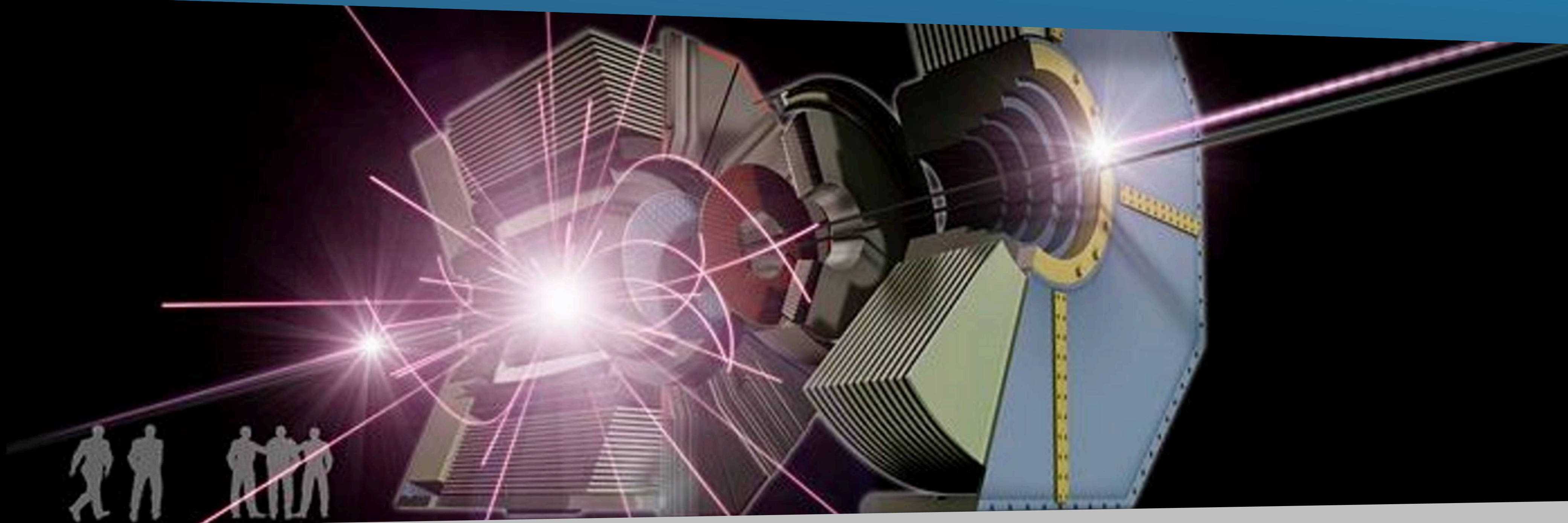


# Measurements of $|V_{cb}|$ and $|V_{ub}|$ from Belle (II)



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(for the Belle & Belle II Collaboration)

BEAUTY 2023 @ Clermont-Ferrand



# Content

Measurements covered in this talk:

## Exclusive $|V_{cb}|$ :

- Had. tagged  $B^0 \rightarrow D^* \ell \nu$
- Had. tagged  $B \rightarrow D^* \ell \nu$  and shapes of key kinematic variables

## Exclusive $|V_{ub}|$ :

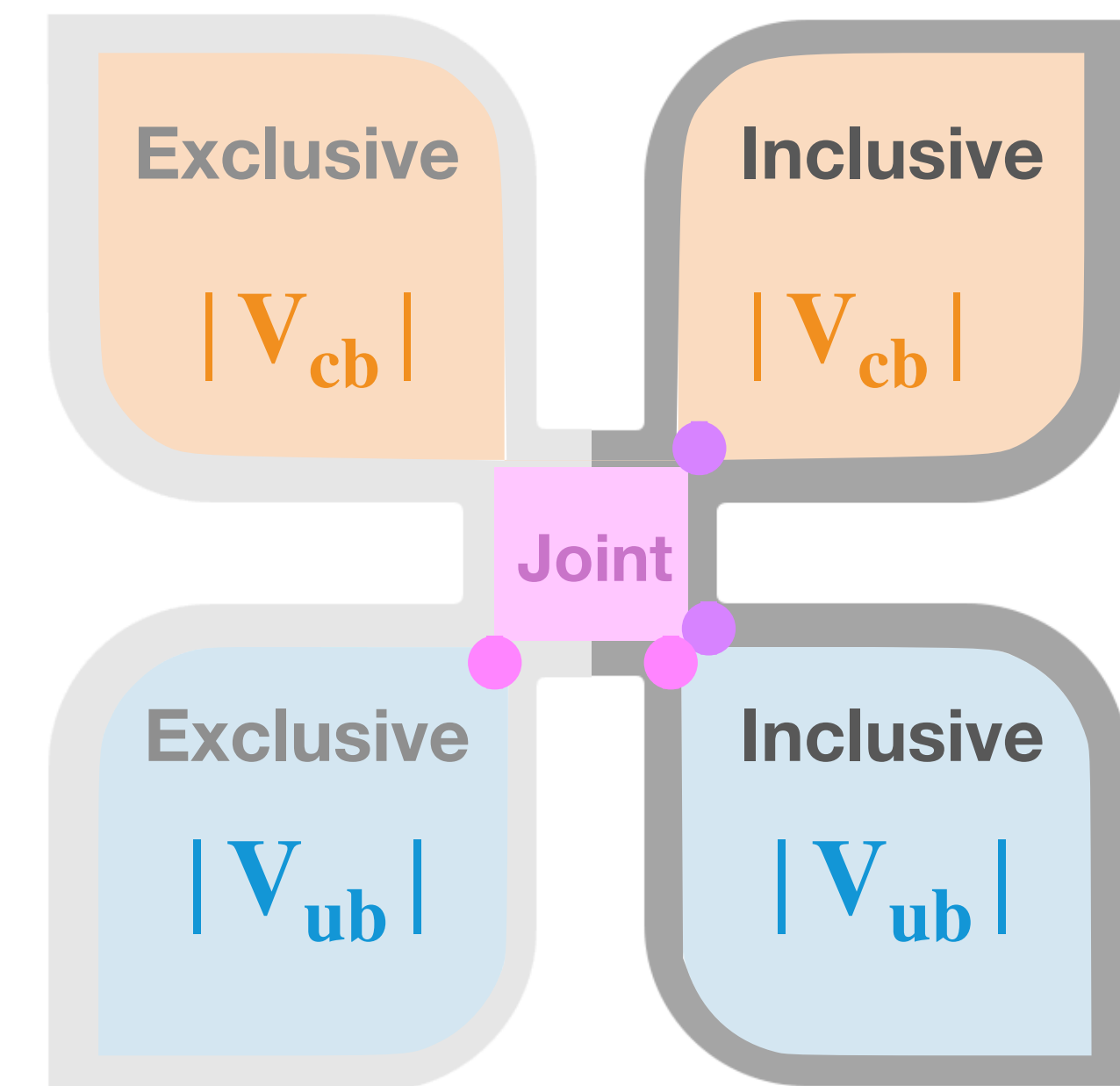
- Untagged  $B^0 \rightarrow \pi^- \ell \nu$

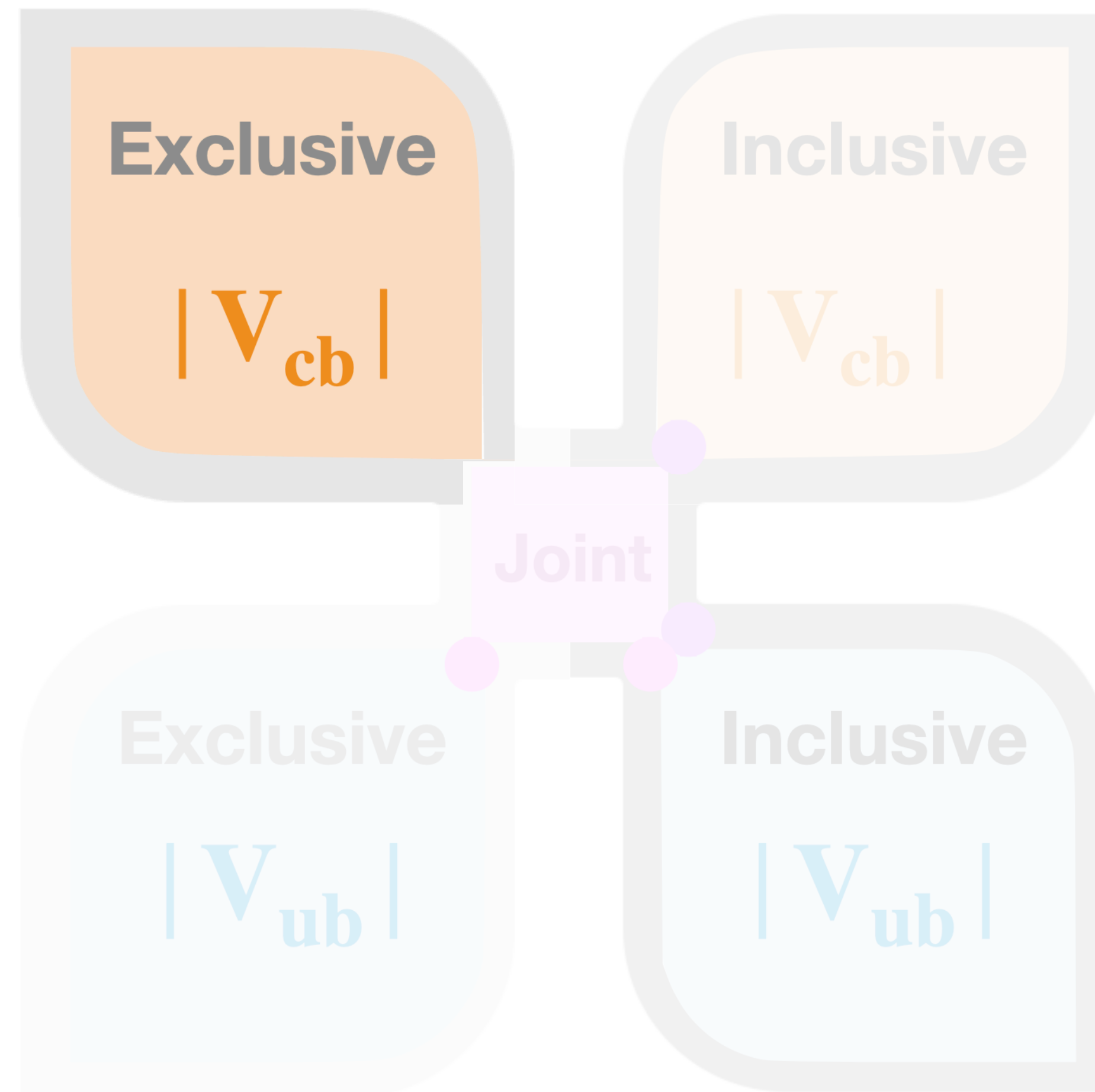
## Inclusive $|V_{ub}|$ :

- Partial & differential branching fractions of  $B \rightarrow X_u \ell \nu$

## Combined measurements:

- Excl.  $|V_{ub}|$  / incl.  $|V_{ub}|$
- Incl.  $|V_{ub}|$  / incl.  $|V_{cb}|$

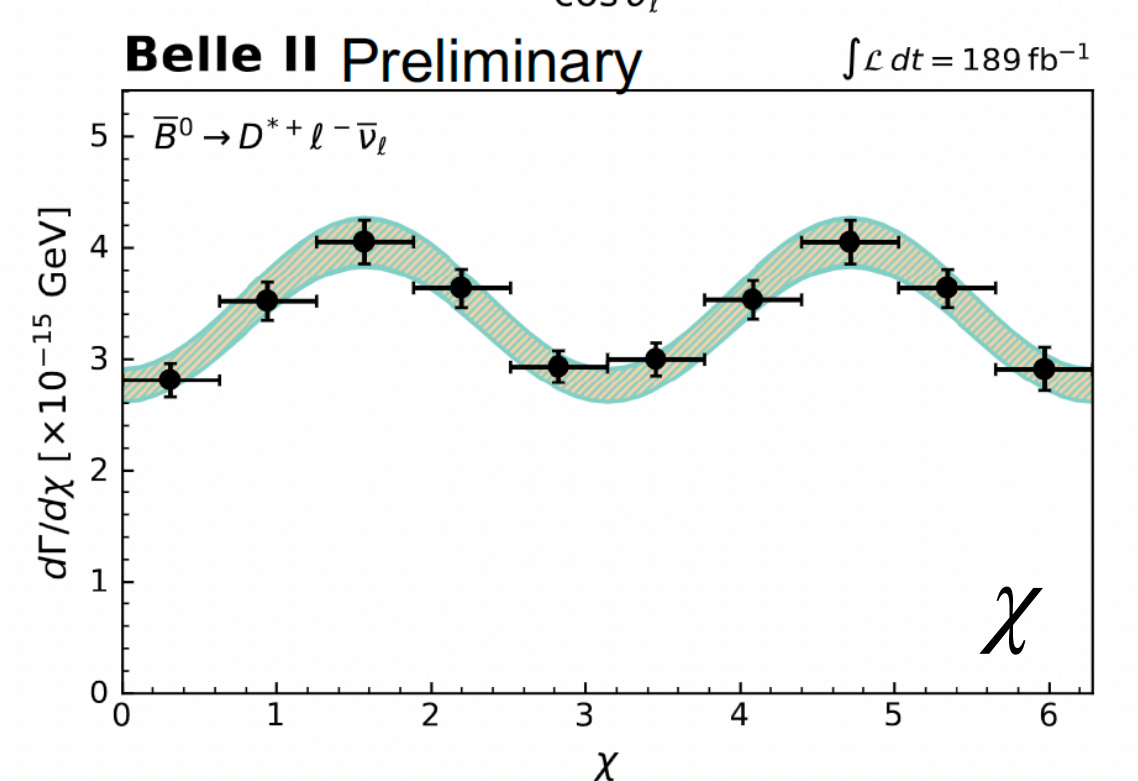
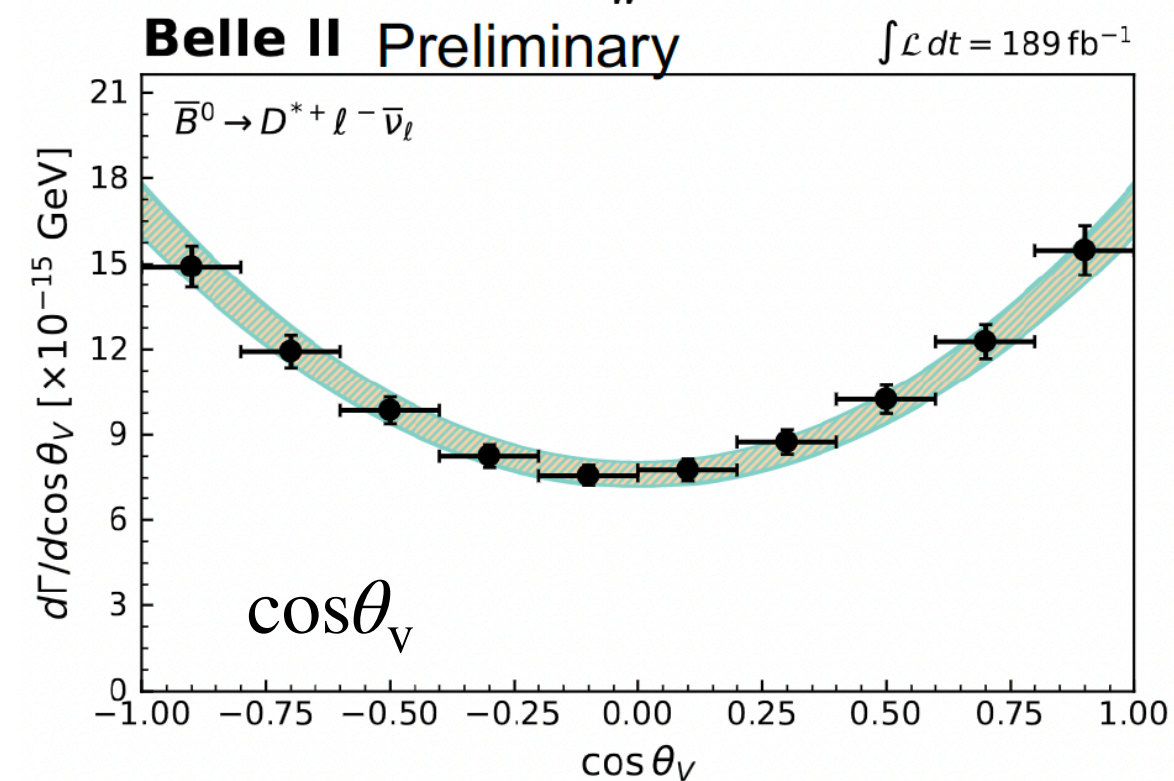
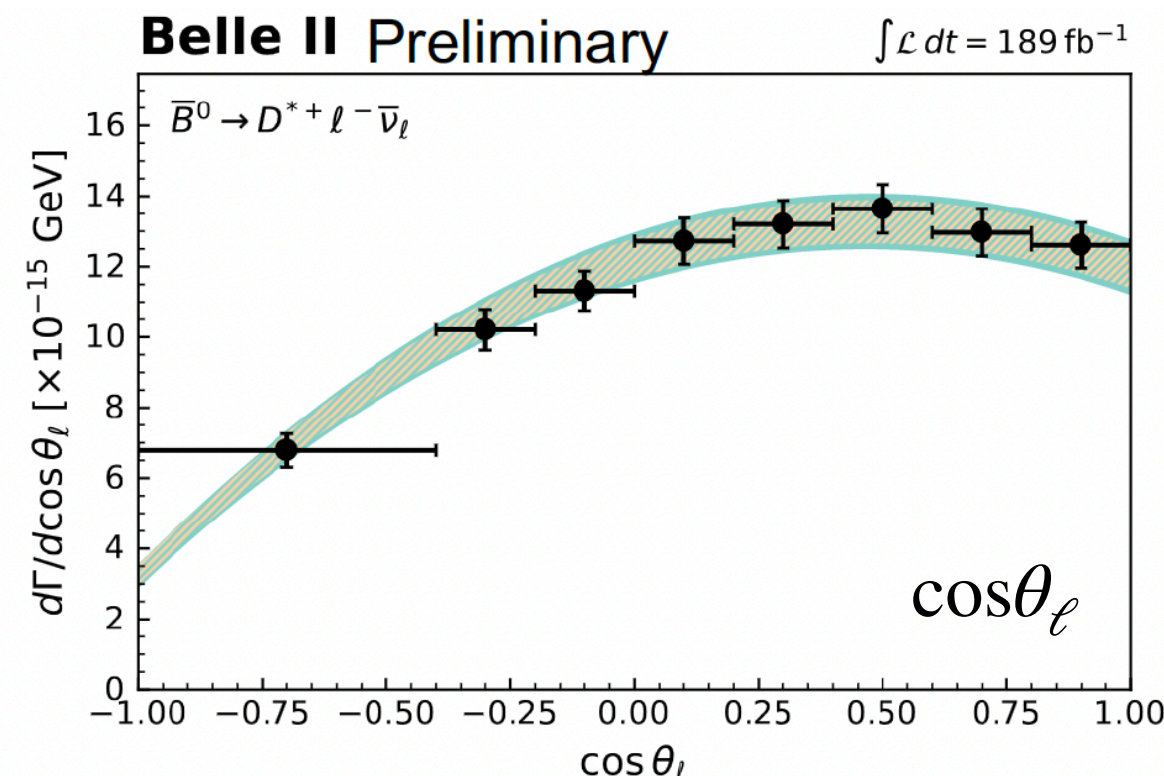
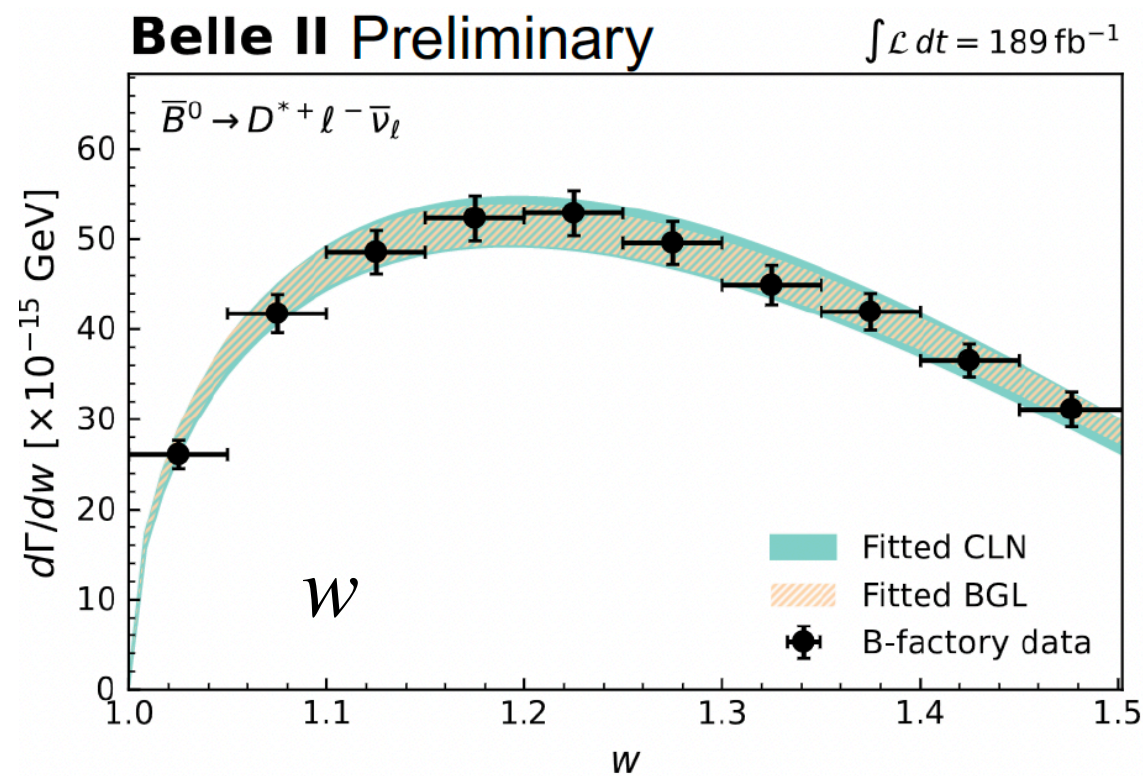
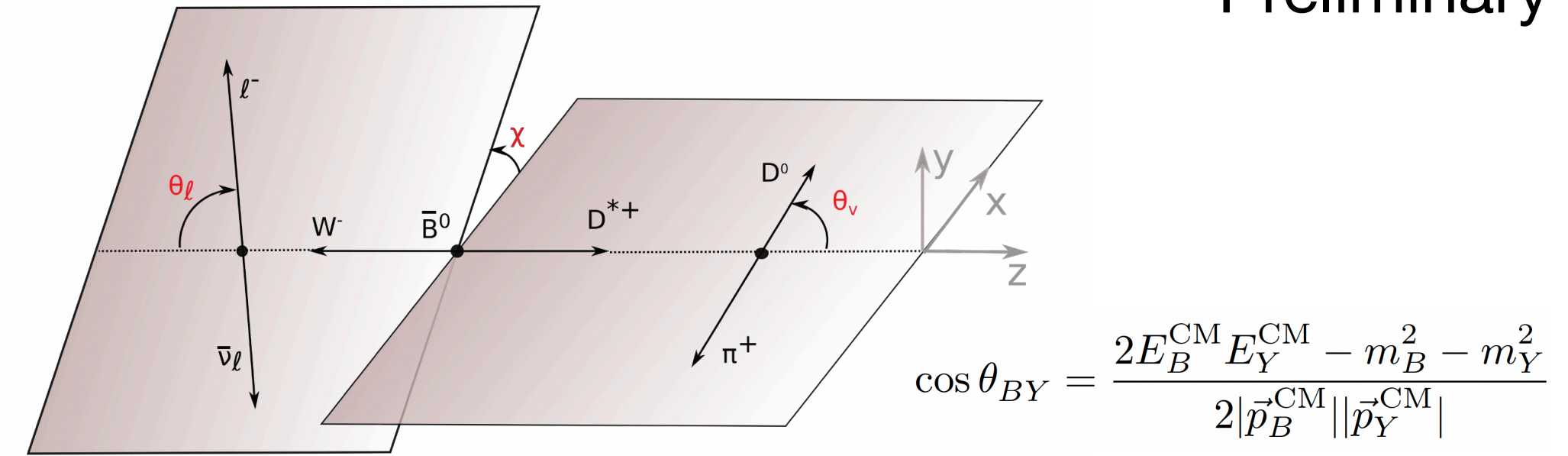




# Branching Fraction of $B^0 \rightarrow D^* \ell \nu$ and $|V_{cb}|$

Preliminary

- Data set of 189.3 fb<sup>-1</sup> with untagged strategy (higher efficiency than tagged)
- Decay chain:  $B^0 \rightarrow D^{*+} \ell \nu$ ,  $D^{*+} \rightarrow D^0 \pi^+$ ,  $D^0 \rightarrow K^- \pi^+$
- 2D fit on  $(\cos\theta_{BY}, \Delta M = M(D^{*+}) - M(D^0))$  for each bin of  $w$ ,  $\cos\theta_\ell$ ,  $\cos\theta_\nu$ ,  $\chi$
- Unfold signal yields and correct efficiency & acceptance
- Full experimental correlations derived for all measured decay rates



$$\Delta\Gamma_i = \frac{\text{reco. eff \& acc.}}{\epsilon_i} \frac{y_i^{\text{unfolded}}}{N_{B^0} \mathcal{B}(D^{*+} \rightarrow D^0 \pi^+) \mathcal{B}(D^0 \rightarrow K^- \pi^+) \tau_{B^0}}$$

input of PDG2022

$$\Gamma = \left( \sum_{i=1}^{10} \Delta\Gamma_i^w + \sum_{i=1}^8 \Delta\Gamma_i^{\cos\theta_\ell} + \sum_{i=1}^{10} \Delta\Gamma_i^{\cos\theta_\nu} + \sum_{i=1}^{10} \Delta\Gamma_i^\chi \right) / 4$$

**Branching fraction** extracted by the **total rate** summing over partial decay rates and averaging all kin. variables

Preliminary

$$e \text{ mode: } \mathcal{B}(\bar{B}^0 \rightarrow D^{*+} e^- \bar{\nu}_e) = (4.94 \pm 0.03 \pm 0.22)\%$$

$$\mu \text{ mode: } \mathcal{B}(\bar{B}^0 \rightarrow D^{*+} \mu^- \bar{\nu}_\mu) = (4.94 \pm 0.03 \pm 0.24)\%$$

$$\text{Average: } \mathcal{B}(\bar{B}^0 \rightarrow D^{*+} \ell^- \bar{\nu}_\ell) = (4.94 \pm 0.02 \pm 0.22)\%$$

