



23 05 2022

$|V_{ub}| \& |V_{cb}|$ Determinations

Review of 2022 and what lies beyond

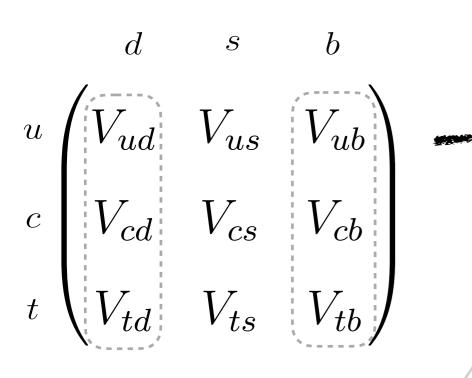
florian.bernlochner@uni-bonn.de





UNIVERSITÄT BONN

Why is it important to measure $|V_{ub}| \& |V_{cb}|$?



Overconstrain Unitarity condition

→ Potent test of Standard Model

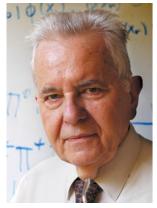
Unitarity

 $\underbrace{V_{ud}V_{ub}^* + V_{cd}V_{cb}^* + V_{td}V_{tb}^*}_{\mathcal{O}(\lambda^3)} = 0$

Cabibbo angle $\sin \theta_C \simeq 0.22$

CKM Matrix

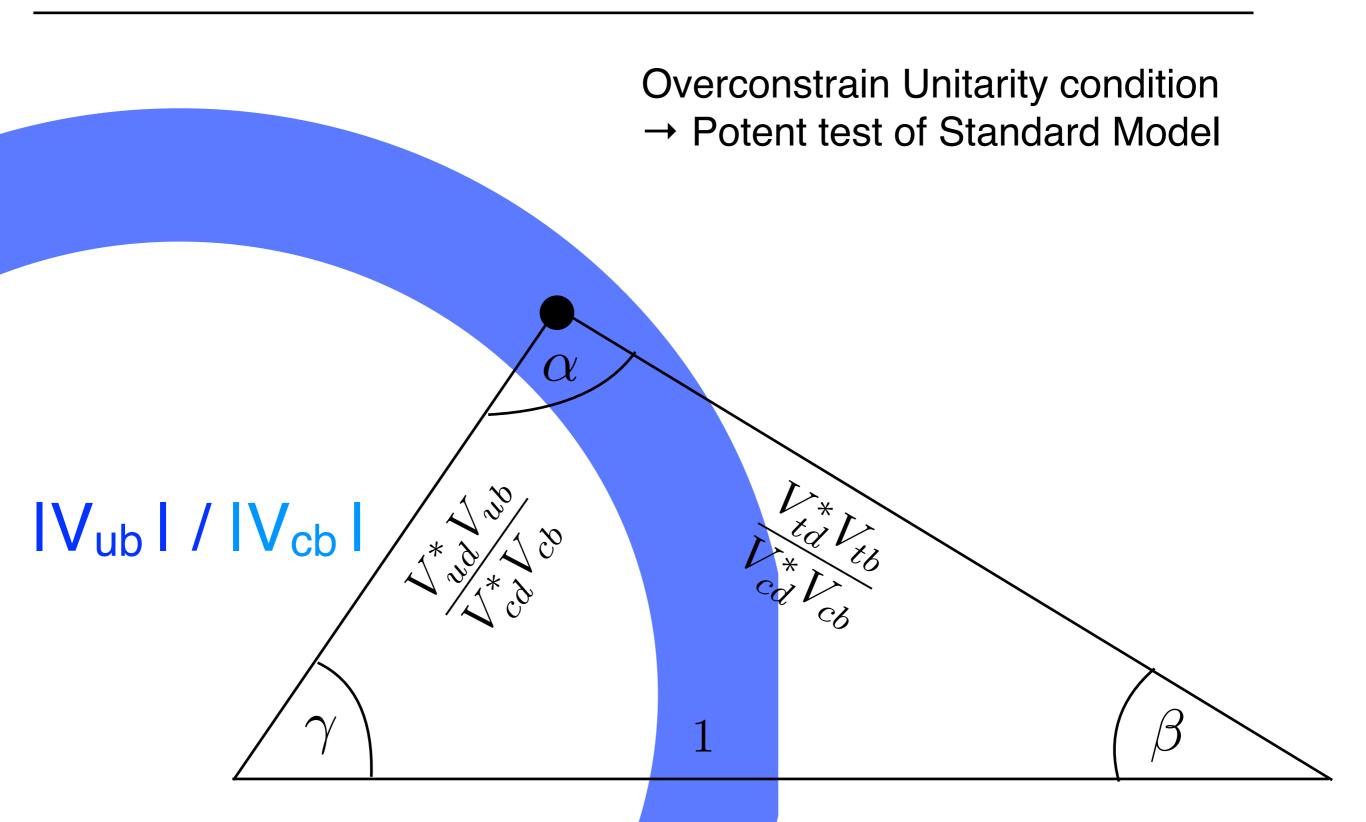
SM: Unitary 3x3 Matrix

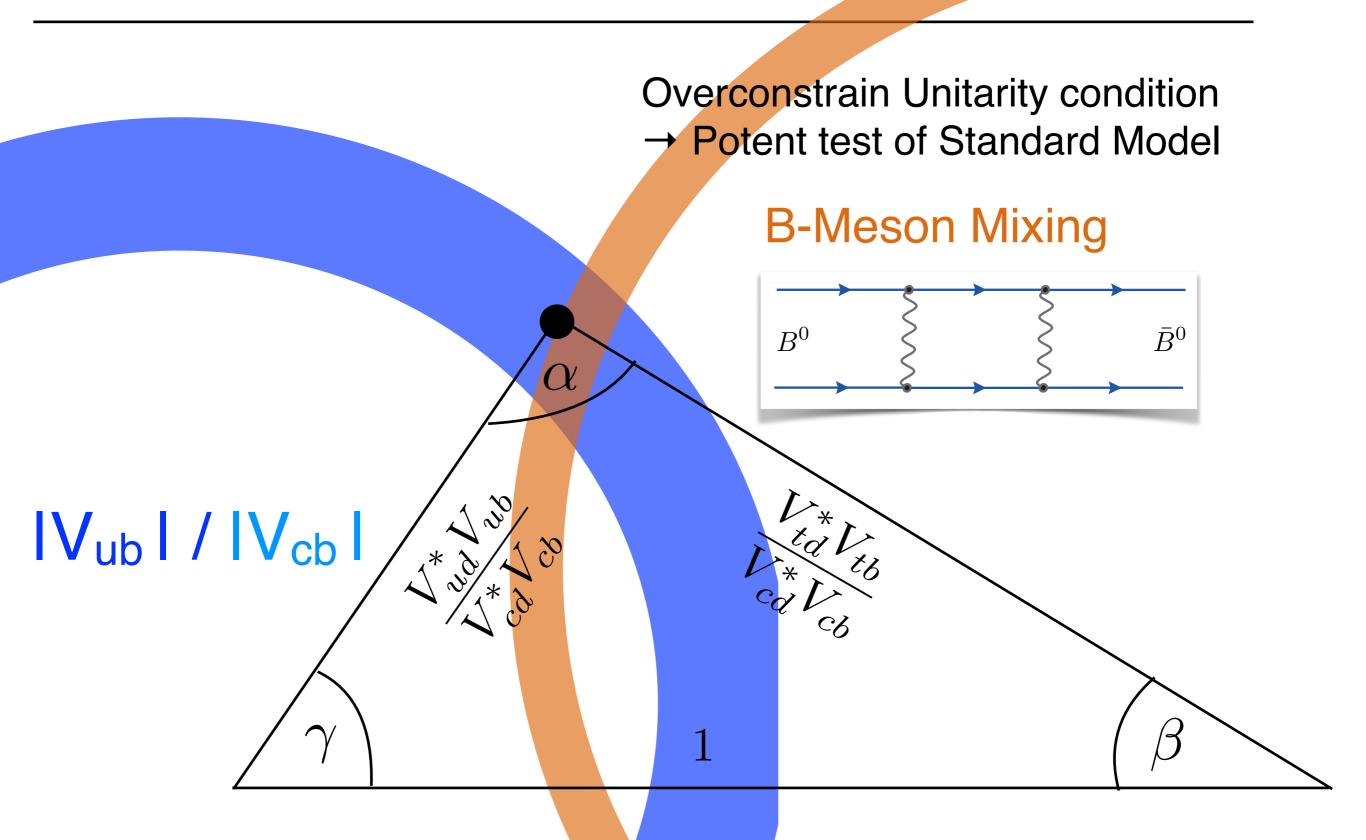




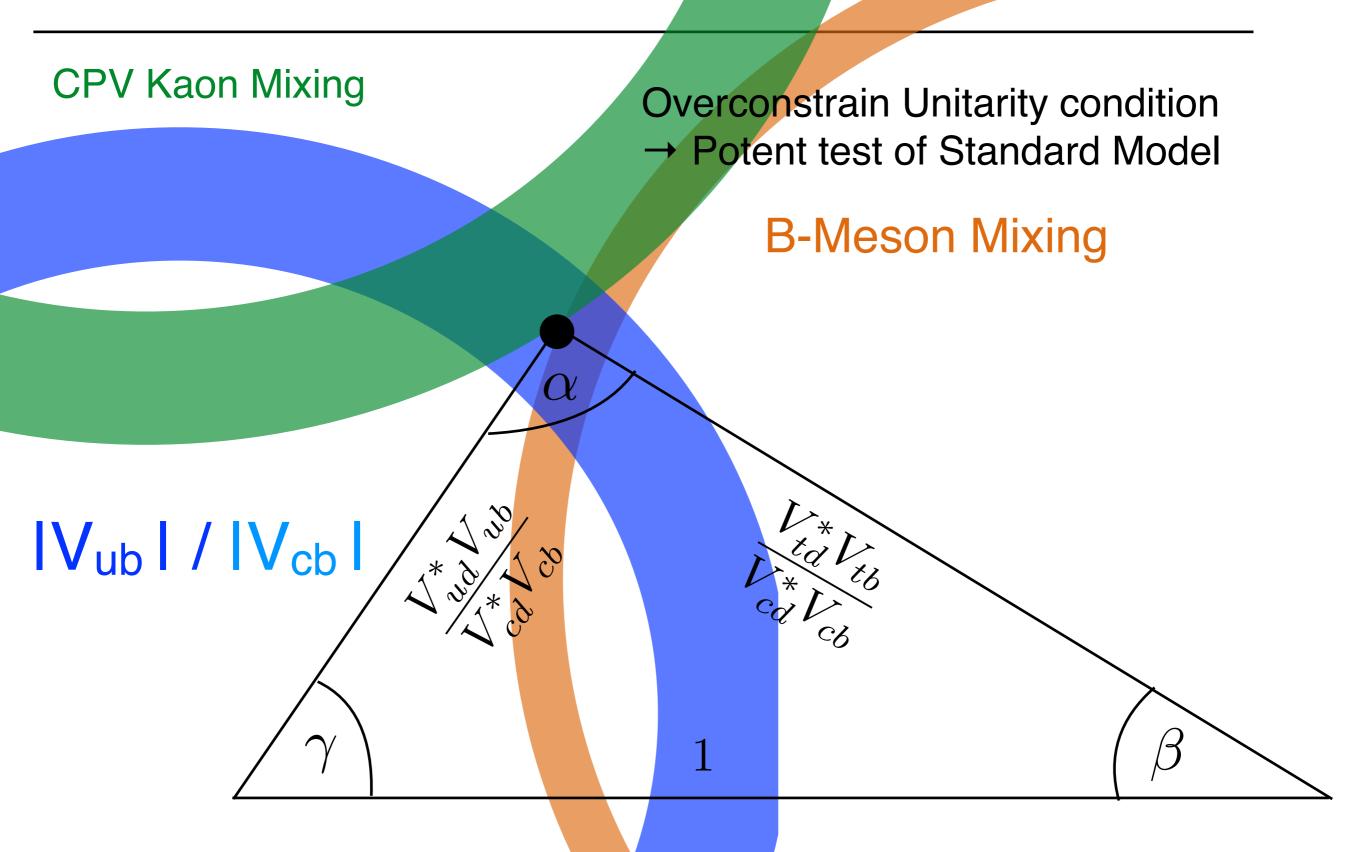
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Why is it important to measure $|V_{ub}| \& |V_{cb}|$?

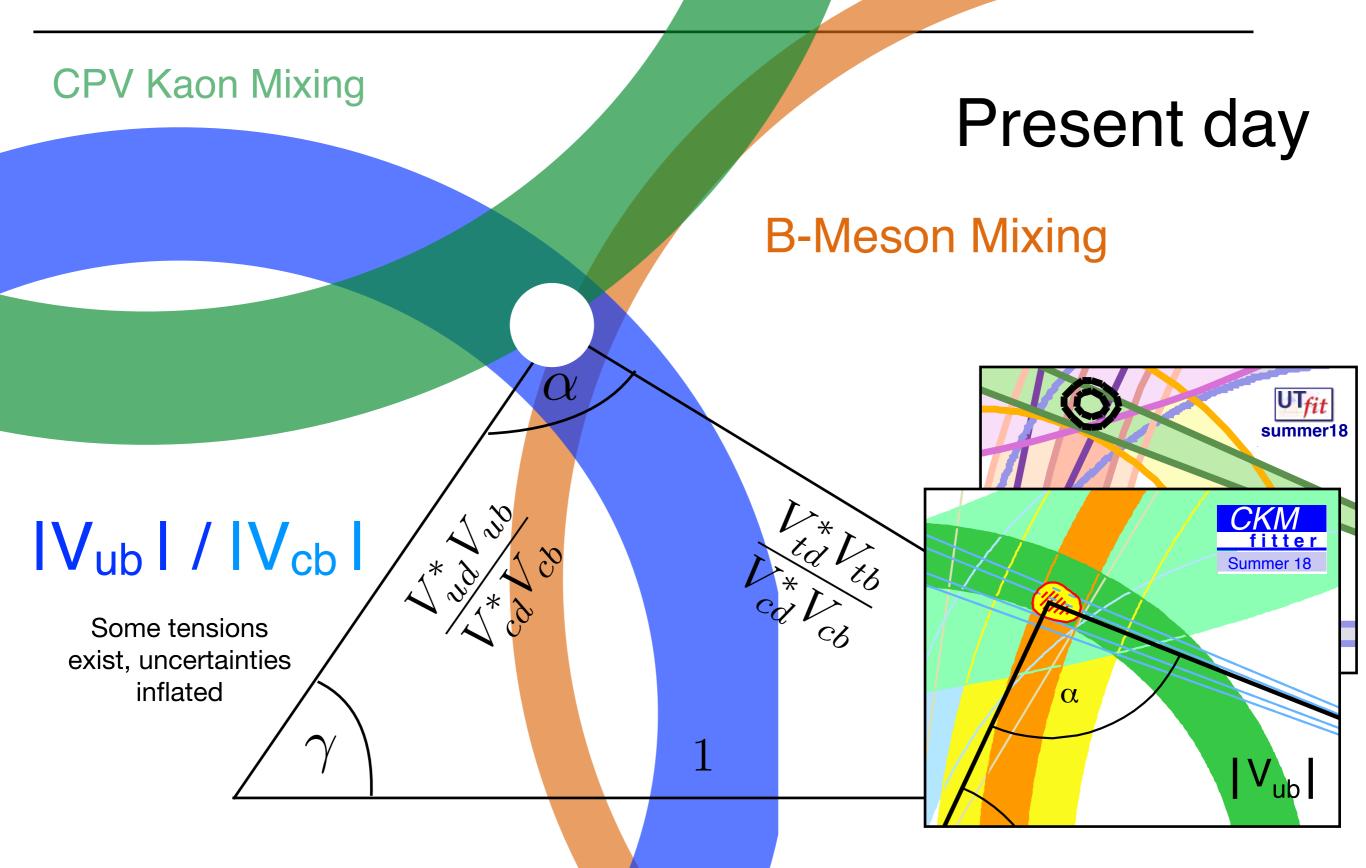




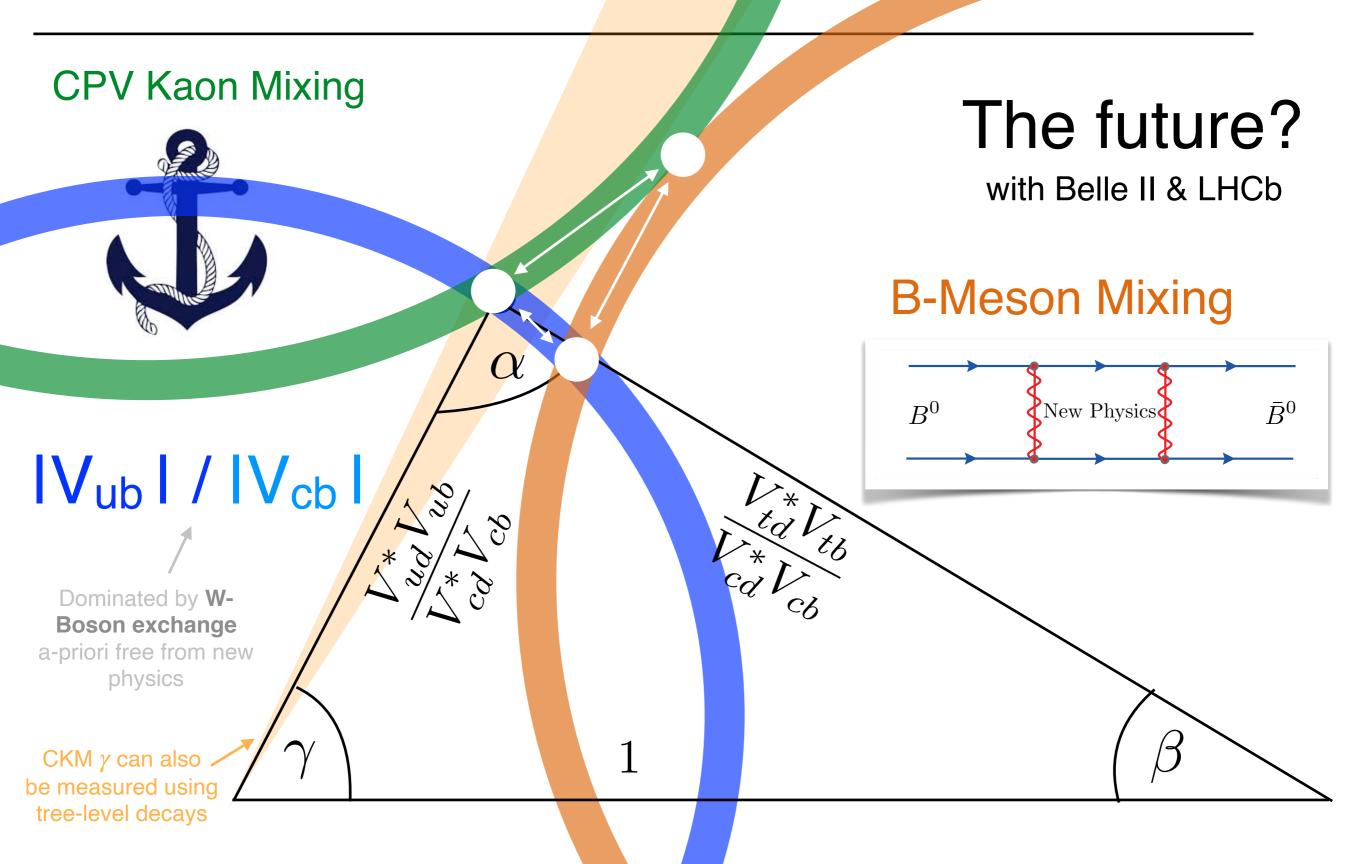
Why is it important to measure $|V_{ub}| & |V_{cb}|$?



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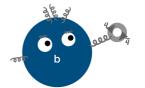


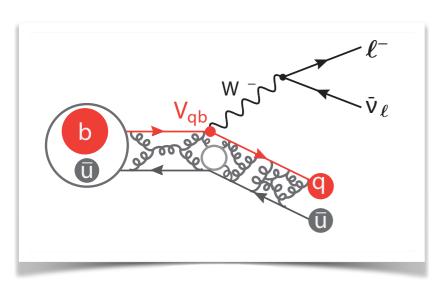
Why is it important to measure $|V_{ub}| & |V_{cb}|$?



How can we measure $|V_{ub}| \& |V_{cb}|$?







