Search for $B^+ \to K^+ \nu \bar{\nu}$ decays at Belle II





16th International Conference on Heavy Quarks and Leptons (HQL2023) November 28 - 2 December 2023, TIFR, Mumbai, India

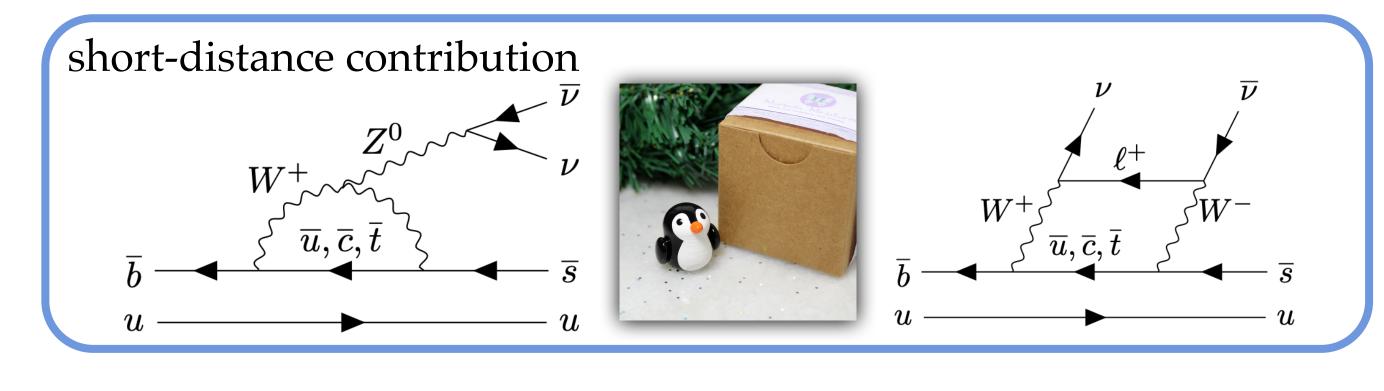
Roberta Volpe (Perugia University and INFN) On behalf of the Belle II Collaboration roberta.volpe@cern.ch



Motivation for a measurement of $\mathscr{B}(B^+ \to K^+ \nu \bar{\nu})$

The decay $B^+ \to K^+ \nu \overline{\nu}$ occurs through a flavor-changing neutral current $b \to s$ transition SM:

- **Rare:** $b \rightarrow s\nu\overline{\nu}$ transition suppressed by the GIM mechanism
- Precise SM prediction: it does not suffer much from hadronic uncertainties
- Leading theoretical uncertainty from hadronic form factors



Can be very **sensitive to new physics**:

 $\mathscr{B}(B^+ \to K^+ \nu \overline{\nu})$ can be significantly modified in models that predict high mass, non-SM particles, such as **leptoquarks**, Z'

Some SM extensions predict $B^+ \to K^+ X_{inv}$, where X_{inv} is a low mass undetectable particle (for example a mediator of the dark sector) or $B^+ \rightarrow K^+SS$ where **S** is a dark scalar (dark matter candidate)

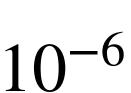
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 $\mathscr{B}(B^+ \to K^+ \nu \overline{\nu}) = (5.58 \pm 0.37) \times 10^{-6}$

Phys. Rev. D 107, 1324 014511 (2023) Phys. Rev. D 107, 119903 (2023)

Indirect way to investigate the existence of multi-TeV particles







$\mathscr{B}(B^+ \to K^+ \nu \bar{\nu})$ experimental status

- •No evidence for a signal
- Best upper limit: 1.6×10^{-5} at 90 % CL <u>PhysRevD.87.112005</u> [BaBar]
- First analysis on $B^+ \rightarrow K^+ \nu \overline{\nu}$ performed by Belle II Phys. Rev. Lett. 127, 181802