# Branching Fraction of $B^0 \rightarrow D^* \ell \nu$ and $|V_{cb}|$

Preliminary

- Fit differential shapes on  $w$ ,  $\cos\theta_\ell$ ,  $\cos\theta_\nu$ ,  $\chi$  with **Caprini-Lellouch-Neubert (CLN)** [Nucl. Phys. B530, 153] & **Boyd-Grinstein-Lebed (BGL)** parameterisations [Phys. Rev. D56, 6895]
- BGL truncation based on nested hypothesis test [Phys. Rev. D100, 013005]
- Inclusion of LQCD constraint [Eur. Phys. J. C 82, 1141 (2022)] at beyond zero-recoil ( $w = [1.03, 1.10, 1.17]$ ) in two scenarios

$$|V_{cb}| \eta_{EW} \mathcal{F}(1) = \frac{1}{\sqrt{m_B m_{D^*}}} \left( \frac{|\tilde{b}_0|}{P_f(0) \phi_f(0)} \right)$$

$$|V_{cb}|_{BGL} = (40.9 \pm 0.3 \pm 1.0 \pm 0.6) \times 10^{-3}$$

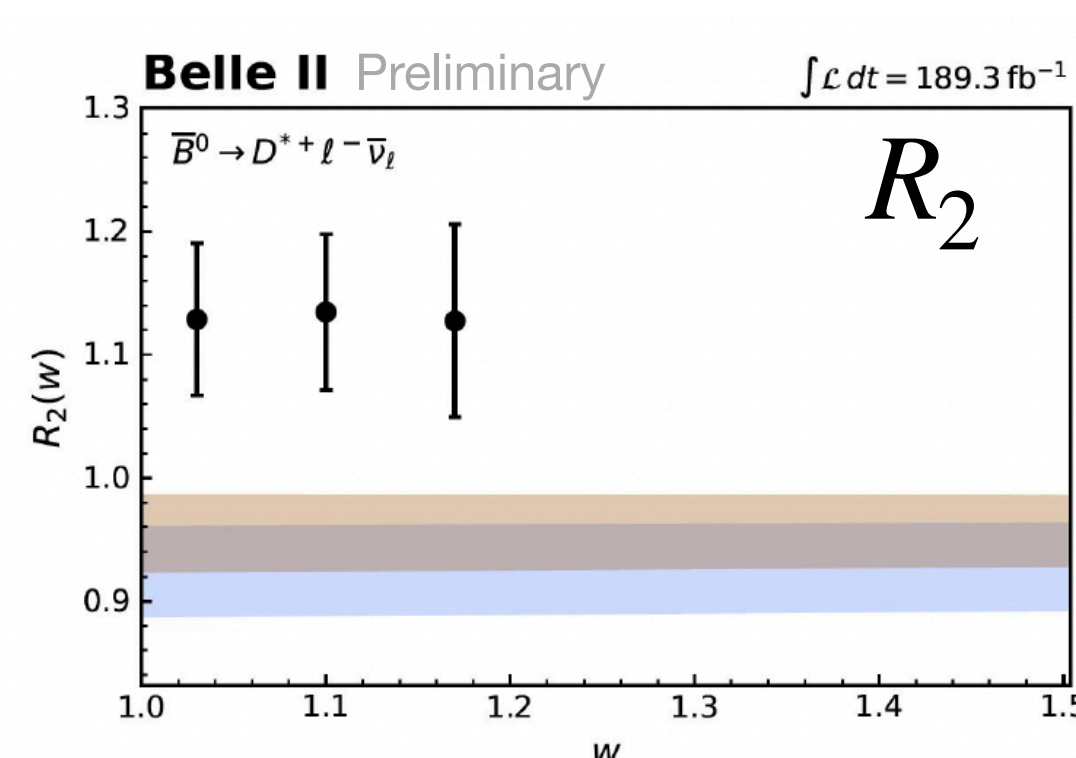
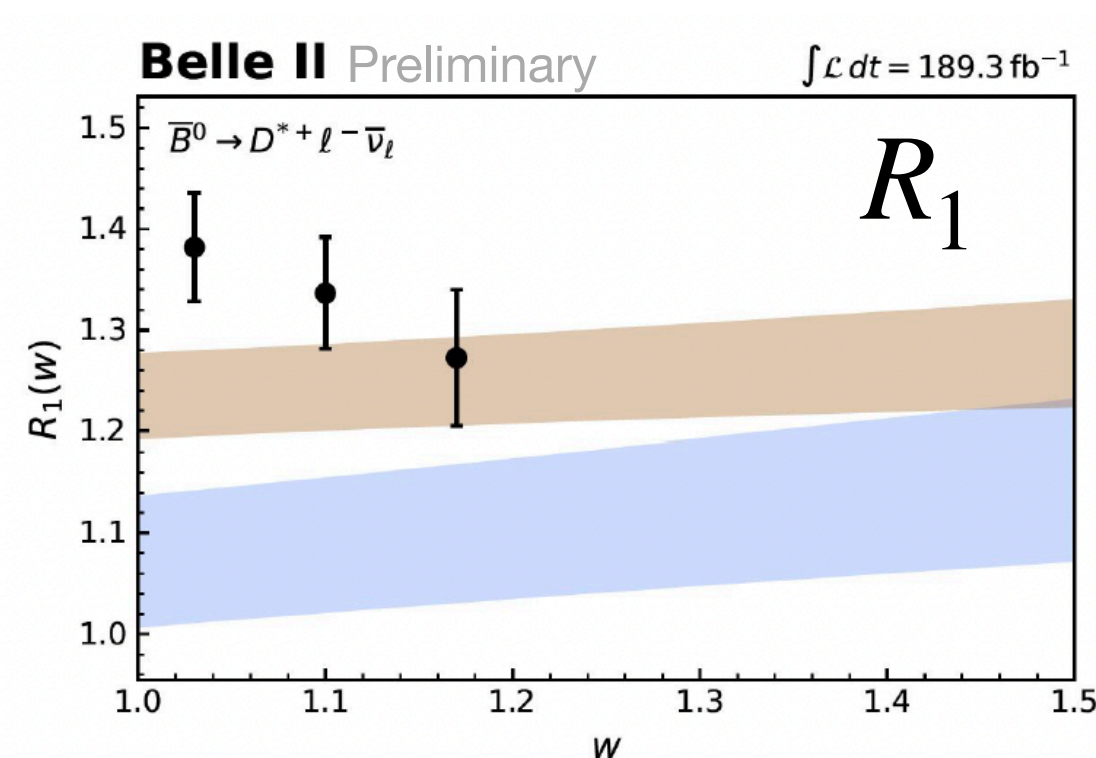
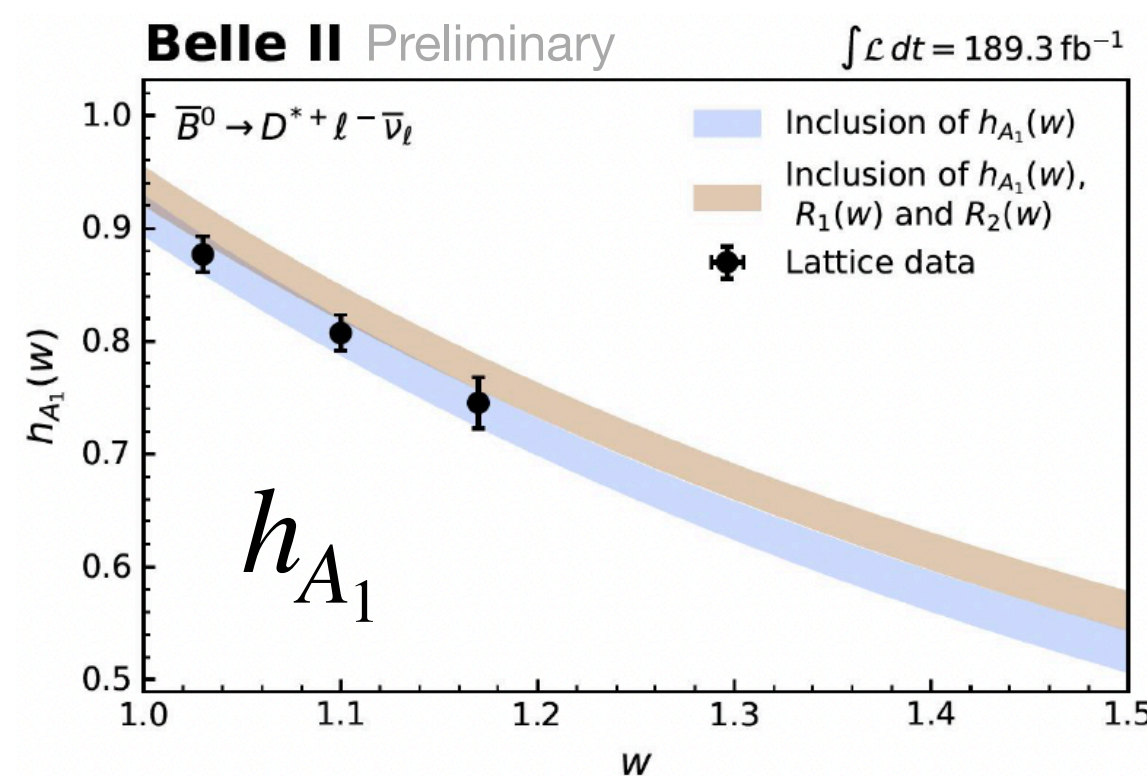
$$|V_{cb}|_{CLN} = (40.4 \pm 0.3 \pm 1.0 \pm 0.6) \times 10^{-3}$$

Preliminary
Slow pion eff. plays leading role in syst.
Input from LQCD at zero-recoil  $\mathcal{F}(1)$

| BGL                    | Constraints on $h_{A_1}(w)$ | Constraints on $h_{A_1}(w), R_1(w), R_2(w)$ |
|------------------------|-----------------------------|---|
| $a_0 \times 10^3$      | $21.7 \pm 1.4$              | $25.7 \pm 0.8$                              |
| $b_0 \times 10^3$      | $13.20 \pm 0.24$            | $13.58 \pm 0.23$                            |
| $b_1 \times 10^3$      | $-7 \pm 7$                  | $2 \pm 6$                                   |
| $c_1 \times 10^3$      | $-1.1 \pm 0.8$              | $-0.5 \pm 0.8$                              |
| $ V_{cb}  \times 10^3$ | $40.5 \pm 1.2$              | $38.6 \pm 1.1$                              |
| $\chi^2/\text{ndf}$    | 40/33                       | 74/39                                       |
| $p$ -value             | 0.18                        | 0.001                                       |

Preliminary

$|V_{cb}|$  shifts when include full LQCD constraints



Similar tension seen in recent Belle (2023) measurement [arXiv:2301.07529]

⇒ Both found large disagreements wrt LQCD results on  $R_2$

# Branching Fraction of $B^0 \rightarrow D^* \ell \nu$ and $|V_{cb}|$

Preliminary

- Lepton-flavor-universality tested with separate results on e- & mu-mode
- All in **good agreement with SM expectations**

| SM prediction                               | PRD 106, 096015     | EPJC 81, 984        |
|---|---------------------|---------------------|
| $R_{e/\mu}$                                 | $1.0041 \pm 0.0001$ | $1.0026 \pm 0.0001$ |
| $\mathcal{A}_{\text{FB}}^e$                 | $0.244 \pm 0.004$   | $0.204 \pm 0.012$   |
| $\mathcal{A}_{\text{FB}}^\mu$               | $0.239 \pm 0.004$   | $0.198 \pm 0.012$   |
| $\Delta\mathcal{A}_{\text{FB}} \times 10^3$ | $-5.7 \pm 0.1$      | $-5.33 \pm 0.24$    |
| $F_L^e$                                     | $0.516 \pm 0.003$   | $0.541 \pm 0.011$   |
| $F_L^\mu$                                   | $0.516 \pm 0.003$   | $0.542 \pm 0.012$   |
| $\Delta F_L \times 10^4$                    | $1.2 \pm 0.1$       | $5.43 \pm 0.36$     |

**Test on branching fraction ratio:**  $R_{e/\mu} = 1.001 \pm 0.009 \pm 0.021$

Preliminary

**Test on forward-backward asymmetry:**

$$\mathcal{A}_{\text{FB}} = \frac{\int_0^1 d \cos \theta_\ell d\Gamma/d \cos \theta_\ell - \int_{-1}^0 d \cos \theta_\ell d\Gamma/d \cos \theta_\ell}{\int_0^1 d \cos \theta_\ell d\Gamma/d \cos \theta_\ell + \int_{-1}^0 d \cos \theta_\ell d\Gamma/d \cos \theta_\ell}$$

$$\Delta\mathcal{A}_{\text{FB}} = \mathcal{A}_{\text{FB}}^\mu - \mathcal{A}_{\text{FB}}^e$$

Preliminary

$$\mathcal{A}_{\text{FB}}^e = 0.219 \pm 0.011 \pm 0.020,$$

$$\mathcal{A}_{\text{FB}}^\mu = 0.215 \pm 0.011 \pm 0.022,$$

$$\Delta\mathcal{A}_{\text{FB}} = (-4 \pm 16 \pm 18) \times 10^{-3}$$

**Test on  $D^*$  longitudinal polarization fraction:**

$$\frac{1}{\Gamma} \frac{d\Gamma}{d \cos \theta_V} = \frac{3}{2} \left( F_L \cos^2 \theta_V + \frac{1 - F_L}{2} \sin^2 \theta_V \right)$$

$$\Delta F_L = F_L^\mu - F_L^e$$

Preliminary

$$F_L^e = 0.521 \pm 0.005 \pm 0.007$$

$$F_L^\mu = 0.534 \pm 0.005 \pm 0.006$$

$$\Delta F_L = 0.013 \pm 0.007 \pm 0.007$$

# $|V_{cb}|$ & Differential Shapes of $B \rightarrow D^* \ell \nu$

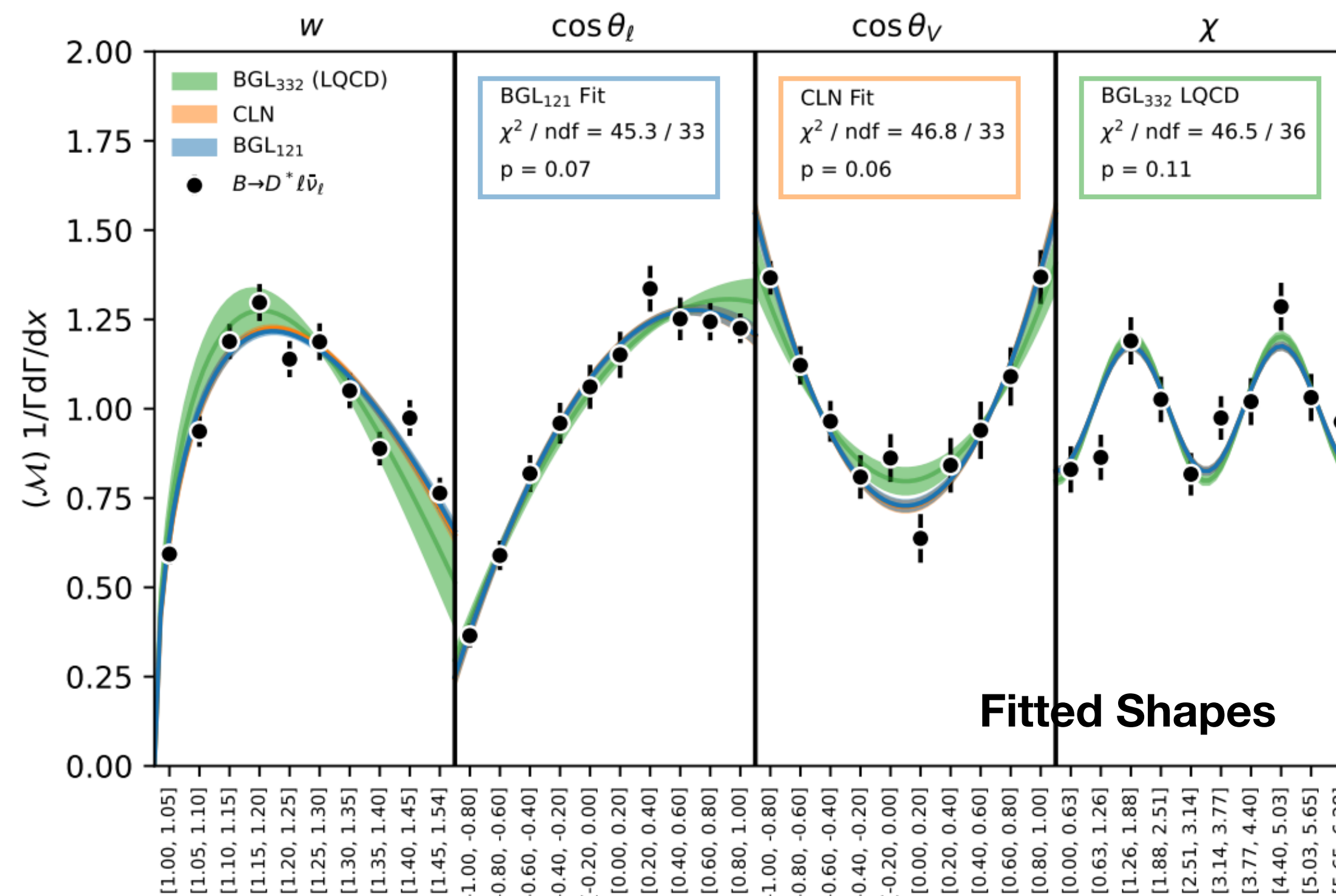
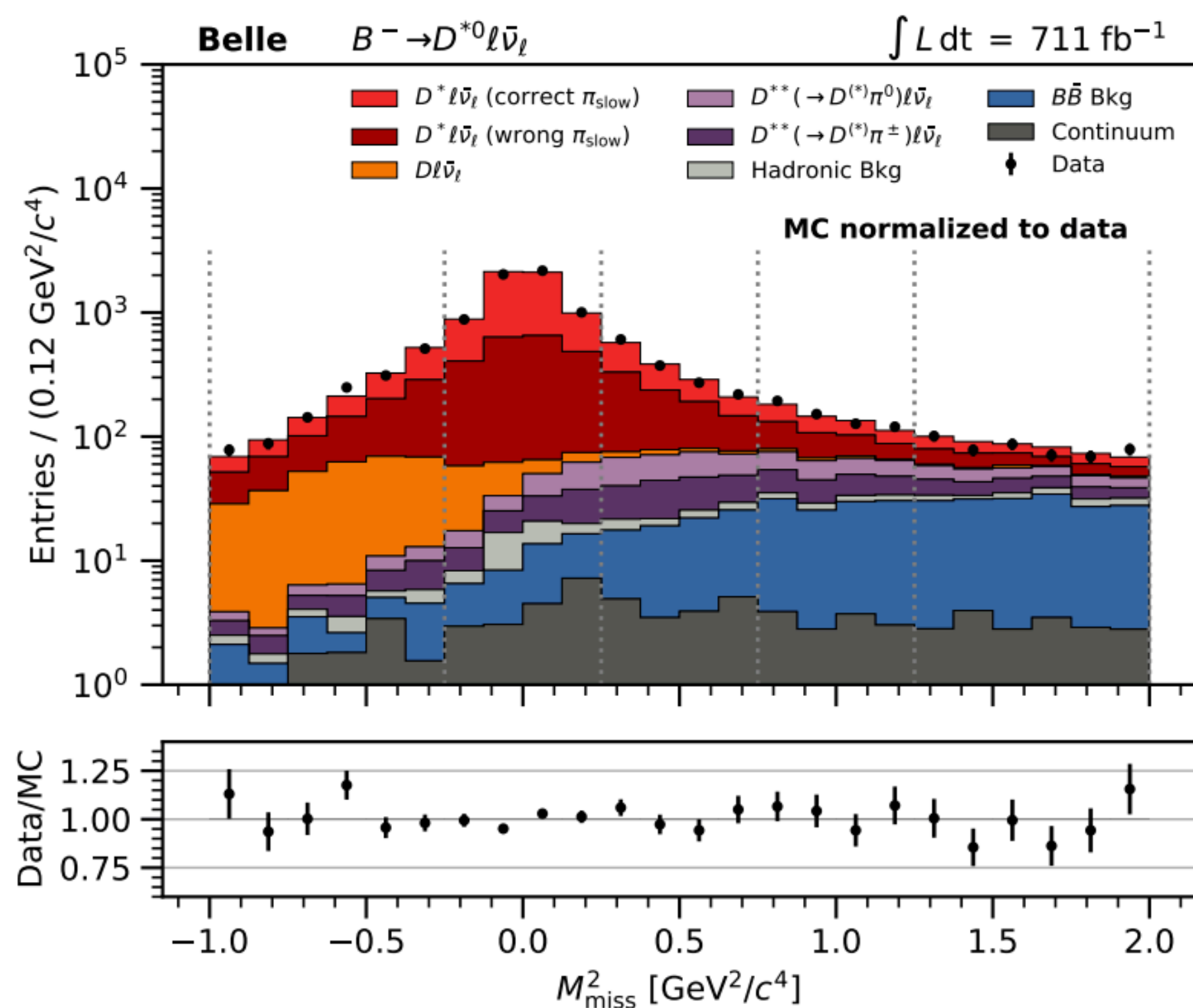


arXiv: 2301.07529

accepted by PRD

- Full Belle data set of  $711 \text{ fb}^{-1}$  for  $B^{\pm,0}, \ell = e, \mu$
- **Hadronic tagging** using Belle II tool (Full Event Interpretation [Comp. Soft. Big Sci 3 (2019) 6])
- Background subtracted via fitting  $M_{\text{miss}}^2$  for bins of  $w, \cos\theta_\ell, \cos\theta_\nu, \chi$  in **each decay mode independently**
- Combined **all kin. shapes** to extract  $|V_{cb}|$  in **BGL/CLN** with external constraints on **branching fractions** (HFLAV) and **LQCD** (FNAL/MILC)

[Eur. Phys. J. C 82, 1141 \(2022\)](https://arxiv.org/abs/2301.07529)



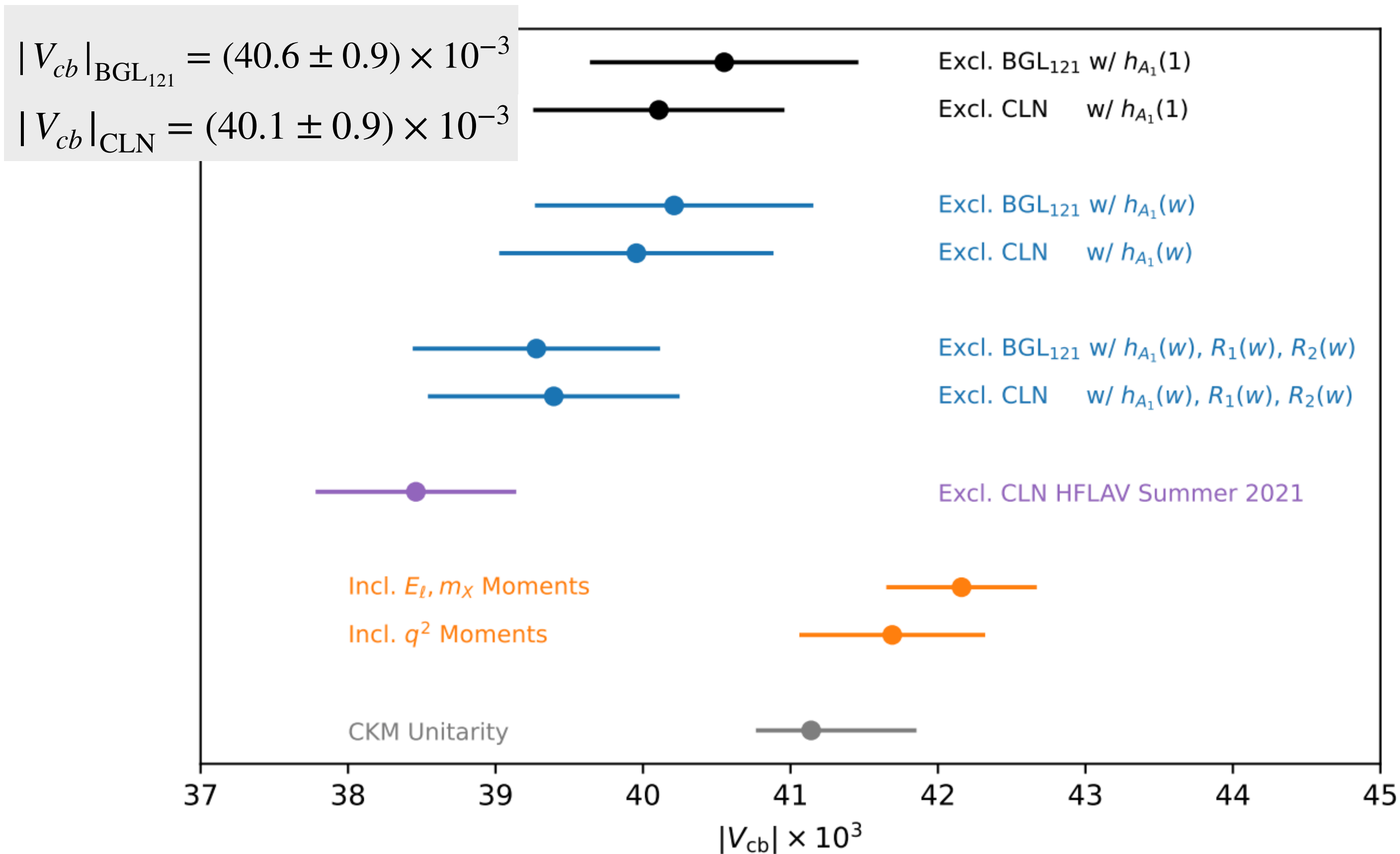
# $|V_{cb}|$ & Differential Shapes of $B \rightarrow D^* \ell \nu$



- In  $|V_{cb}|$  extraction, tested different BGL truncations, LQCD constraining scenario (at or beyond zero-recoil)
- Forward-backward asymmetry  $A_{FB}$**  and  **$D^*$  longitudinal polarization fraction  $F_L^{D^*}$**  and their differences between  $e, \mu$  also derived. **No significant LFUV found.**

arXiv: 2301.07529

accepted by PRD



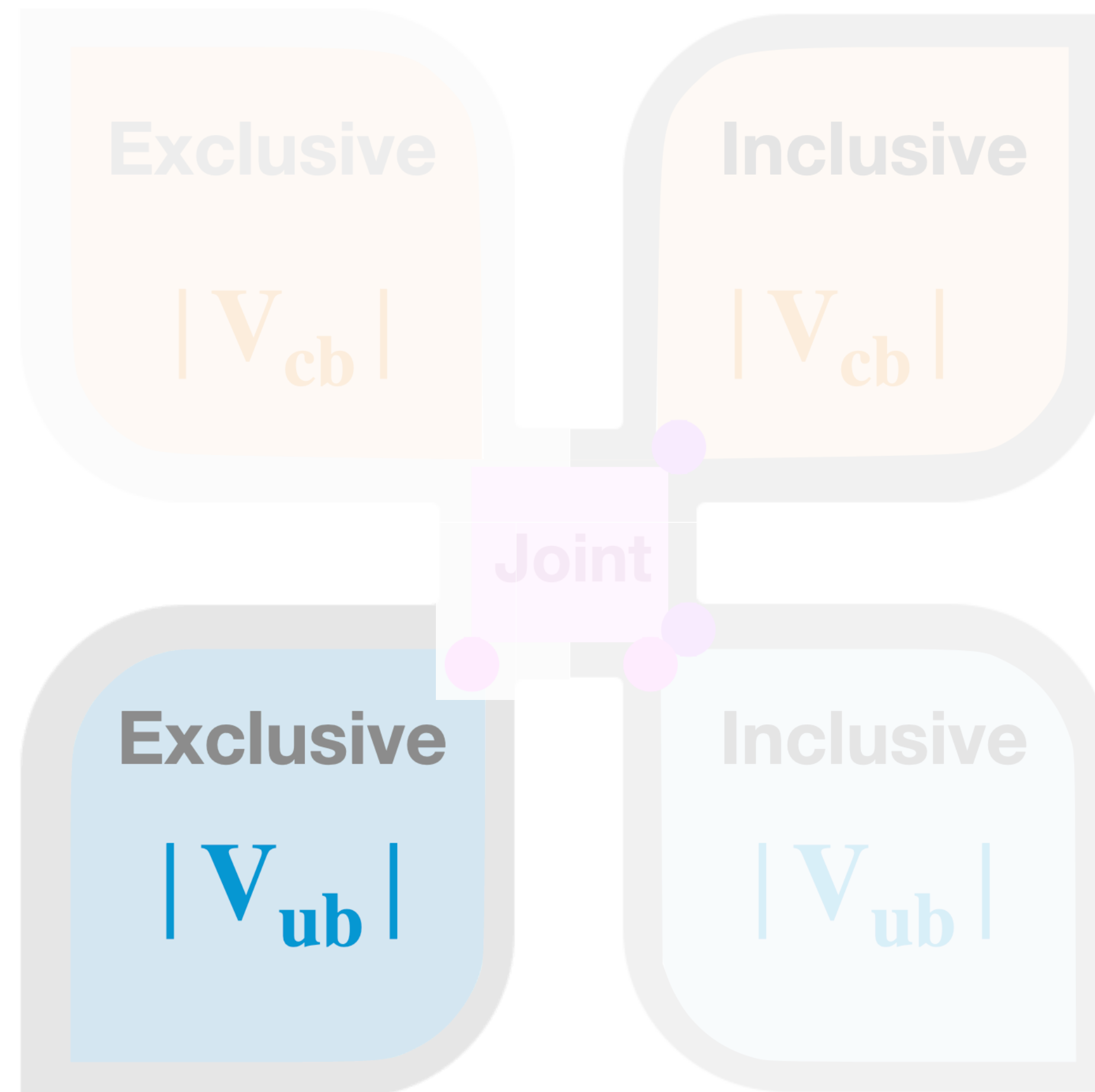
$$A_{\text{FB}} = \frac{\int_0^1 d \cos \ell d\Gamma/d \cos \ell - \int_{-1}^0 d \cos \ell d\Gamma/d \cos \ell}{\int_0^1 d \cos \ell d\Gamma/d \cos \ell + \int_{-1}^0 d \cos \ell d\Gamma/d \cos \ell}$$

|  | $\Delta A_{\text{FB}}$       |
|--|------------------------------|
| $\bar{B}^0 \rightarrow D^{*+} \ell \bar{\nu}_\ell$ | $0.062 \pm 0.044 \pm 0.011$  |
| $B^- \rightarrow D^{*0} \ell \bar{\nu}_\ell$       | $-0.003 \pm 0.033 \pm 0.009$ |
| $B \rightarrow D^* \ell \bar{\nu}_\ell$            | $0.022 \pm 0.026 \pm 0.007$  |

$$\frac{1}{\Gamma} \frac{d\Gamma}{d \cos \theta_V} = \frac{3}{2} \left( F_L \cos^2 \theta_V + \frac{1 - F_L}{2} \sin^2 \theta_V \right)$$

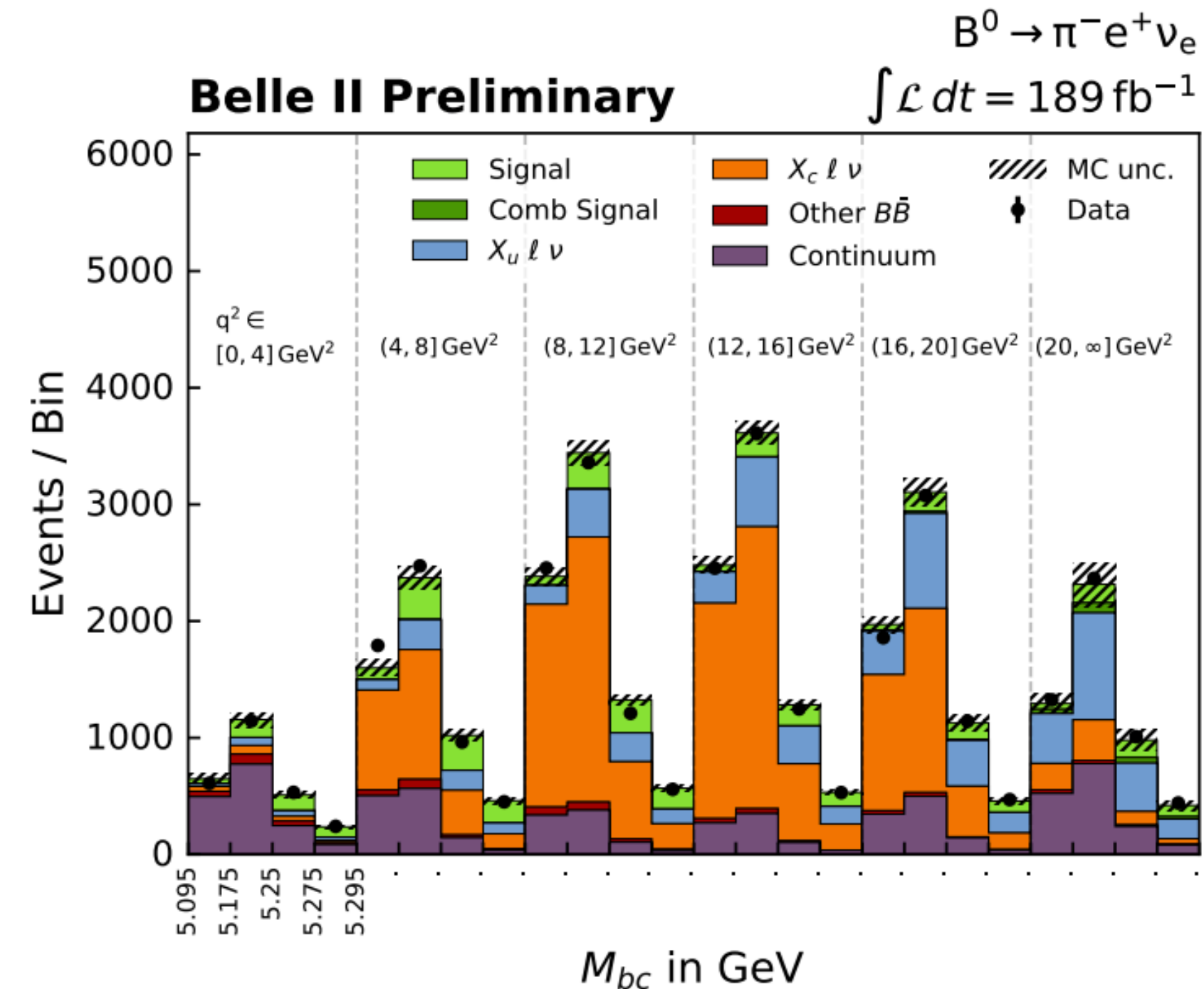
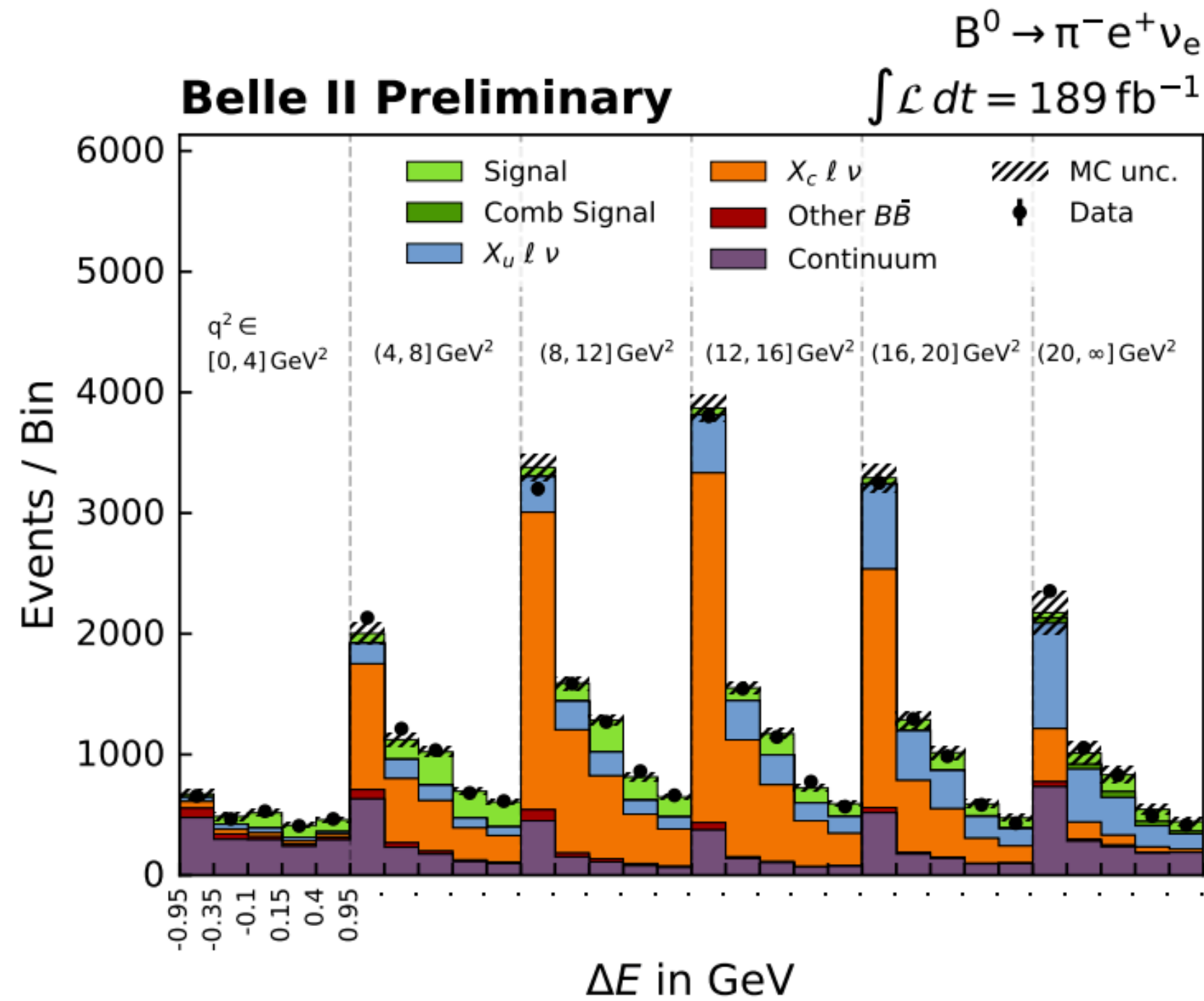
|  | $\Delta F_L^{D^*}$          |
|--|-----------------------------|
| $\bar{B}^0 \rightarrow D^{*+} \ell \bar{\nu}_\ell$ | $0.032 \pm 0.033 \pm 0.010$ |
| $B^- \rightarrow D^{*0} \ell \bar{\nu}_\ell$       | $0.025 \pm 0.035 \pm 0.010$ |
| $B \rightarrow D^* \ell \bar{\nu}_\ell$            | $0.034 \pm 0.024 \pm 0.007$ |