Inclusive $|V_{ub}|$

$$B\to X_u\ell\bar\nu_\ell$$

+ Fermi Motion / Shape Function

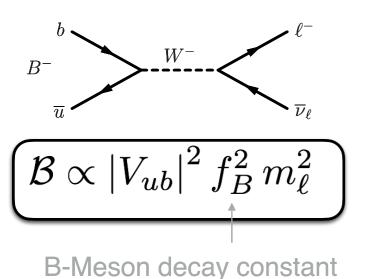
Inclusive $|V_{ch}|$

$$B \to X_c \ell \bar{\nu}_{\ell}$$

Operator Product Expansion

$$\int \mathcal{B} = \left|V_{qb}\right|^2 \left[\Gamma(b o q \,\ell\,ar
u_\ell) + 1/m_{c,b} + lpha_s + \dots \right]
ight]$$

Leptonic $|V_{ub}|$



Exclusive $|V_{ub}|$

$$B \to \pi, \rho, \omega \, \ell \bar{\nu}_{\ell}, \, \Lambda_b \to p \mu \bar{\nu}_{\mu}$$

$$B_s \to K \mu \, \bar{\nu}_{\mu}$$

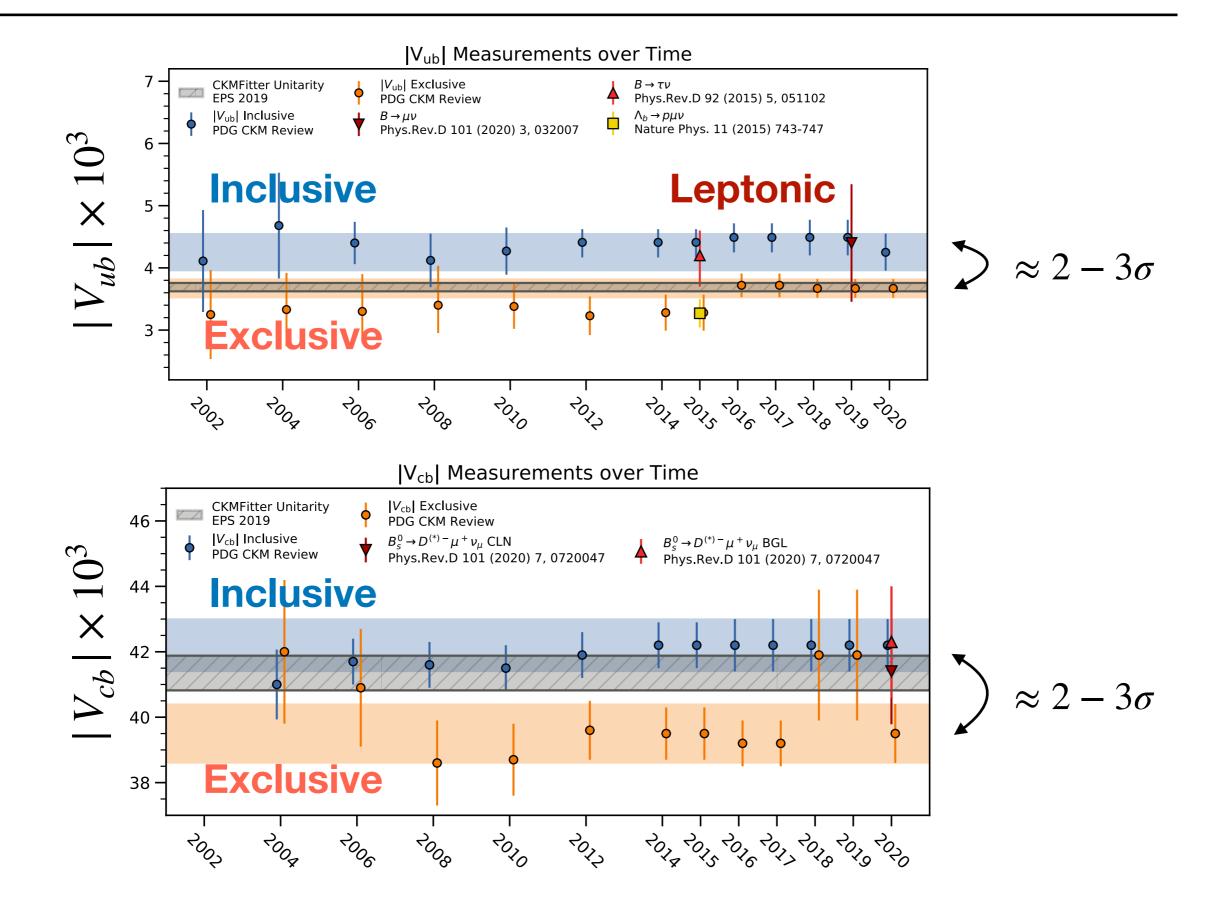
Exclusive $|V_{ch}|$

$$B_{(s)} \to D_{(s)}^{(*)} \ell \bar{\nu}_{\ell}$$

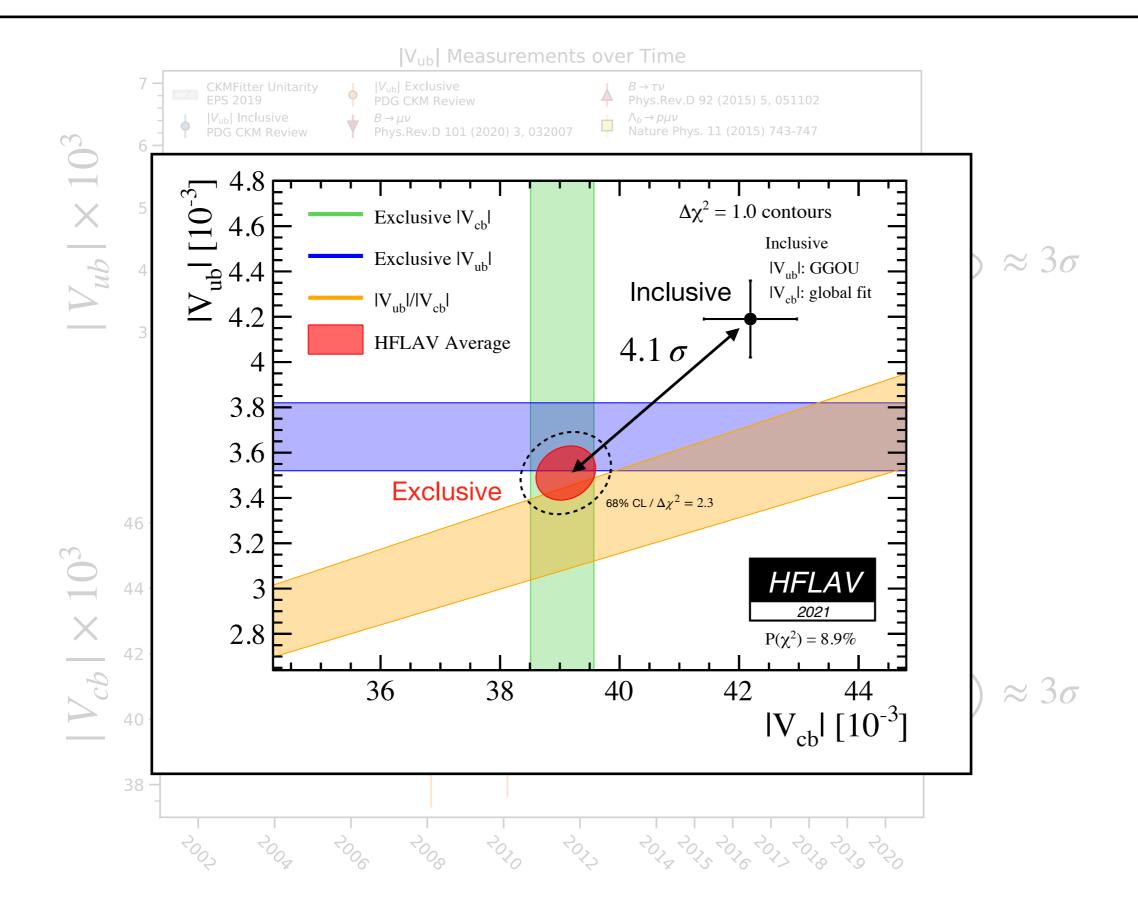
$$\mathscr{B} \propto |V_{qb}|^2 f^2$$
 Form Factors

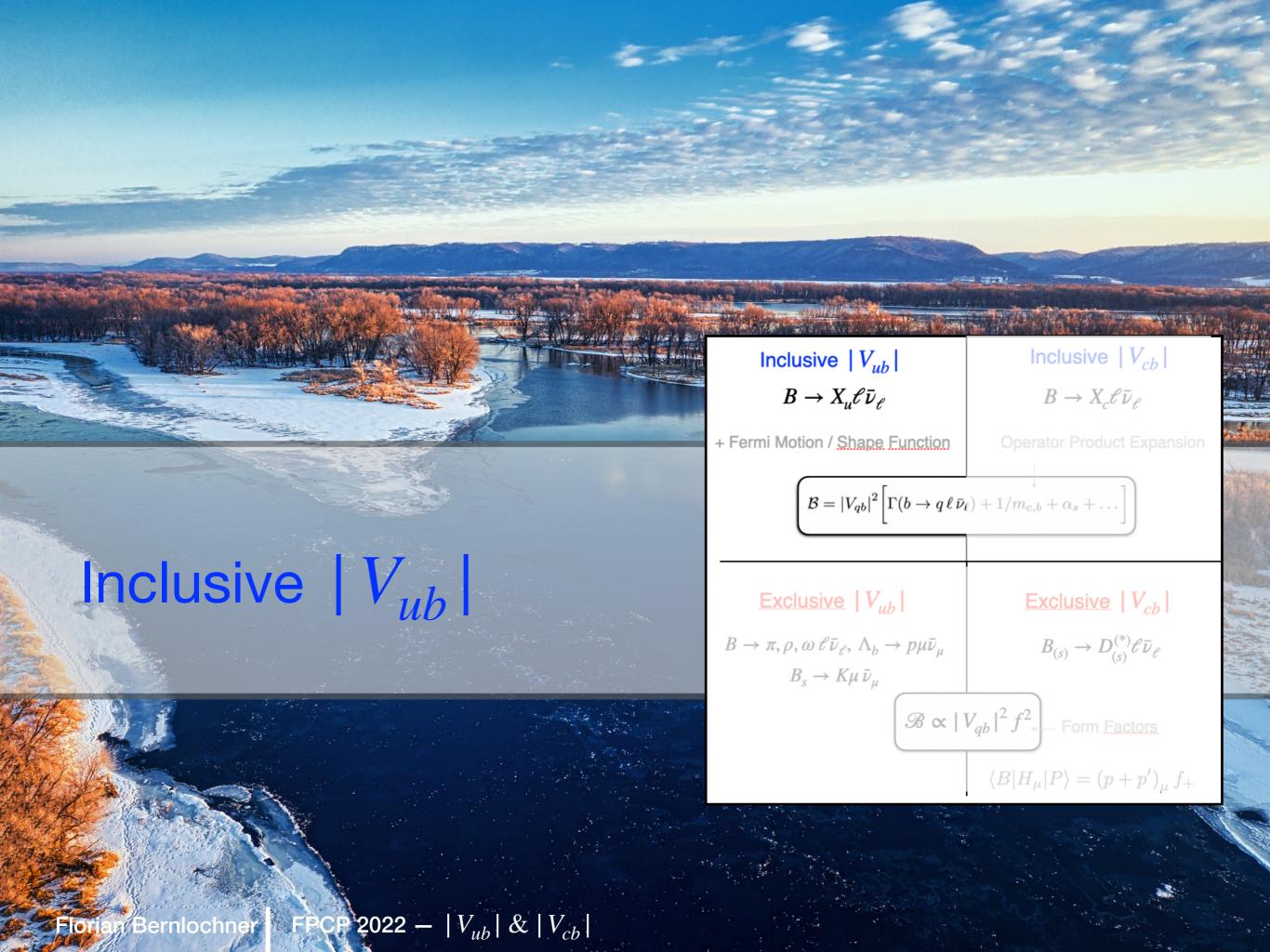
$$\langle B|H_{\mu}|P\rangle = (p+p')_{\mu} f_{+}$$

How are we doing?



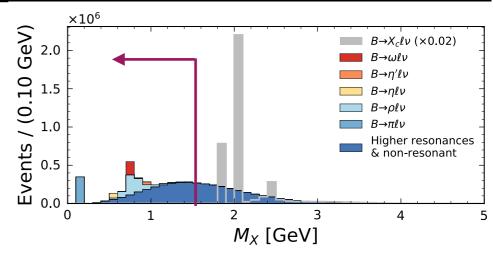
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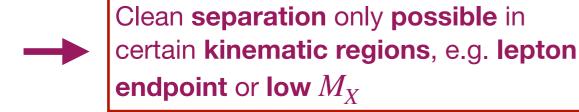


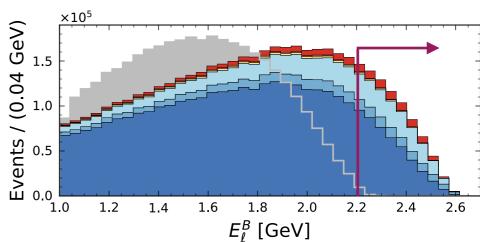


Challenges of measuring inclusive $|V_{ub}|$

Inclusive $B \to X_u \ell \bar{\nu}_\ell$ measurements are extremely challenging due to dominant $B \to X_c \ell \bar{\nu}_\ell$ background







1.

3.

Measurement of **partial** branching fractions of inclusive $B \to X_u \ell \bar{\nu}_\ell$ decays with hadronic tagging [PRD 104, 012008 (2021), arXiv:2102.00020]

Inclusive

Measurement of **differential** branching fractions of inclusive $B \to X_u \ell \bar{\nu}_\ell$ decays with hadronic tagging [Phys. Rev. Lett. 127, 261801 (2021), arXiv:2107.13855]

New!

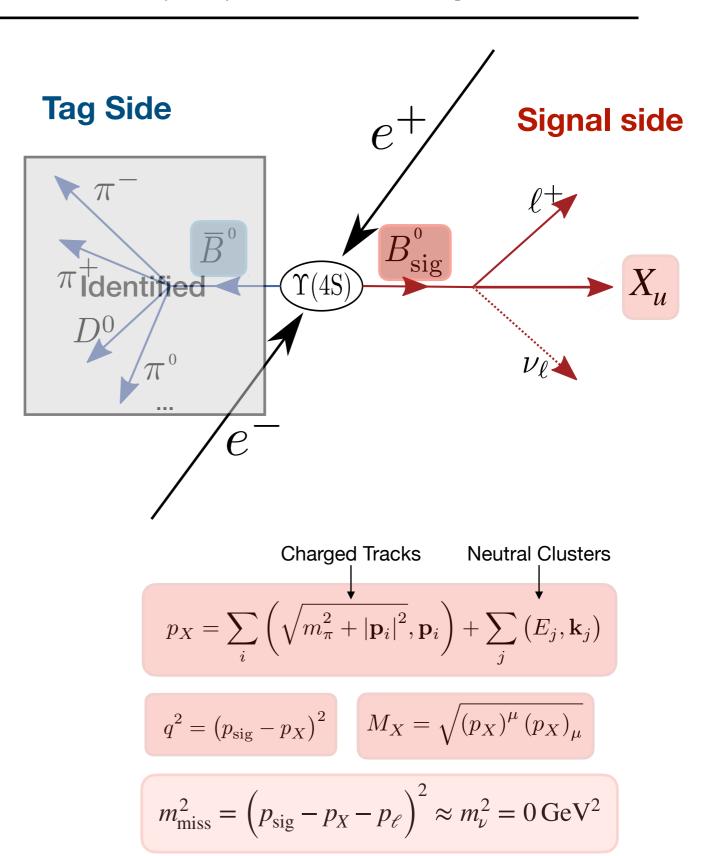
New measurement of **ratio** of inclusive $B \to X_u \ell \bar{\nu}_\ell / B \to X_c \ell \bar{\nu}_\ell$ with improved tagging and data-driven background templates [to appear]



Measurement of **partial** branching fractions of inclusive $B \to X_u \ell \bar{\nu}_\ell$ decays with hadronic tagging [PRD 104, 012008 (2021), arXiv:2102.00020]

Use full Belle data set of 711/fb

Hadronic tagging with neural networks (ca. 0.2-0.3% efficiency)

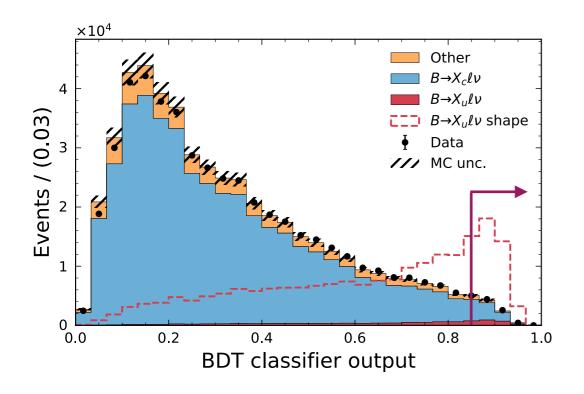


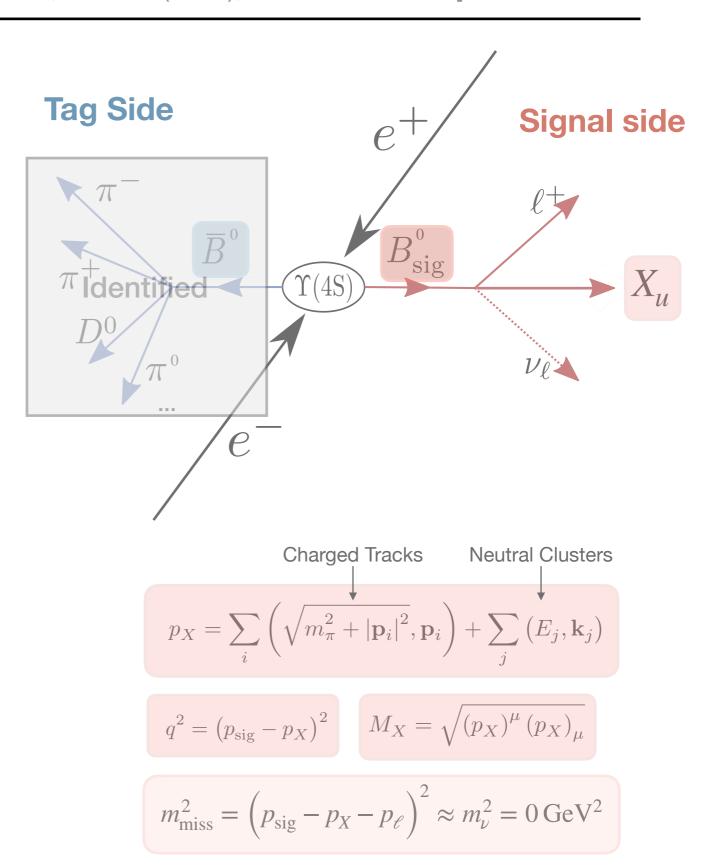
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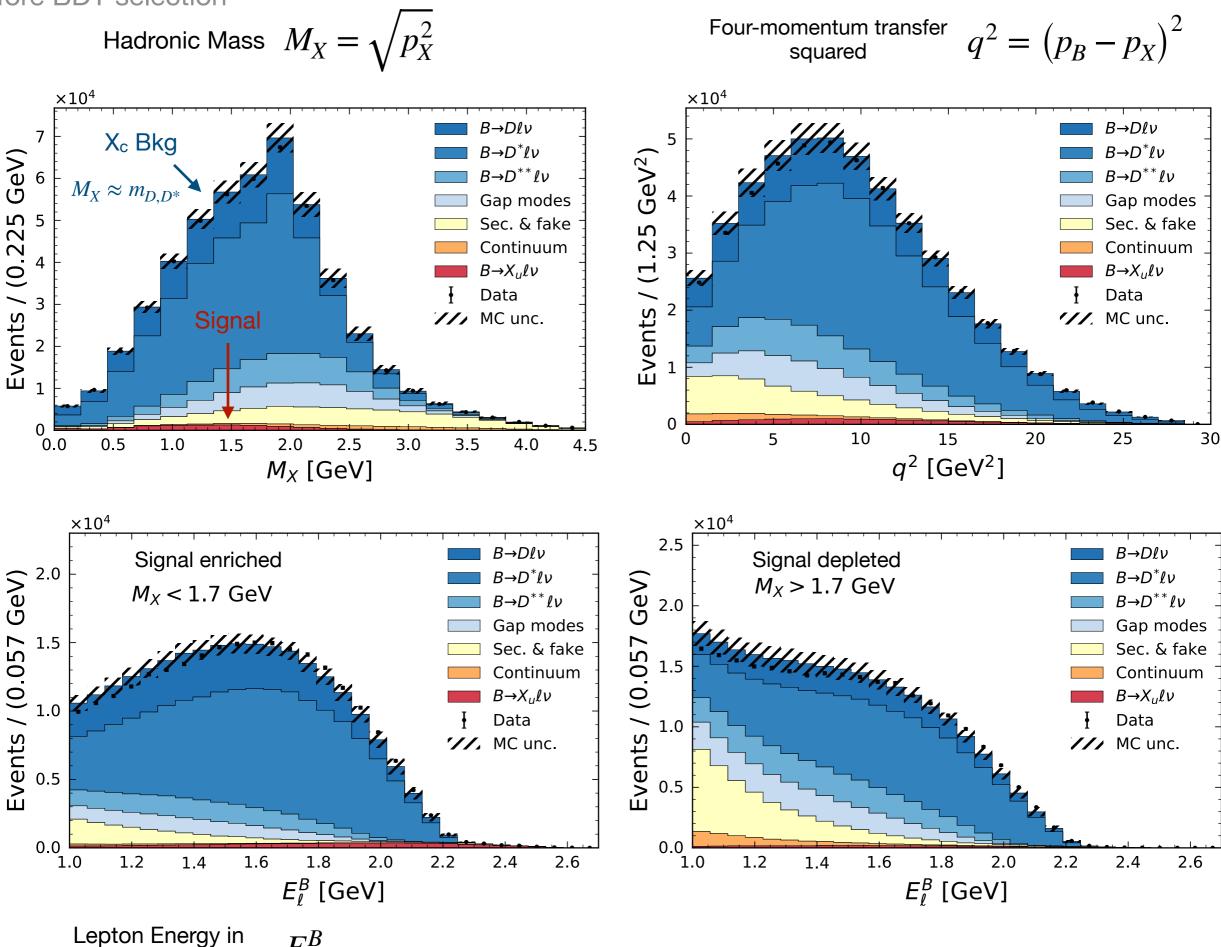
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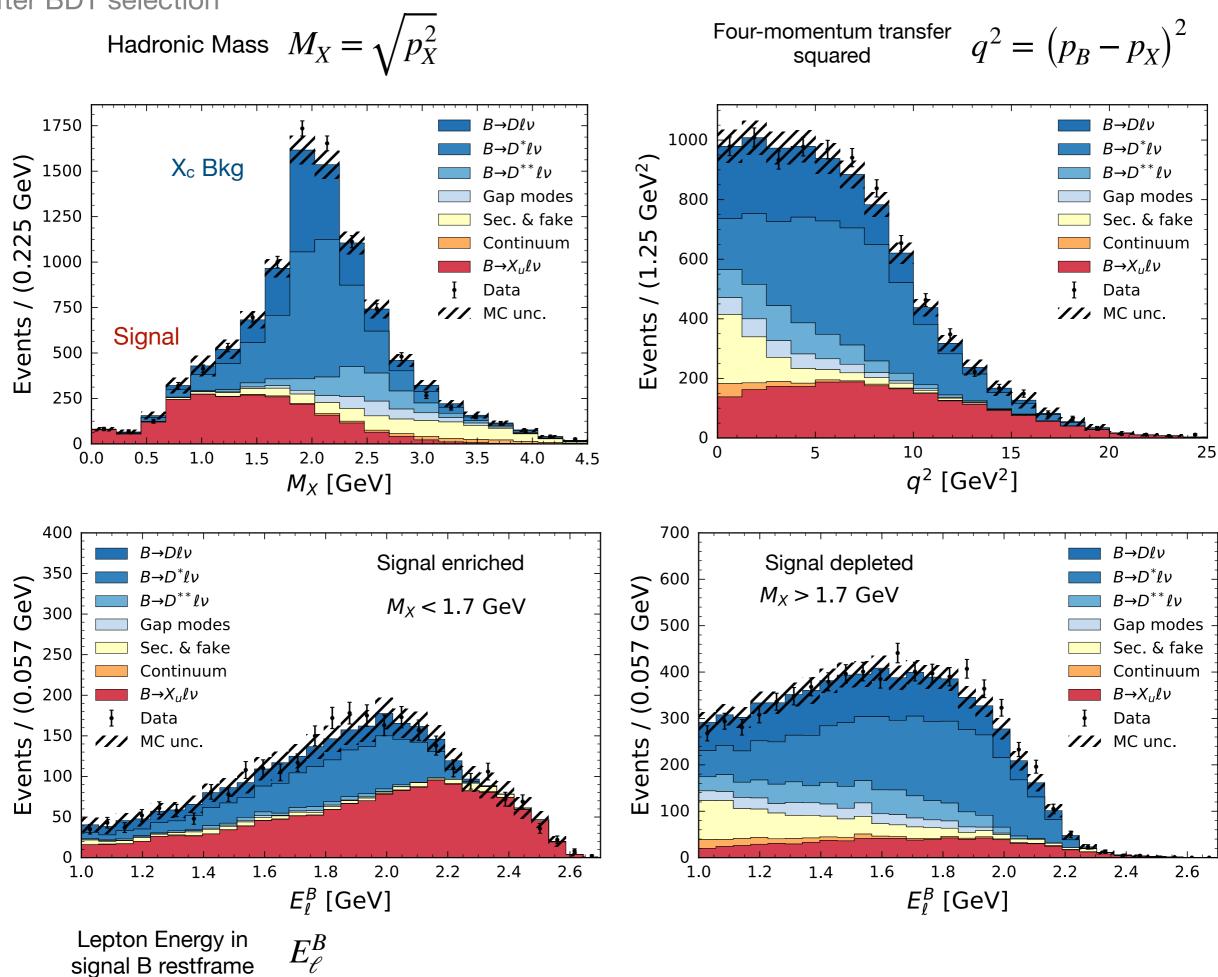
Use **machine learning** (BDTs) to suppress backgrounds with 11 training features, e.g. $m_{\rm miss}^2$, #K±, #Ks, etc.