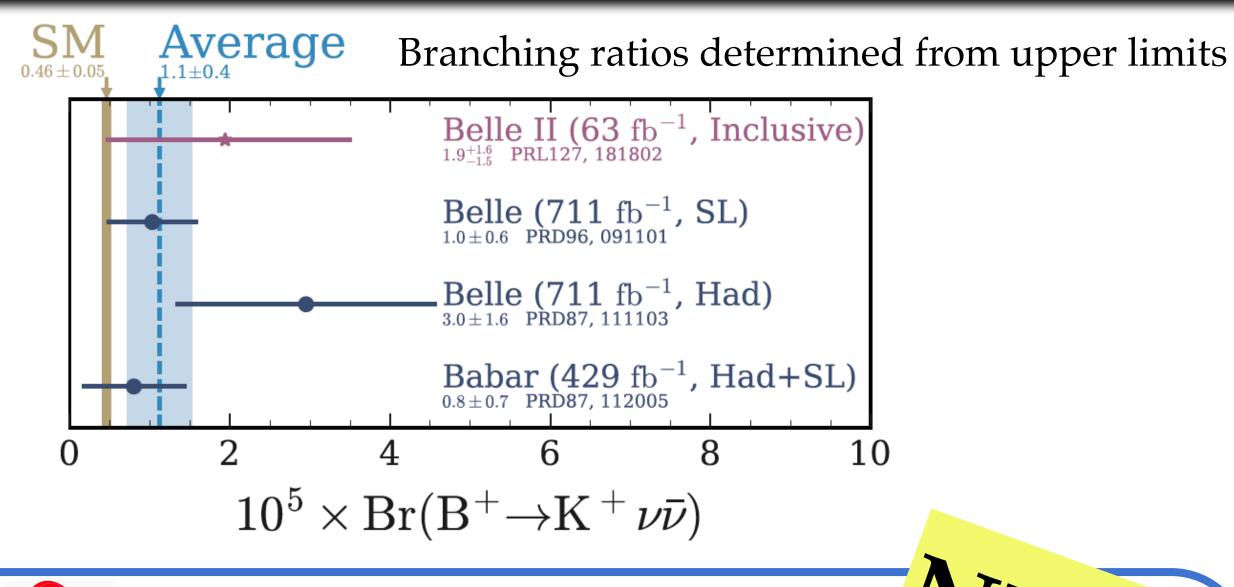
Good sensitivity with a small dataset (63 fb⁻¹) **Inclusive method (new for this mode)**

<u>Analysis in this presentation:</u>

(Presented for the first time at EPS2023)

- Full dataset collected so far by Belle II: L=362 fb⁻¹
- The analysis is improved
- Additional validation techniques are developed
- A support analysis, with an almost independent sample, is carried out

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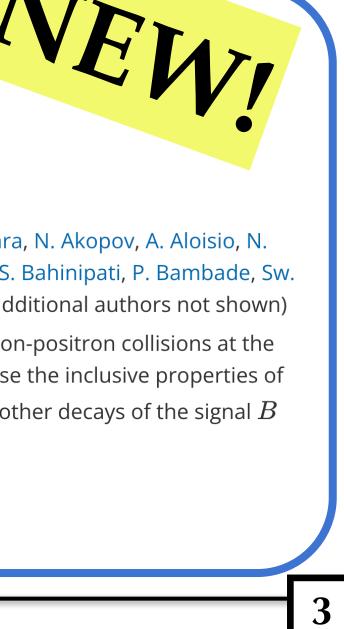
arXiv:2311.14647