# $|V_{ub}|$ in $B^0 \rightarrow \pi^- \ell^+ \nu$ Decay

- Data set of 189.3 fb<sup>-1</sup> with untagged analysis strategy
- Extract signal in beam-constrained mass  $M_{bc}$  and energy difference  $\Delta E$  for each bin of  $q^2$
- $|V_{ub}|$  fitted with Bourely-Caprini-Lellouch (**BCL**) [Phys.Rev.D79, 013008] expansion including **LQCD** constraints (FNAL/MILC [Phys. Rev. D92, 014024])

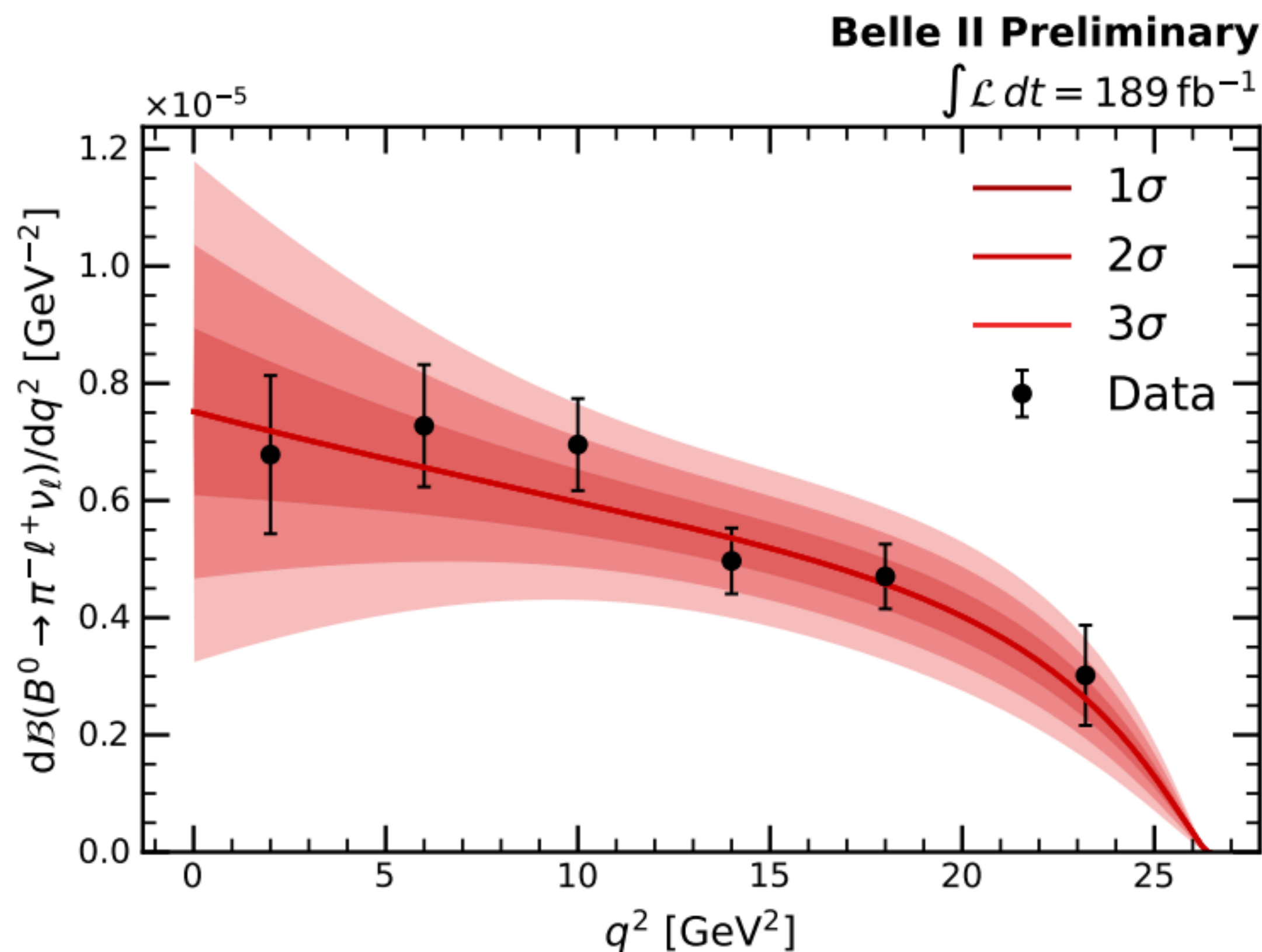


$$\Delta E = E_B^* - E_{\text{beam}}^* = E_B^* - \frac{\sqrt{s}}{2}$$

$$M_{bc} = \sqrt{E_{\text{beam}}^{*2} - |\vec{p}_B^*|^2} = \sqrt{\left(\frac{\sqrt{s}}{2}\right)^2 - |\vec{p}_B^*|^2}$$

# $|V_{ub}|$ in $B^0 \rightarrow \pi^- \ell^+ \nu$ Decay

- Data set of 189.3 fb<sup>-1</sup> with untagged analysis strategy
- Extract signal in beam-constrained mass  $M_{bc}$  and energy difference  $\Delta E$  for each bin of  $q^2$
- $|V_{ub}|$  fitted with Bourely-Caprini-Lellouch (**BCL**) [Phys.Rev.D79, 013008] expansion including **LQCD** constraints (FNAL/MILC [Phys. Rev. D92, 014024])



$$\mathcal{B} = (1.426 \pm 0.056_{\text{stat}} \pm 0.125_{\text{syst}}) \times 10^{-4}$$

$$|V_{ub}| = (3.55 \pm 0.12_{\text{stat}} \pm 0.13_{\text{syst}} \pm 0.17_{\text{theo}}) \times 10^{-3}$$

dominated by background modelling (continuum,  $B \rightarrow \rho \ell \nu$ )

# Recent Belle II Results on Exclusive $|V_{xb}|$

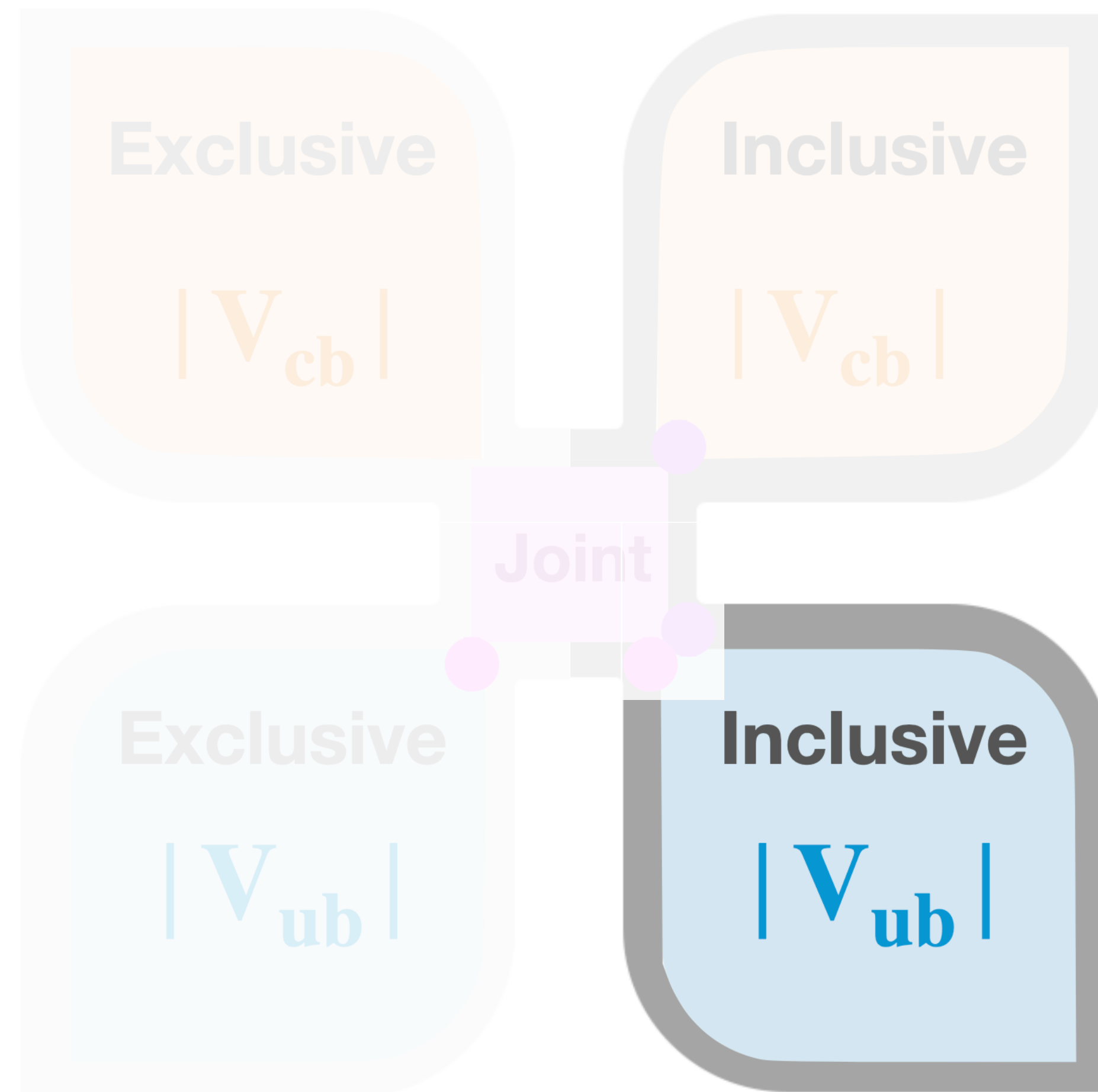


|  | $ V_{cb}  \times 10^3$                   | References             |
|--|--|------------------------|
| $B^0 \rightarrow D^{*-} \ell^+ \nu$ , untagged | <b><math>40.9 \pm 1.2</math> (BGL)</b>   | To be submitted to PRD |
| $B^0 \rightarrow D^{*-} \ell^+ \nu$ , tagged   | <b><math>37.9 \pm 2.7</math> (CLN)</b>   | arXiv:2301.04716       |
| $B \rightarrow D \ell \nu$ , untagged          | <b><math>38.28 \pm 1.16</math> (BGL)</b> | arXiv:2210.13143       |
|  | $ V_{ub}  \times 10^3$                   | References             |
| $B \rightarrow \pi \ell \nu$ , tagged          | <b><math>3.88 \pm 0.45</math></b>        | arXiv:2206.08102       |
| $B \rightarrow \pi \ell \nu$ , untagged        | <b><math>3.55 \pm 0.25</math></b>        | arXiv:2210.04224       |

## HFLAV 2023

$$|V_{cb}|_{\text{excl}} = (39.10 \pm 0.50) \times 10^{-3}$$

$$|V_{ub}|_{\text{excl}} = (3.51 \pm 0.12) \times 10^{-3}$$



# Inclusive $B \rightarrow X_u \ell \nu$ and $|V_{ub}|$

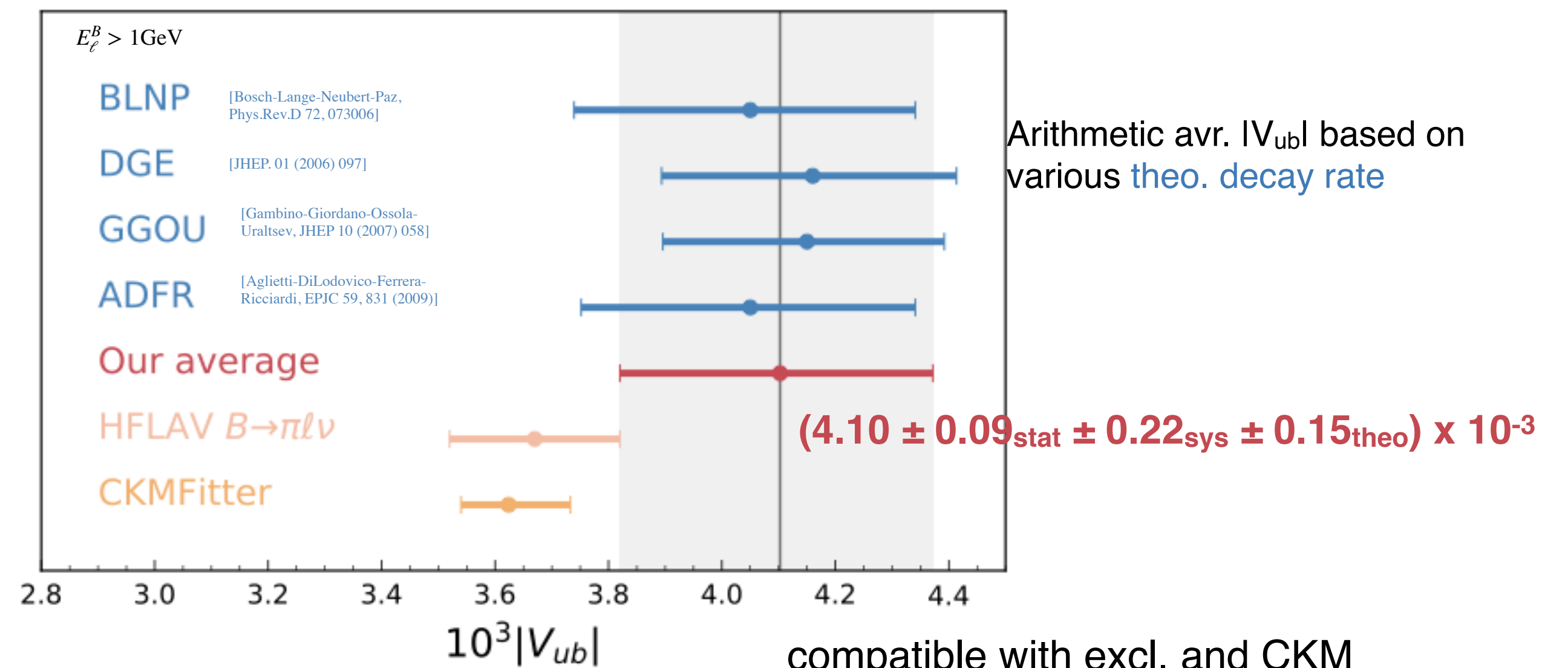
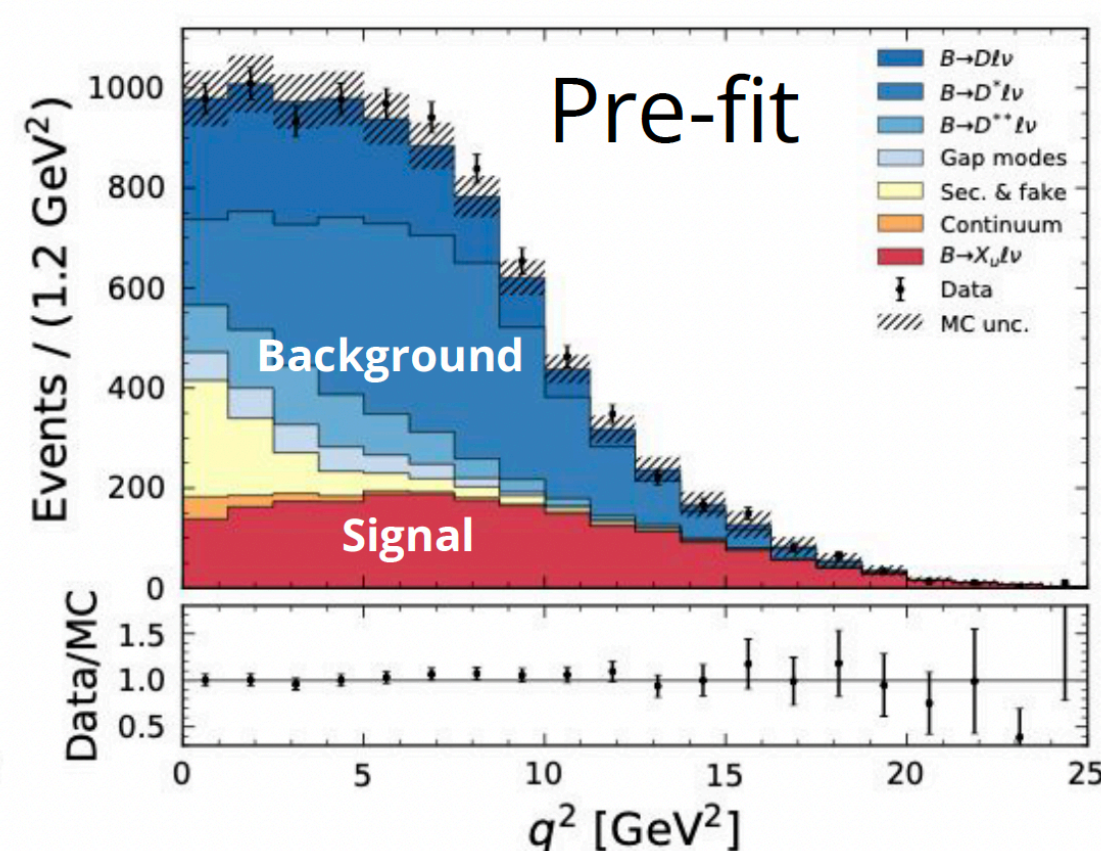
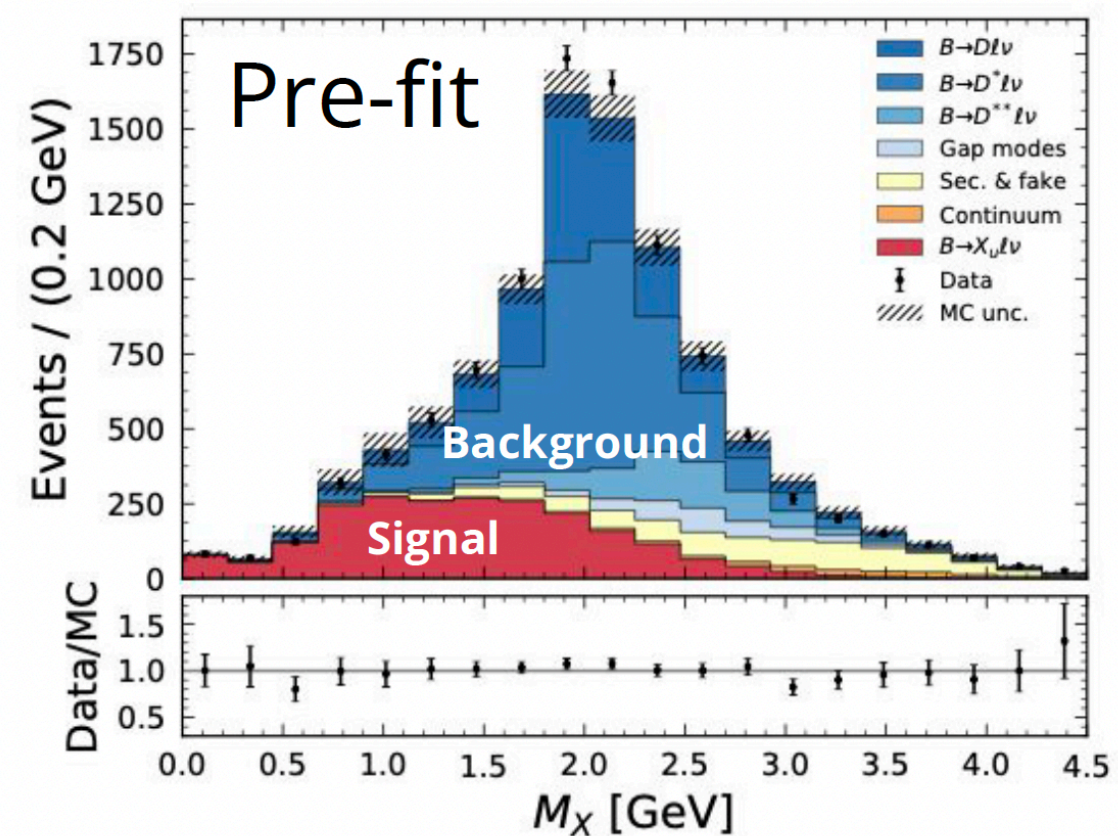
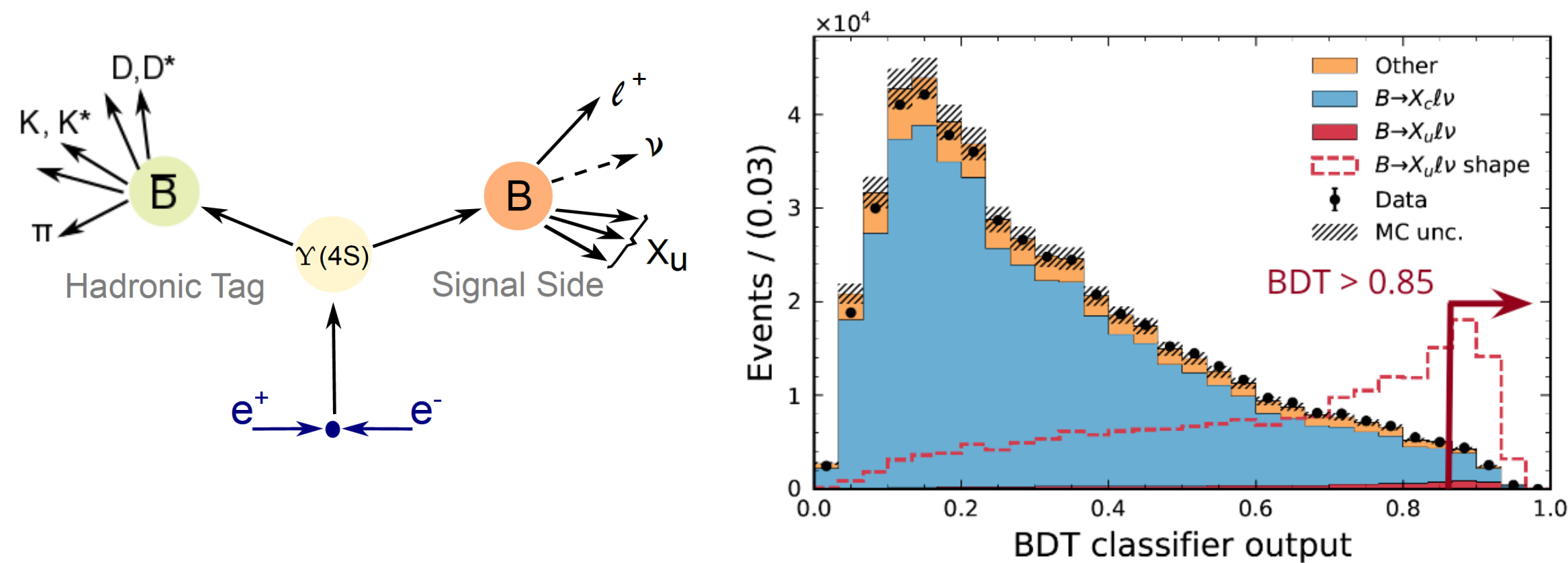
PRD 104, 012008 (2021)

- Full Belle data set of  $711 \text{ fb}^{-1}$  with **Hadronic tagging**
- Use **machine learning (BDT)** to suppress backgrounds with 11 training features, e.g.  $MM^2, \#K^\pm, \#K_S$ , etc.

- Partial BF and inclusive  $|V_{ub}|$  derived in various phase space regions

$$\Delta \mathcal{B}(E_\ell^B > 1 \text{ GeV}) = (1.59 \pm 0.07 \pm 0.16) \times 10^{-3}$$

$$|V_{ub}| = \sqrt{\frac{\Delta \mathcal{B}(B \rightarrow X_u \ell \nu)}{\tau_B \cdot \Delta \Gamma(B \rightarrow X_u \ell \nu)}}$$