signal B rest frame



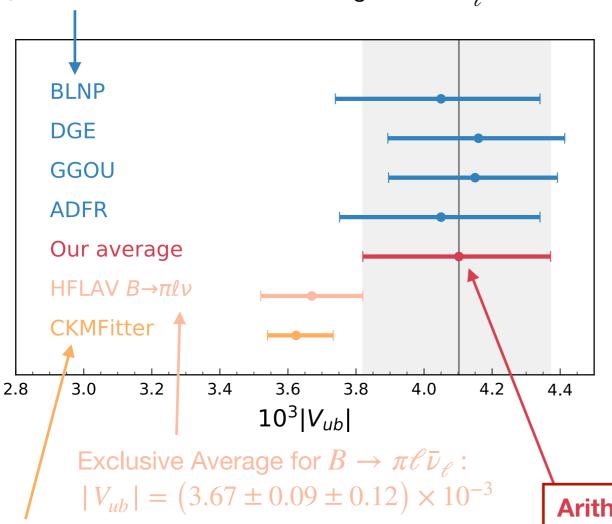


Fit kinematic distributions and measure partial BF

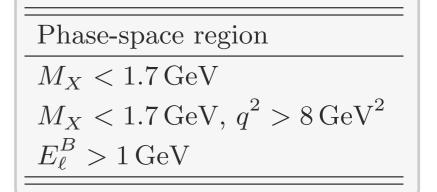
$$|V_{ub}| = \sqrt{\frac{\Delta \mathcal{B}(B \to X_u \,\ell^+ \,\nu_\ell)}{\tau_B \cdot \Delta \Gamma(B \to X_u \,\ell^+ \,\nu_\ell)}}$$

4 predictions of the partial rate

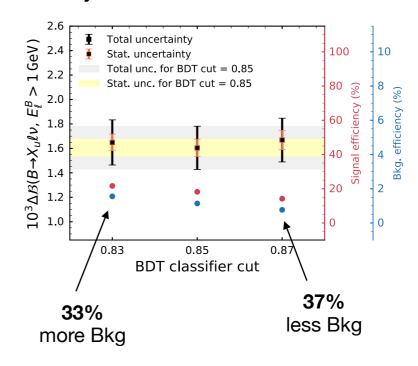
Result for most inclusive region with $E_{\ell}^{B} > 1 \, \mathrm{GeV}$



3 phase-space regions



Stability as a function of BDT cut:



Arithmetic average:

$$|V_{ub}| = (4.10 \pm 0.09 \pm 0.22 \pm 0.15) \times 10^{-3}$$

CKM Unitarity:

$$|V_{ub}| = (3.62^{+0.11}_{-0.08}) \times 10^{-3}$$

 E_i^B [GeV]

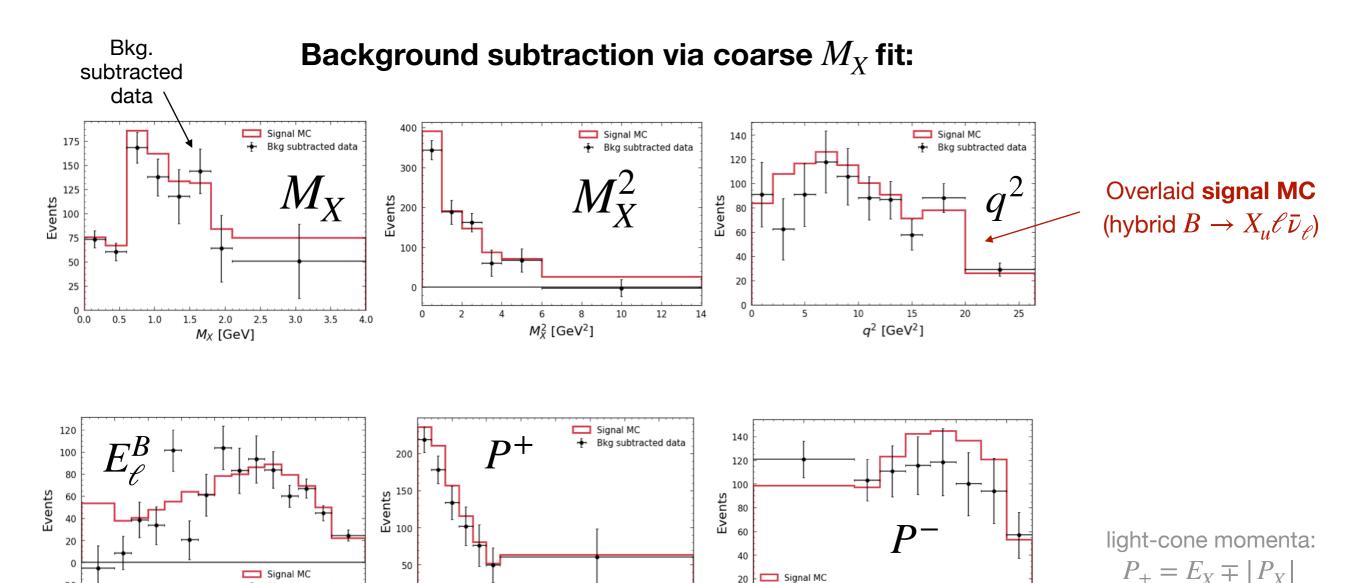
Measurement of **differential** branching fractions of inclusive $B \to X_u \ell \bar{\nu}_{\ell}$ decays with hadronic tagging [Phys. Rev. Lett. 127, 261801 (2021), arXiv:2107.13855]

Measurement of 6 kinematic variables characterizing $B \to X_u \ell \bar{\nu}_\ell$ in $E_\ell^B > 1 \, {\rm GeV}$ region of PS

Selection and reconstruction analogous to partial BF measurement

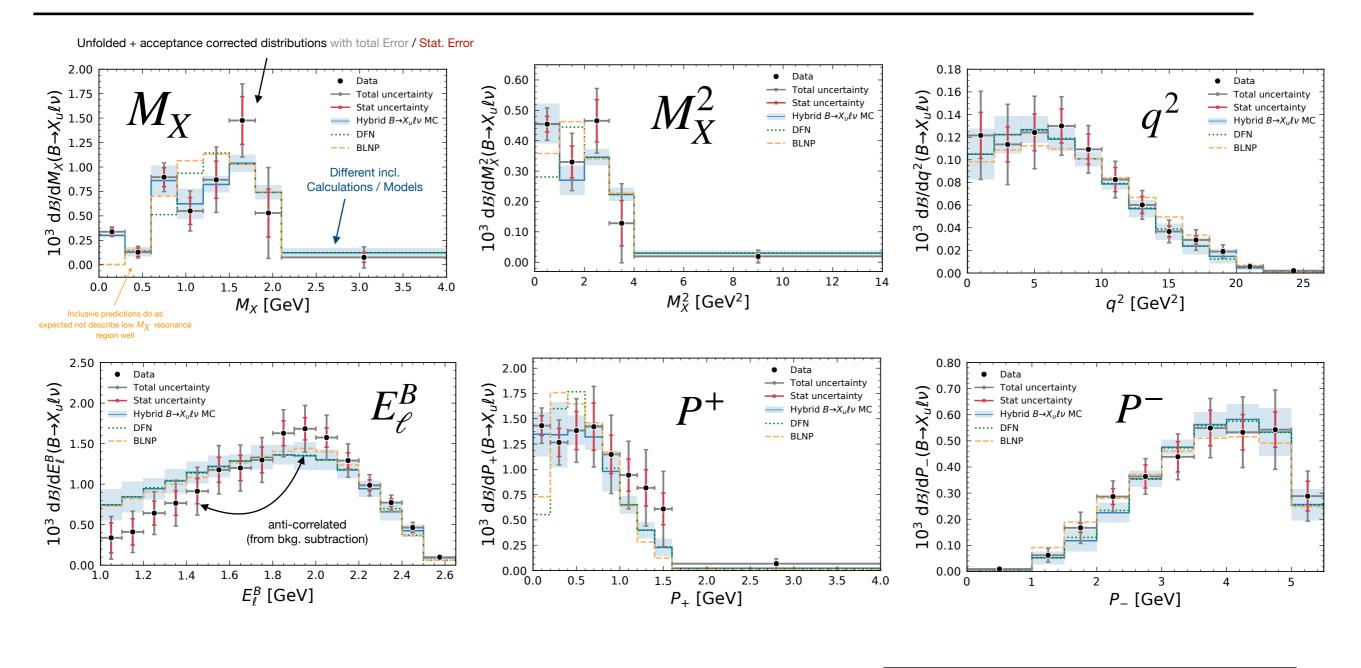
Apply additional selections to improve resolution and background shape uncertainties

 P^+ [GeV]



 P^- [GeV]

Differential Spectra

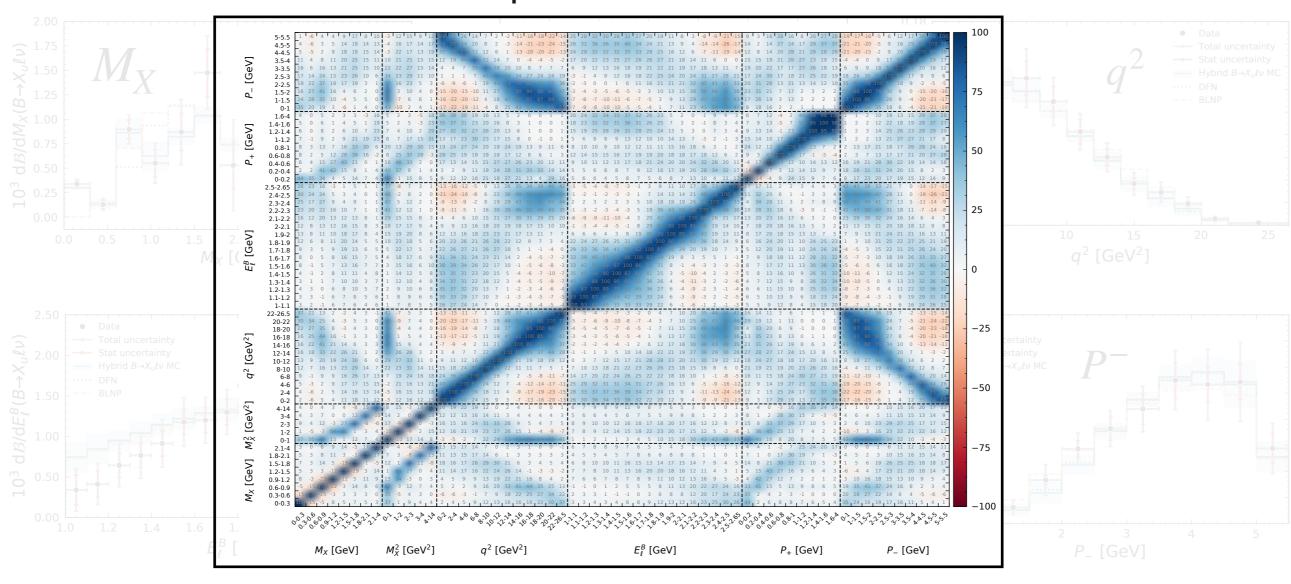


Agreement (w/o theory uncertainties)

χ^2	E_{ℓ}^{B}	M_X	M_X^2	q^2	P_{+}	$\overline{P_{-}}$
n.d.f.	16	8	5	12	9	10
Hybrid	13.5	2.5	2.6	4.5	1.7	5.2
DFN	16.2	63.2	13.1	18.5	29.3	6.1
BLNP	16.5	61.0	6.3	20.6	23.6	13.7

Differential Spectra

Full experimental correlations





Can be used for future shape-function independent $|V_{ub}|$ determinations



P. Gambino, K. Healey, C. Mondino, Phys. Rev. D 94, 014031 (2016), [arXiv:1604.07598]



F. Bernlochner, H. Lacker, Z. Ligeti, I. Stewart, F. Tackmann, K. Tackmann Phys. Rev. Lett. 127, 102001 (2021) [arXiv:2007.04320]

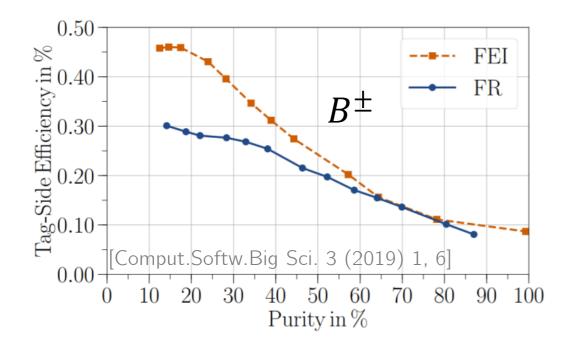
New!

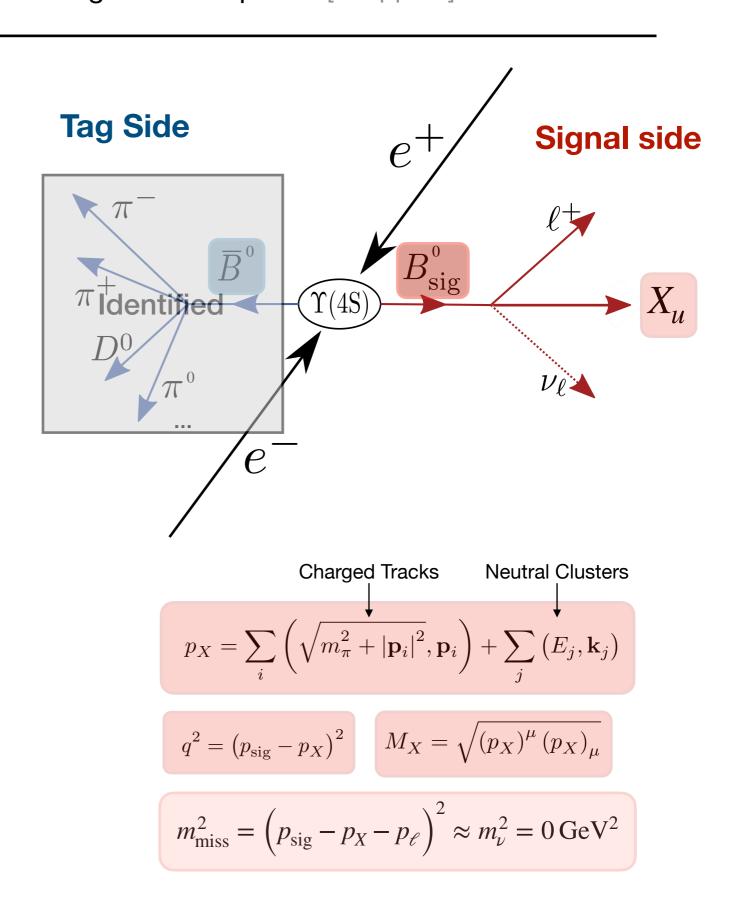
Use full Belle data set of 711/fb

Improved Hadronic Tagging using Belle II algorithm

(ca. 2 times more efficient)

[Full Event Interpretation, T. Keck et al, Comp. Soft. Big. Sci 3 (2019), arXiv:1807.08680]



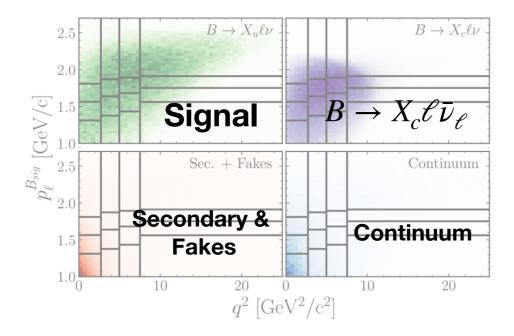


$B \to X_u \ell \bar{\nu}_{\ell}$ Extraction

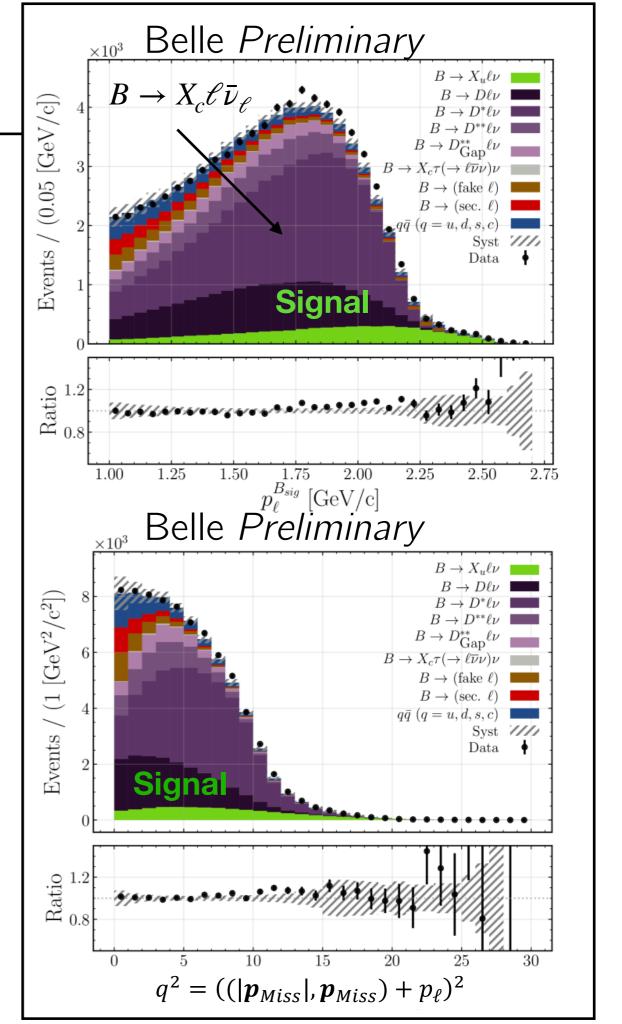
Cut-based selection to suppress $B \to X_c \ell \bar{\nu}_{\ell}$:

$$|m_{\nu}^2| \approx |m_{Miss}^2| < 0.43~GeV^2/c^4$$
 Charged slow pion veto.
Kaon veto: even $N_{K^\pm} + N_{K_s^0}$

Extraction of $B \to X_u \ell \bar{\nu}_\ell$ in 2D fit to $q^2 : p_\ell^B$

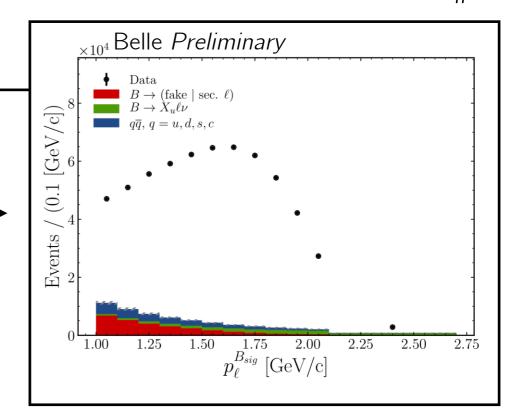


Use $B \to X_c \ell \bar{\nu}_\ell$ shape from Kaon anti-cut region with MC based transfer factors



$$B \to X_u \ell \bar{\nu}_\ell / B \to X_c \ell \bar{\nu}_\ell$$
 Extraction

Extract $B \to X_c \ell \nu$ yield via simple background subtraction in total $B \to X \ell \nu$ sample.



