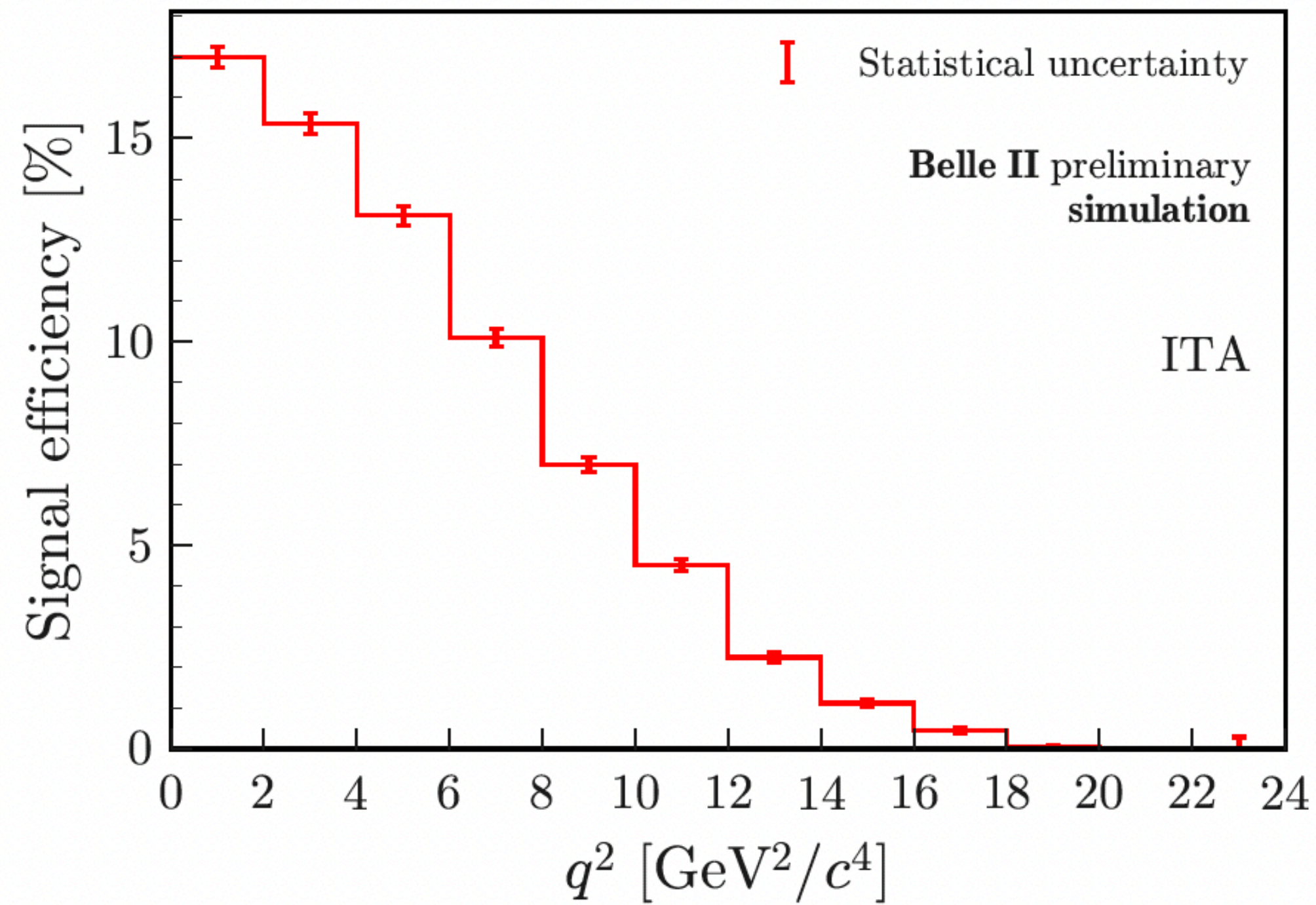
- In order to reduce mismodelling on the BDT1/2 input variables for the non-resonant events, a dedicated BDT is trained to separate off-resonance data and off-resonance simulation
  - Selection: nominal BDT1 cut, relaxed  $\eta$ (BDT2) cut to increase statistics
  - Inputs: all BDT2 inputs,  $q^2_{\text{rec}}$ , BDT2 output
  - Output:  $p \in [0,1]$
  - Event-by-event weight =  $p/(1 - p) \sim \mathcal{L}(\text{data})/\mathcal{L}(\text{simulation})$ , where  $\mathcal{L}(\text{data})$  ( $\mathcal{L}(\text{simulation})$ ) is the likelihood of the continuum event being from data (simulation)
  - weight  $\in [0.5 \text{ and } 2.0]$ , with standard deviation of 0.3
- improvement in the modelling of the main BDT inputs



# Systematic uncertainties for HTA analysis

Source	Uncertainty size	Impact on $\sigma_\mu$	
Normalization of $B\bar{B}$ background	30%	0.91	1.
Normalization of continuum background	50%	0.58	
Leading $B$ -decay branching fractions	$O(1\%)$	0.10	
Branching fraction for $B^+ \rightarrow K^+ K_L^0 K_L^0$	20%	0.20	
Branching fraction for $B \rightarrow D^{**}$	50%	$< 0.01$	
Branching fraction for $B^+ \rightarrow K^+ n\bar{n}$	100%	0.05	
Branching fraction for $D \rightarrow K_L^0 X$	10%	0.03	
Continuum-background modeling, BDT <sub>c</sub>	100% of correction	0.29	
Number of $B\bar{B}$	1.5%	0.07	
Track finding efficiency	0.3%	0.01	
Signal-kaon PID	$O(1\%)$	$< 0.01$	
Extra-photon multiplicity	$O(20\%)$	0.61	2.
$K_L^0$ efficiency	17%	0.31	
Signal SM form-factors	$O(1\%)$	0.06	
Signal efficiency	16%	0.42	3.
Simulated-sample size	$O(1\%)$	0.60	

# Signal efficiencies as a function of $q^2$



- Efficiencies in the signal regions as a function of the generated  $q^2$
- Much lower efficiency in HTA w.r.t. ITA, but smaller variation in  $q^2$