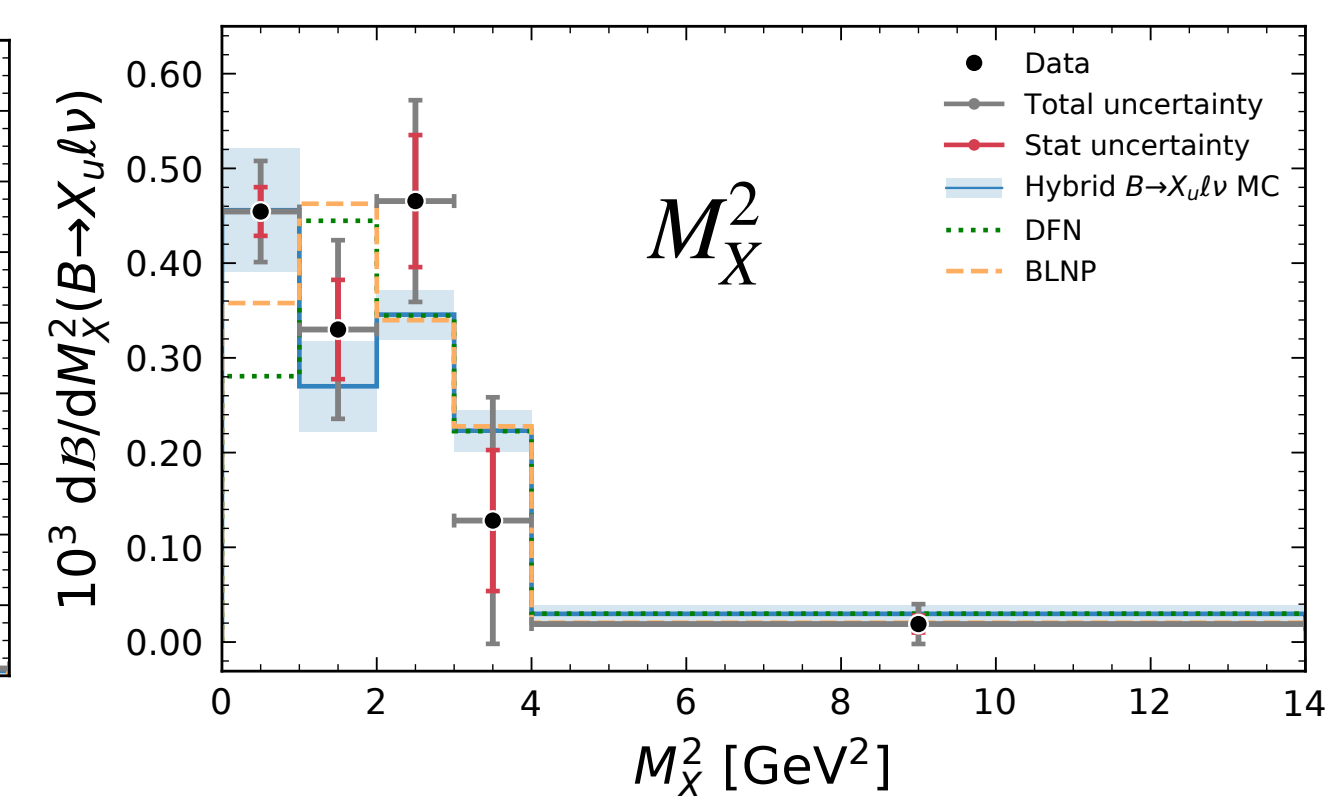
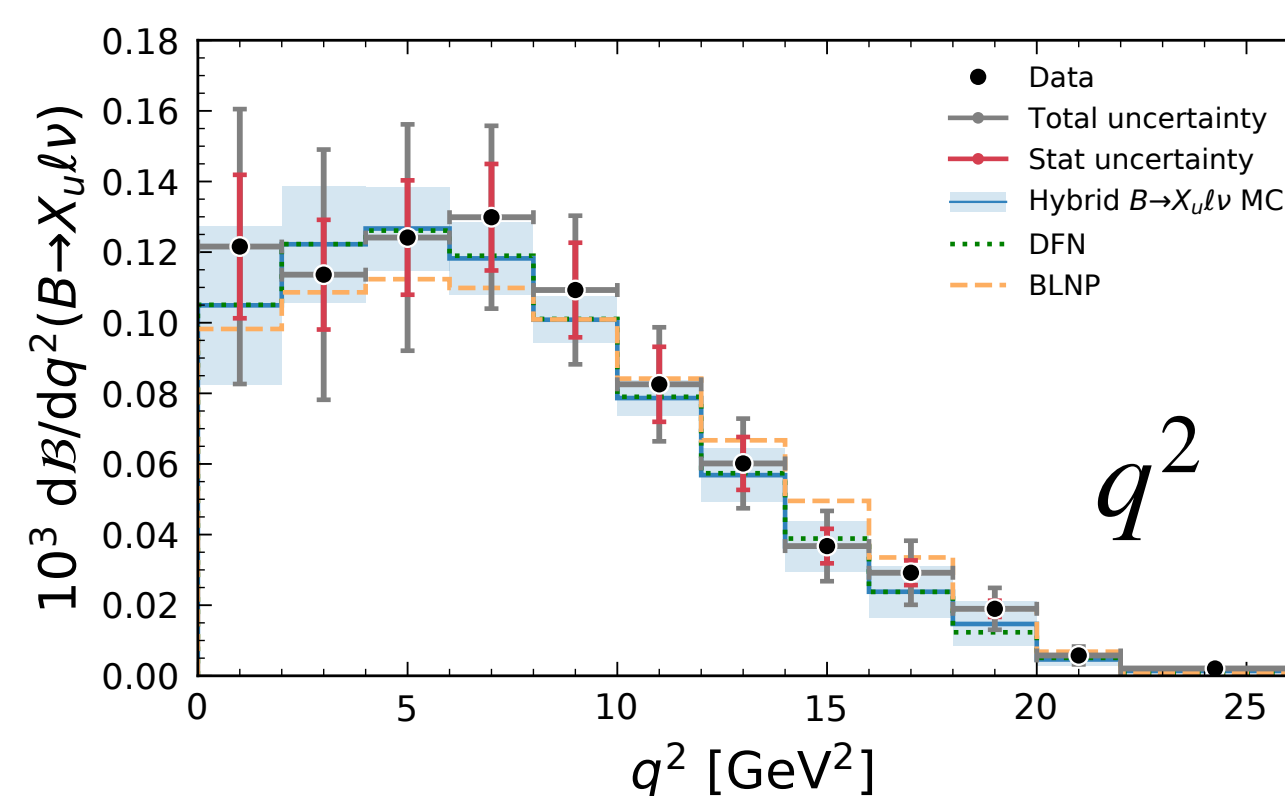
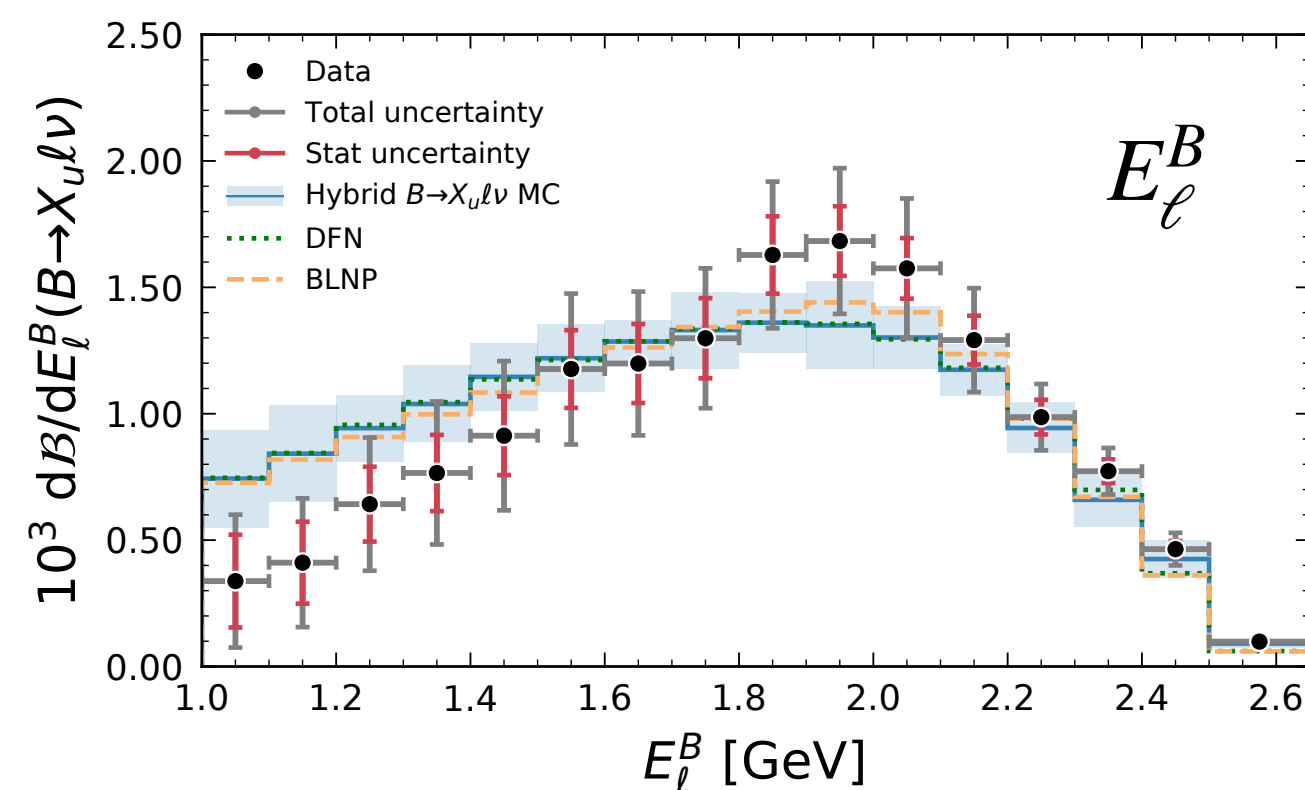
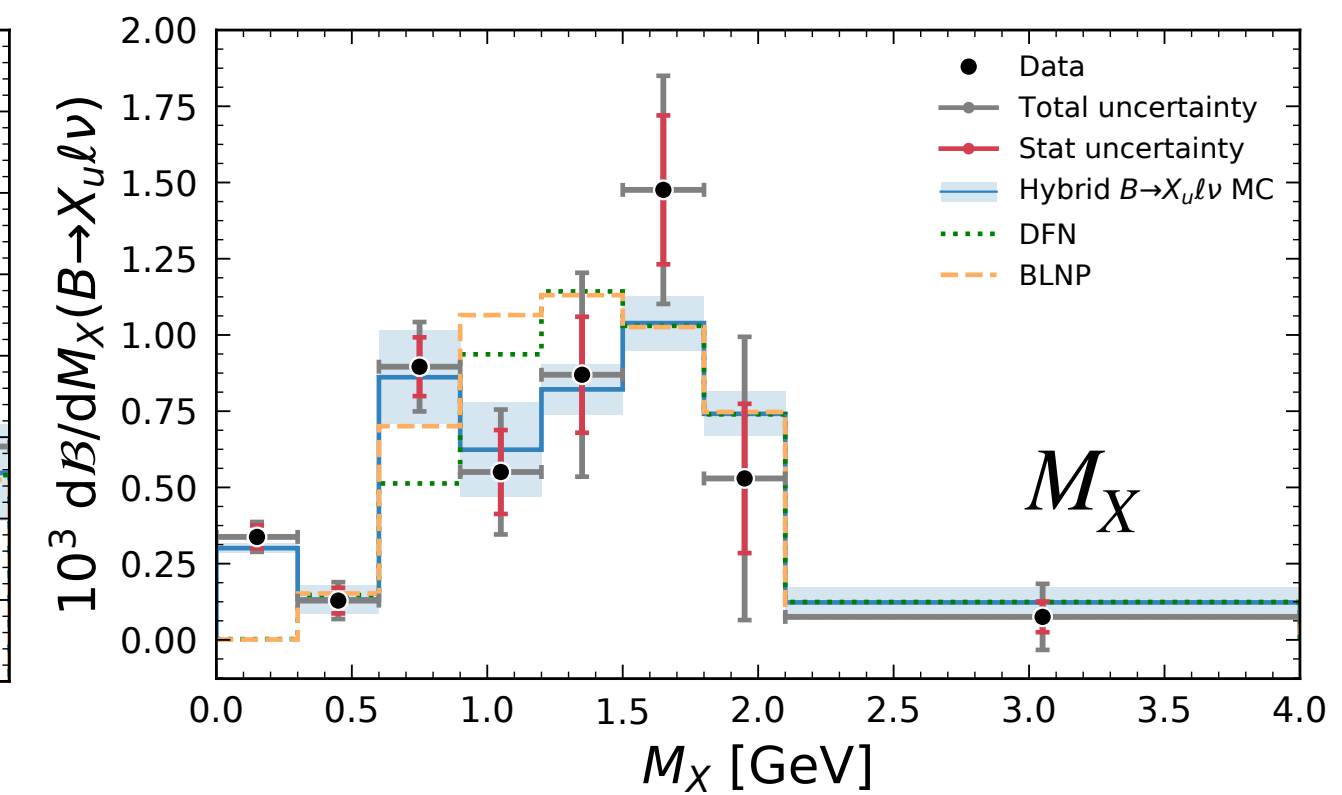
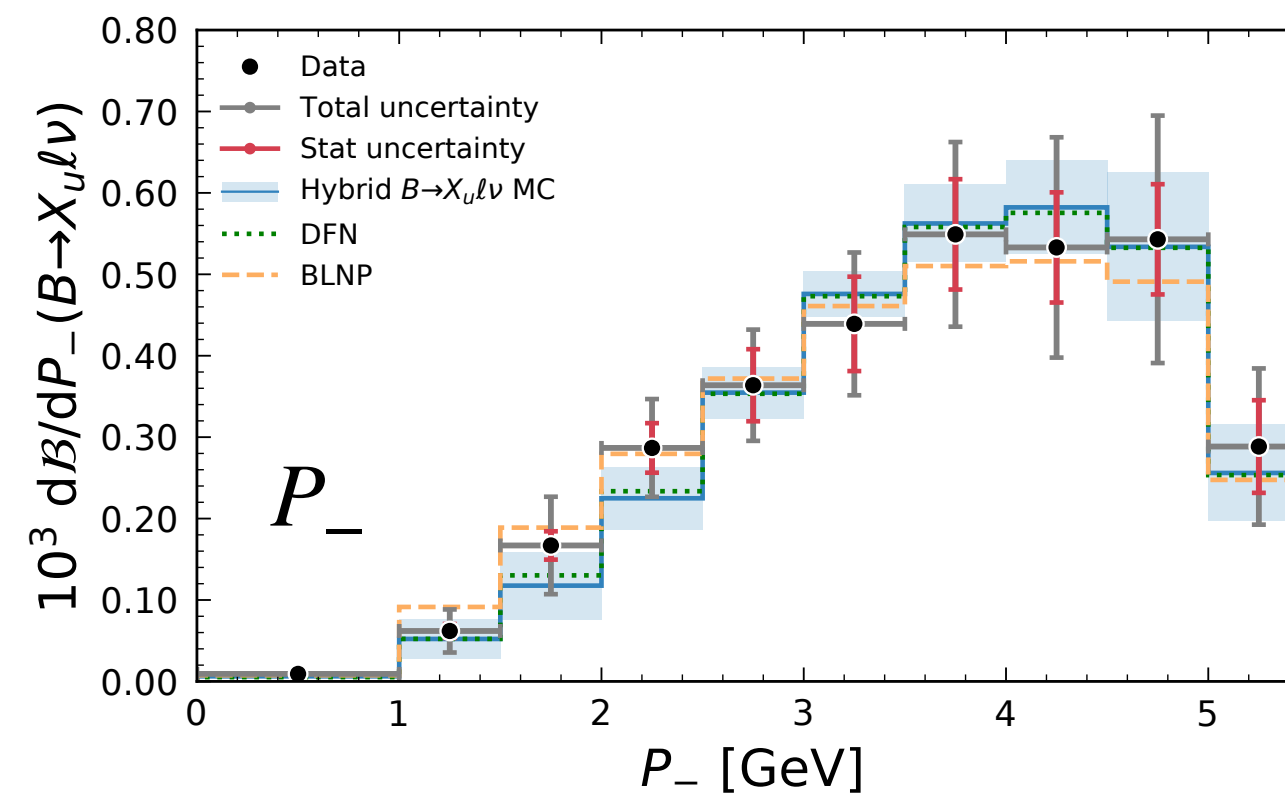
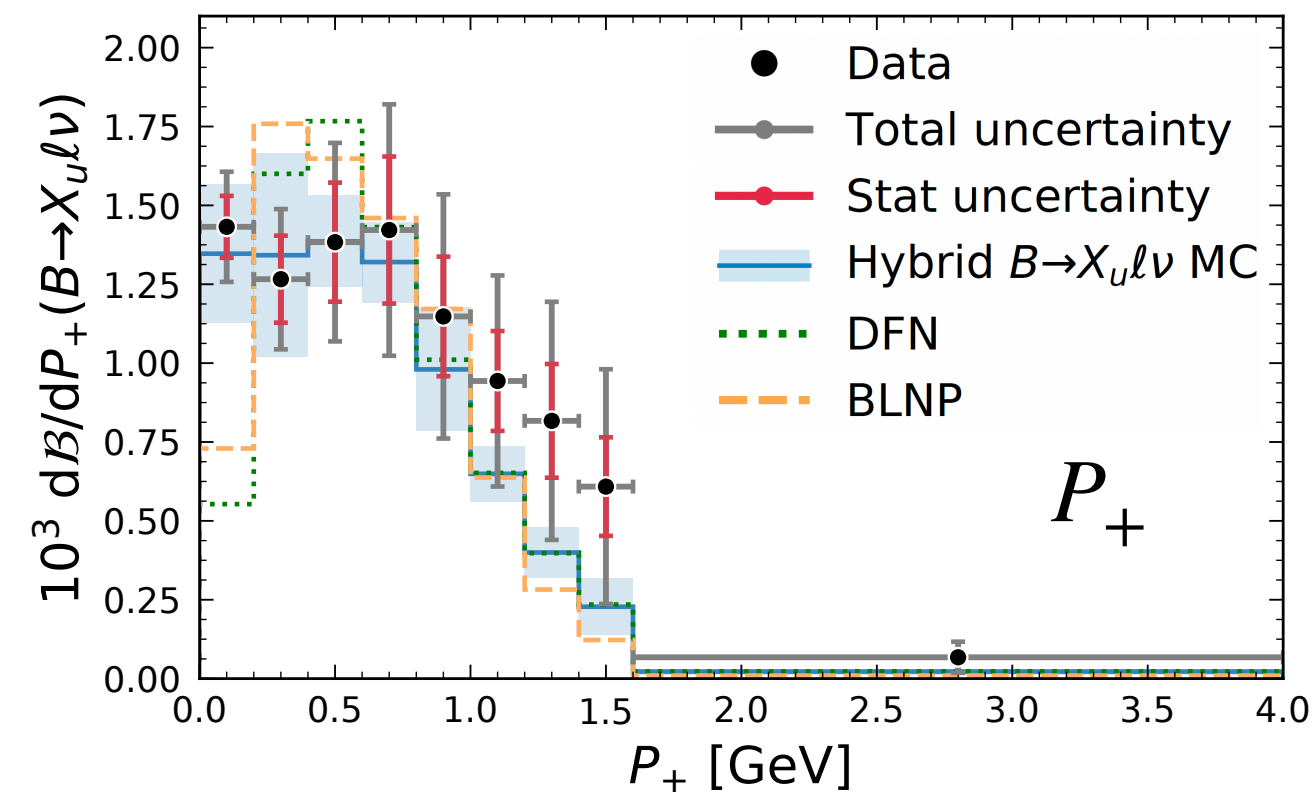
compatible with excl. and CKM expectation within  $1.3\sigma$  and  $1.6\sigma$ , respectively

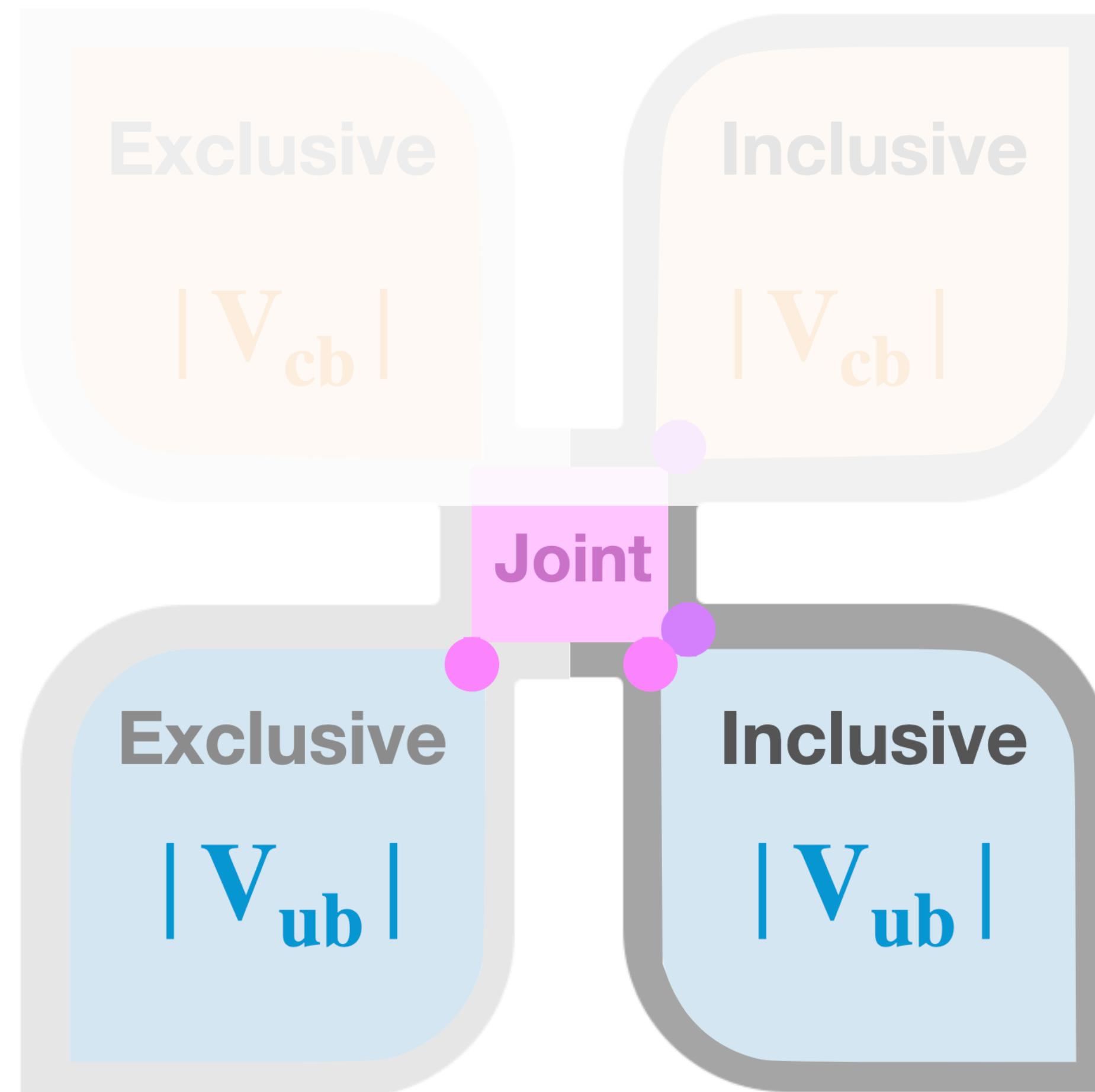
# First Measurement of Differential Spectra of $B \rightarrow X_u \ell \nu$



PRL 127, 261801 (2021)

- Inherit **same analysis strategy** in the partial BF measurement [PRD 104 , 012008 (2021)]
- Background subtracted via  $M_X$  fit, further corrected for efficiency & acceptance effects (phase space:  $E_\ell^B > 1$  GeV)
- Necessary input for future **model-independent** determinations of  $|\mathbf{V}_{ub}|$  (e.g. [NNVub](#), [SIMBA](#))

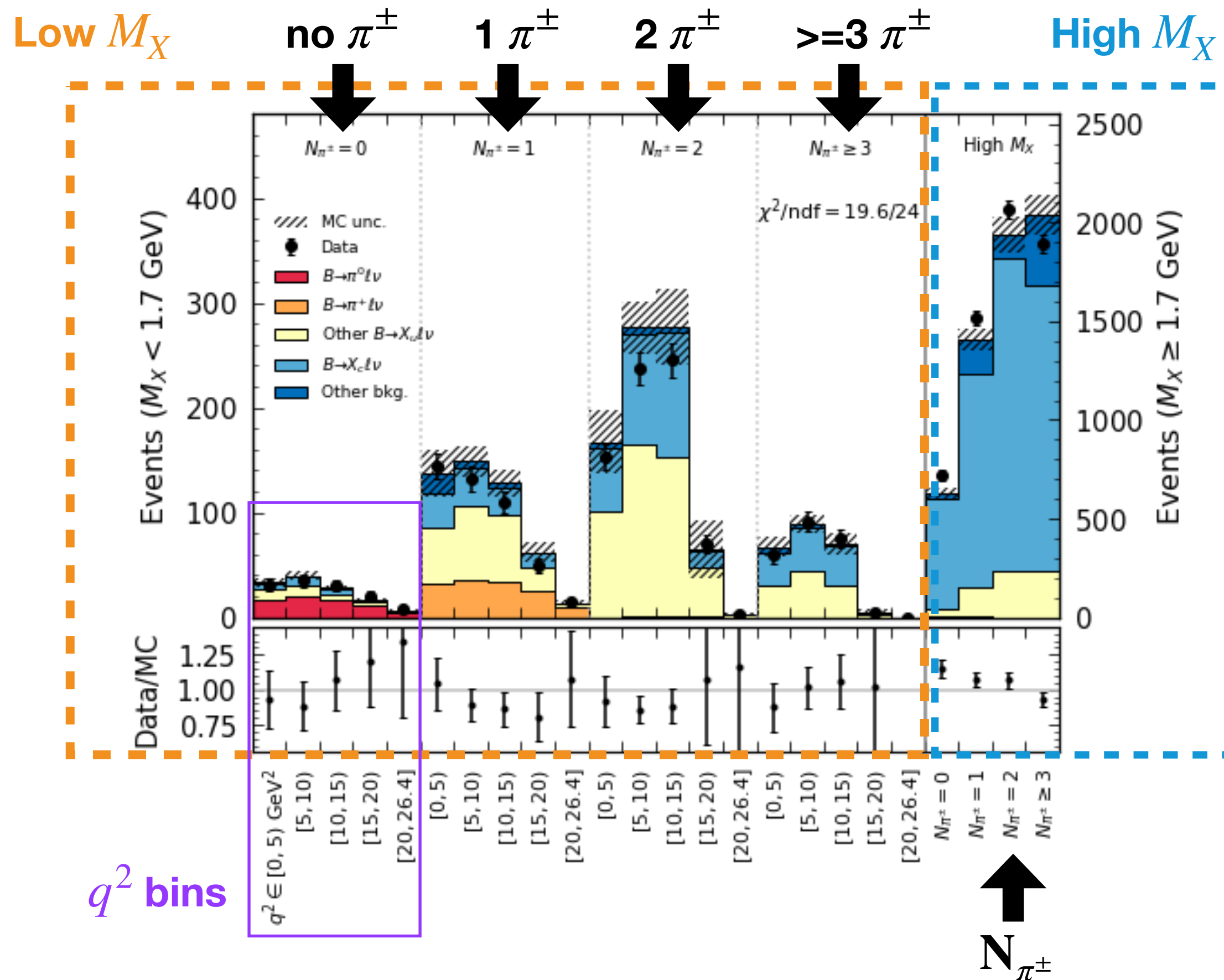






# First Simultaneous Determination of Incl. & Excl. $|V_{ub}|$

- Inherit **same analysis strategy** in the partial BF measurement [PRD 104 , 012008 (2021)]
- Extract signal in  $q^2 : N_{\pi^\pm}$  for  $B \rightarrow \pi \ell \nu$  and  $B \rightarrow X_u \ell \nu$  simultaneously
- Fitter incorporates experimental observation of templates' **normalisations** and  $B \rightarrow \pi \ell \nu$  **form factor ( $q^2$  shape)**



Fit results provide all  $\mathcal{B}$  and  $B \rightarrow \pi \ell \nu$  FF (decay rate)

=> derive exclusive and inclusive  $|V_{ub}|$

$$\mathcal{B}(B \rightarrow X_u \ell \nu) = \mathcal{B}(B \rightarrow \pi^0 \ell \nu) + \mathcal{B}(B \rightarrow \pi^+ \ell \nu) + \mathcal{B}(B \rightarrow X_u^{\text{other}} \ell \nu)$$

$$\Delta \mathcal{B}(B \rightarrow X_u \ell \nu) = \mathcal{B}(B \rightarrow X_u \ell \nu) \cdot \epsilon_{\Delta \text{PS}; E_{\ell}^{\text{eff}} > 1 \text{ GeV}}$$

$$|V_{ub}^{\text{incl.}}| = \sqrt{\frac{\Delta \mathcal{B}(B \rightarrow X_u \ell \nu)}{\tau_B \cdot \Delta \Gamma_{\text{GGOU}}}} \quad |V_{ub}^{\text{excl.}}| = \sqrt{\frac{\mathcal{B}(B \rightarrow \pi \ell \nu)}{\tau_B \cdot \Gamma_{\text{FF}}}}$$

Theoretical decay rate based on GGOU prediction [Gambino-Giordano-Ossola-Uraltsev, JHEP 10 (2007) 058]

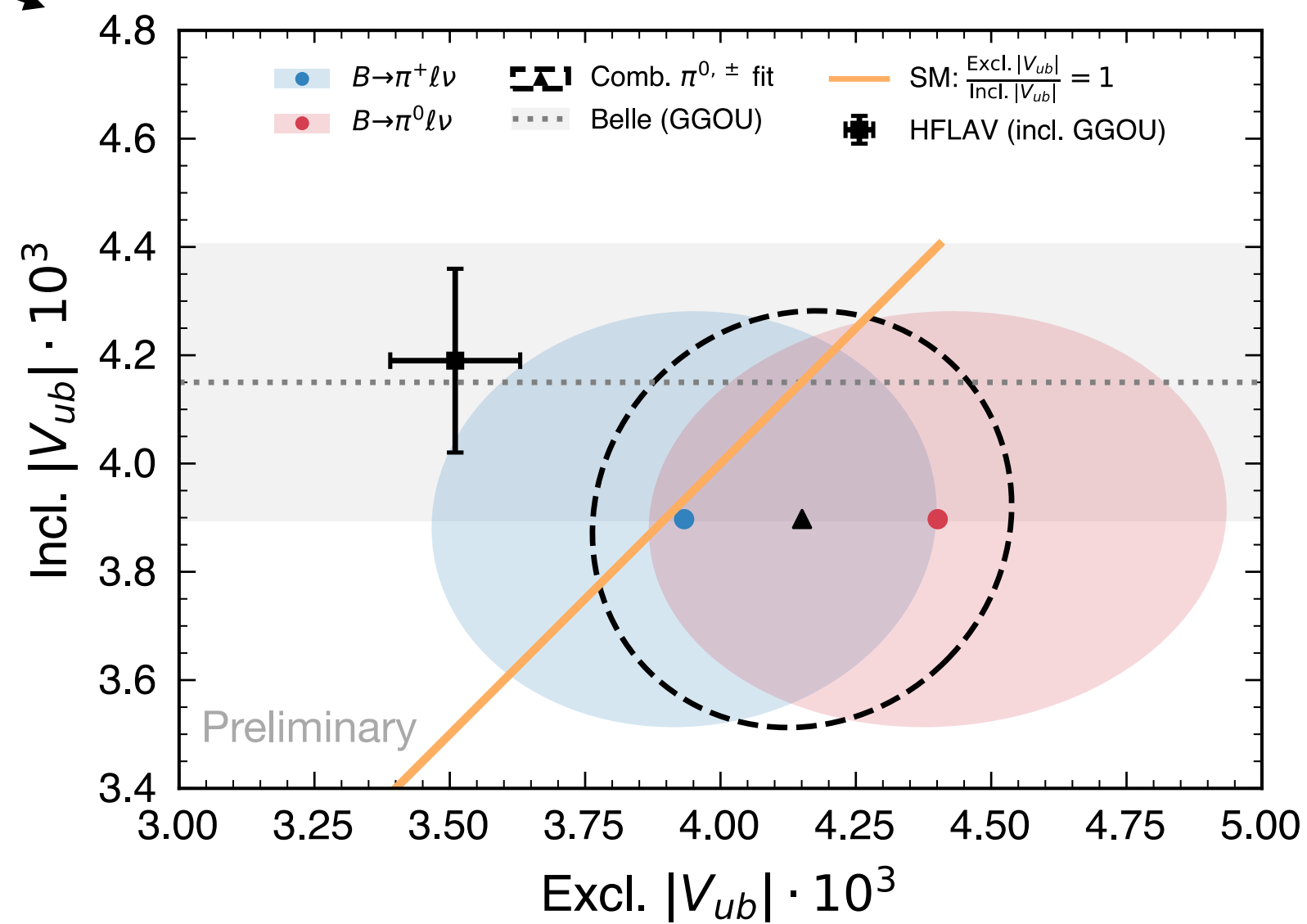
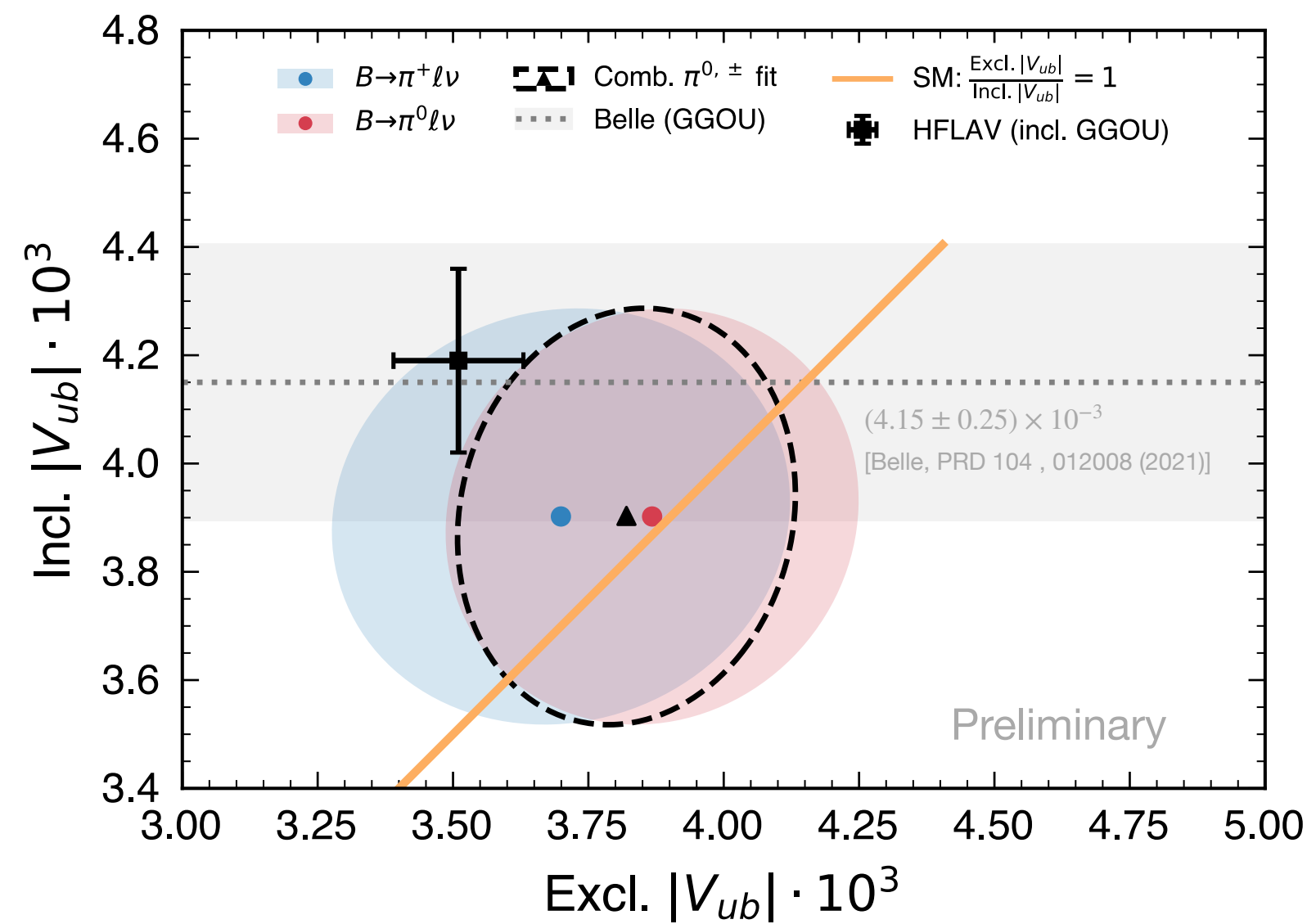
# First Simultaneous Determination of Incl. & Excl. $|V_{ub}|$



arXiv: 2303.17309

Preliminary

- Various fit scenarios applied:
  - **Combined** or separate  $B \rightarrow \pi^+ \ell \nu$ ,  $B \rightarrow \pi^0 \ell \nu$  (iso-spin relation)
  - Input BCL constraint: **LQCD + exp.** or **only LQCD** [FLAG: Eur. Phys. J. C 82, 869 (2022)]