Determine directly ratio of

$$\frac{\Delta \mathcal{B}(B \to X_u \ell \nu: \ p_\ell^B > 1.0 \ GeV/c)}{\Delta \mathcal{B}(B \to X_c \ell \nu: \ p_\ell^B > 1.0 \ GeV/c)} = 1.95 (1 \pm 8.4\%_{stat} \pm 7.2\%_{syst}) \times 10^{-2} \propto \frac{|V_{ub}|^2}{|V_{cb}|^2}$$

Can also convert this for now into a direct determination of $|V_{ub}|$

$$|V_{ub}| = \sqrt{\frac{1}{\tau_B \Delta \Gamma}} \frac{\Delta \mathcal{B}(B \to X_u \ell \nu)}{\Delta \mathcal{B}(B \to X_u \ell \nu)} \Delta \mathcal{B}(B \to X_c \ell \nu)$$
 $\tau_B = 1.579 \pm 0.004 \text{ ps}$

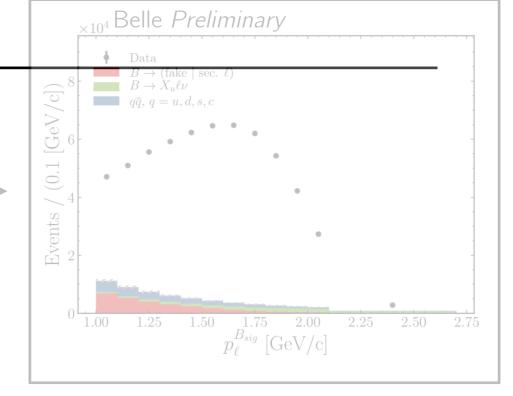
$$1.95(1 \pm 0.084 \pm 0.072) \times 10^{-2}$$

Belle, 2007 [PRD 75, 032001]: $(8.41 \pm 0.15 \pm 0.17)\%$ Babar, 2010 [PRD 81, 0032003]: $(8.63 \pm 0.17)\%$

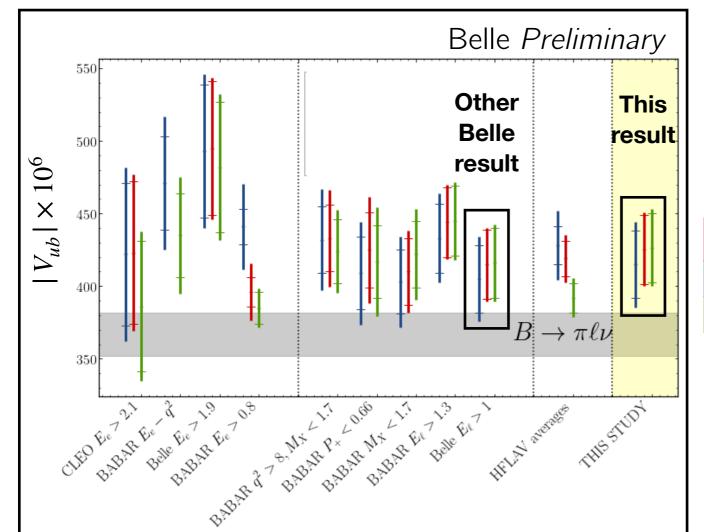
Naïve average: $(8.55 \pm 0.13)\%$ - Assume uncorrelated.

$B \to X_u \ell \bar{\nu}_\ell / B \to X_c \ell \bar{\nu}_\ell$ Extraction

Extract $B \to X_c \ell \nu$ yield via simple background subtraction in total $B \to X \ell \nu$ sample.



Determine directly ratio of



GGOU

P. Gambino, P. Giordano, G. Ossola, and N. Uraltsev, JHEP 10, 058 (2007), arXiv:0707.2493 [hep-ph].

BLNP

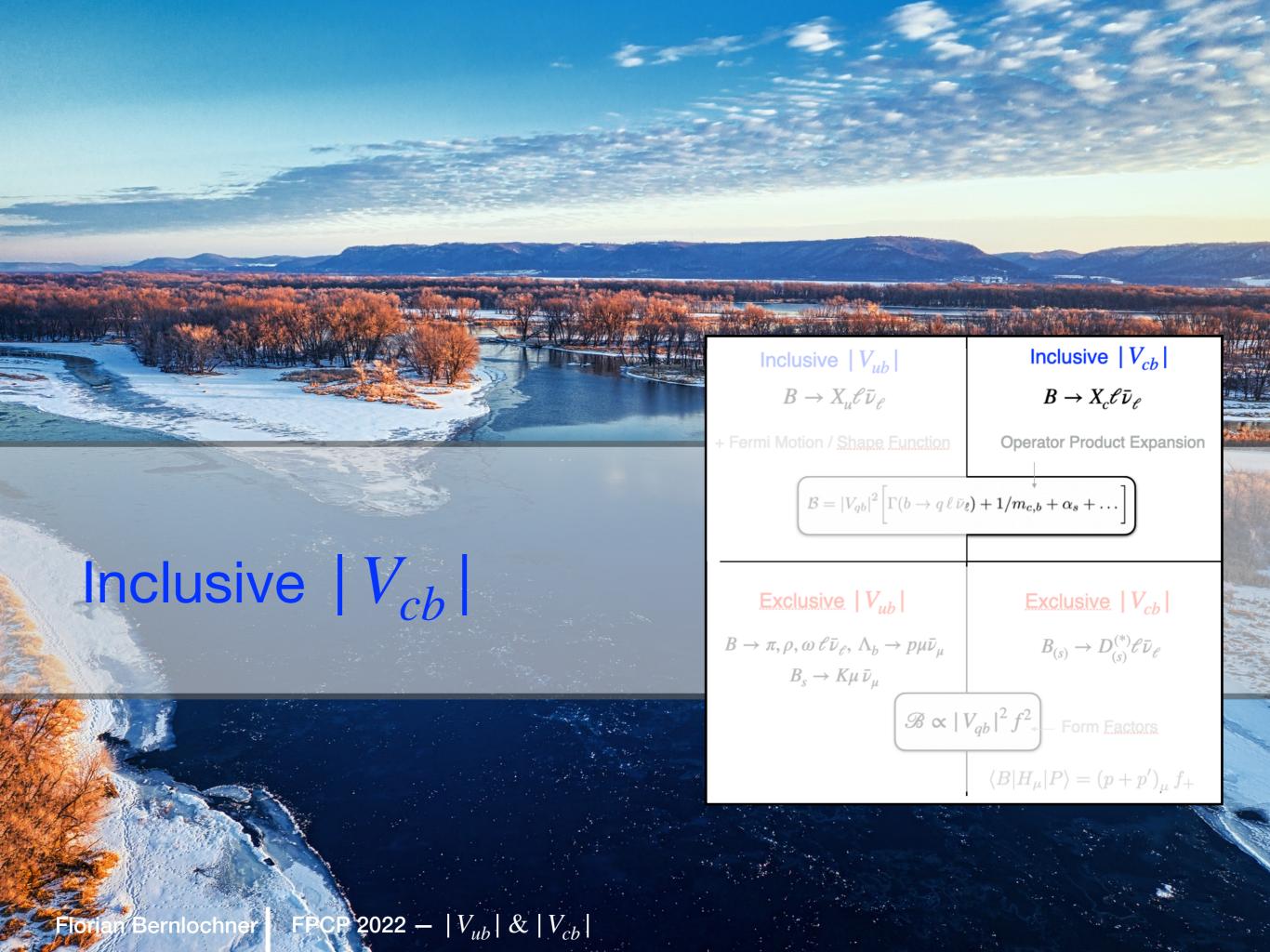
B. O. Lange, M. Neubert, and G. Paz, Phys. Rev. D 72, 073006 (2005), arXiv:hep-ph/0504071.

DGE

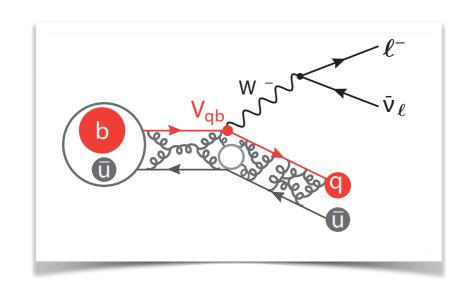
J. R. Andersen and E. Gardi, JHEP 01, 097 (2006), arXiv:hep-ph/0509360.

$$\begin{aligned} |V_{ub}|^{GGOU} &= (4.25 \pm 0.18 \pm 0.16 \stackrel{+0.09}{_{-0.09}}) \times 10^{-3} \\ |V_{ub}|^{BLNP} &= (4.15 \pm 0.17 \pm 0.15 \stackrel{+0.18}{_{-0.20}}) \times 10^{-3} \\ |V_{ub}|^{DGE} &= (4.26 \pm 0.18 \pm 0.16 \stackrel{+0.11}{_{-0.13}}) \times 10^{-3} \end{aligned}$$

Both Belle results are very compatible with each other



New Developments in inclusive $|V_{cb}|$



Inclusive
$$|V_{cb}|$$

$$\bar{B} \to X_c \, \ell \, \bar{\nu}_\ell$$

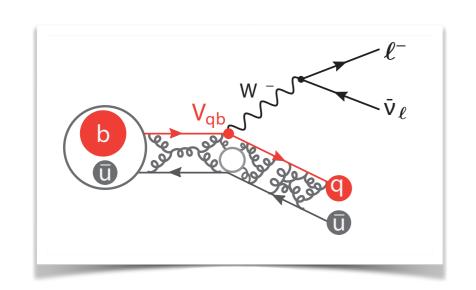
Operator Product Expansion (OPE)

$$\mathcal{B} = |V_{qb}|^2 \left[\Gamma(b \to q \,\ell \,\bar{\nu}_{\ell}) + 1/m_{c,b} + \alpha_s + \dots \right]$$

Established approach: Use hadronic mass moments, lepton energy moments etc. to determine non-perturbative matrix elements (ME) of OPE and extract |V_{cb}|

Bad news: number of these matrix elements increases if one increases expansion in $1/m_{b,c}$

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$$|V_{cb}|$$

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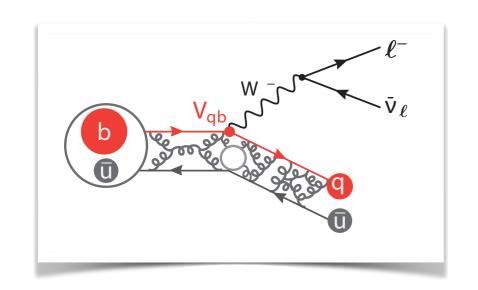


Innovative idea from [JHEP 02 (2019) 177, arXiv:1812.07472] (M. Fael, T. Mannel, K. Vos)

ightarrow Number of ME reduce by exploiting reparametrization invariance, but **not true for every observable** (e.g. not for $\langle M_X \rangle$)

But it **holds** for $\langle q^2 \rangle$ and at $1/m_b^4$ the # of ME reduces from 13 \rightarrow 8(!)

New Developments in inclusive $|V_{cb}|$



Inclusive $|V_{cb}|$

$$\bar{B} \to X_c \, \ell \, \bar{\nu}_\ell$$

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nclusive



Measurements of q^2 moments of inclusive $B \to X_c \ell \bar{\nu}_\ell$ decays with hadronic tagging [PRD 104, 112011 (2021), arXiv:2109.01685]

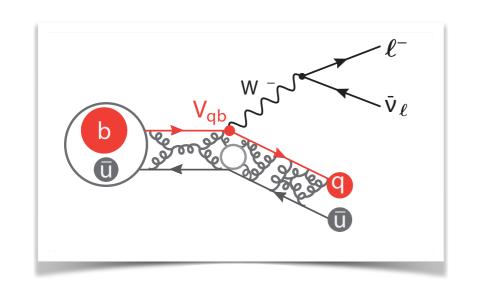


2.

Measurements of Lepton **Mass squared moments** in inclusive $B \to X_c \ell \bar{\nu}_{\ell}$ Decays with the Belle II Experiment [Submitted to PRD, arXiv:2205.06372]



New Developments in inclusive $|V_{ch}|$



Inclusive
$$|V_{cb}|$$

$$\bar{B} \to X_c \, \ell \, \bar{\nu}_\ell$$

Operator Product Expansion (OPE)

$$\mathcal{B} = |V_{qb}|^2 \left[\Gamma(b \to q \,\ell \,\bar{\nu}_{\ell}) + 1/m_{c,b} + \alpha_s + \dots \right]$$

Traditional approach: Use hadronic mass moments, lepton energy moments etc. to determine non-perturbative matrix elements (ME) of OPE and extract |V_{cb}|

Bad news: number of these matrix elements increases if one increases expansion in $1/m_{hc}$



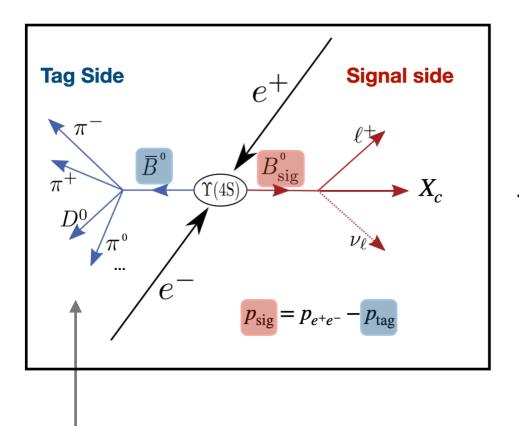
Inclusive

Third order correction to the semileptonic $b \rightarrow c$ and the muon decays [Phys.Rev.D 104 (2021) 1, 016003, arXiv:2011.13654] Three loop calculations and inclusive $|V_{ch}|$ [Phys.Lett.B 822 (2021) 136679, arXiv:2107.00604]

First determination of V_{ch} from q^2 moments [to appear]

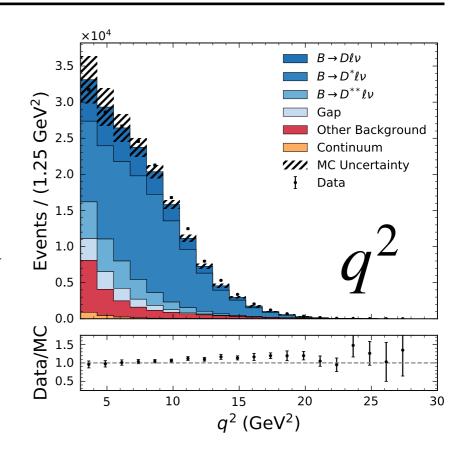
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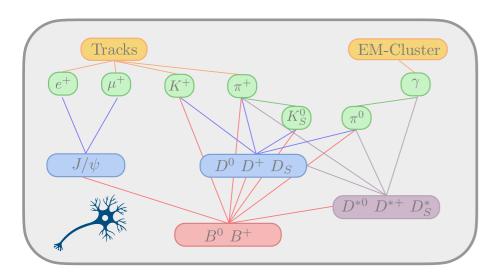
Key-technique: hadronic tagging



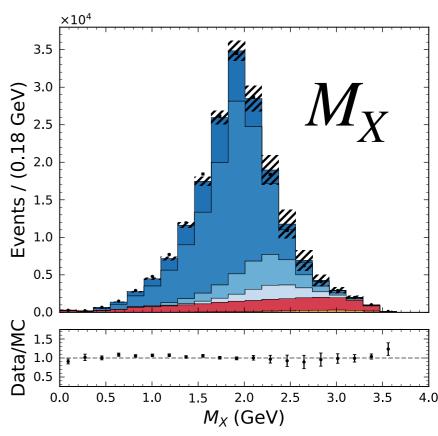
Can identify X_c constituents

$$q^2 = \left(p_{\text{sig}} - p_{X_c}\right)^2$$

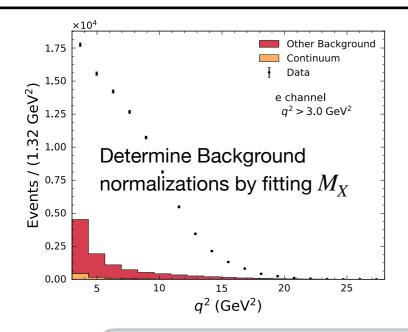


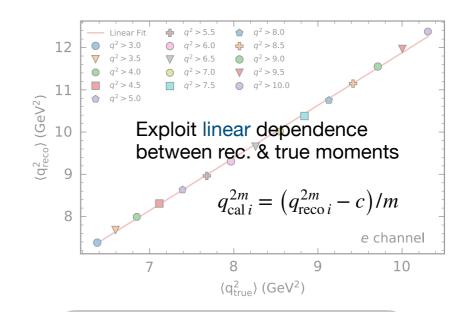


$$M_X = \sqrt{(p_{X_c})_{\mu}(p_{X_c})^{\mu}}$$



Measurements of q^2 moments of inclusive $B \to X_c \ell \bar{\nu}_{\ell}$ decays with hadronic tagging [PRD 104, 112011 (2021), arXiv:2109.01685]





Step #1: Subtract Background

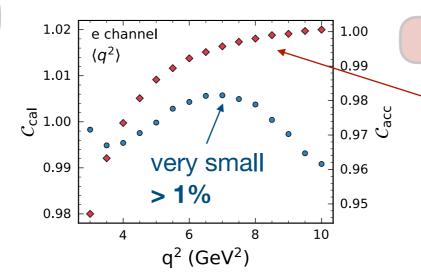
Step #2: Calibrate moment

Event-wise **Master-formula**

$$\langle q^{2m}
angle = rac{C_{
m cal} \cdot C_{
m acc}}{\sum_{i}^{
m events} w(q_i^2)} imes \sum_{i}^{
m events} w(q_i^2) \cdot q_{
m cal}^{2m}$$

Step #3: If you fail, try again

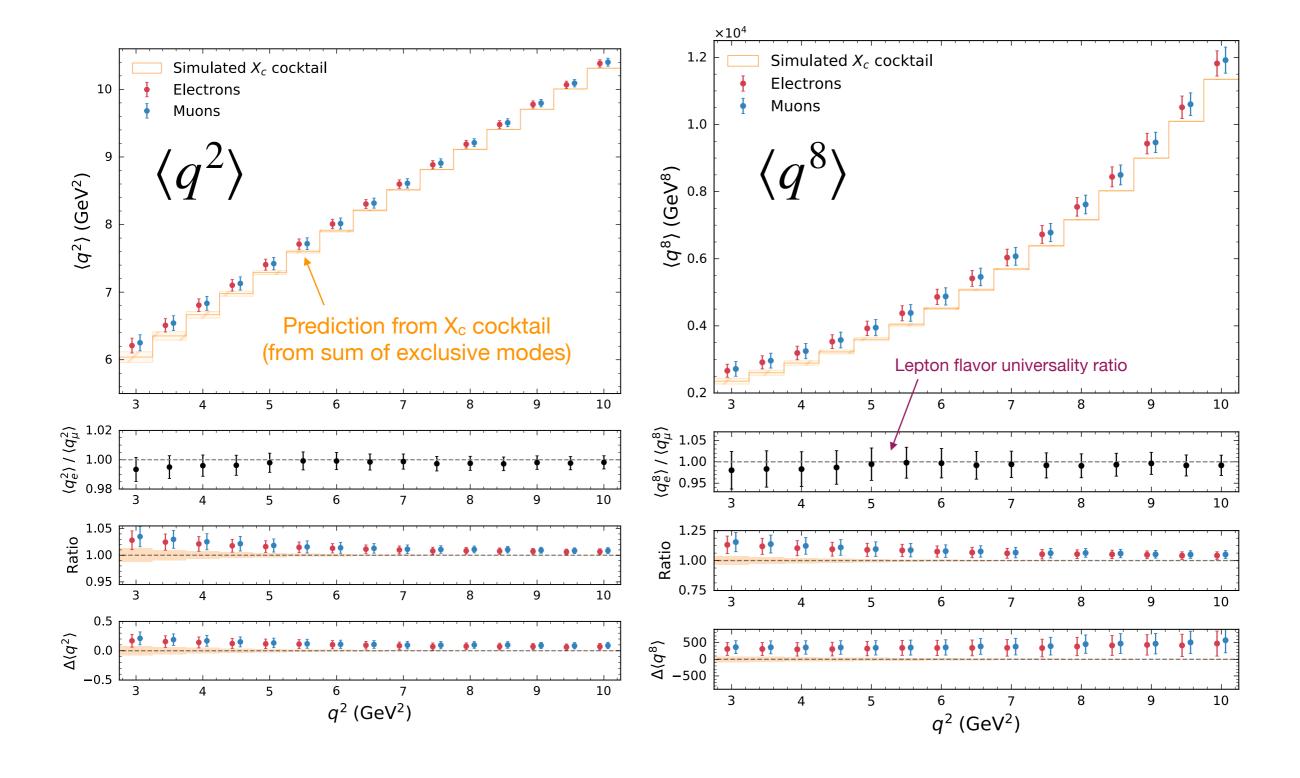




Step #4: Correct for selection effects

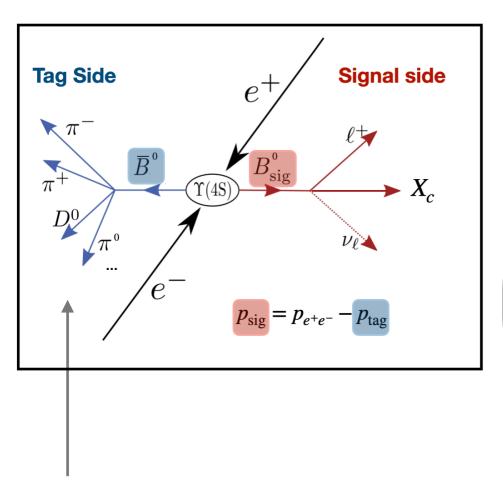
Overall event reconstruction itself also **biases** measured moment by **1-2**%

Measurements of q^2 moments of inclusive $B \to X_c \ell \bar{\nu}_\ell$ decays with hadronic tagging [PRD 104, 112011 (2021), arXiv:2109.01685]



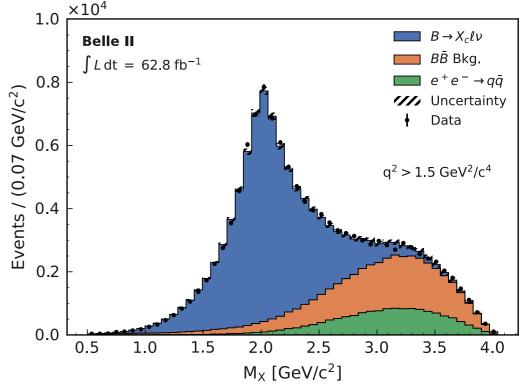
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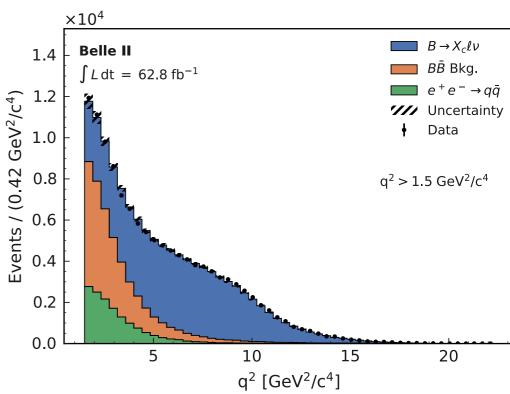