$|V_{ub}|$  in **combined** scenario with **LQCD+exp** const.:

Preliminary

**Excl.**  $(3.78 \pm 0.23_{\text{stat}} \pm 0.16_{\text{syst}} \pm 0.14_{\text{theo}}) \times 10^{-3}$

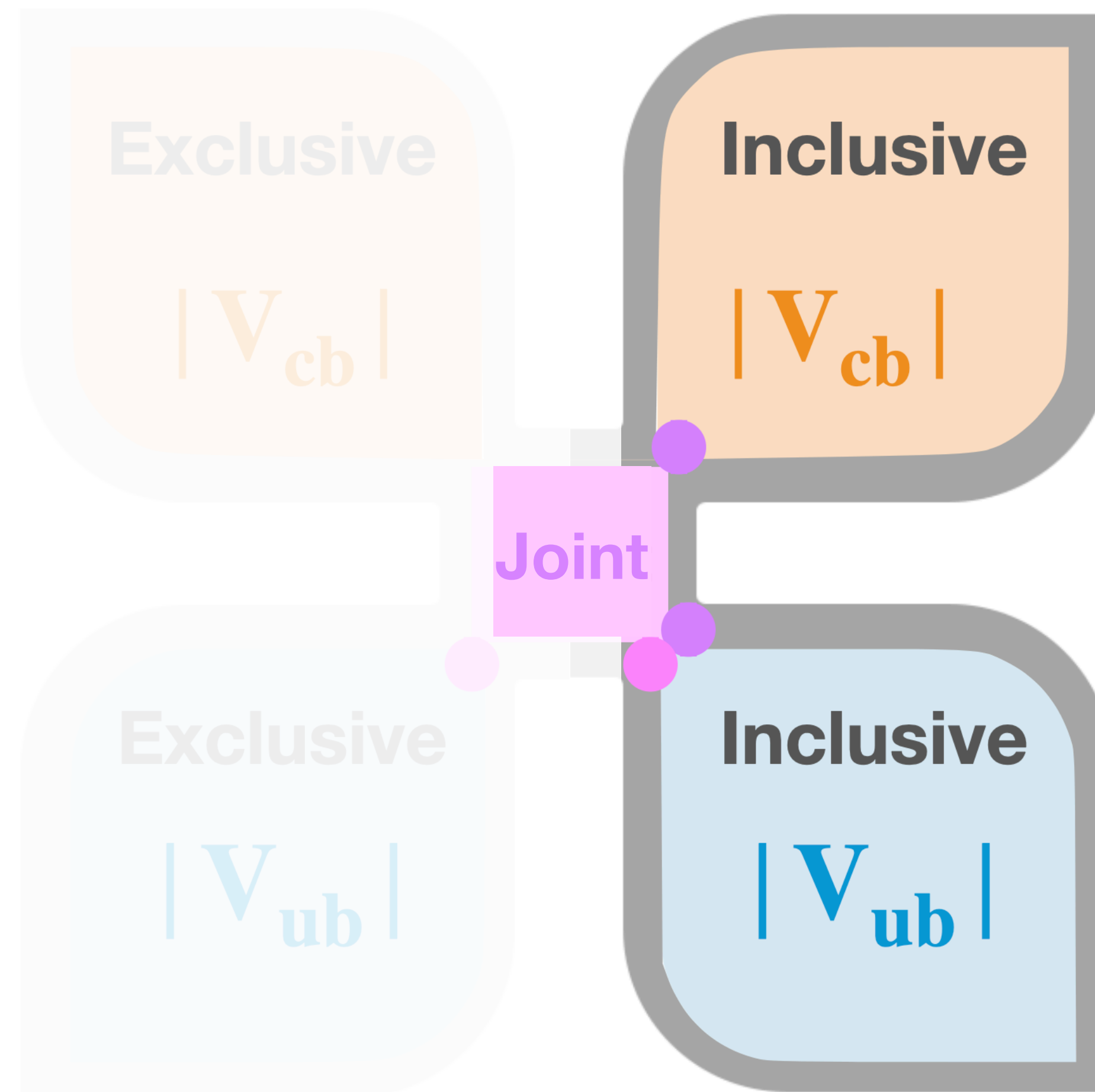
**Incl.**  $(3.90 \pm 0.20_{\text{stat}} \pm 0.32_{\text{syst}} \pm 0.09_{\text{theo}}) \times 10^{-3}$

**Ratio**  $0.97 \pm 0.12 \quad (\rho = 0.10)$

Weighted average of excl. & incl.

$(3.85 \pm 0.26) \times 10^{-3}$

**CKM global fit** (w/o  $|V_{ub}|$ ):  $(3.64 \pm 0.07) \times 10^{-3}$ ,  
compatible within  $0.8\sigma$



# Ratio of Inclusive $\Delta\mathcal{B}(B \rightarrow X_u \ell \nu)$ and $\Delta\mathcal{B}(B \rightarrow X_c \ell \nu)$

- Full Belle data set of 711 fb<sup>-1</sup> with **Hadronic tagging** using Belle II tool (Full Event Interpretation)
- **Modified  $B \rightarrow X_c \ell \nu$  modeling** using sideband data
- $B \rightarrow X_u \ell \nu$  yields extracted in  $q^2 : p_\ell^B$
- Measured partial phase space region of  $p_\ell^B > 1$  GeV with fractions of  $\epsilon_\Delta^u = 86\%$ ,  $\epsilon_\Delta^c = 79\%$

Preliminary

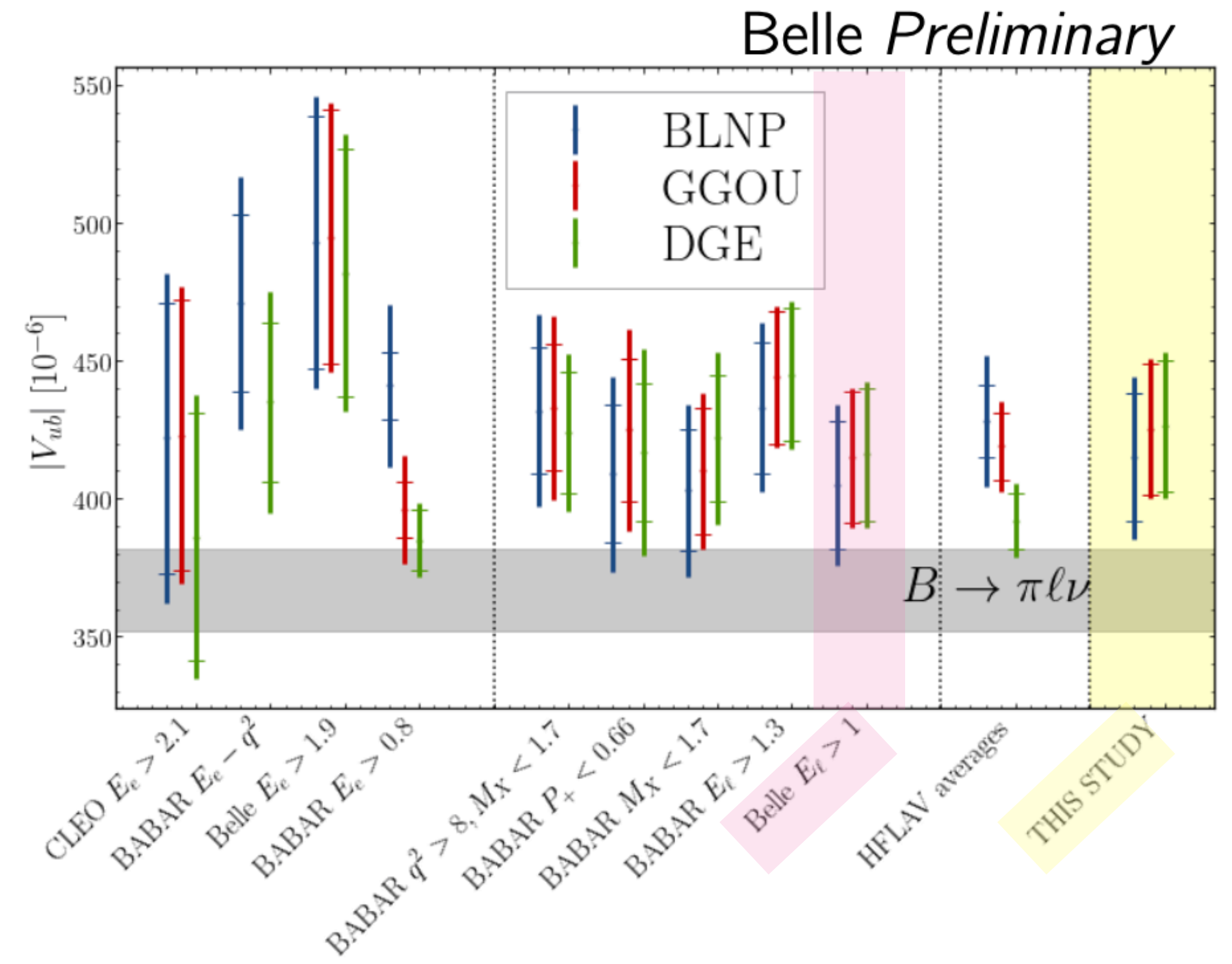
$$\frac{\Delta\mathcal{B}(B \rightarrow X_u \ell \nu)}{\Delta\mathcal{B}(B \rightarrow X_c \ell \nu)} = 1.95(1 \pm 8.4\%_{\text{stat}} \pm 7.8\%_{\text{syst}}) \times 10^{-2}$$

Preliminary

Based on this, one could try the following two quick and naive conversions

$$|V_{ub}| = \sqrt{\frac{1}{\tau_B \Delta\Gamma(B \rightarrow X_u \ell \nu)} \frac{\Delta\mathcal{B}(B \rightarrow X_u \ell \nu)}{\Delta\mathcal{B}(B \rightarrow X_c \ell \nu)} \Delta\mathcal{B}(B \rightarrow X_c \ell \nu)}$$

WA: (8.55 ± 0.13)%



Consistent with recent Belle result PRD 104 , 012008 (2021)

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Preliminary

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Preliminary

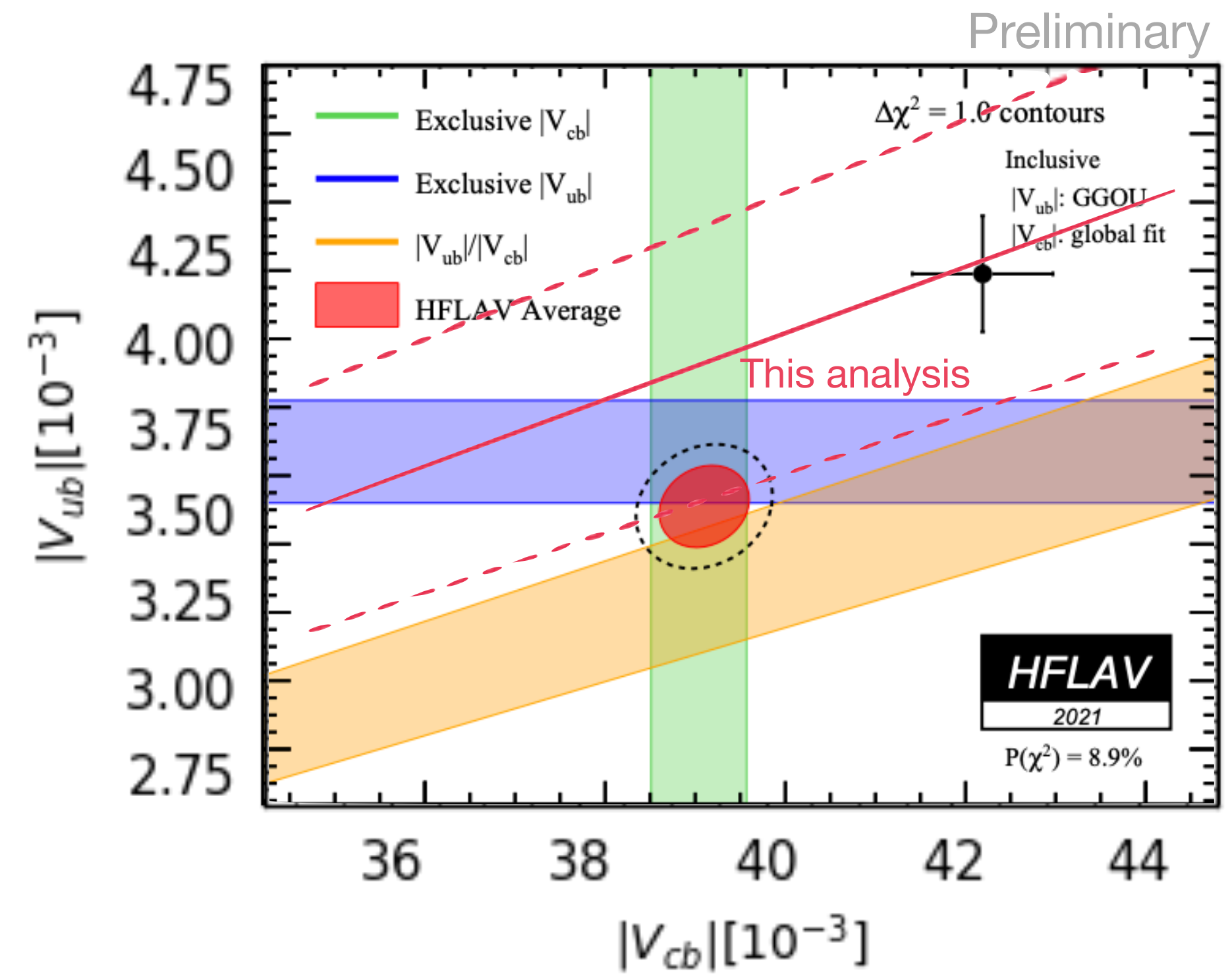
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$$\frac{|V_{ub}|}{|V_{cb}|} = \sqrt{\frac{\Delta\mathcal{B}(B \rightarrow X_u\ell\nu) \Delta\Gamma(B \rightarrow X_c\ell\nu)}{\Delta\mathcal{B}(B \rightarrow X_c\ell\nu) \Delta\Gamma(B \rightarrow X_u\ell\nu)}}$$

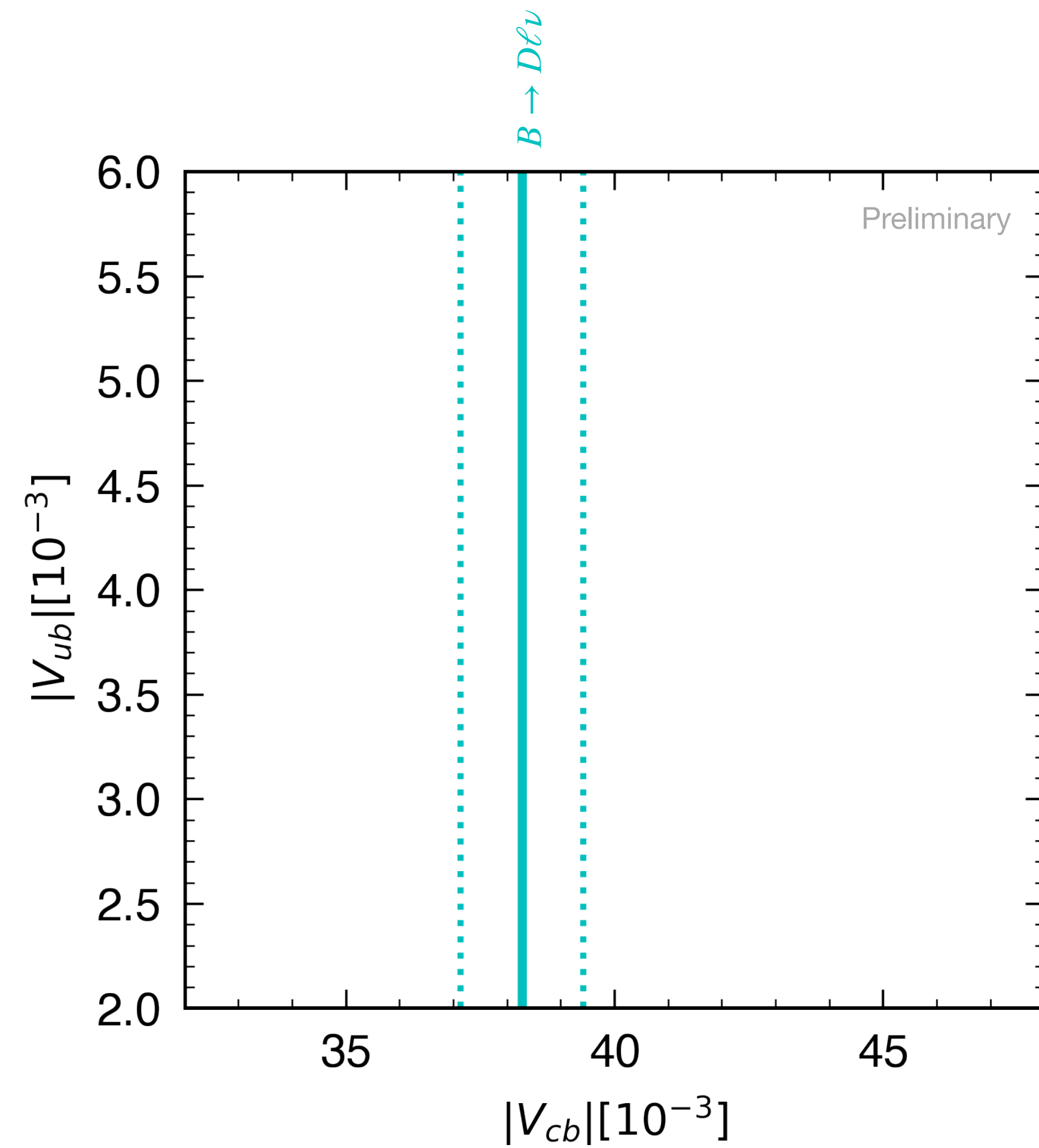
**Theo. decay rates:**  $\Delta\Gamma^{\text{GGOU}}(B \rightarrow X_u\ell\nu) = 58.5 \pm 2.7 \text{ ps}^{-1}$   
 [PRD 107, 052008 (2023)]  
 $\Delta\Gamma^{\text{Kin}}(B \rightarrow X_c\ell\nu) = 29.9 \pm 1.2 \text{ ps}^{-1}$



Preliminary

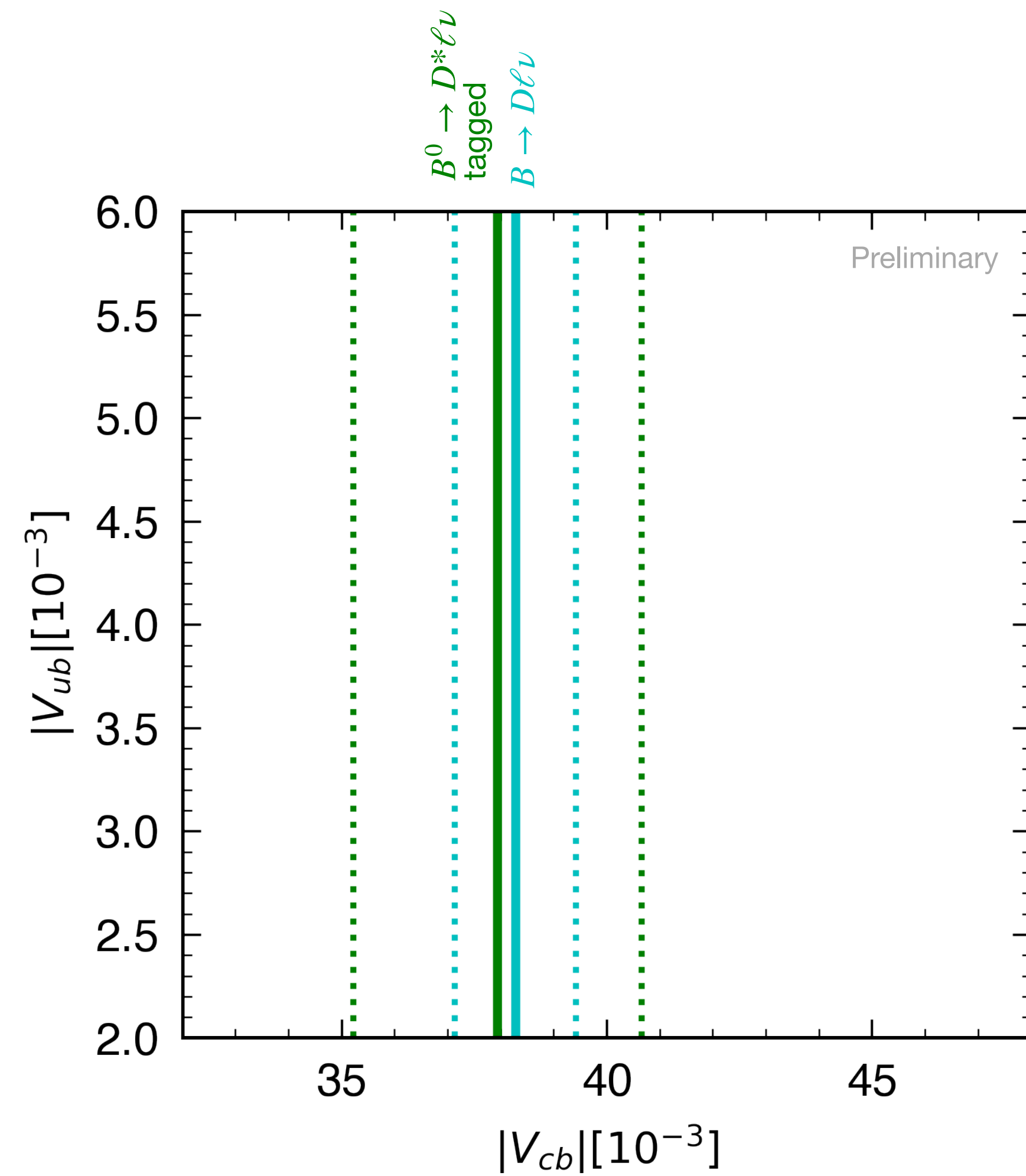
# Summary

- Many new results are measured recently and will be very helpful to examine the long-standing  $|V_{xb}|$  puzzle



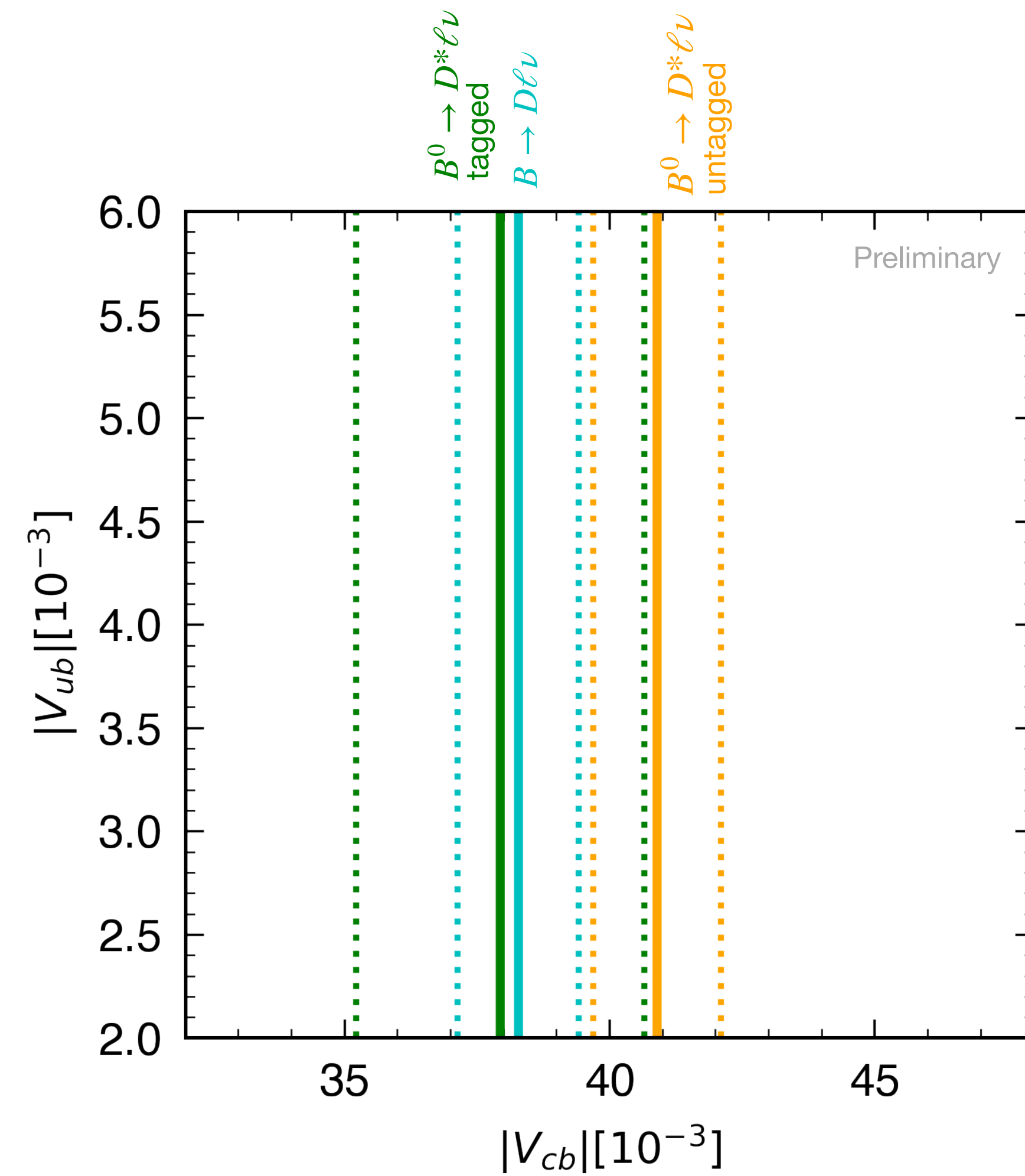
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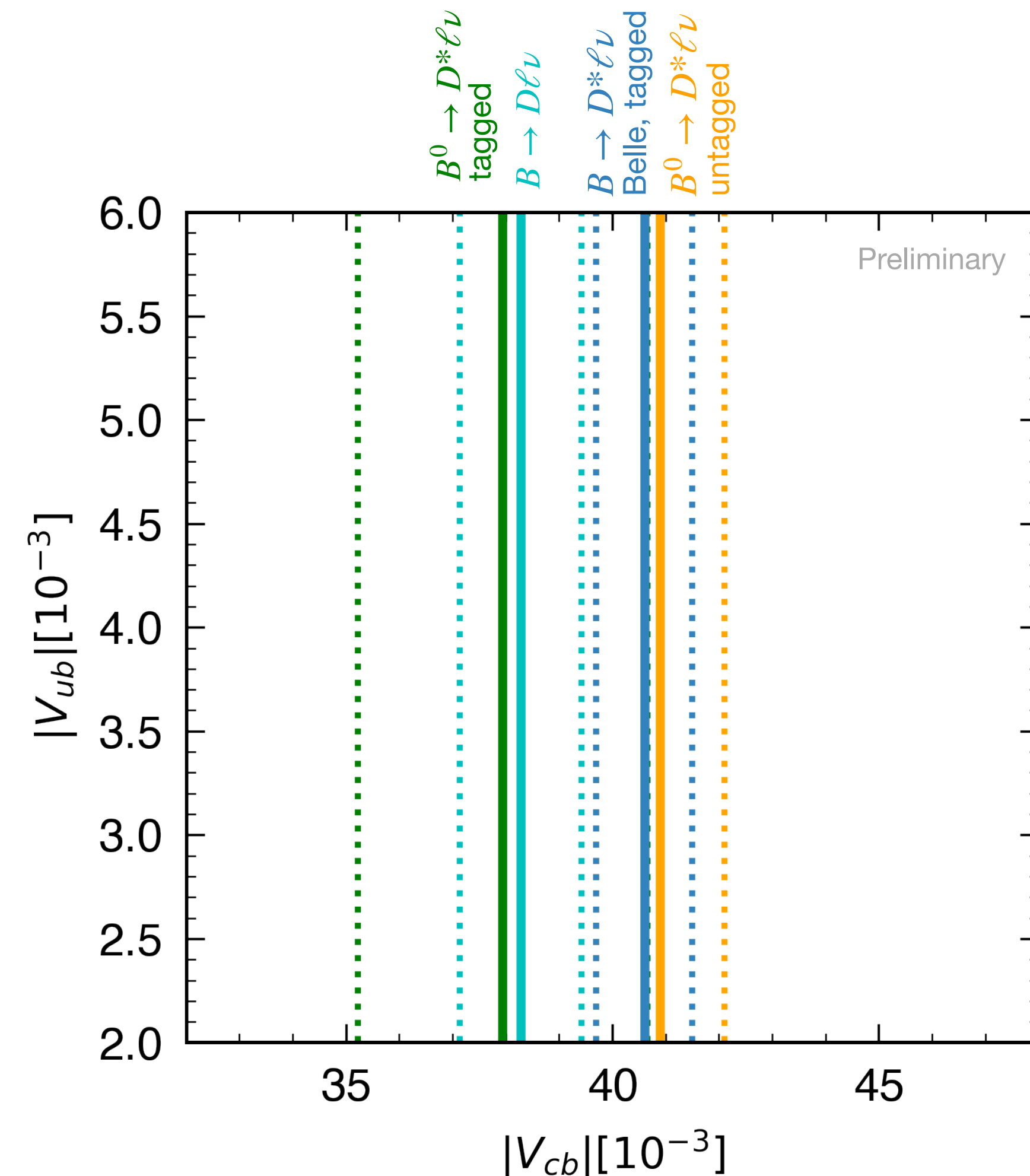
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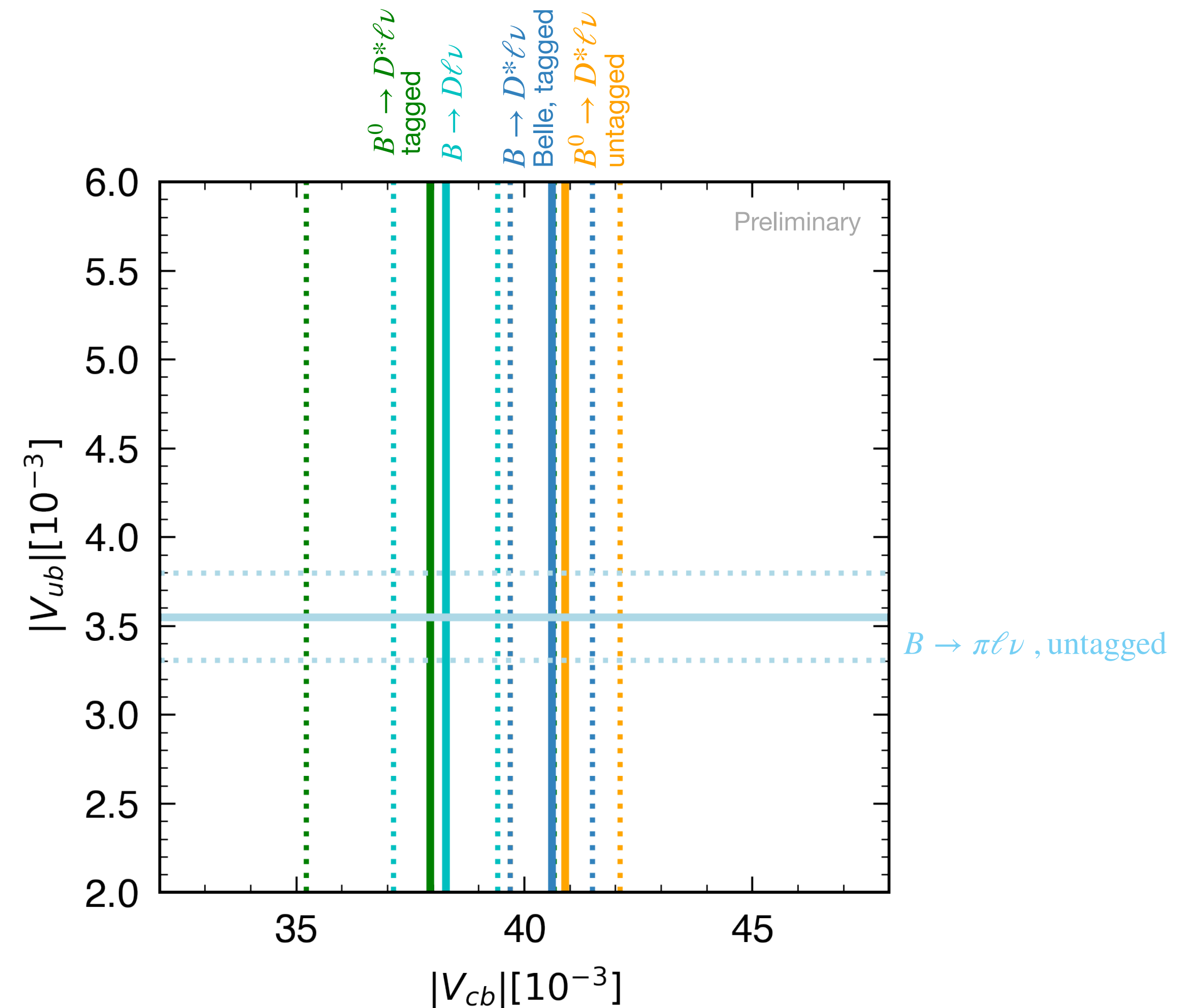
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  - BGL & CLN resulted in consistent  $|V_{cb}|$  (no dependence on parameterizations)



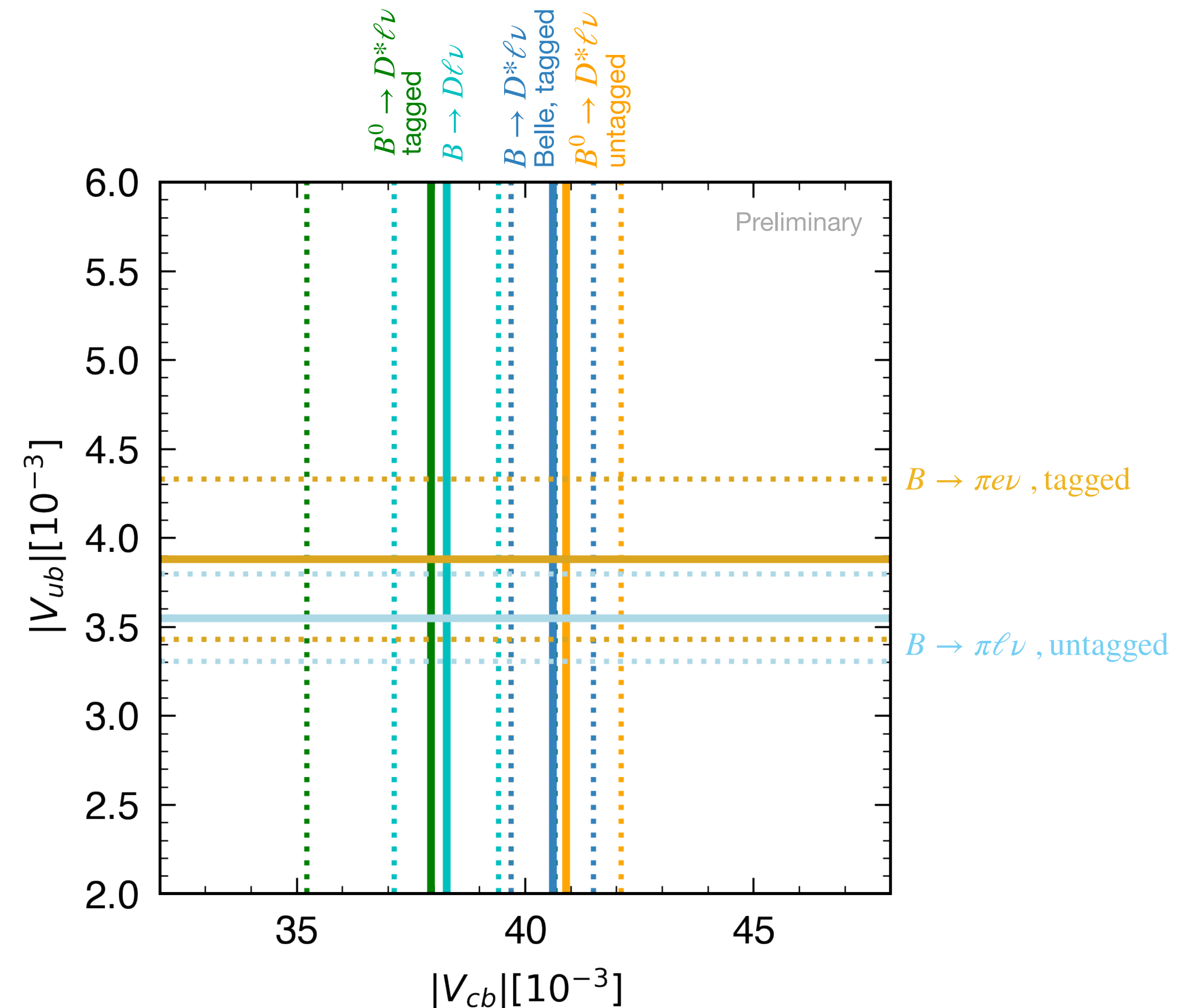
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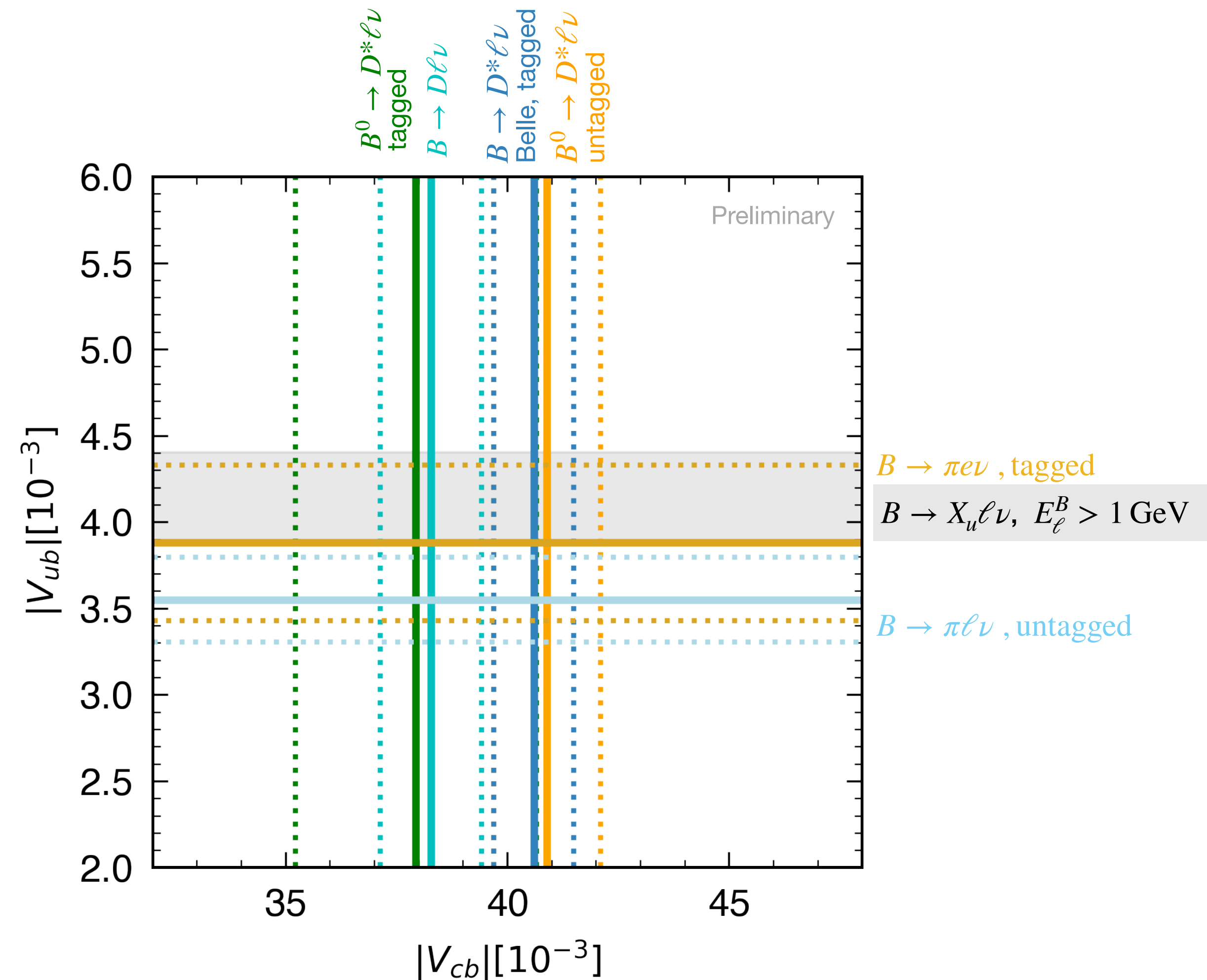
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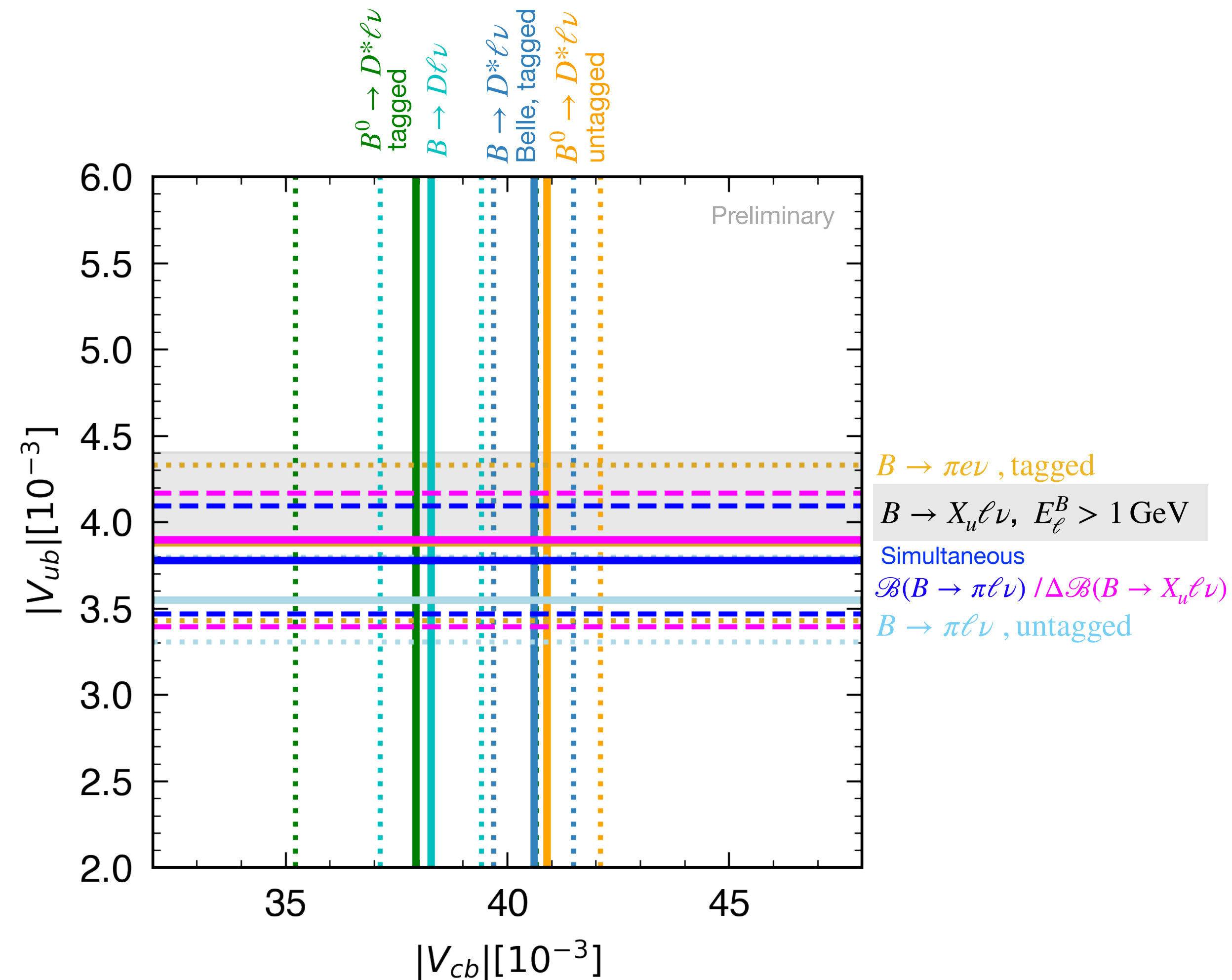
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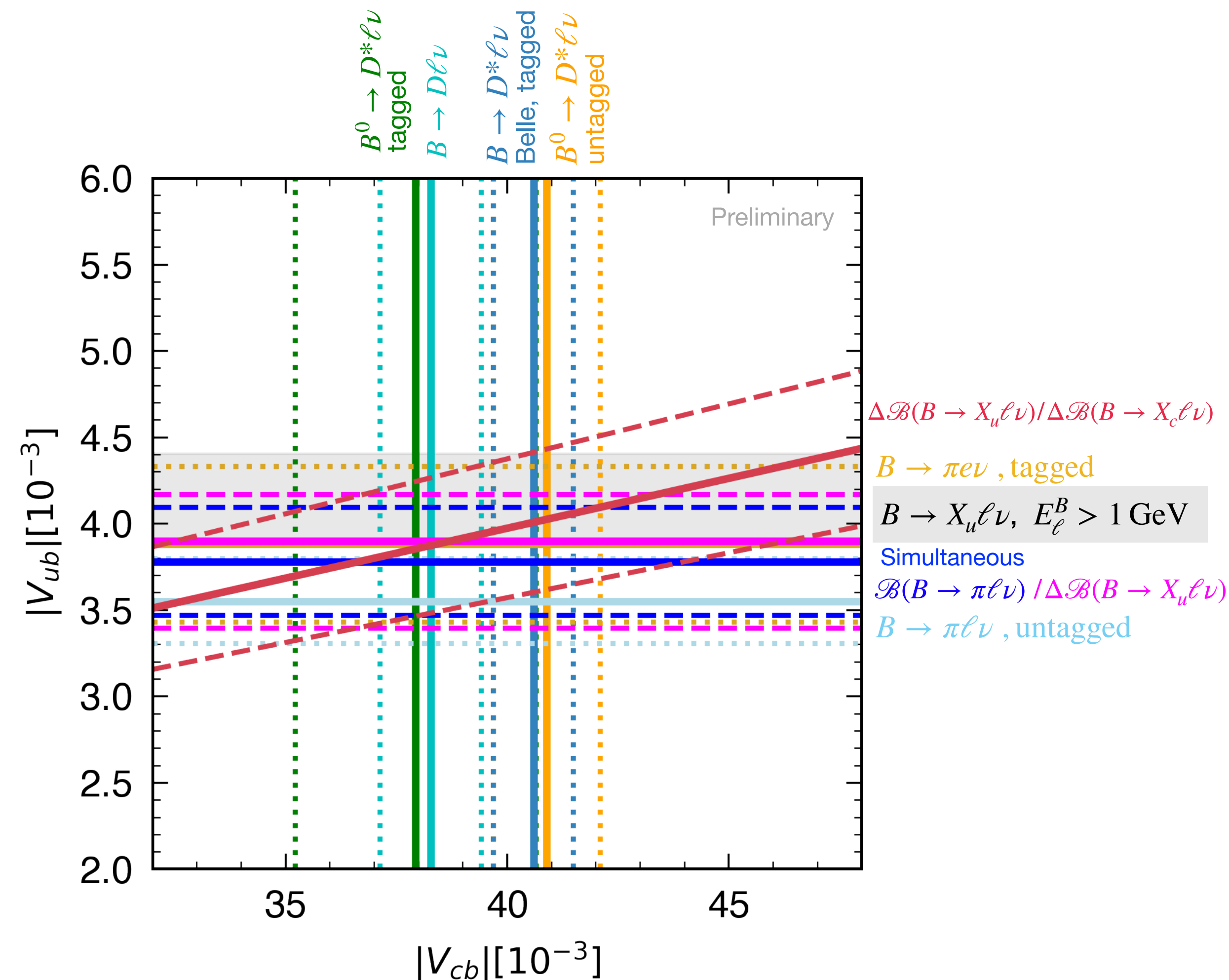
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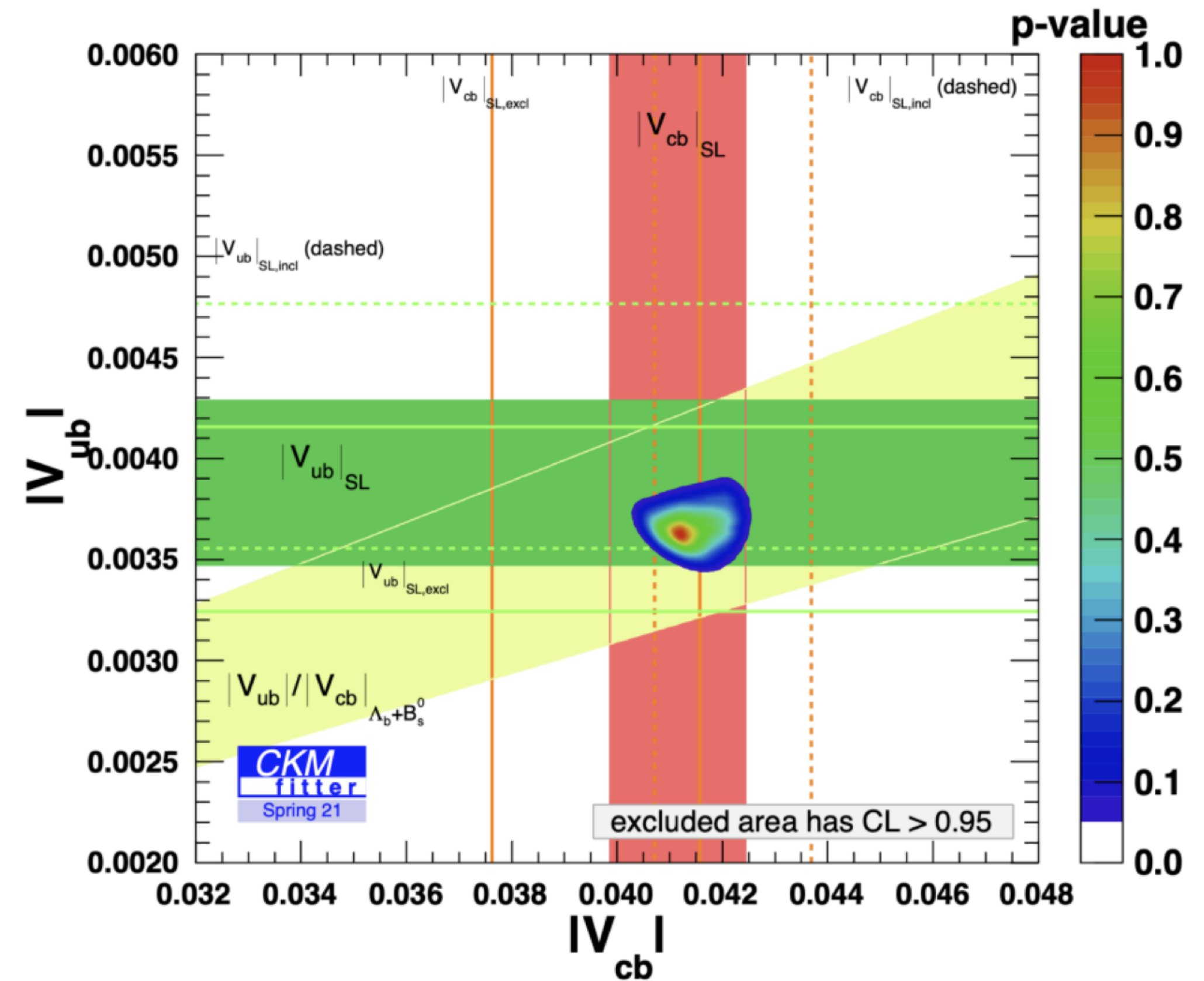
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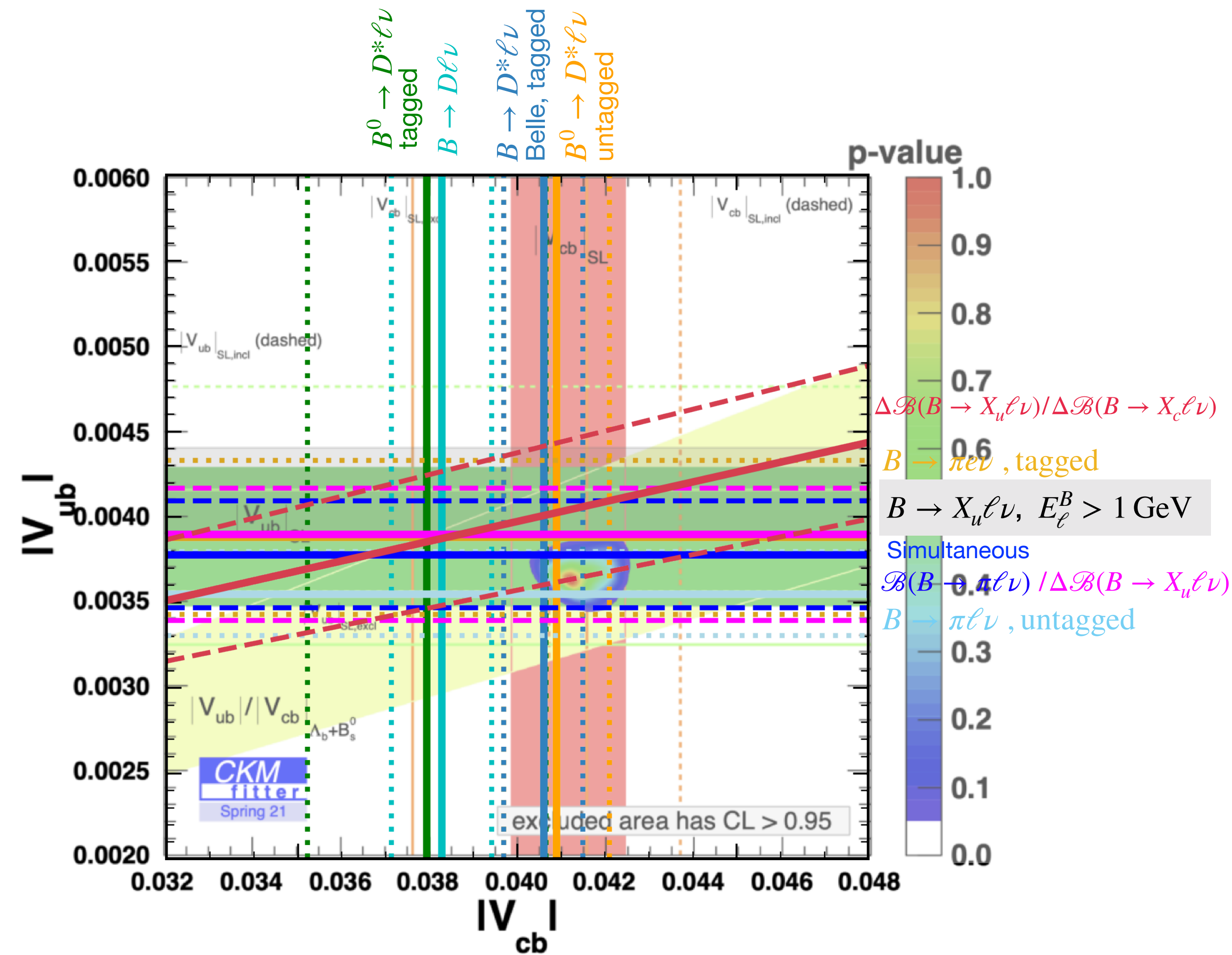
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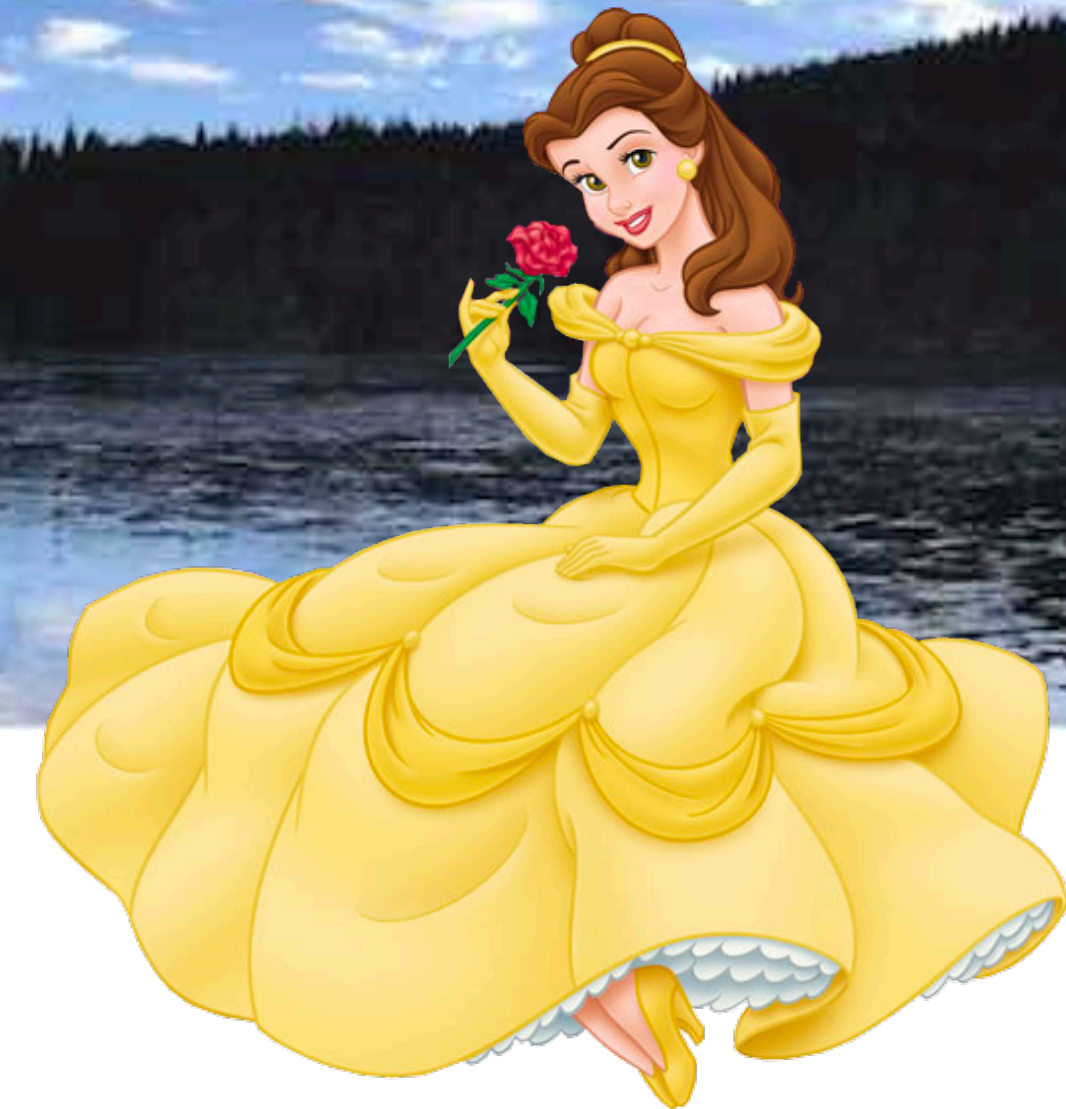
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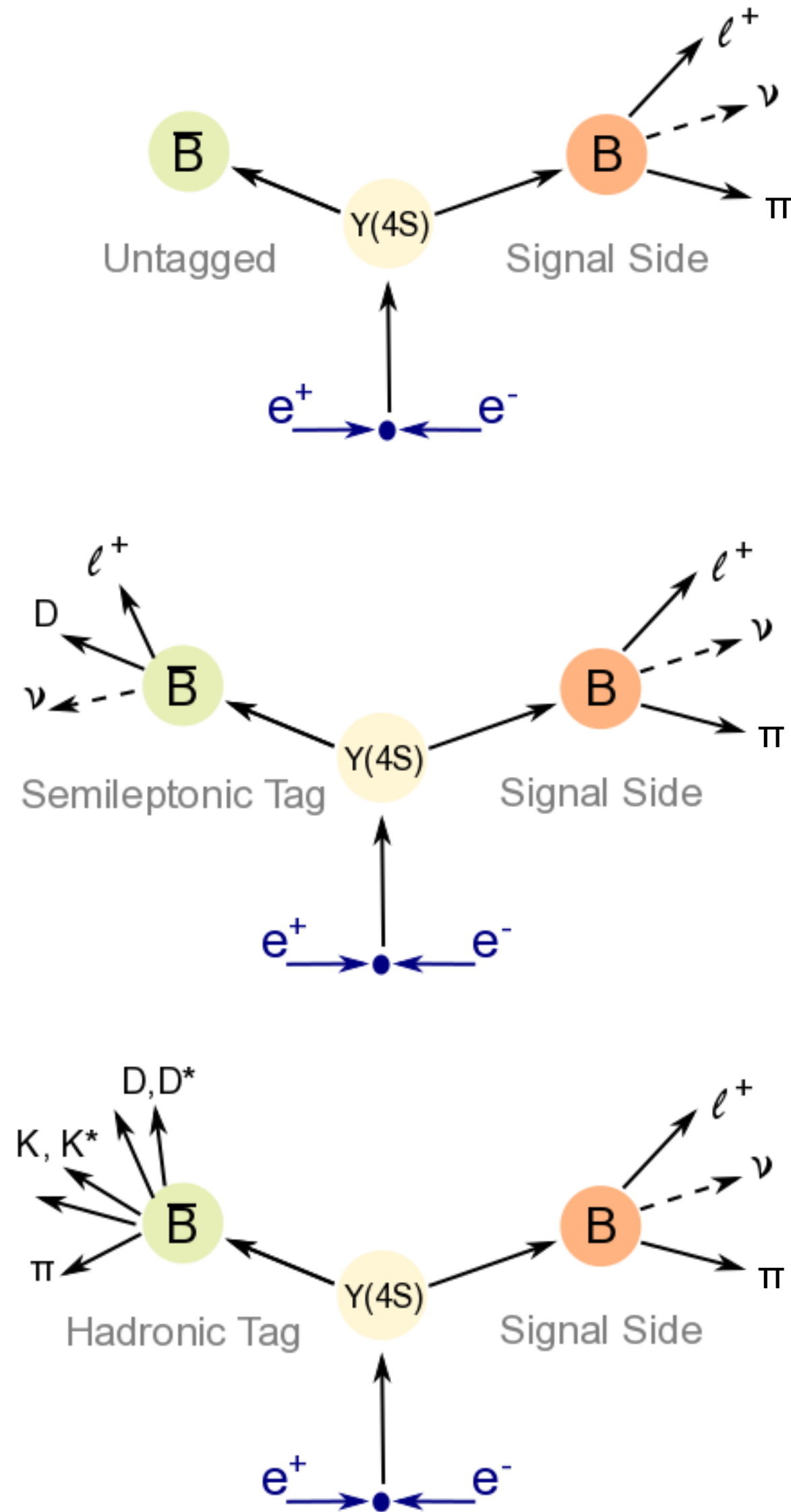