Improved Hadronic Tagging using Belle II algorithm

(ca. 2 times more efficient)

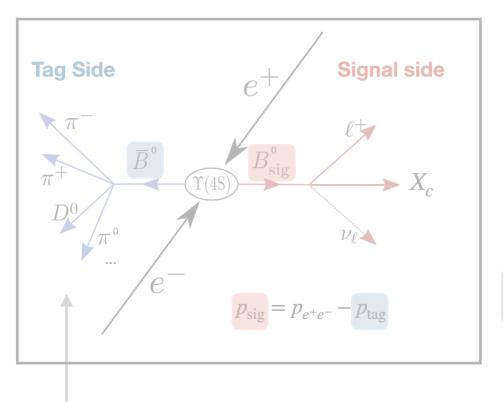
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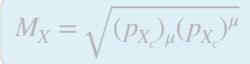


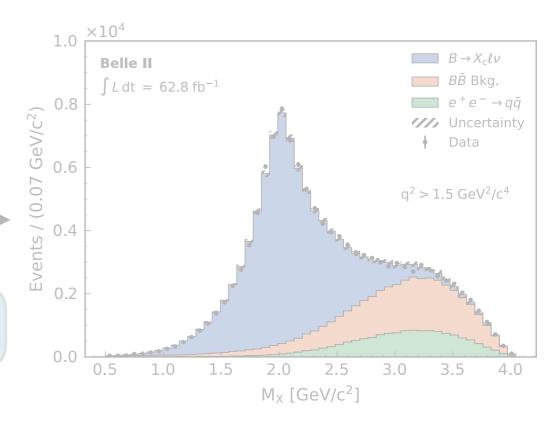
Measurements of Lepton **Mass squared moments** in inclusive $B \to X_c \ell \bar{\nu}_{\ell}$ Decays with the Belle II Experiment [Submitted to PRD, arXiv:2205.06372]

Key-technique: hadronic tagging



Can identify X_c constituents

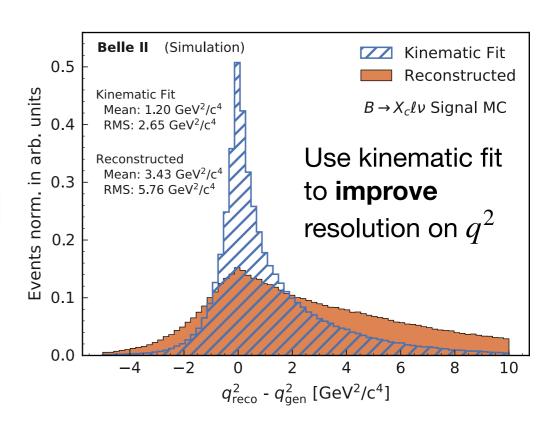


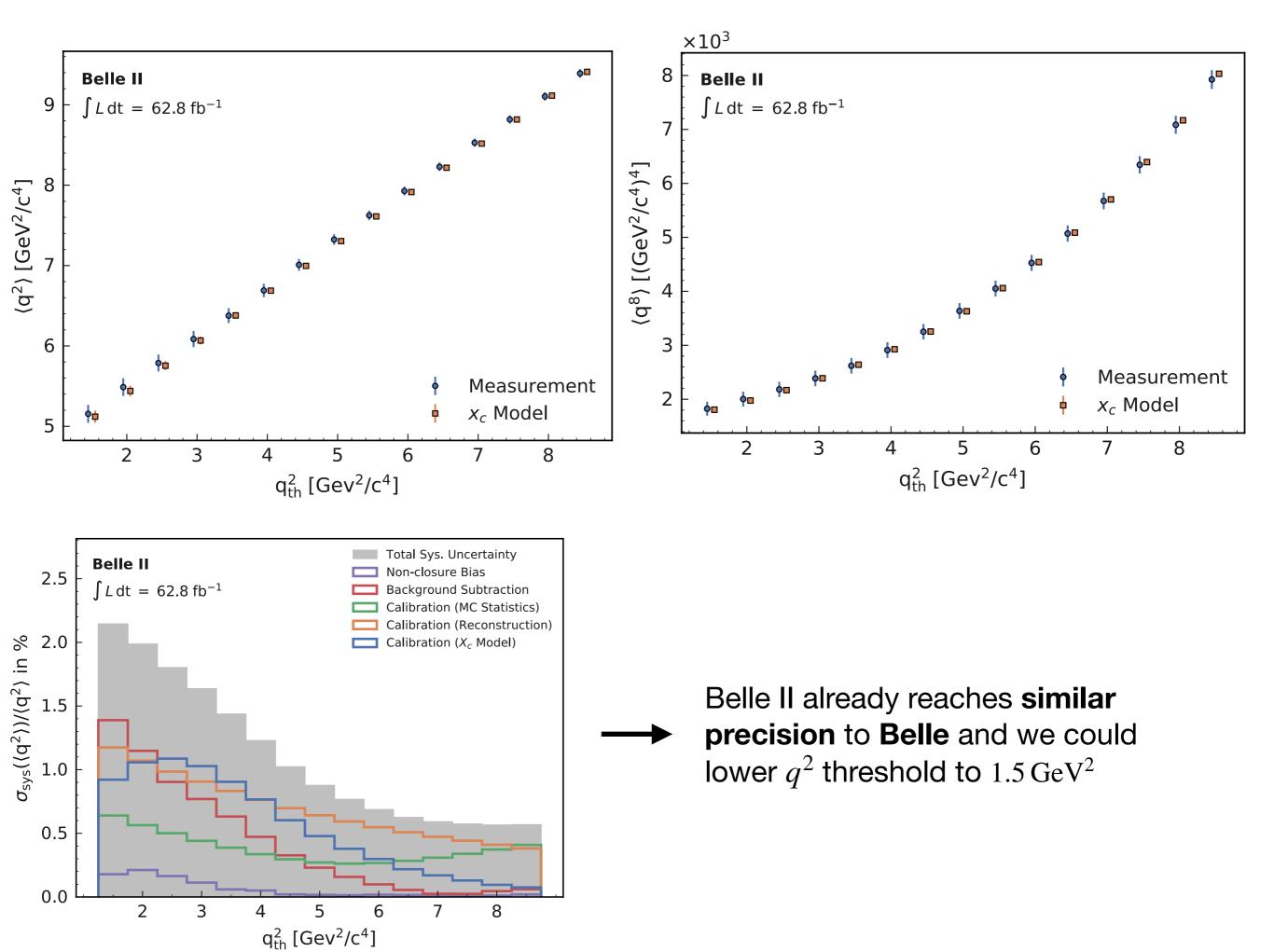


Improved Hadronic Tagging using Belle II algorithm (ca. 2 times more efficient)

[Full Event Interpretation, T. Keck et al, Comp. Soft. Big. Sci 3 (2019), arXiv:1807.08680]

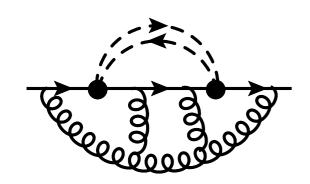
$$q^2 = \left(p_{\text{sig}} - p_{X_c}\right)^2$$





Theory progress

Fantastic progress on the theory side: semileptonic rate @ N³LO!



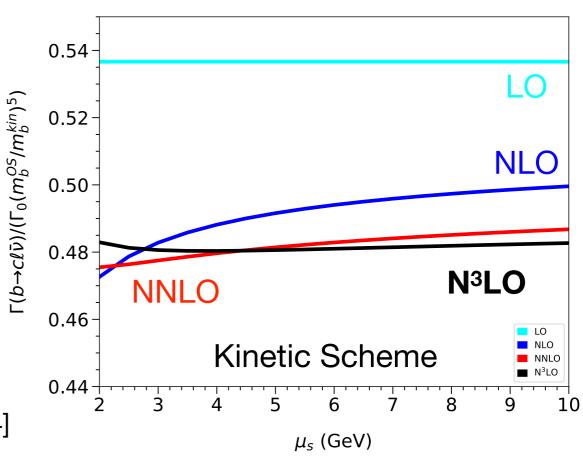
M. Fael, K. Schönwald, M. Steinhauser [Phys.Rev.D 104 (2021) 1, 016003, arXiv:2011.13654]

Updated inclusive fit to $\langle E_{\ell} \rangle$, $\langle M_X \rangle$ moments:

$$|V_{cb}| = 42.16(30)_{th}(32)_{exp}(25)_{\Gamma} \ 10^{-3}$$

$$\Delta |V_{cb}|/|V_{cb}| = 1.2\%!$$

M. Bordone, B. Capdevila, P. Gambino [Phys.Lett.B 822 (2021) 136679, arXiv:2107.00604]



SL Rate

Renormalization scale

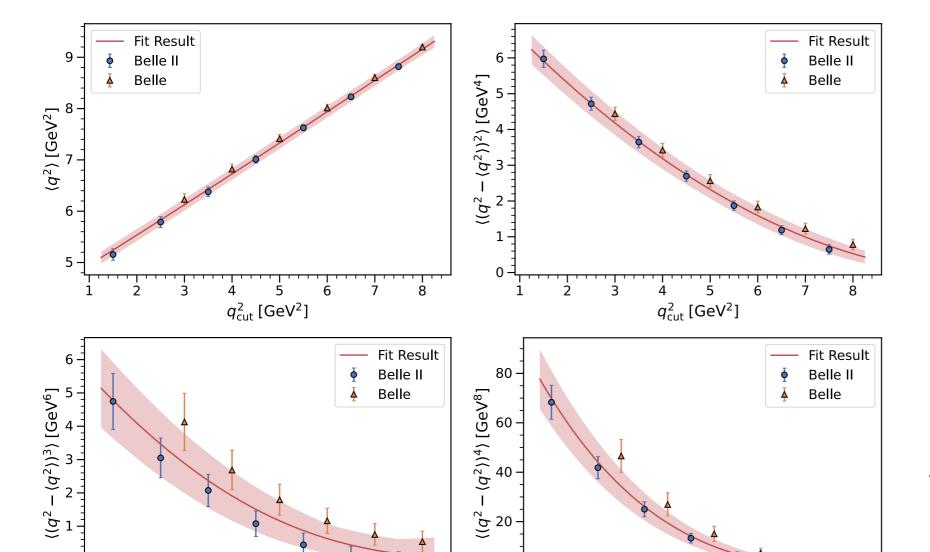
m_b^{kin}	$\overline{m}_c(2{\rm GeV})$	μ_{π}^2	$ ho_D^3$	$\mu_G^2(m_b)$	$ ho_{LS}^3$	$BR_{c\ell\nu}$	$10^3 V_{cb} $
4.573	1.092	0.477	0.185	0.306	-0.130	10.66	42.16
0.012	0.008	0.056	0.031	0.050	0.092	0.15	0.51
1	0.307	-0.141	0.047	0.612	-0.196	-0.064	-0.420
	1	0.018	-0.010	-0.162	0.048	0.028	0.061
		1	0.735	-0.054	0.067	0.172	0.429
			1	-0.157	-0.149	0.091	0.299
				1	0.001	0.013	-0.225
					1	-0.033	-0.005
						1	0.684
							1

$|V_{cb}|$ from q^2 mom.

 $q_{\rm cut}^2$ [GeV²]

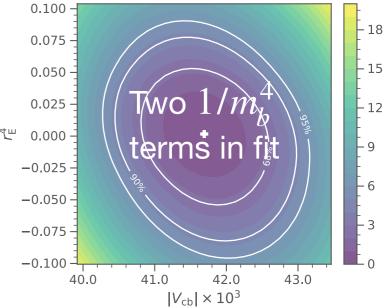
F. Bernlochner, M. Fael, K. Olschwesky, E. Persson, R. Van Tonder, K. Vos, M. Welsch [arXiv:2205.10274]

Also first extraction of $|V_{cb}|$ from q^2 moments:



Included corrections on the mom. predictions

$\langle (q^2)^n \rangle$	tree	α_s	α_s^2	α_s^3
Partonic	1	1		
μ_G^2	1	✓		
ρ_D^3	1	√		
$1/m_{b}^{4}$	1			



$$|V_{cb}| = (41.69 \pm 0.59|_{\text{fit}} \pm 0.23|_{\text{h.o.}}) \cdot 10^{-3} = (41.69 \pm 0.63) \cdot 10^{-3}$$

 $q_{\rm cut}^2$ [GeV²]

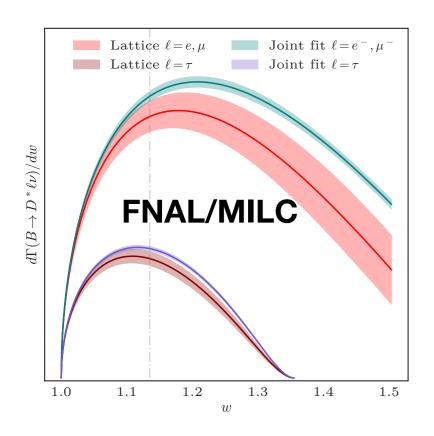


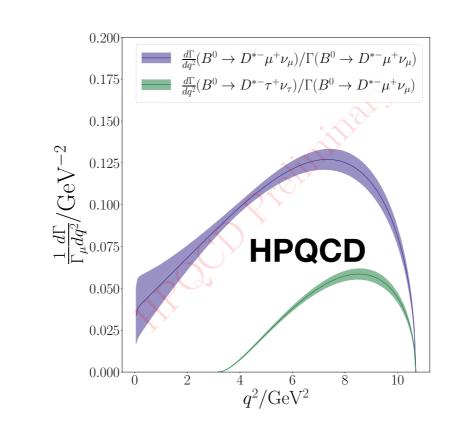
New Developments in exclusive $|V_{ch}|$

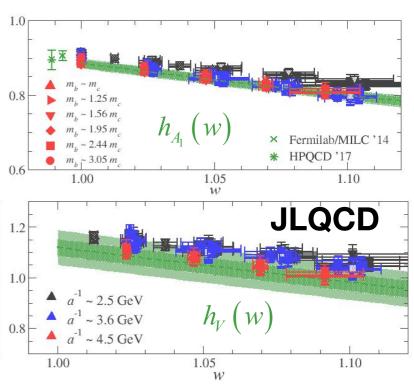
Very exciting times:

After more than 10 years in the making, we have first beyond zero recoil LQCD predictions beyond zero recoil for $B \to D^* \ell \bar{\nu}_{\ell}$:-)

One is finished, two are nearly finished:







A. Bazavov et al. [FNAL/MILC] [Under Review, arXiv:2105.14019]

Also experimentally very exciting times:

LHCb keeps producing impressive results probing $B_{\mathfrak{s}} o D_{\mathfrak{s}}^{(*)} \mathscr{C} \bar{\nu}_{\mathscr{E}}$ decays, Belle II also presented first determinations of $|V_{ch}|$ using $B \to D^* \ell \bar{\nu}_{\ell}$

Small taste of what there is to come from both experiments!

Measurement of $|V_{cb}|$ with $B_s \to D_s^{(*)} \mu \bar{\nu}_u$ decays [Phys. Rev. D 101, 072004, arXiv:2001.03225]



Exclusive

First glimpse at $|V_{cb}|$ in $B^0 \to D^{(*)-} \mathscr{C}^+ \nu_{\mathscr{C}}$ with Belle II data [Preliminary]

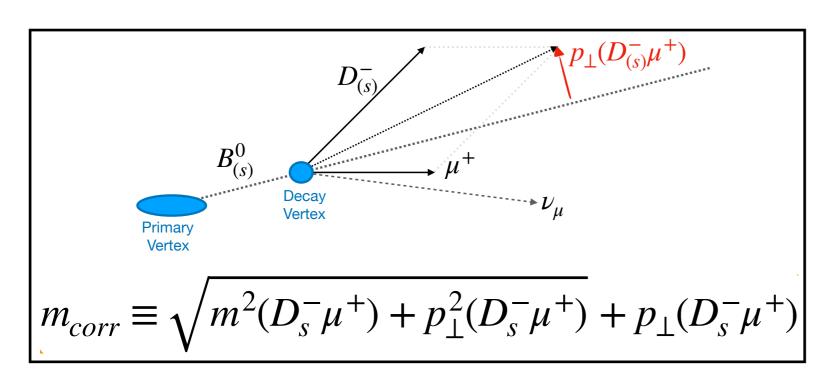


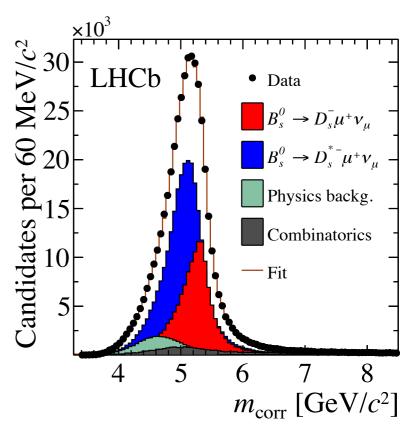
Measurement of $|V_{cb}|$ with $B_s \to D_s^{(*)} \mu \bar{\nu}_\mu$ decays

LHCD

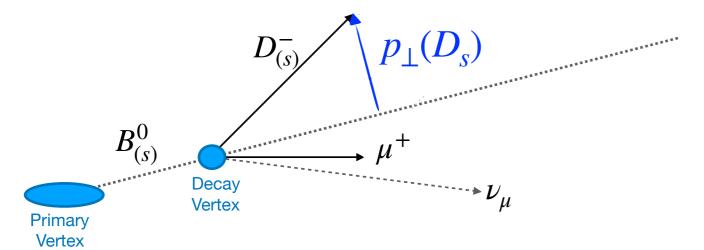
[Phys. Rev. D 101, 072004, arXiv:2001.03225]

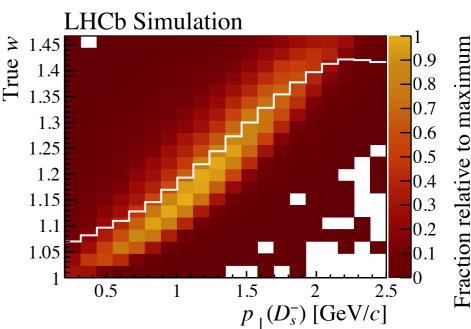
Leverage large separation of decay vertex from primary vertex to reconstruct B_s flight direction; reconstruct corrected mass $m_{\rm corr}$:





Exploit $p_{\perp}(D_s)$ correlation with w to fit form factors

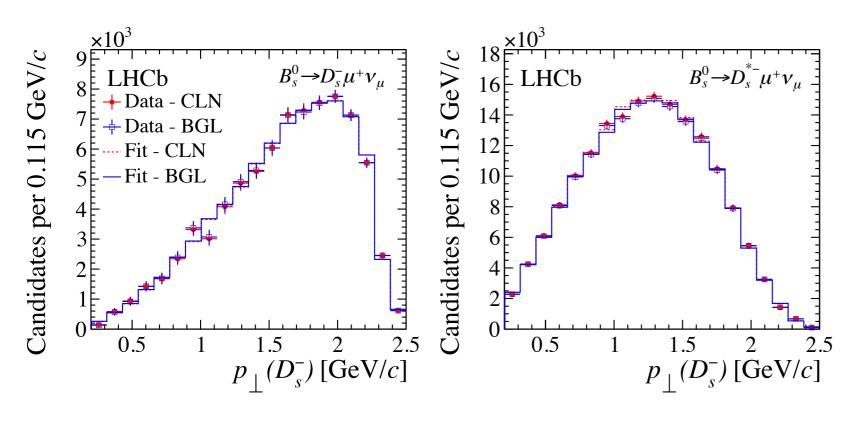


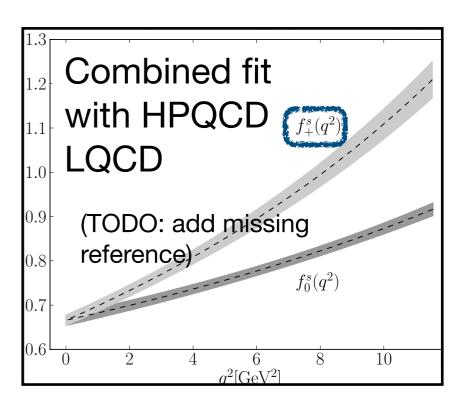


Measurement of $|V_{cb}|$ with $B_s \to D_s^{(*)} \mu \bar{\nu}_\mu$ decays [Phys. Rev. D **101**, 072004, arXiv:2001.03225]



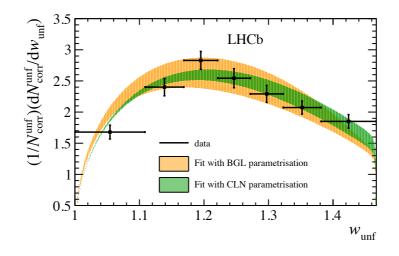
Background subtracted and fitted distributions:





$$|V_{cb}|_{BGL} = (41.7 \pm 0.8(stat) \pm 0.9(syst) \pm 1.1(ext)) \times 10^{-3}$$

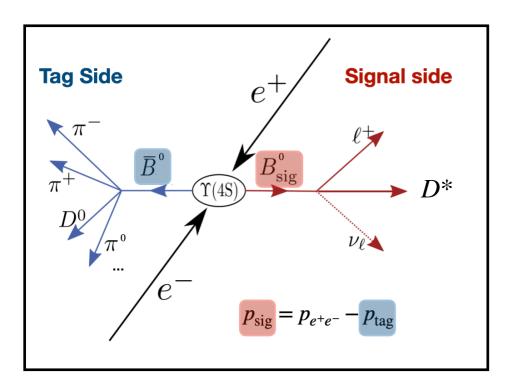
Also provide unfolded w spectrum for $B_s o D_s^* \mu \bar{
u}_\mu$



First glimpse at $|V_{cb}|$ in $B\to D^{(*)}\ell\bar{\nu}_\ell$ with Belle II data [Preliminary]