THANK YOU  
THANK YOU



# Backup: Tagging vs. Untagging



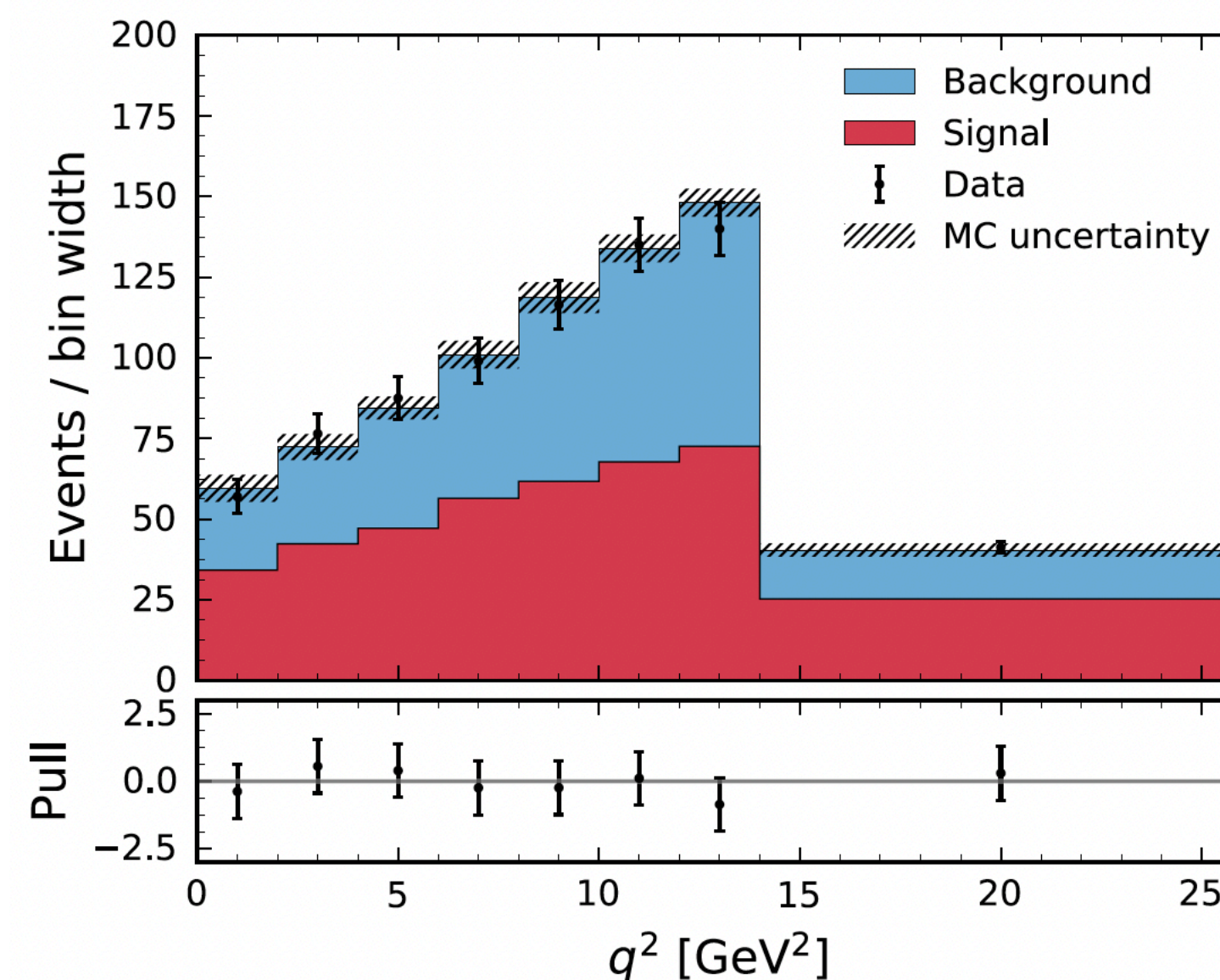
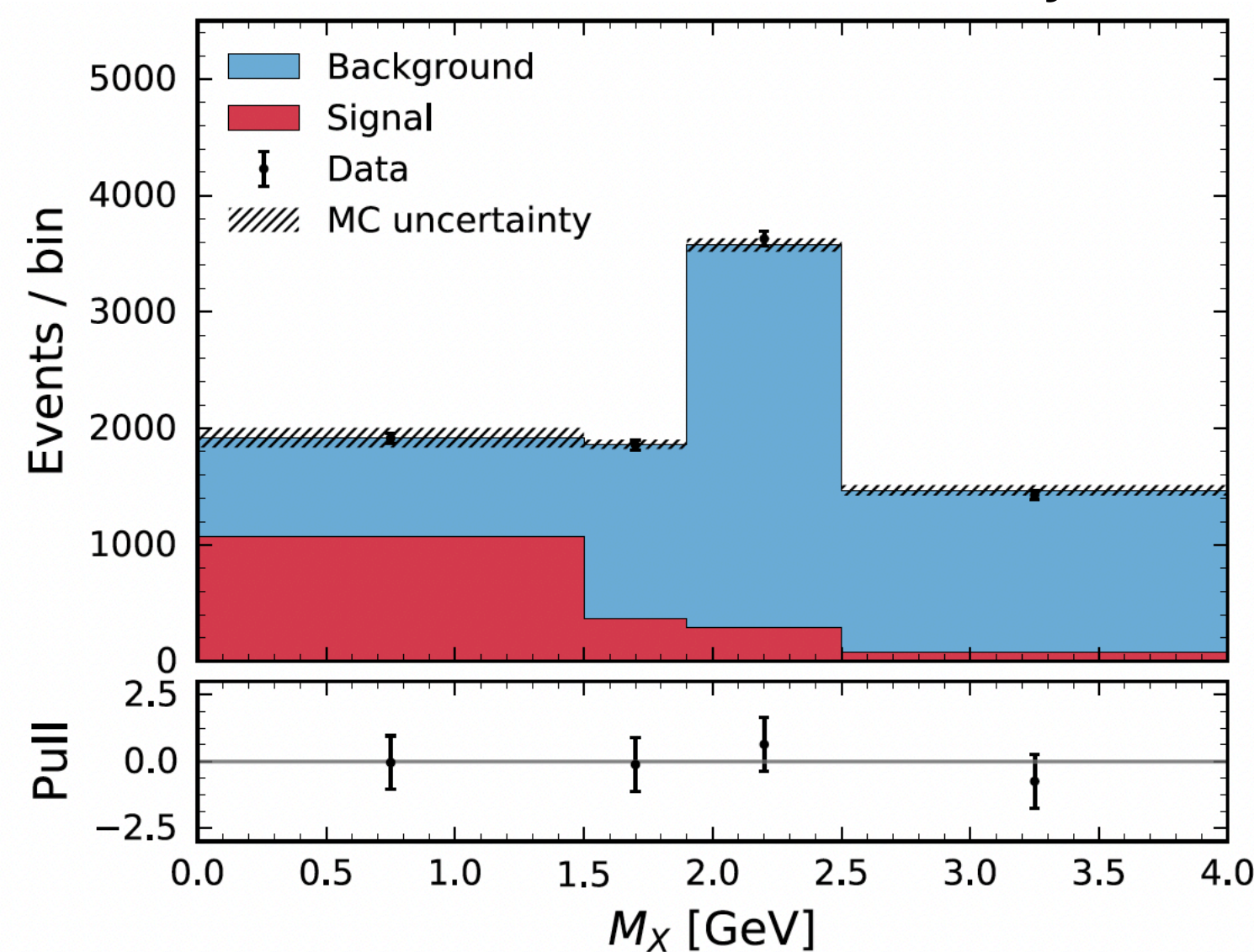
- Untagged
  - Loose constraints on signal
  - Very large statistics, but also very large background
  - Efficiency  $\epsilon \approx \mathcal{O}(100\%)$
- Semileptonic tag
  - Mid-range reconstruction efficiency
  - Due to multiple neutrinos, less information about  $B_{\text{tag}}$
- Hadronic tag
  - Cleaner sample
  - Knowledge of  $p(B_{\text{sig}})$
  - Low tag-side efficiency  $\epsilon \approx \mathcal{O}(0.1\%)$

- Extract signal using binned likelihood in **3 phase space (PS) regions**:

- $E_\ell^B > 1 \text{ GeV}$  (covers 86% of available signal PS)
- $E_\ell^B > 1 \text{ GeV}, M_X < 1.7 \text{ GeV}$  (56%)
- $E_\ell^B > 1 \text{ GeV}, M_X < 1.7 \text{ GeV}, q^2 > 8 \text{ GeV}^2$  (31%)

→ Fit either  $E_\ell^B, M_X, q^2$  or 2D ( $M_X: q^2$ )

Projection of 2D fit result



$$\Delta\mathcal{B}(B \rightarrow X_u \ell^+ \nu_\ell; \text{Reg}) = \frac{\hat{\eta}_{\text{sig}} \cdot \epsilon_{\Delta\mathcal{B}(\text{Reg})}}{4(\epsilon_{\text{tag}} \cdot \epsilon_{\text{sel}}) \cdot N_{BB}}$$

$$\Delta\mathcal{B}(E_\ell^B > 1\text{GeV}) = (1.59 \pm 0.07 \pm 0.16) \times 10^{-3}$$

Measurements separate for  
 $B^+, B^0, e, \mu$  modes

# Backup: New Method of Extracting $|V_{ub}^{\text{incl.}}|$

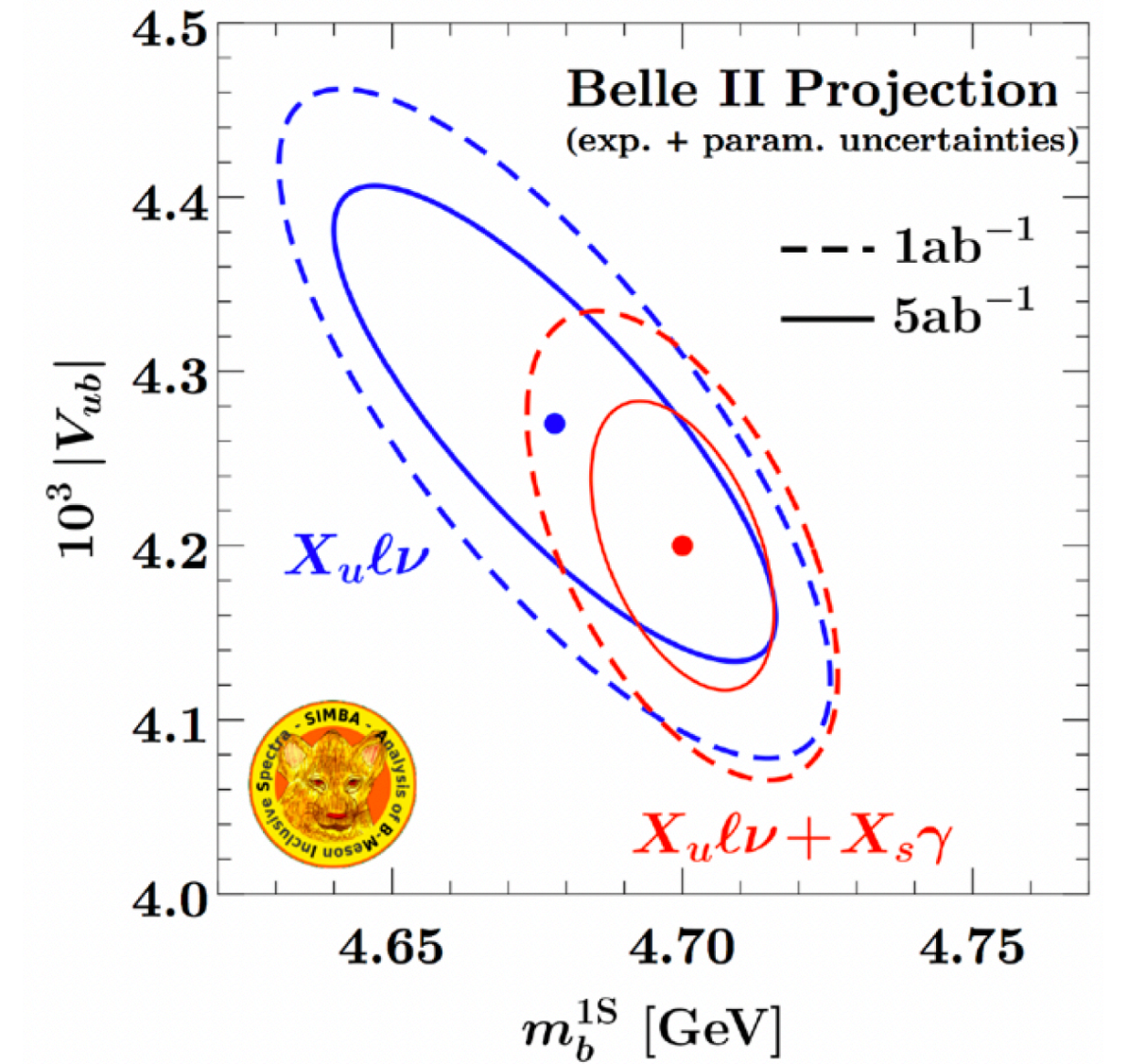
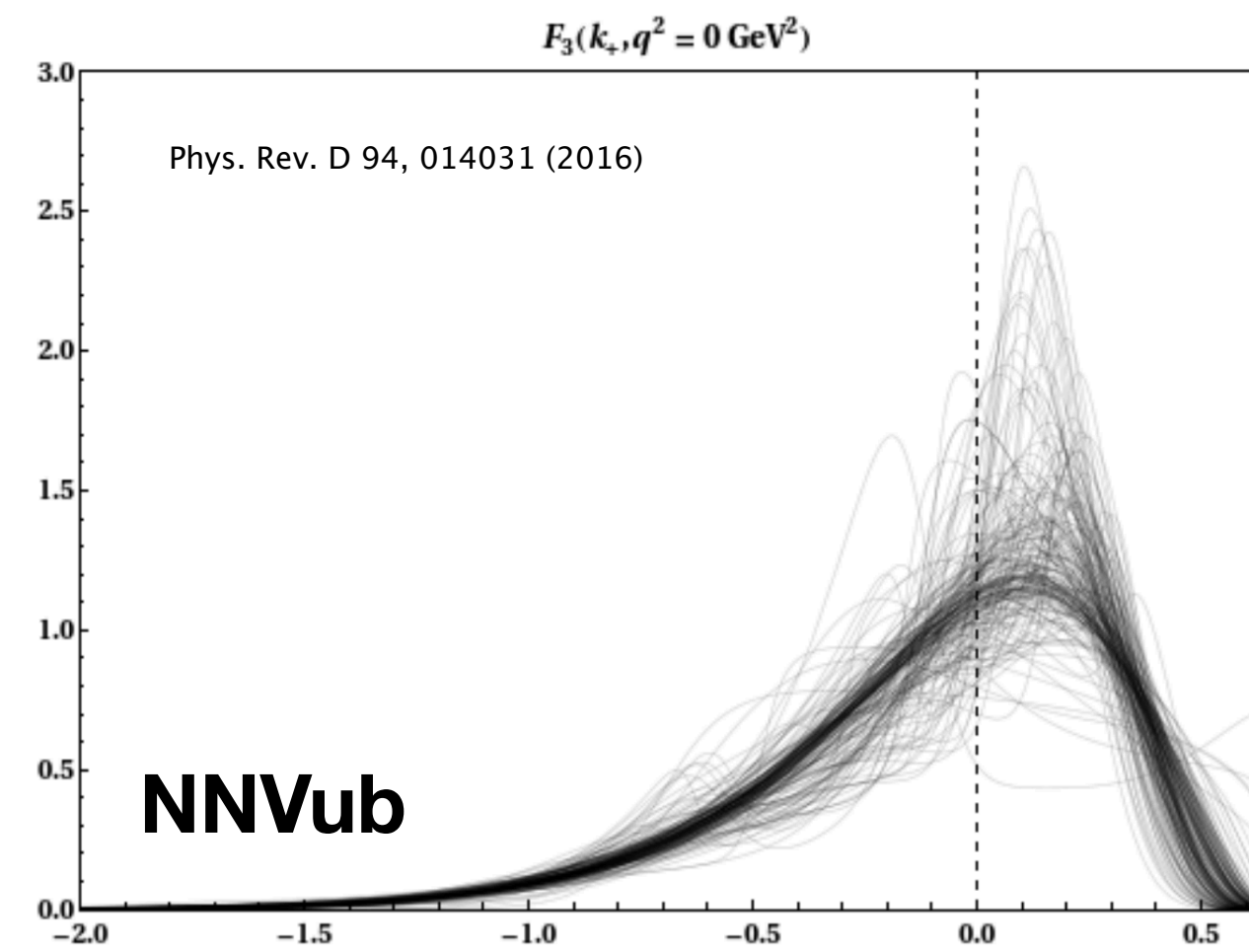
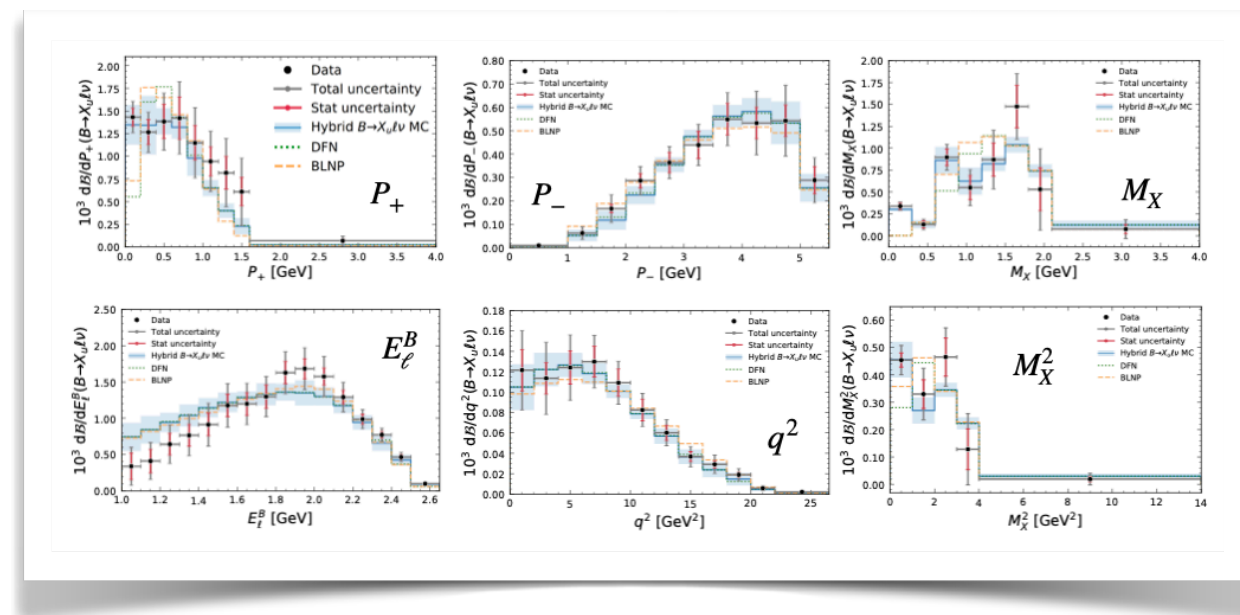
- Allows direct extraction of coefficients for non-perturbative **shape functions** in a global fit and  $|V_{ub}|$
- Uncertainty can be further shrunk by including other inclusive B decays, e.g  $B \rightarrow X_s \gamma$ ,  $B \rightarrow X_c \ell \nu$  as the shape function in LO is universal
- Methods proposed by SIMBA, NNVub

What can we gain for incl.  $|V_{ub}|$ ?

Direct & more model-independent extraction

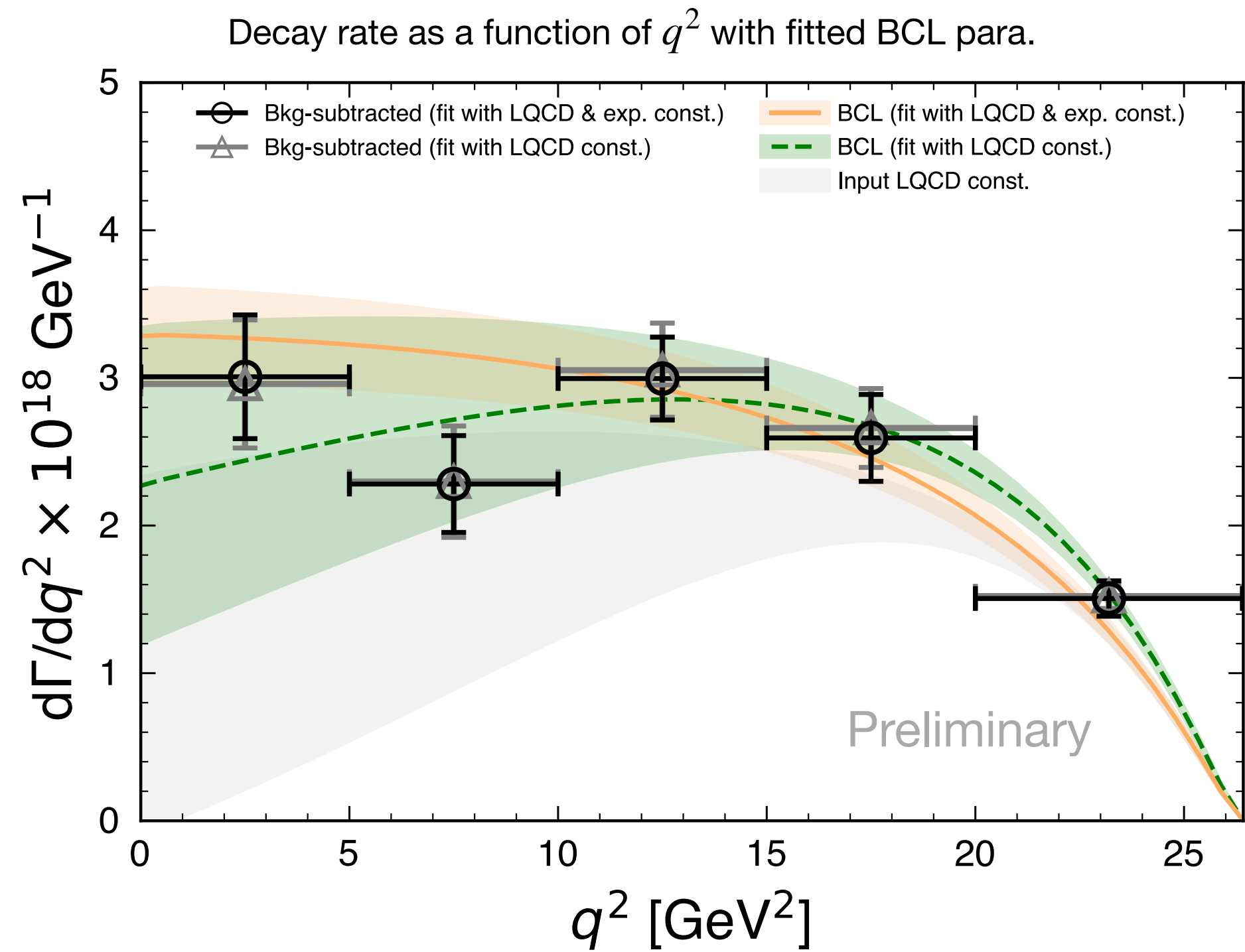
Normalization  $\Rightarrow$  Kin. shapes + Normalization

$$|V_{ub}| = \sqrt{\frac{\Delta \mathcal{B}(B \rightarrow X_u \ell \nu)}{\tau_B \cdot \Delta \Gamma(B \rightarrow X_u \ell \nu)}}$$

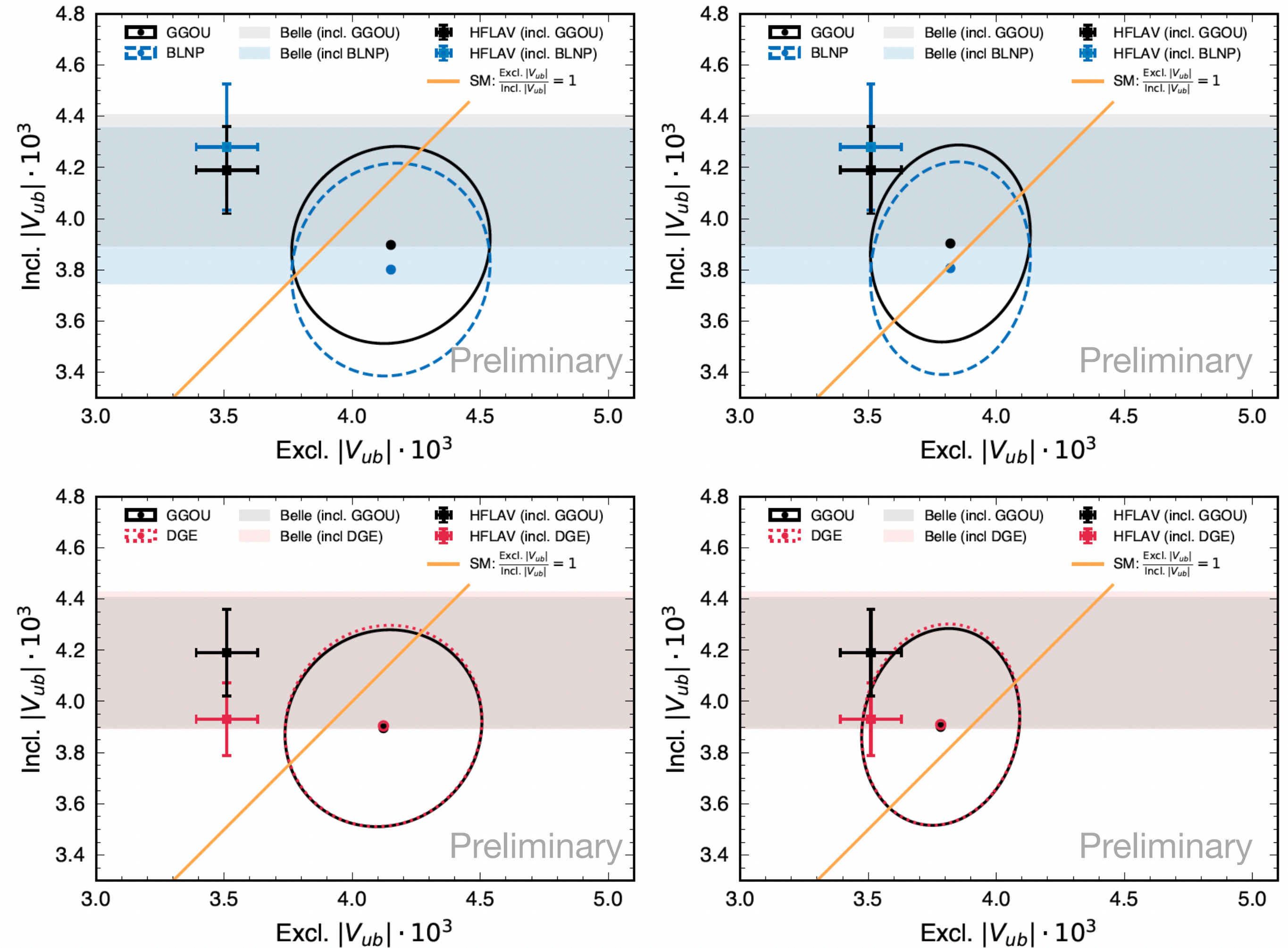


Tightly collaborating with both theory groups to extract  $|V_{ub}^{\text{incl.}}|$  (work in progress)