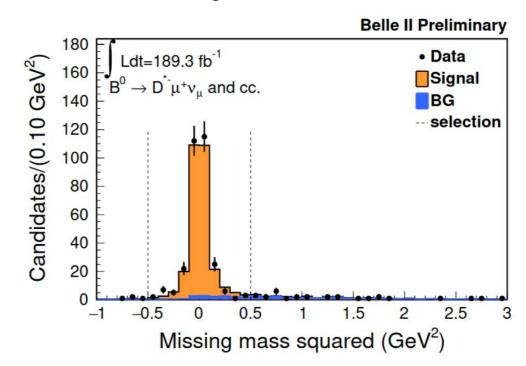


Reconstructed with hadronic tagging and using 189.3/fb



With hadronic tagging can reconstruct

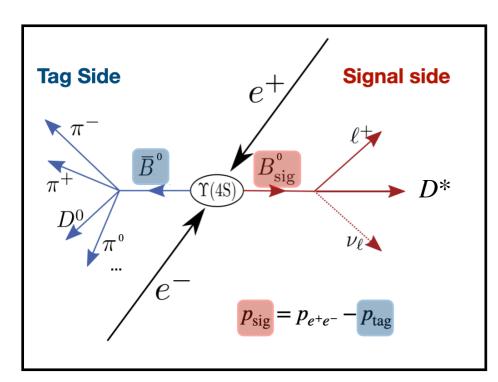
$$m_{\text{miss}}^2 = (p_{\text{sig}} - p_{D^*} - p_{\ell})^2 \sim p_{\nu}^2 = 0$$



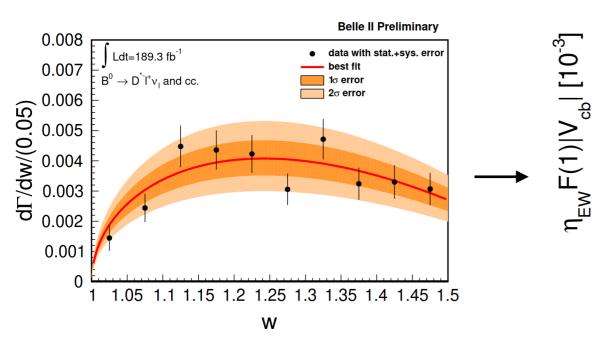
First glimpse at $|V_{cb}|$ in $B^0 \to D^{(*)-} \mathscr{C}^+ \nu_{\mathscr{C}}$ with Belle II data [Preliminary]



Reconstructed with hadronic tagging and using 189.3/fb



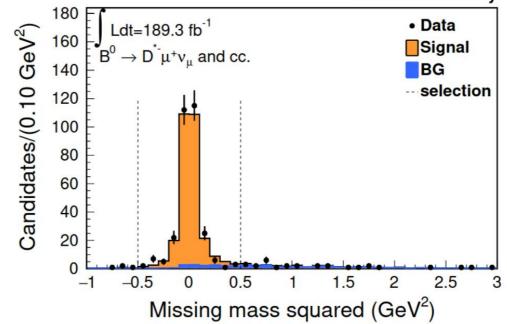
Background subtracted & unf. w spectrum

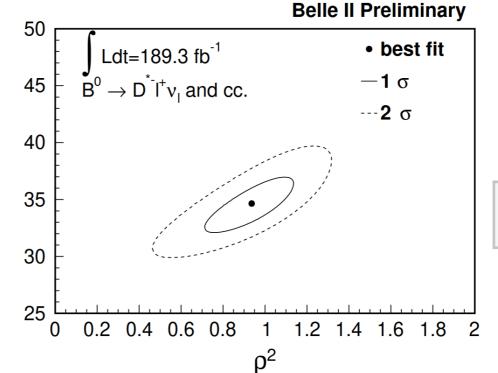


With hadronic tagging can reconstruct

$$m_{\rm miss}^2 = (p_{\rm sig} - p_{D^*} - p_\ell)^2 \sim p_\nu^2 = 0$$

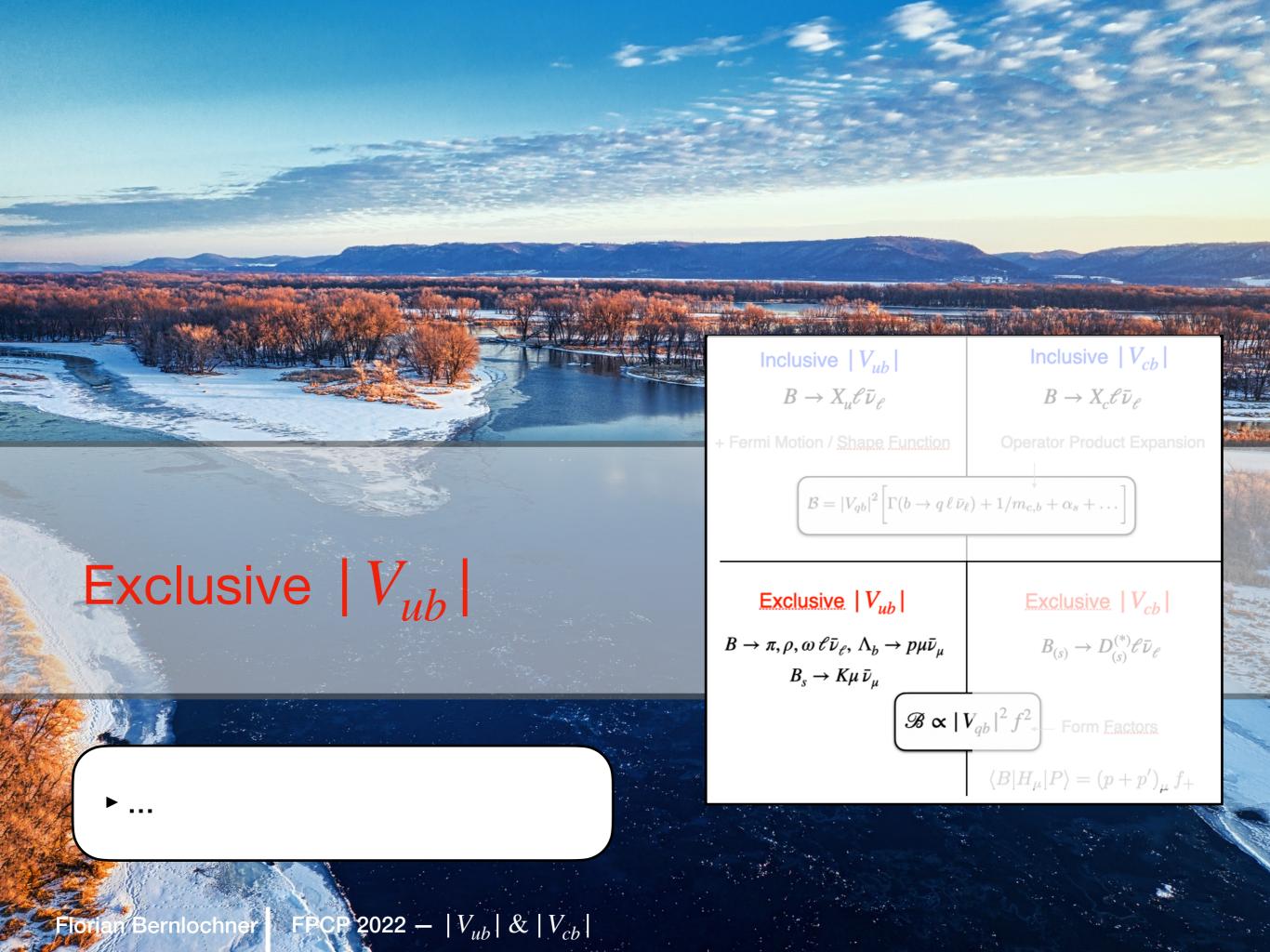
$$= \frac{180}{160} \int_{-1}^{180} \text{Ldt} = 189.3 \text{ fb}^{-1}$$
• Data Signal





Determined $|V_{ch}|$:

$$|V_{cb}| = (37.9 \pm 2.7) \times 10^{-3}$$



New Developments in exclusive $|V_{ub}|$

First measurement with $B_{\scriptscriptstyle S} o K \mu \bar{ u}_{\mu}$

LHCb presented a year ago a spectacular first measurement of exclusive $|V_{ub}|/|V_{cb}|$ from B_s decays

Small taste of what there is to come from both experiments!

1.

First observation of the decay $B_s^0 \to K^- \mu^+ \nu_\mu$ & meas. of $|V_{ub}|/|V_{cb}|$ [Phys.Rev.Lett. 126 (2021) 8, 081804, arXiv:2012.05143]



2.

Exclusive

First glimpse at $|V_{ub}|$ in $B^0 \to \pi^- \mathcal{C}^+ \nu_{\mathcal{C}}$ with Belle II data [Preliminary]



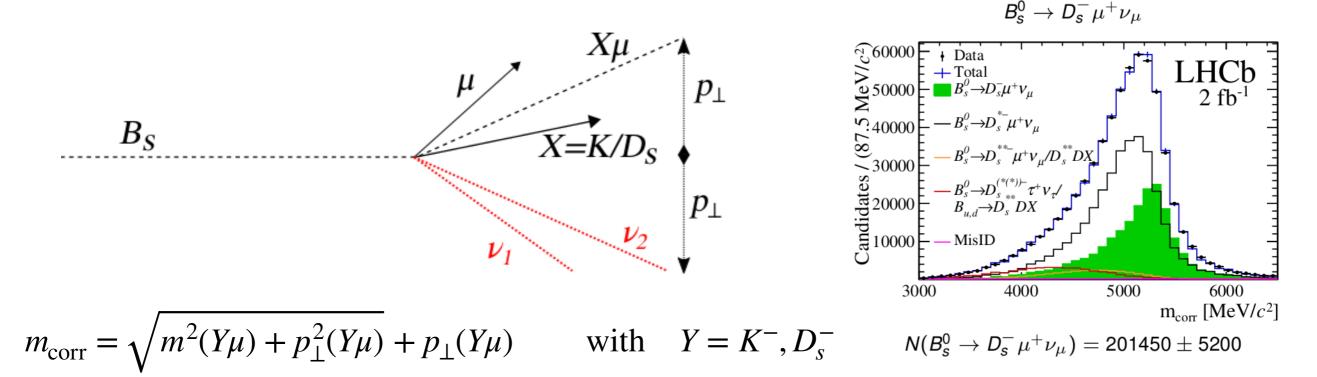
First observation of the decay $B_s^0 \to K^- \mu^+ \nu_\mu$ & meas. of $|V_{ub}|/|V_{cb}|$ [Phys.Rev.Lett. 126 (2021) 8, 081804, arXiv:2012.05143]

Directly aim to measure $|V_{ub}|/|V_{cb}|$ via the ratio

$$\mathcal{R} = \frac{\mathcal{B}(B_s^0 \to K^- \mu^+ \nu_\mu)}{\mathcal{B}(B_s^0 \to D_s^- \mu^+ \nu_\mu)} = \frac{N_K}{N_{D_s}} \frac{\epsilon_{D_s}}{\epsilon_K} \times \mathcal{B}(D_s^- \to K^+ K^- \pi^-)$$

of signal / normalization events

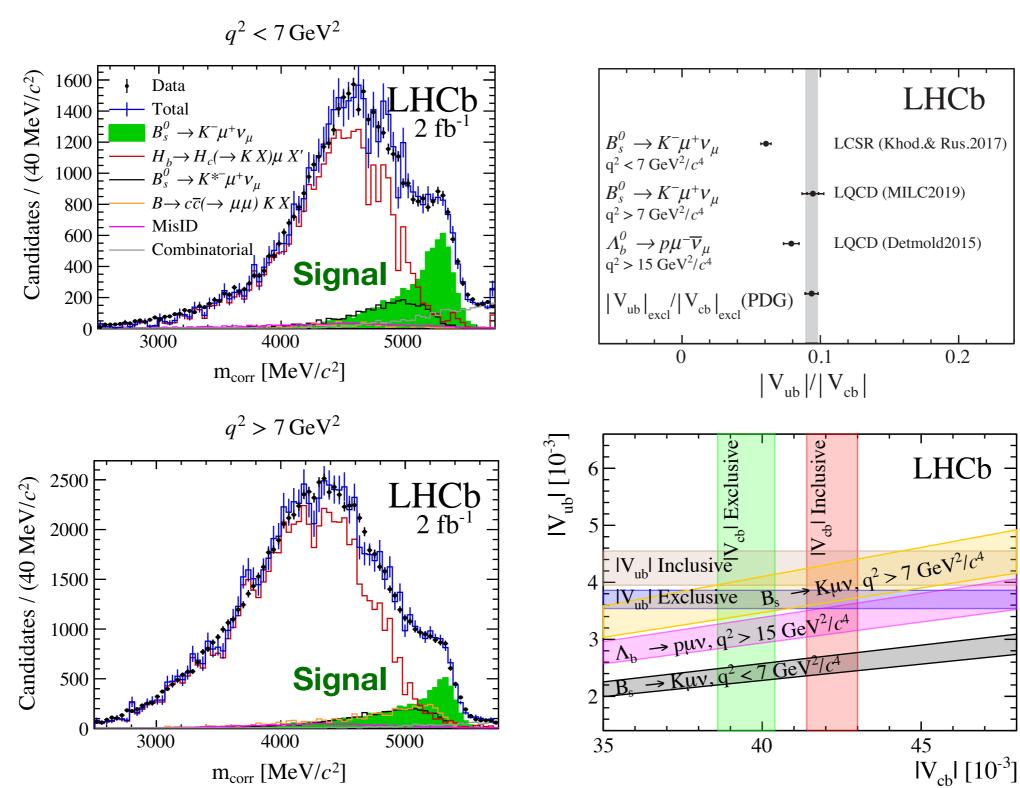
Again use corrected mass $m_{\rm corr}$ to separate signal from background and normalization:



First observation of the decay $B_s^0 \to K^- \mu^+ \nu_\mu$ & meas. of $|V_{ub}|/|V_{cb}|$

[Phys.Rev.Lett. 126 (2021) 8, 081804, arXiv:2012.05143]

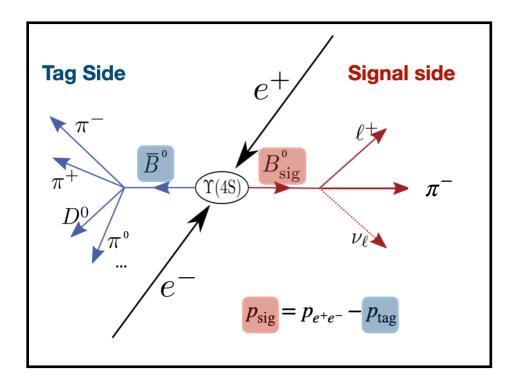
Extract \mathscr{R} at low and high $q^2 = (p_B - p_K)^2$



First glimpse at $|V_{ub}|$ in $B^0 \to \pi^- \ell^+ \nu_\ell$ with Belle II data [Preliminary]

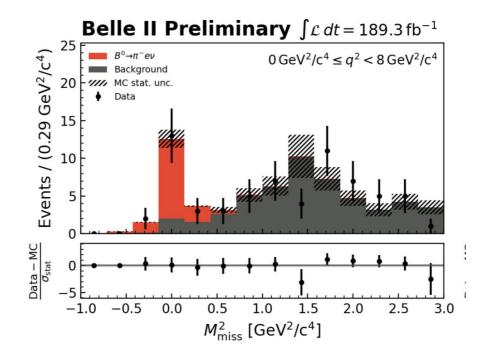


Reconstructed with hadronic tagging and using 189.3/fb



Fit
$$m_{\text{miss}}^2 = (p_{\text{sig}} - p_{\pi} - p_{\ell})^2 \sim p_{\nu}^2 = 0$$

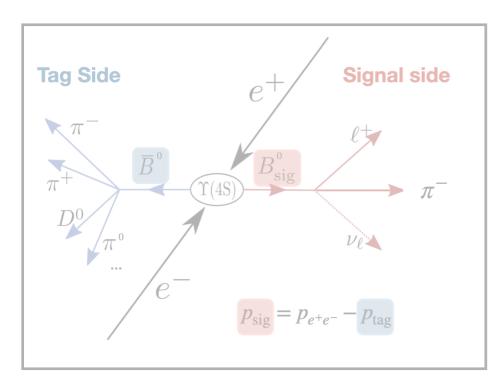
in **3 bins** of q^2 to separate signal from background



First glimpse at $|V_{ub}|$ in $B^0 \to \pi^- \ell^+ \nu_\ell$ with Belle II data [Preliminary]

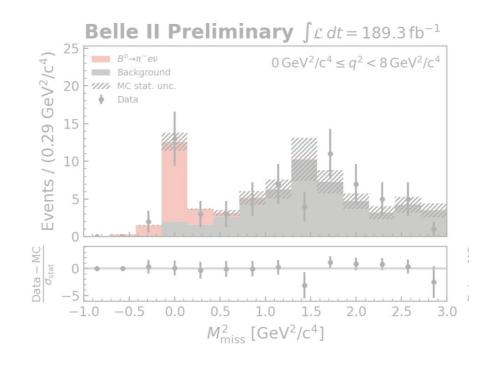


Reconstructed with hadronic tagging and using 189.3/fb

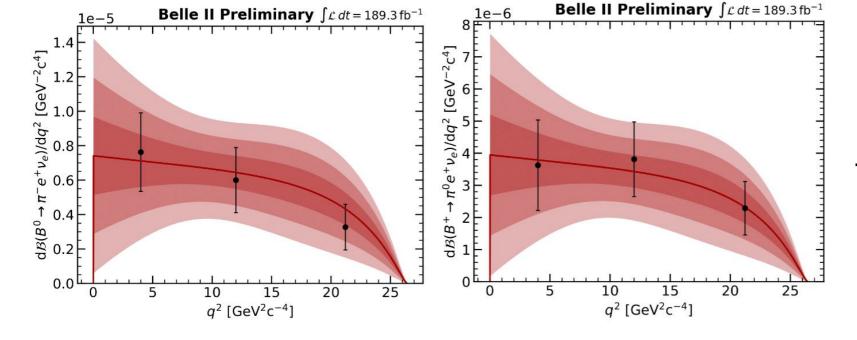


Fit
$$m_{\text{miss}}^2 = (p_{\text{sig}} - p_{\pi} - p_{\ell})^2 \sim p_{\nu}^2 = 0$$

in **3 bins** of q^2 to separate signal from background



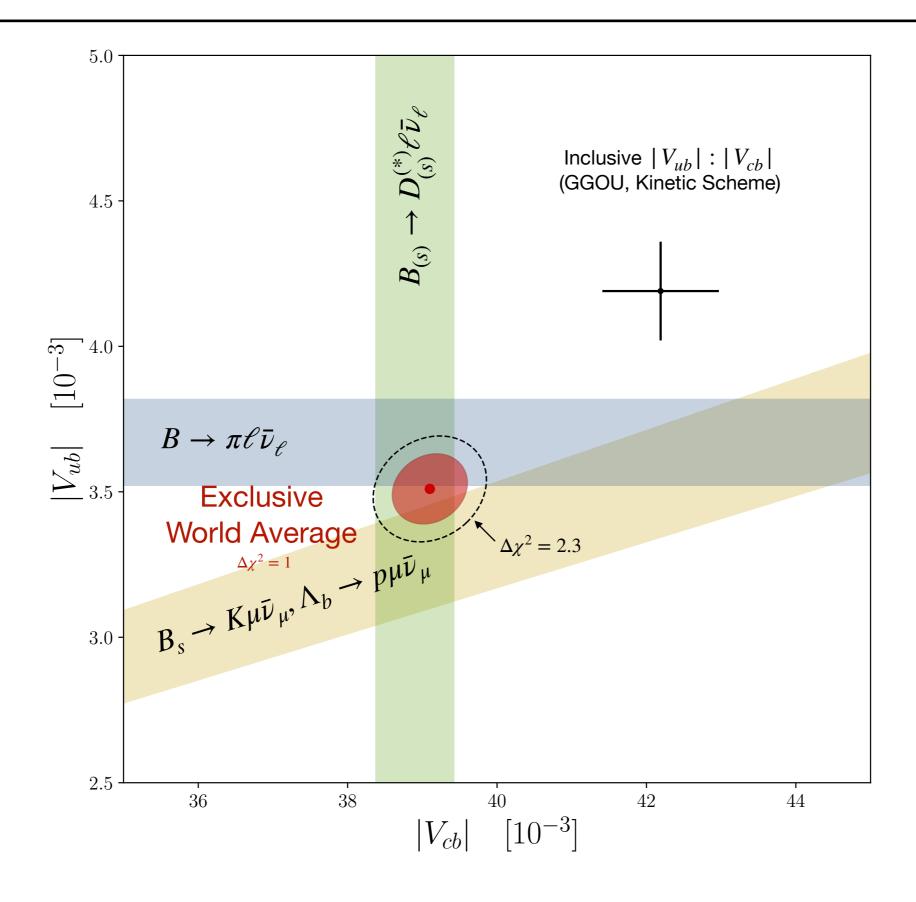
Form Factor & $|V_{ub}|$ fit:

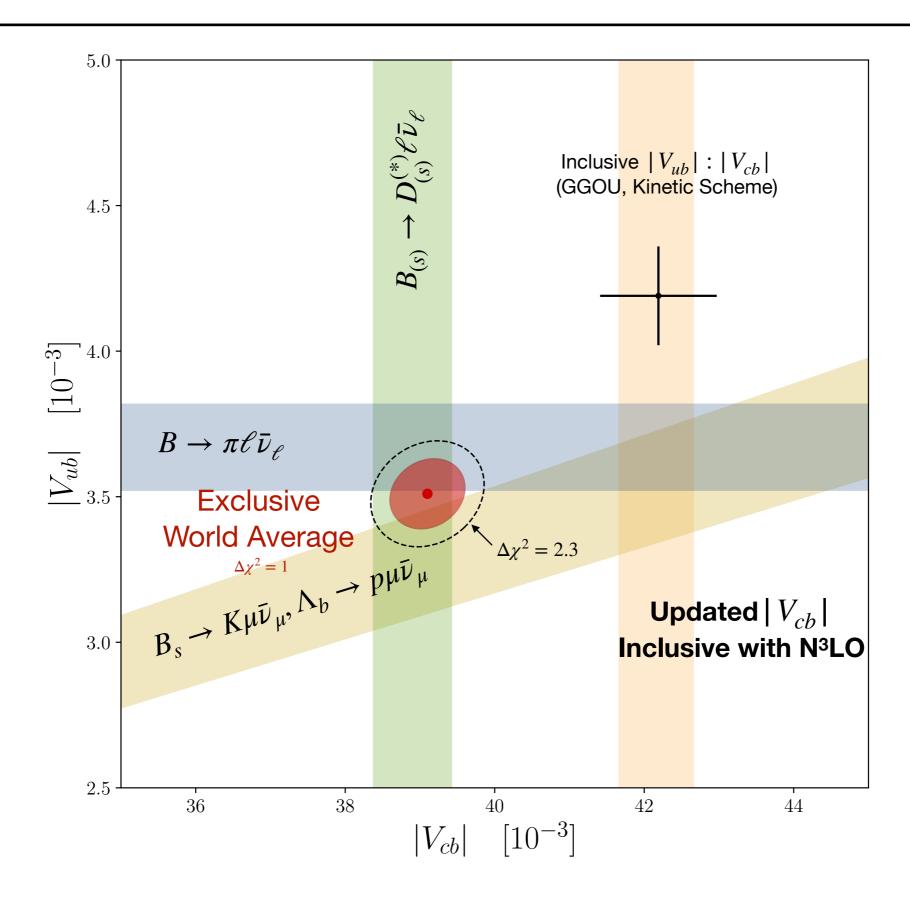


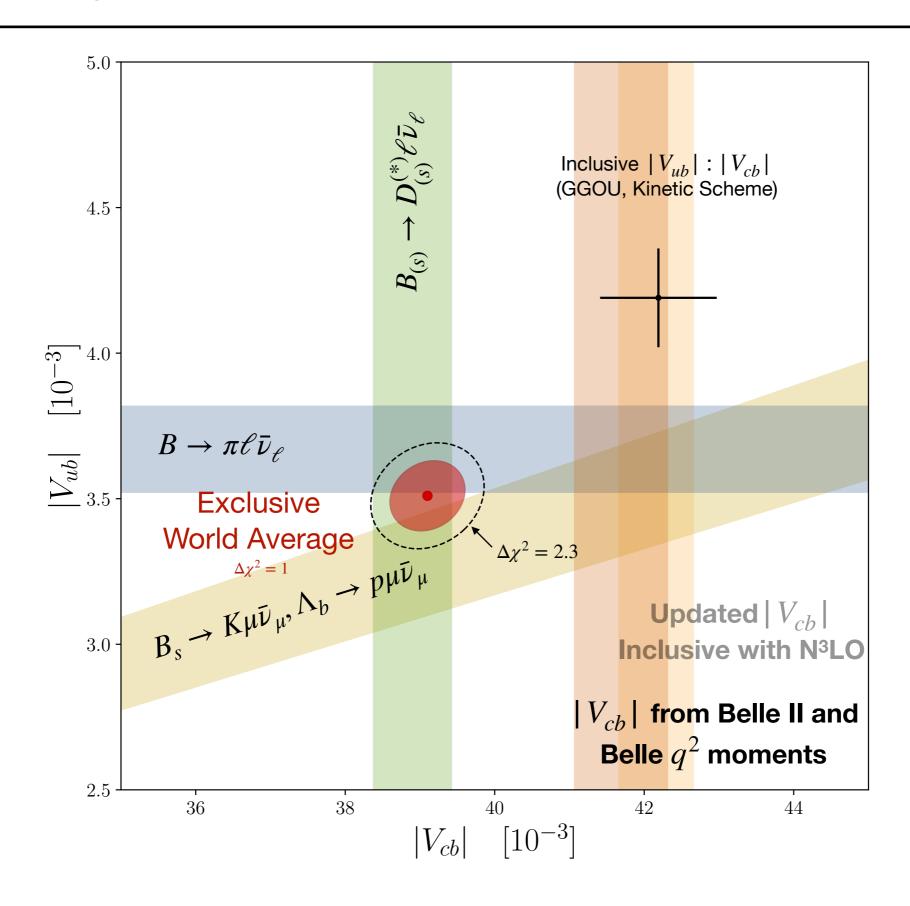
 $|V_{ub}| \times 10^3 = 3.88 \pm 0.45$

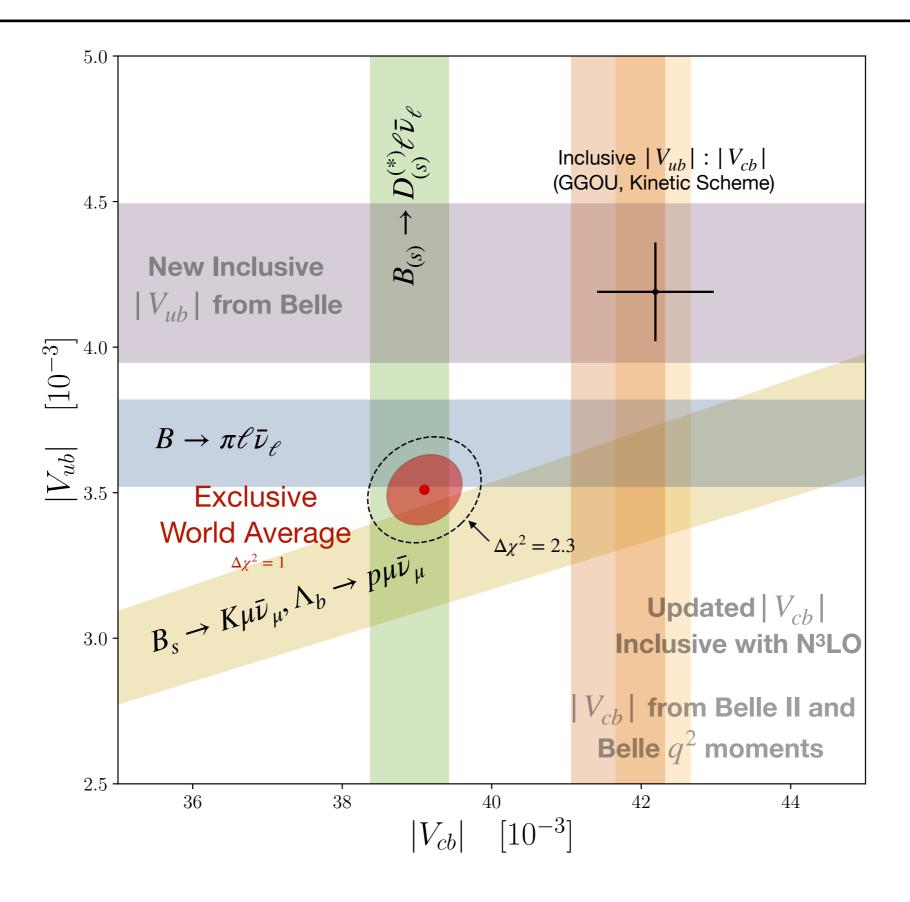
with LQCD data from FNAL/MILC Phys.Rev.D 92 (2015) 1, 014024, [arXiv: 1503.07839]





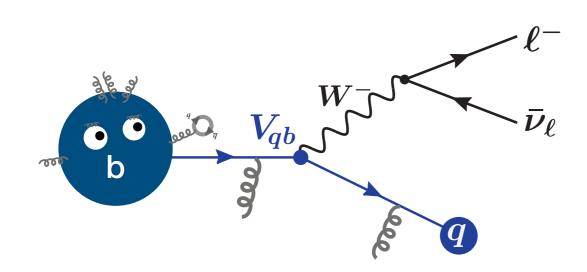


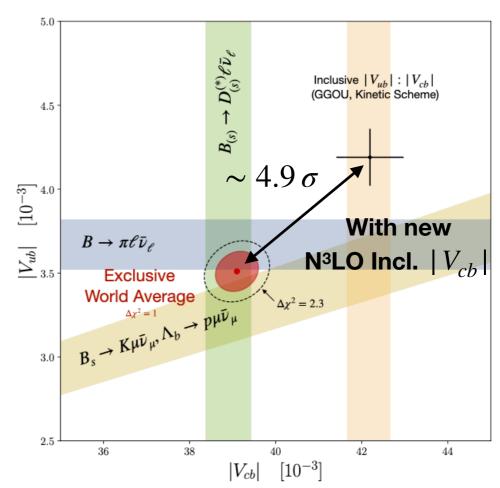




He may look cute, but that might be deceiving...

... the long-standing discrepancy is **not going away**





We need to tackle this problem:

- ► There are three culprits that can cause this:
 - Experimental Problem / Theory Problem / New Physics

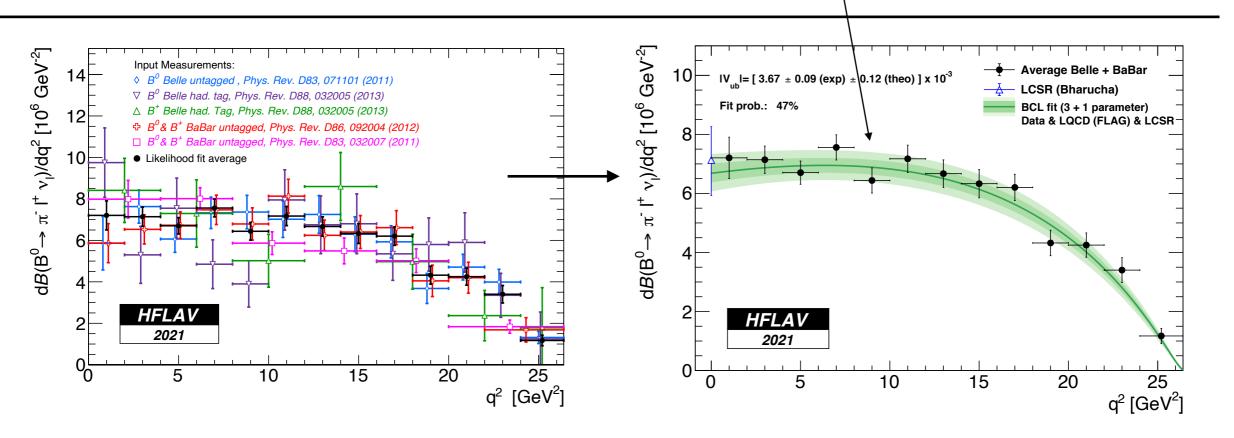
We need new experimental and theory results that challenge what we think we know



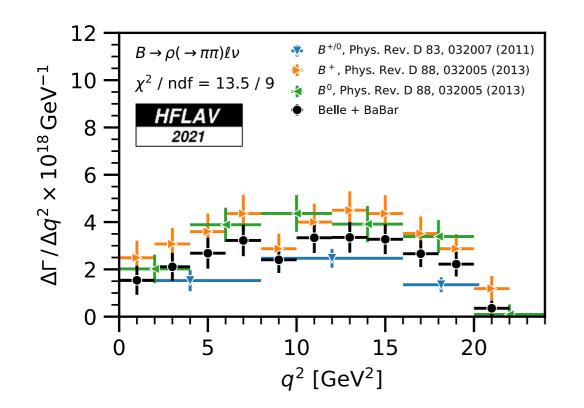
Exclusive $|V_{ub}|$

Likelihood combination with systematic Nuisance Parameters

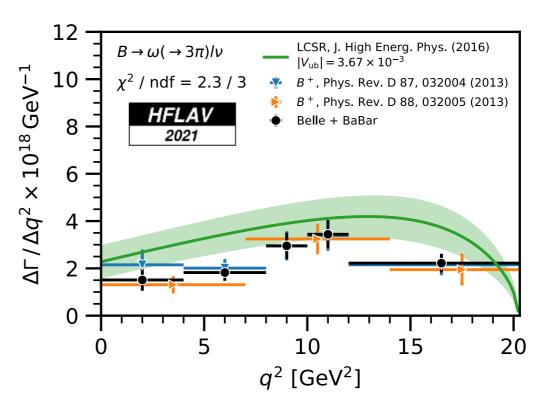
of all measurements

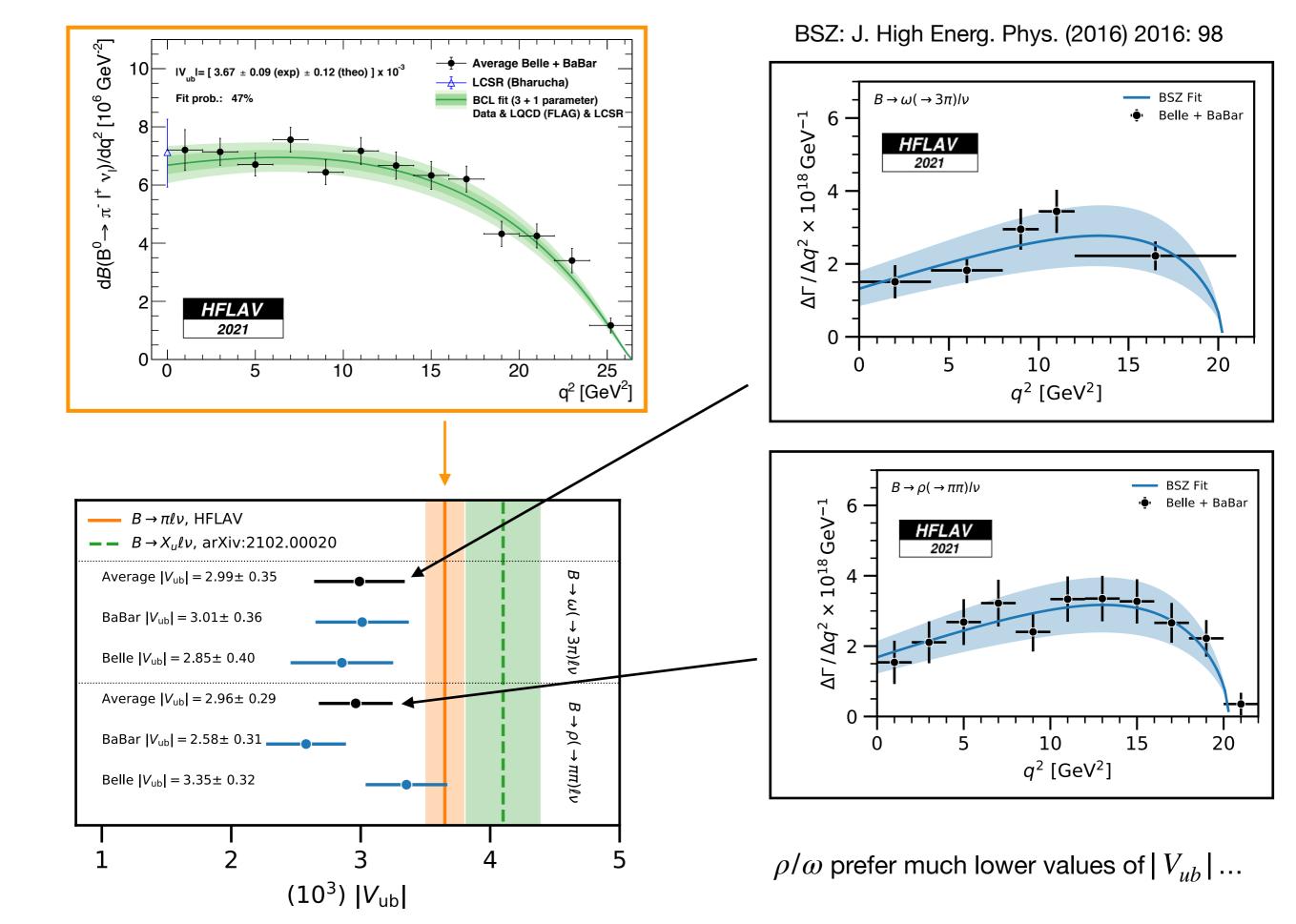


Now also available for $B \to \rho/\omega\ell\bar{\nu}_{\ell}$:



Plan to release public code for all of these



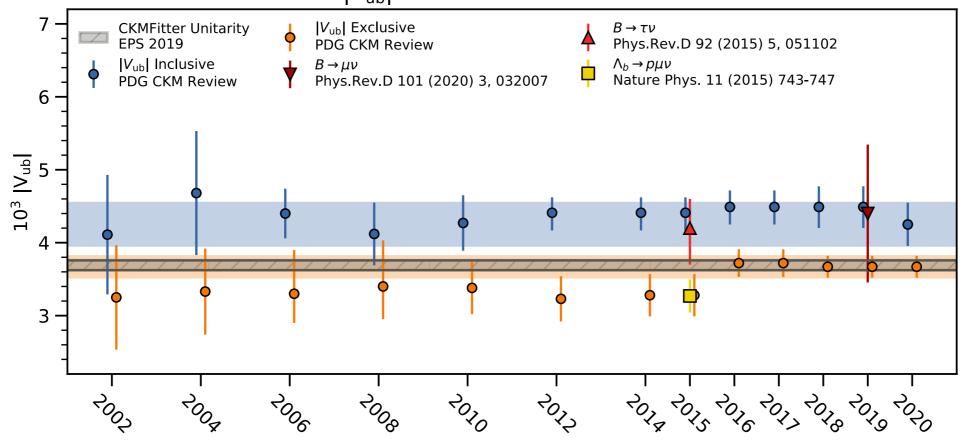


See also [FB, Markus Prim, Dean Robinson, Phys. Rev. D 104, 034032 (2021)]

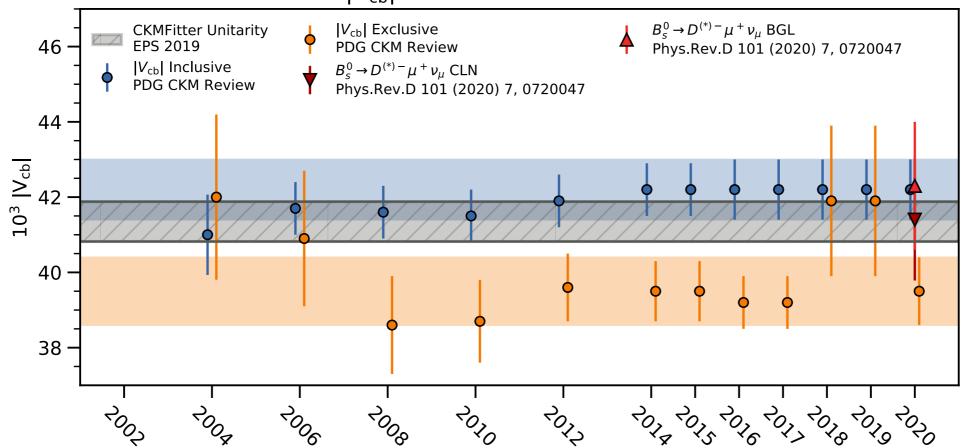
	$\mathcal{B}(B \to X \ell \bar{\nu}_{\ell}) \ (\%)$	$\mathcal{B}(B \to X_c \ell \bar{\nu}_\ell) \ (\%)$	In Average
Belle [62] $E_{\ell} > 0.6 \mathrm{GeV}$	-	10.54 ± 0.31	✓
Belle [62] $E_{\ell} > 0.4 \mathrm{GeV}$	-	10.58 ± 0.32	
CLEO [64] incl.	10.91 ± 0.26	10.72 ± 0.26	
CLEO [64] $E_{\ell} > 0.6$	10.69 ± 0.25	10.50 ± 0.25	✓
BaBar [61] incl.	10.34 ± 0.26	10.15 ± 0.26	✓
BaBar SL [63] $E_{\ell} > 0.6 \mathrm{GeV}$	-	10.68 ± 0.24	✓
Our Average	-	10.48 ± 0.13	
Average Belle [62] & BaBar [63]	-	10.63 ± 0.19	
$(E_{\ell} > 0.6 \mathrm{GeV})$			

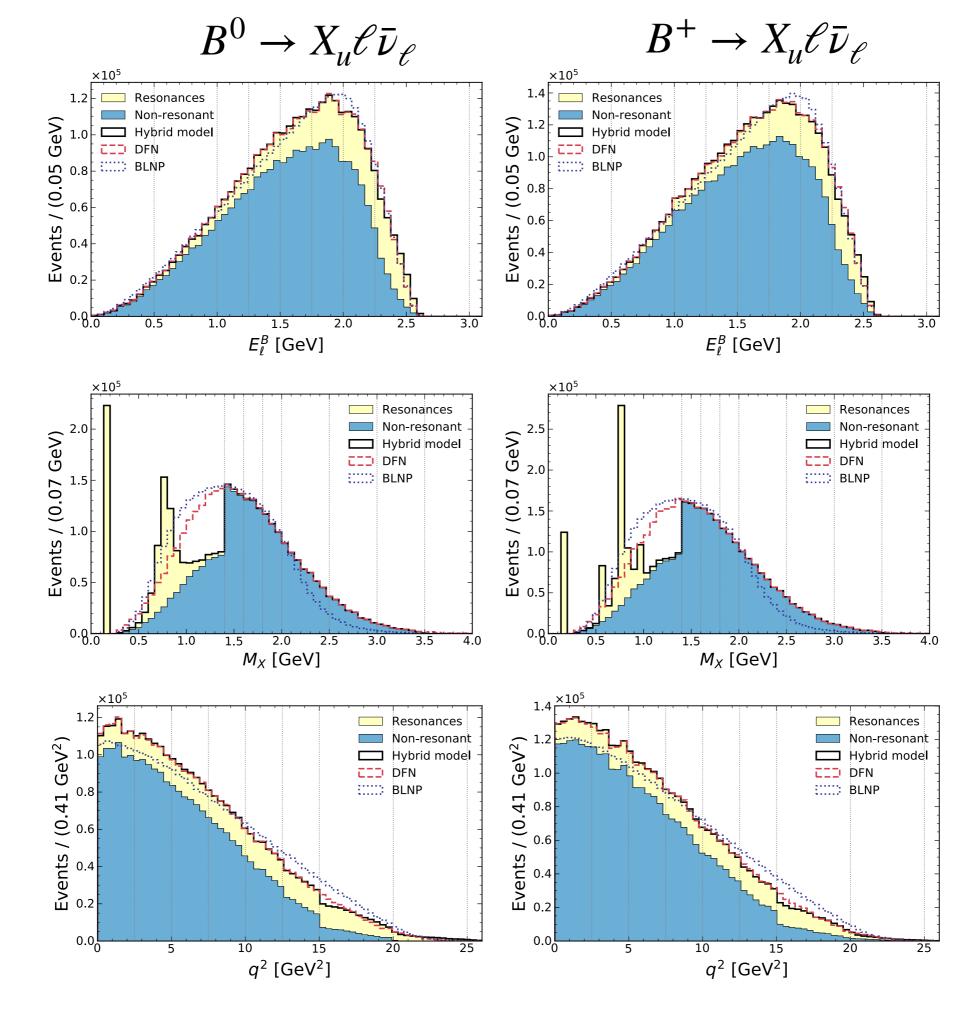
Table 2: Available measurements of the inclusive $B \to X \ell \bar{\nu}_{\ell}$ and $B \to X_c \ell \bar{\nu}_{\ell}$ branching fractions, extrapolated to the full region using the correction factors in (34). The χ^2 of our average with respect to the included measurements is 2.2, corresponding to a p-value of 52%. We do not include [65], as the analysis does not quote a partial branching fraction corrected for FSR radiation.