Preliminary

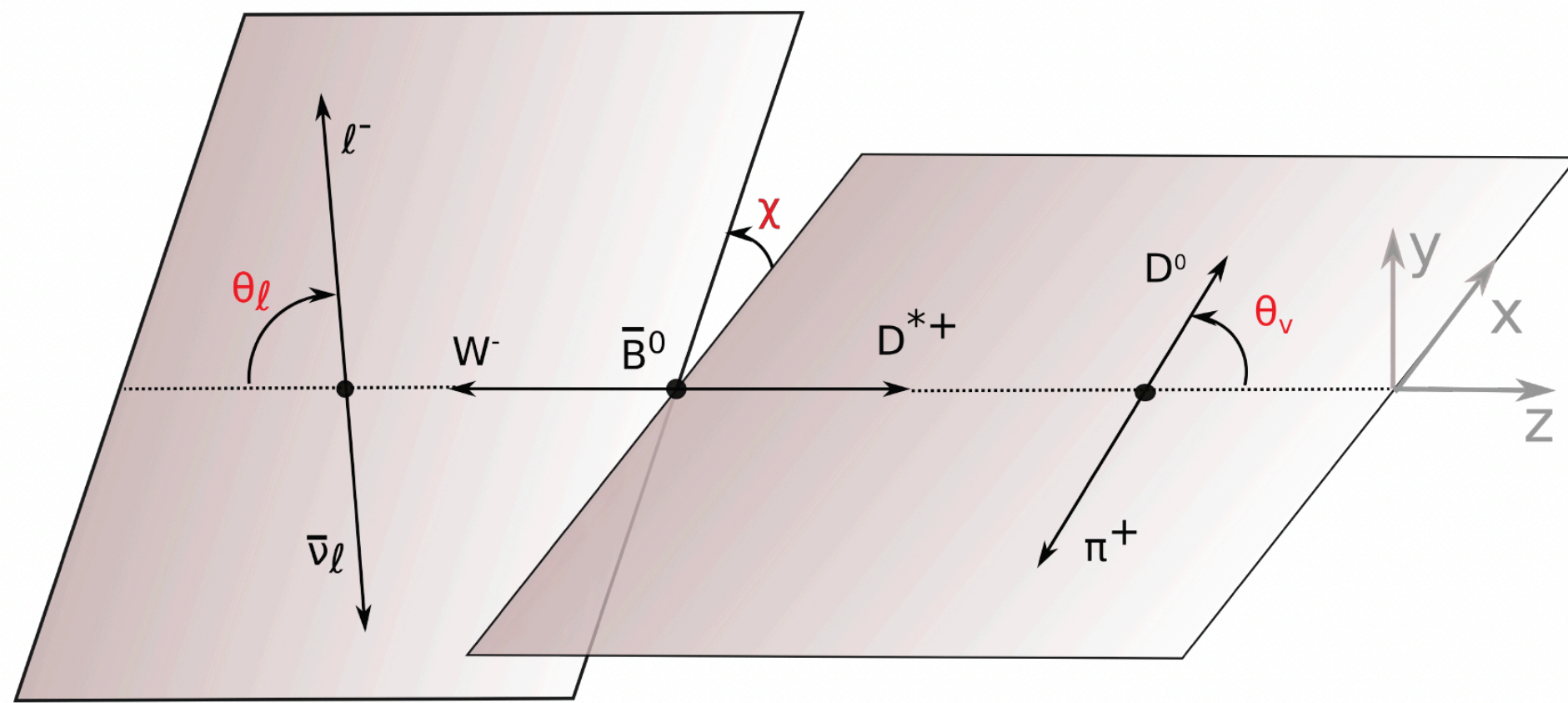


Results with various input of inclusive decay rates

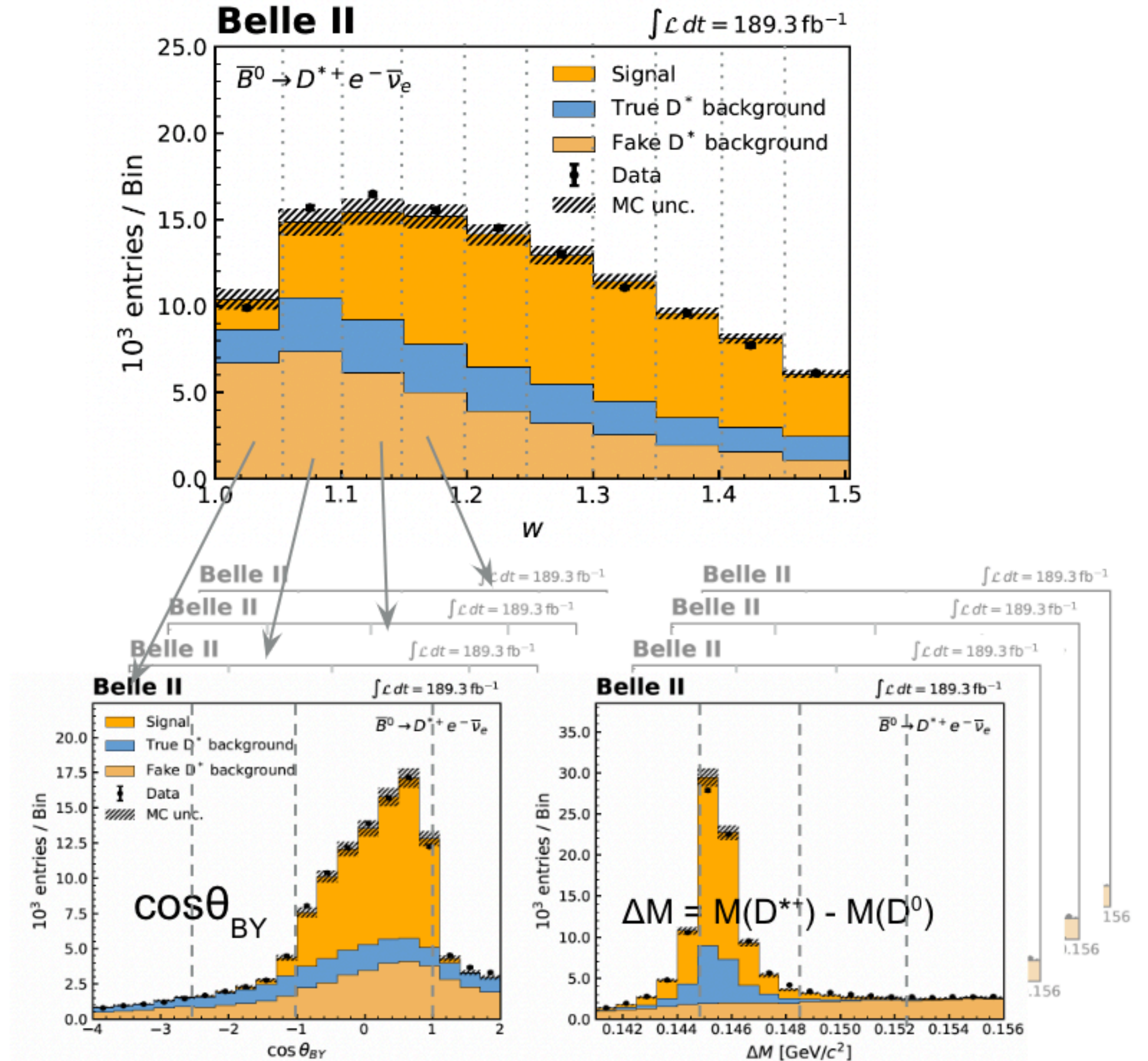


# Backup: Branching Fraction of $B^0 \rightarrow D^* \ell \nu$ and $|V_{cb}|$

- Select events with energetic lepton  $p^{\text{CM}} > 1.2 \text{ GeV}$ , and  $\Delta M = M(D^{*+}) - M(D^0) = [0.141, 0.156] \text{ GeV}$ ,  $\cos\theta_{BY} = [-4, 2]$
- 2D binned likelihood fit on  $(\cos\theta_{BY}, \Delta M = M(D^{*+}) - M(D^0))$  for each bin of kinematic variables:  $w$ ,  $\cos\theta_\ell$ ,  $\cos\theta_\nu$ ,  $\chi$
- Systematic shape variations incorporated as bin-wise Nuisance para. for each fit template



$$\cos\theta_{BY} = \frac{2E_B^{\text{CM}} E_Y^{\text{CM}} - m_B^2 - m_Y^2}{2|\vec{p}_B^{\text{CM}}| |\vec{p}_Y^{\text{CM}}|}$$



integral projection

# Backup: Branching Fraction of $B^0 \rightarrow D^* \ell \nu$ and $|V_{cb}|$



Preliminary

- Nested hypothesis test included with LQCD beyond-recoil constraints

BGL<sub>132</sub>

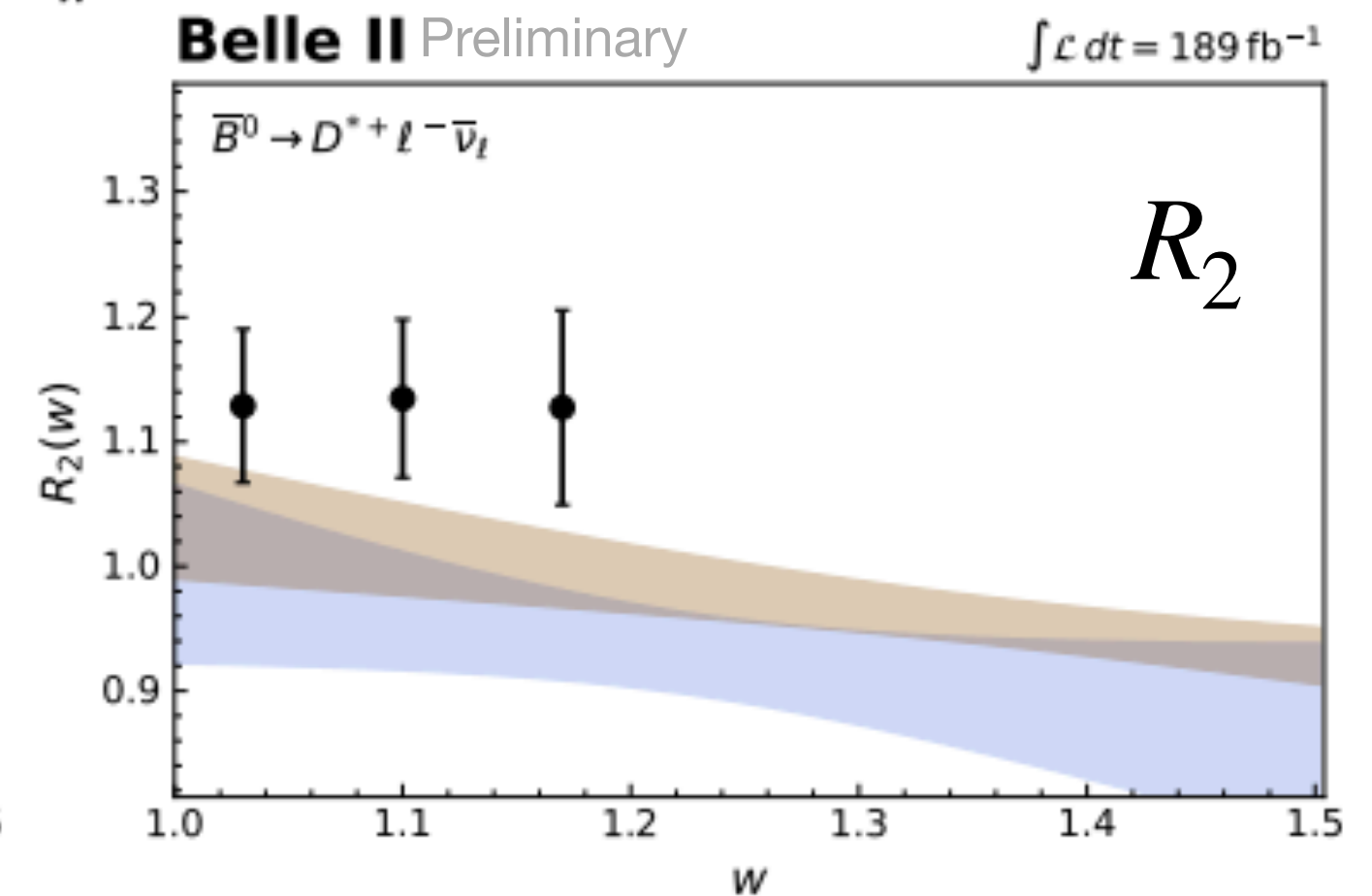
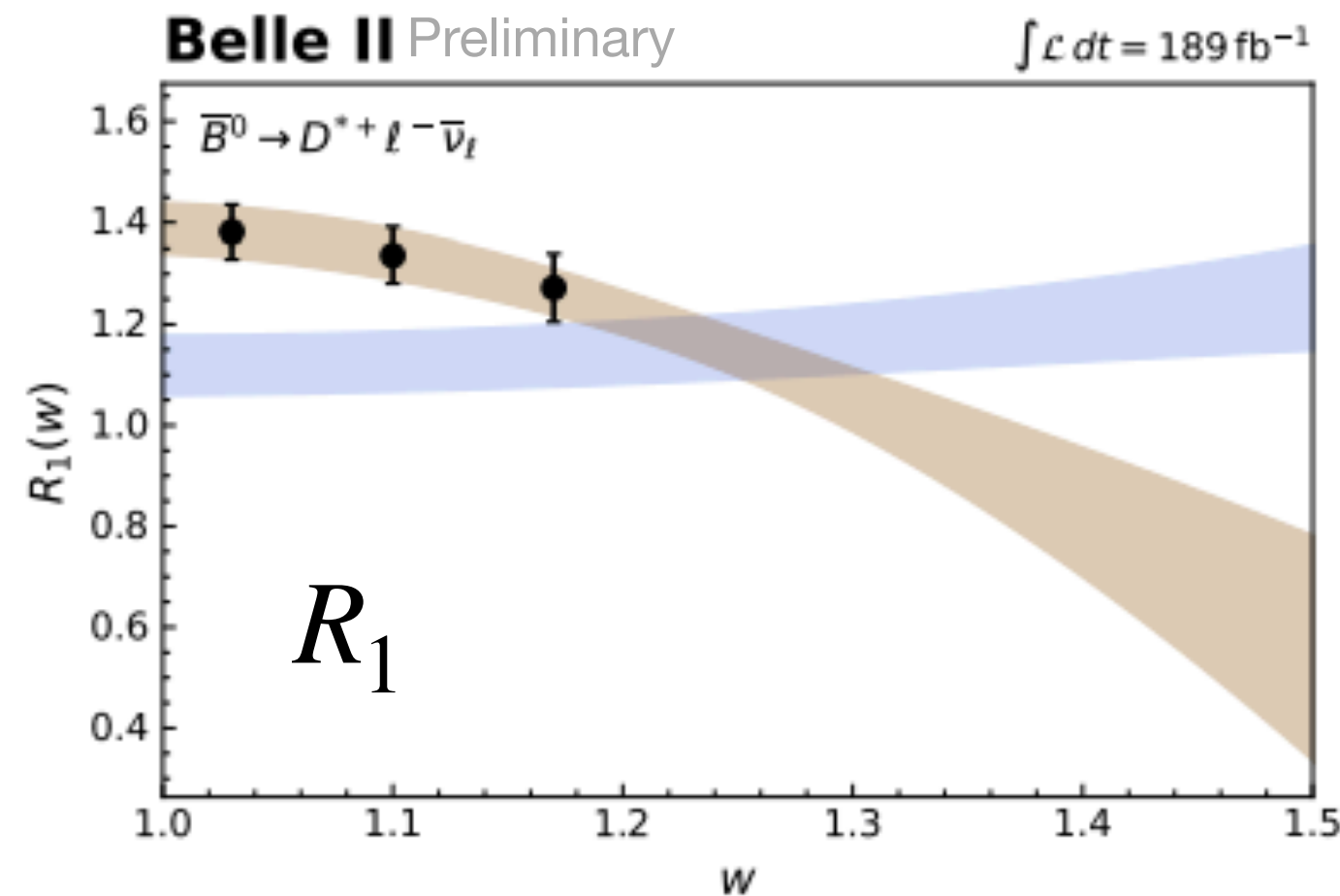
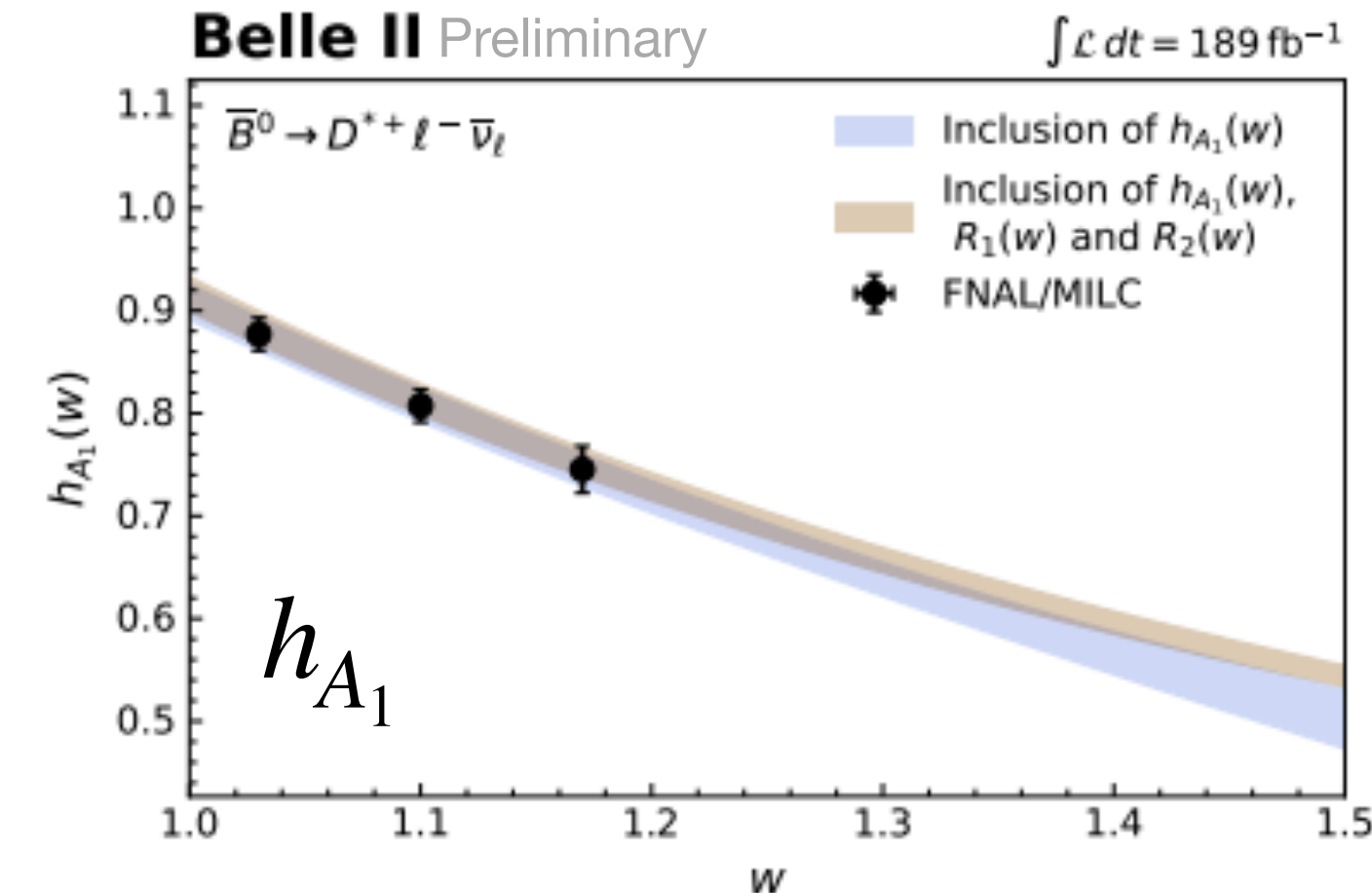
Preliminary

|                        | Values         | Correlations |       |       |       |       |       |  |
|------------------------|----------------|--------------|-------|-------|-------|-------|-------|--|
| $ V_{cb}  \times 10^3$ | $40.2 \pm 1.2$ | 1            | -0.32 | -0.58 | -0.11 | 0.03  | -0.24 |  |
| $a_0 \times 10^3$      | $22.6 \pm 1.2$ | -0.32        | 1     | 0.31  | 0.1   | -0.18 | 0.31  |  |
| $b_0 \times 10^3$      | $13.2 \pm 0.2$ | -0.58        | 0.31  | 1     | -0.17 | 0.14  | -0.12 |  |
| $b_1 \times 10^3$      | $7.1 \pm 14.1$ | -0.11        | 0.1   | -0.17 | 1     | -0.89 | 0.57  |  |
| $b_2$                  | $-0.4 \pm 0.4$ | 0.03         | -0.18 | 0.14  | -0.89 | 1     | -0.41 |  |
| $c_1 \times 10^3$      | $-0.7 \pm 0.8$ | -0.24        | 0.31  | -0.12 | 0.57  | -0.41 | 1     |  |

BGL<sub>313</sub>

Preliminary

|                        | Values           | Correlations |       |       |       |       |       |       |  |
|------------------------|------------------|--------------|-------|-------|-------|-------|-------|-------|--|
| $ V_{cb}  \times 10^3$ | $39.8 \pm 1.1$   | 1            | -0.16 | 0.02  | -0.1  | -0.61 | -0.16 | 0.11  |  |
| $a_0 \times 10^3$      | $28.3 \pm 1.0$   | -0.16        | 1     | -0.09 | -0.2  | 0.17  | 0.11  | -0.03 |  |
| $a_1 \times 10^3$      | $-45.9 \pm 65.7$ | 0.02         | -0.09 | 1     | -0.85 | -0.04 | -0.09 | 0.14  |  |
| $a_2$                  | $-4.8 \pm 2.4$   | -0.1         | -0.2  | -0.85 | 1     | 0.12  | 0.13  | -0.17 |  |
| $b_0 \times 10^3$      | $13.3 \pm 0.2$   | -0.61        | 0.17  | -0.04 | 0.12  | 1     | 0.11  | -0.13 |  |
| $c_1 \times 10^3$      | $-3.2 \pm 1.4$   | -0.16        | 0.11  | -0.09 | 0.13  | 0.11  | 1     | -0.91 |  |
| $c_2 \times 10^3$      | $59.1 \pm 29.9$  | 0.11         | -0.03 | 0.14  | -0.17 | -0.13 | -0.91 | 1     |  |



# Backup: $|V_{cb}|$ & Differential Shapes of $B \rightarrow D^* \ell \nu$

- Signal **shapes** corrected for resolution, reco. efficiency and acceptance effects
- Combined **all kinematic shapes** to extract  $|V_{cb}|$  in **BGL/CLN** with external constraints on **branching fractions** (HFLAV) and **LQCD results** (FNAL/MILC)

$$\chi^2 = \left( \frac{\Delta \vec{\Gamma}^m}{\Gamma^m} - \frac{\Delta \vec{\Gamma}^p(\vec{x})}{\Gamma^p(\vec{x})} \right) C_{\text{exp}}^{-1} \left( \frac{\Delta \vec{\Gamma}^m}{\Gamma^m} - \frac{\Delta \vec{\Gamma}^p(\vec{x})}{\Gamma^p(\vec{x})} \right)^T$$

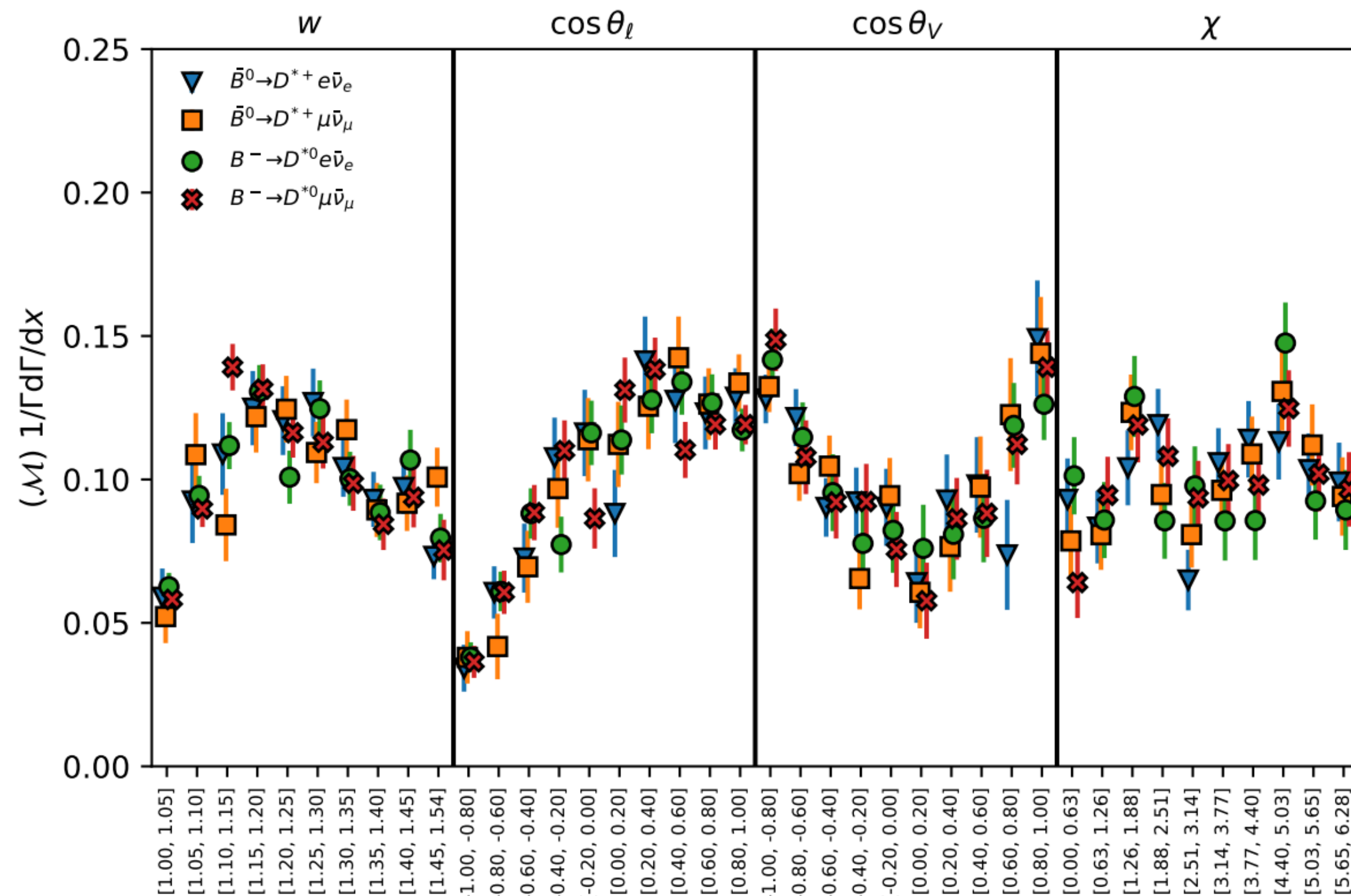
$$+ (\Gamma^{\text{ext}} - \Gamma^p(\vec{x}))^2 / \sigma(\Gamma^{\text{ext}})^2$$

$$+ (h_X - h_X^{\text{LQCD}}) C_{\text{LQCD}}^{-1} (h_X - h_X^{\text{LQCD}})$$

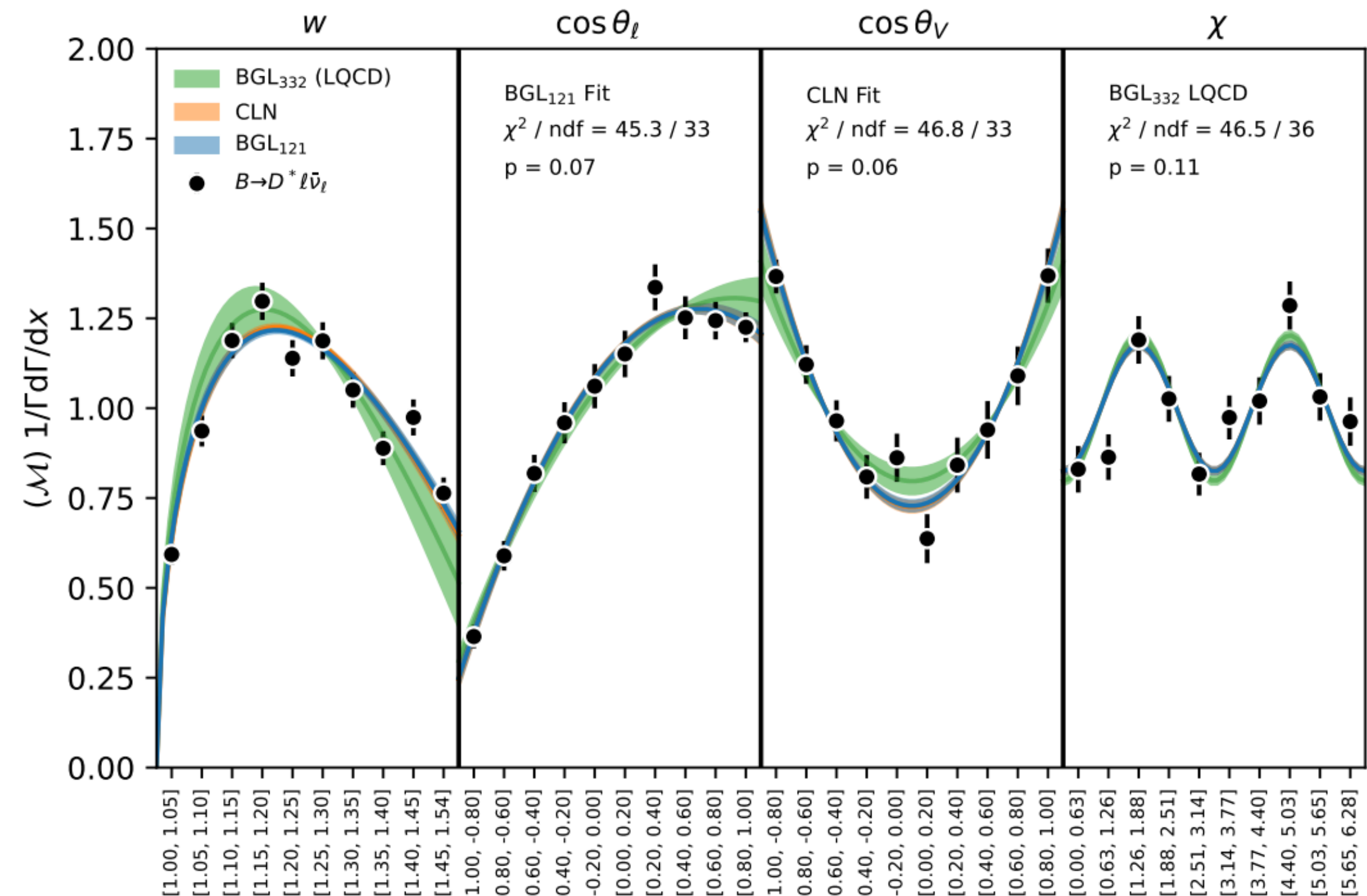
arXiv: 2301.07529

accepted by PRD

Corrected Shapes



Fitted Shapes





# Backup: $|V_{cb}|$ & Differential Shapes of $B \rightarrow D^* \ell \nu$



arXiv: 2301.07529

accepted by PRD

- Nested hypothesis test **w/o** & **w/** LQCD beyond-recoil constraints

## Fitted Shapes