|V_{ub}| Measurements over Time



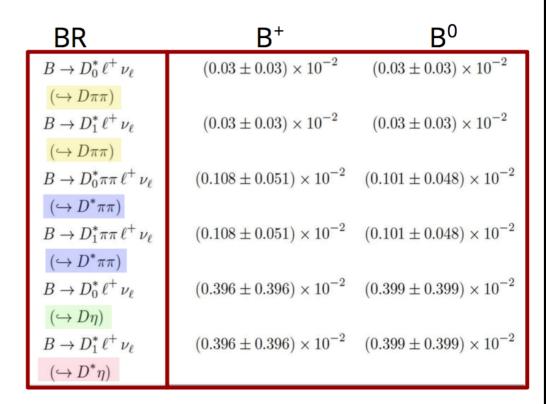
$\left|V_{cb}\right|$ Measurements over Time





- **Update** excl. branching ratios to PDG 2020 and the masses and widths of D** decays
- Generate additional MC samples to fill the gap between the exclusive & inclusive measurement (assign 100% BR uncertainty in systematics covariance matrix)

	BR	$B^{\scriptscriptstyle +}$	B^0
	$B \to X_c \ell^+ \nu_{\ell}$		
	$B \to D \ell^+ \nu_{\ell}$ D, D*	$(2.5 \pm 0.1) \times 10^{-2}$	$(2.3 \pm 0.1) \times 10^{-2}$
	$B \to D^* \ell^+ \nu_\ell$	$(5.4 \pm 0.1) \times 10^{-2}$	$(5.1 \pm 0.1) \times 10^{-2}$
	$B \to D_0^* \ell^+ \nu_\ell$	$(0.420 \pm 0.075) \times 10^{-2}$	$(0.390 \pm 0.069) \times 10^{-2}$
	$(\hookrightarrow D\pi)$		
	$B \to D_1^* \ell^+ \nu_\ell$	$(0.423 \pm 0.083) \times 10^{-2}$	$(0.394 \pm 0.077) \times 10^{-2}$
	$(\hookrightarrow D^*\pi)$		
	$B \to D_1 \ell^+ \nu_\ell$	$(0.422 \pm 0.027) \times 10^{-2}$	$(0.392 \pm 0.025) \times 10^{-2}$
	$(\hookrightarrow D^*\pi)$		
	$B \to D_2^* \ell^+ \nu_\ell$	$(0.116 \pm 0.011) \times 10^{-2}$	$(0.107 \pm 0.010) \times 10^{-2}$
	$(\hookrightarrow D^*\pi)$		
	$B \to D_2^* \ell^+ \nu_\ell$	$(0.178 \pm 0.024) \times 10^{-2}$	$(0.165 \pm 0.022) \times 10^{-2}$
	$(\hookrightarrow D\pi)$		
	$\rho(D_2^* \to D^*\pi, D_2^* \to D\pi) = 0.693$		
	$B \rightarrow D_1 \ell^+ \nu_\ell$ Gap	$(0.242 \pm 0.100) \times 10^{-2}$	$(0.225 \pm 0.093) \times 10^{-2}$
	$(\hookrightarrow D\pi\pi)$		
	$B \to D\pi\pi\ell^+\nu_\ell$	$(0.06 \pm 0.06) \times 10^{-2}$	$(0.06 \pm 0.06) \times 10^{-2}$
	$B \to D^*\pi\pi\ell^+\nu_\ell$	$(0.216 \pm 0.102) \times 10^{-2}$	$(0.201 \pm 0.095) \times 10^{-2}$
	$B \to D \eta \ell^+ \nu_\ell$	$(0.396 \pm 0.396) \times 10^{-2}$	$(0.399 \pm 0.399) \times 10^{-2}$
	$B \to D^* \eta \ell^+ \nu_\ell$	$(0.396 \pm 0.396) \times 10^{-2}$	$(0.399 \pm 0.399) \times 10^{-2}$
	$B o X_c \ell^+ u_\ell$	$(10.8 \pm 0.4) \times 10^{-2}$	$(10.1 \pm 0.4) \times 10^{-2}$
107			



Fit for partial BFs

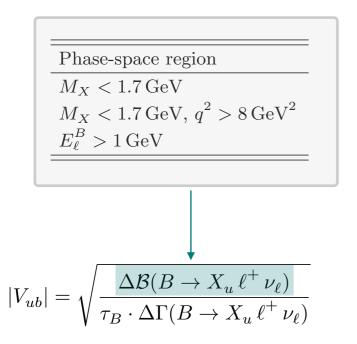
Subtraction of bkg in fit with coarse binning to minimize X_u modelling dependence (low m_X , high q^2)

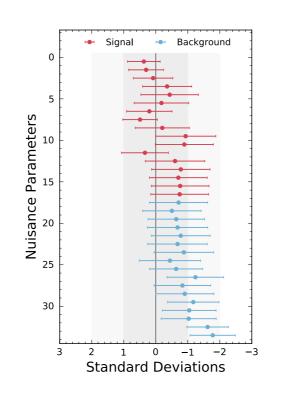
$$\mathcal{L} = \prod_{i}^{\text{bins}} \mathcal{P}(n_i; \nu_i) \times \prod_{k} \mathcal{G}_k,$$

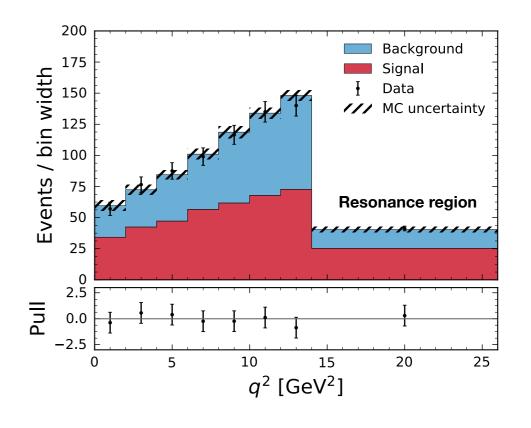
Signal and Bkg shape errors included in Fit via NPs

Background Signal Data Events / bin /// MC uncertainty 3000 nonres. X_{u} Resonance region 1000 Pull M_X [GeV] W/o detector smearing -2.52.0 2.5 0.0 0.5 1.0 1.5 3.0 3.5 4.0 M_X [GeV]

Unfold measured yields to **3 phase-space** regions:





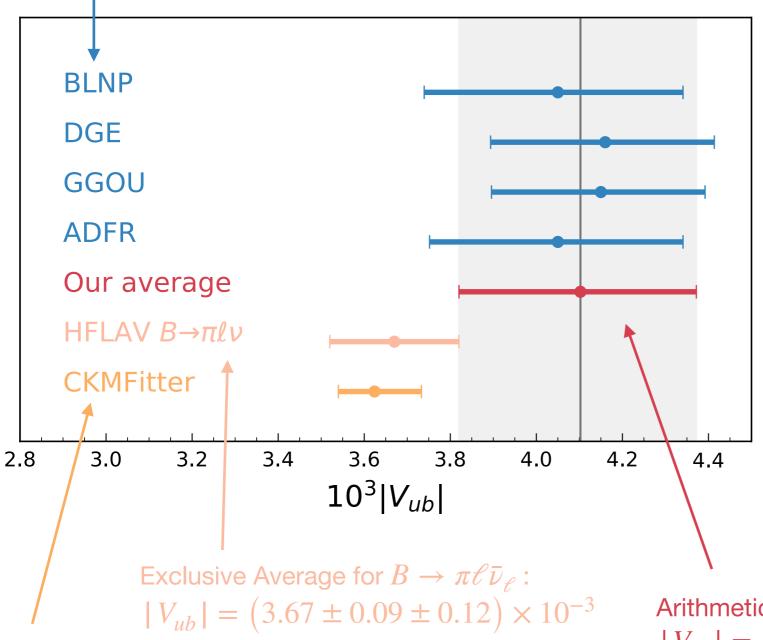


Projections of 2D fit in m_X: q²

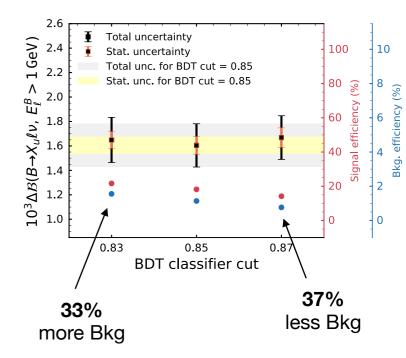
$$|V_{ub}| = \sqrt{\frac{\Delta \mathcal{B}(B \to X_u \,\ell^+ \,\nu_\ell)}{\tau_B \cdot \Delta \Gamma(B \to X_u \,\ell^+ \,\nu_\ell)}}$$

Fit kinematic distributions and measure partial BF

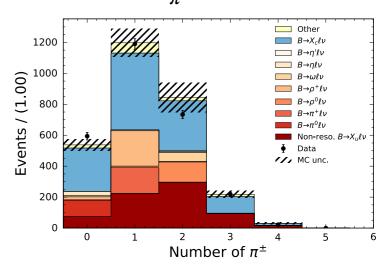
4 predictions of the partial rate



Stability as a function of BDT cut:



Post-fit N_{π^+} distribution:



Arithmetic average:

$$|V_{ub}| = (4.10 \pm 0.09 \pm 0.22 \pm 0.15) \times 10^{-3}$$

CKM Unitarity:

$$|V_{ub}| = (3.62^{+0.11}_{-0.08}) \times 10^{-3}$$

Into the tool shed: EvtGen & Pythia8

Many analyses need generic B-Meson decay samples

* Pythia8 hadronized modes make up ca. 48% (!) of all simulated decays

```
1594 # Lam c X / Sigma c X
                                  4.0 %
1595
1596 0.010520663 anti-cd 0 ud 0
                                                                 PYTHIA 23:
    0.021041421 anti-cd 1 ud 1
                                                                 PYTHIA 23;
1598
    # XicX
1599
    0.002869298 anti-cs 0 ud 0
                                                                 PYTHIA 23;
    0.005738595 anti-cs 1 ud 1
                                                                 PYTHIA 23;
1603
     0.258091538 u
                         anti-d anti-c d
                                                                 PYTHIA 48;
    0.043995612 u
                         anti-d anti-c d
                                                                 PYTHIA 13;
    0.020084989 u
                         anti-s anti-c d
                                                                 PYTHIA 13;
1607 0.017215691 u
                         anti-c anti-d d
                                                                 PYTHIA 48;
1608 0.000860770 u
                         anti-c anti-s d
                                                                 PYTHIA 48;
    #lange - try to crank up the psi production....
    0.070775534 c
                         anti-s anti-c d
                                                                 PYTHIA 13;
1611 0.005738595 c
                         anti-d anti-c
                                                                 PYTHIA 13;
1612 0.002869298 u
                         anti-d anti-u d
                                                                 PYTHIA 48;
1613 0.003825730 c
                         anti-s anti-u d
                                                                 PYTHIA 48;
1614 # JGS 11/5/02 This and similar a few lines above have been divided by two
    # to solve a double-counting problem for this channel
1616 0.001960649 u
                         anti-u anti-d d
                                                                 PYTHIA 48;
1617 0.000066973 d
                         anti-d anti-d d
                                                                 PYTHIA 48;
1618 0.000086068 s
                         anti-s anti-d d
                                                                 PYTHIA 48;
1619 | 0.002104095 u
                         anti-u anti-s d
                                                                 PYTHIA 48;
1620 0.001721541 d
                                                                 PYTHIA 48;
1621 0.001434649 s
                         anti-s anti-s d
                                                                 PYTHIA 48;
1622 0.004782163 anti-s
                                                                 PYTHIA 32;
```

Modes for Matrix Element Processing

Some decays can be treated better than what pure phase space allows, by reweighting with appropriate matrix esignaled by a nonvanishing meMode() value for a decay mode in the particle data table. The list of allowed possintroduced, and most have been moved for better consistency. Here is the list of currently allowed meMode() co

- 0 : pure phase space of produced particles ("default"); input of partons is allowed and then the partonic cor
- 1 : omega and phi → pi+ pi- pi0
- 2 : polarization in V → PS + PS (V = vector, PS = pseudoscalar), when V is produced by PS → PS + V or F
- 11 : Dalitz decay into one particle, in addition to the lepton pair (also allowed to specify a quark-antiquark p
- 12 : Dalitz decay into two or more particles in addition to the lepton pair
- 13 : double Dalitz decay into two lepton pairs
- 21 : decay to phase space, but weight up *neutrino_tau* spectrum in *tau* decay
- 22 : weak decay; if there is a quark spectator system it collapses to one hadron; for leptonic/semileptonic d
- 23: as 22, but require at least three particles in decay
- 31 : decays of type B → gamma X, very primitive simulation where X is given in terms of its flavour content spectrum is weighted up relative to pure phase space
- 42 50: turn partons into a random number of hadrons, picked according to a Poissonian with average val new try with another multiplicity if the sum of daughter masses exceed the mother one
- 52 60 : as 42 50, with multiplicity between code 50 and 10, but avoid already explicitly listed non-parto
- 62 70 : as 42 50, but fixed multiplicity code 60
- 72 80 : as 42 50, but fixed multiplicity code 70, and avoid already explicitly listed non-partonic channel
- 91 : decay to q qbar or g g, which should shower and hadronize
- 92 : decay onium to q q q or q q gamma (with matrix element), which should shower and hadronize
- 93 : decay of colour singlet to q qbar plus another singlet, flat in phase space (and arbitrarily ordered), whe
- 94 : same as 93, but weighted with V-A weak matrix element if the decay chain is of the type neutrino \ran;
- 100 : reserved for the description of partial widths of resonances

Combined Extractions

Interesting if heavy quark symmetry inspired Form Factors are used:

$$\hat{h}(w) = h(w)/\xi(w) \longleftarrow \text{ Leading Isgur-Wise function}$$

$$\hat{h}_{+} = 1 + \hat{\alpha}_{s} \left[C_{V_{1}} + \frac{w+1}{2} \left(C_{V_{2}} + C_{V_{3}} \right) \right] + (\varepsilon_{c} + \varepsilon_{b}) \hat{L}_{1} ,$$

$$\hat{h}_{-} = \hat{\alpha}_{s} \frac{w+1}{2} \left(C_{V_{2}} - C_{V_{3}} \right) + (\varepsilon_{c} - \varepsilon_{b}) \hat{L}_{4} ,$$

$$\hat{h}_{V} = 1 + \hat{\alpha}_{s} C_{V_{1}} + \varepsilon_{c} (\hat{L}_{2} - \hat{L}_{5}) + \varepsilon_{b} (\hat{L}_{1} - \hat{L}_{4}) ,$$

$$\hat{h}_{A_{1}} = 1 + \hat{\alpha}_{s} C_{A_{1}} + \varepsilon_{c} (\hat{L}_{2} - \hat{L}_{5}) + \varepsilon_{b} (\hat{L}_{1} - \hat{L}_{4}) + \varepsilon_{b} (\hat{L}_{1} - \hat{L}_{4}) ,$$

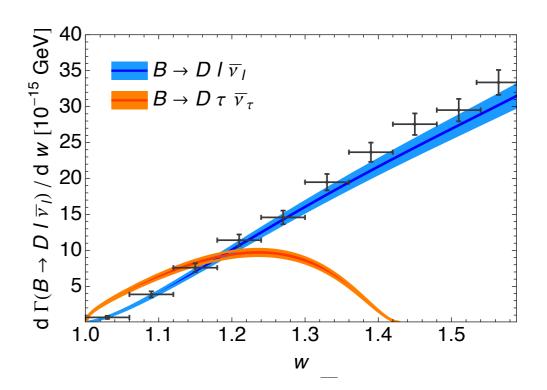
$$\hat{h}_{A_{2}} = \hat{\alpha}_{s} C_{A_{2}} + \varepsilon_{c} (\hat{L}_{3} + \hat{L}_{6}) ,$$

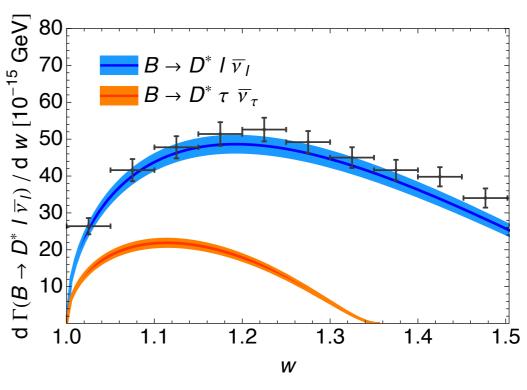
$$\hat{h}_{A_{3}} = 1 + \hat{\alpha}_{s} (C_{A_{1}} + C_{A_{3}}) + \varepsilon_{c} (\hat{L}_{2} - \hat{L}_{3} + \hat{L}_{6} - \hat{L}_{5}) + \varepsilon_{b} (\hat{L}_{1} - \hat{L}_{4}) ,$$

This links dynamics of $B\to D\ell\bar\nu_\ell\,\&\,B\to D^*\ell\bar\nu_\ell$

Example fit for leading IW function and sub-leading parameters

38.8 ± 1.2
1.055 ± 0.008
0.904 ± 0.012
1.17 ± 0.12
-0.26 ± 0.26
0.21 ± 0.38
0.02 ± 0.07
0.30 ± 0.04
0 (fixed)
4.70 ± 0.05
3.40 ± 0.02





LHCb Systematics

$$B_s \to K \mu \bar{\nu}_{\mu}$$

Uncertainty	All q^2	Low q^2	High q^2
Tracking	2.0	2.0	2.0
Trigger	1.4	1.2	1.6
Particle identification	1.0	1.0	1.0
$\sigma(m_{ m corr})$	0.5	0.5	0.5
Isolation	0.2	0.2	0.2
Charged BDT	0.6	0.6	0.6
Neutral BDT	1.1	1.1	1.1
q^2 migration	• • •	2.0	2.0
Efficiency	1.2	1.6	1.6
Fit template	$^{+2.3}_{-2.9}$	$^{+1.8}_{-2.4}$	$+3.0 \\ -3.4$
Total	-2.9 +4.0 -4.3	+4.3 -4.5	+5.0 -5.3

$$B_s \to D_s^{(*)} \mu \bar{\nu}_{\mu}$$

	Uncertainty															
Source	CLN parametrization					BGL parametrization										
	$ V_{cb} $ [10 ⁻³]	$\rho^2(D_s^-) \\ [10^{-1}]$	$\mathcal{G}(0)$ [10 ⁻²]	$\rho^2(D_s^{*-}) \\ [10^{-1}]$	$R_1(1)$ [10 ⁻¹]	$R_2(1)$ [10 ⁻¹]	$ V_{cb} $ [10 ⁻³]	$\begin{array}{c} d_1 \\ [10^{-2}] \end{array}$	d_2 [10 ⁻¹]	$\mathcal{G}(0)$ [10 ⁻²]	$b_1 \ [10^{-1}]$	$c_1 \\ [10^{-3}]$	a_0 [10 ⁻²]	$a_1 \ [10^{-1}]$	\mathcal{R} $[10^{-1}]$	\mathcal{R}^* $[10^{-1}]$
$f_s/f_d \times \mathcal{B}(D_s^- \to K^+K^-\pi^-)(\times \tau)$	0.8	0.0	0.0	0.0	0.0	0.0	0.8	0.0	0.0	0.0	0.0	0.0	0.0	0.1	0.4	0.4
$\mathcal{B}(D^- o K^- K^+ \pi^-)$	0.5	0.0	0.0	0.0	0.0	0.0	0.5	0.0	0.0	0.0	0.0	0.0	0.0	0.1	0.3	0.3
$\mathcal{B}(D^{*-} \to D^- X)$	0.2	0.0	0.1	0.0	0.1	0.0	0.1	0.0	0.0	0.1	0.0	0.2	0.0	0.3	_	0.2
$\mathcal{B}(B^0 \to D^- \mu^+ \nu_\mu)$	0.4	0.0	0.3	0.1	0.2	0.1	0.5	0.1	0.0	0.1	0.1	0.4	0.1	0.7	_	_
$\mathcal{B}(B^0 \to D^{*-}\mu^+\nu_\mu)$	0.3	0.0	0.2	0.1	0.1	0.1	0.2	0.0	0.0	0.1	0.1	0.3	0.1	0.4	_	_
$m(B_s^0), m(D^{(*)-})$	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.1	_	_
$\eta_{ m EW}$	0.2	0.0	0.0	0.0	0.0	0.0	0.2	0.0	0.0	0.0	0.0	0.0	0.0	0.1	_	_
$h_{A_1}(1)$	0.3	0.0	0.2	0.1	0.1	0.1	0.3	0.0	0.0	0.1	0.1	0.3	0.1	0.5	_	_
External inputs (ext)	1.2	0.0	0.4	0.1	0.2	0.1	1.2	0.1	0.0	0.1	0.1	0.6	0.1	0.8	0.5	0.5
$D_{(s)}^- \to K^+ K^- \pi^- \text{ model}$	0.8	0.0	0.0	0.0	0.0	0.0	0.8	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.5	0.4
Background	0.4	0.3	2.2	0.5	0.9	0.7	0.1	0.5	0.2	2.3	0.7	2.0	0.5	2.0	0.4	0.6
Fit bias	0.0	0.0	0.0	0.0	0.0	0.0	0.2	0.0	0.0	0.0	0.2	0.4	0.2	0.4	0.0	0.0
Corrections to simulation	0.0	0.0	0.5	0.0	0.1	0.0	0.0	0.1	0.0	0.1	0.0	0.0	0.0	0.1	0.0	0.0
Form-factor parametrization		_		_		_	_	_			_			_	0.0	0.1
Experimental (syst)	0.9	0.3	2.2	0.5	0.9	0.7	0.9	0.5	0.2	2.3	0.7	2.1	0.5	2.0	0.6	0.7
Statistical (stat)	0.6	0.5	3.4	1.7	2.5	1.6	0.8	0.7	0.5	3.4	0.7	2.2	0.9	2.6	0.5	0.5