1. arXiv:2311.14647 [pdf, other] hep-ex

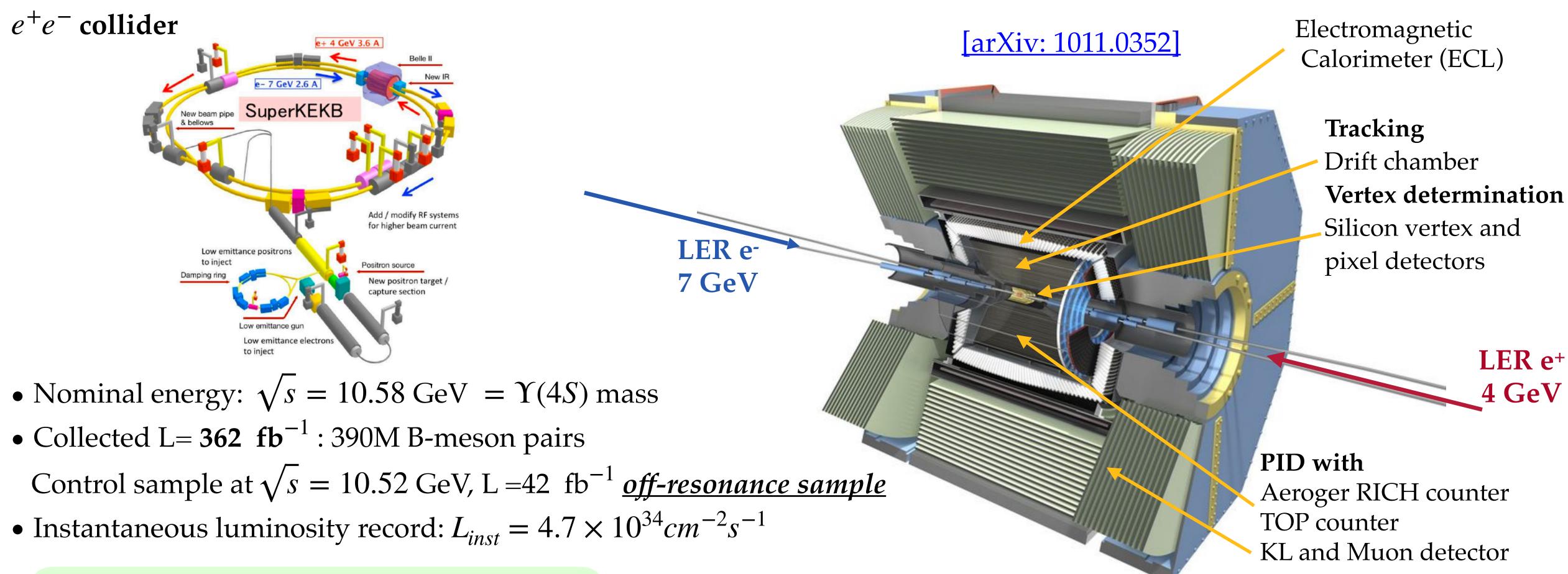
Evidence for $B^+ o K^+ u ar{ u}$ Decays

Authors: Belle II Collaboration, I. Adachi, K. Adamczyk, L. Aggarwal, H. Ahmed, H. Aihara, N. Akopov, A. Aloisio, N. Anh Ky, D. M. Asner, H. Atmacan, T. Aushev, V. Aushev, M. Aversano, V. Babu, H. Bae, S. Bahinipati, P. Bambade, Sw. Banerjee, S. Bansal, M. Barrett, J. Baudot, M. Bauer, A. Baur, A. Beaubien, et al. (430 additional authors not shown) Abstract: We search for the rare decay $B^+ o K^+
uar
u$ in a $362~{
m fb}^{-1}$ sample of electron-positron collisions at the $\Upsilon(4S)$ resonance collected with the Belle II detector at the SuperKEKB collider. We use the inclusive properties of the accompanying B meson in $\Upsilon(4S) o BB$ events to suppress background from other decays of the signal Bca... \bigtriangledown More

Submitted 24 November, 2023; originally announced November 2023. Comments: 29 pages, 23 figures, to be submitted to PRD Report number: Belle II Preprint 2023-017, KEK Preprint 2023-35



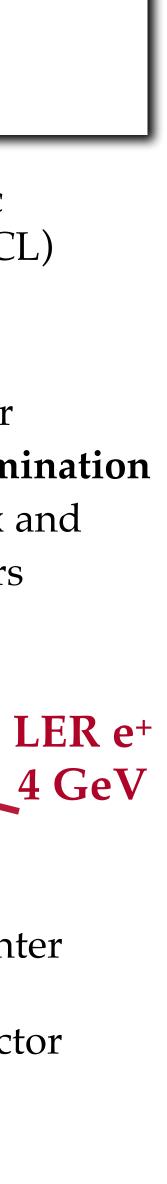
The Belle II experiment at SuperKEKB



Compared to hadron colliders: Cleaner environment Well known initial state kinematics

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Solid-angle coverage of over 90%, key for final states with undetected particles

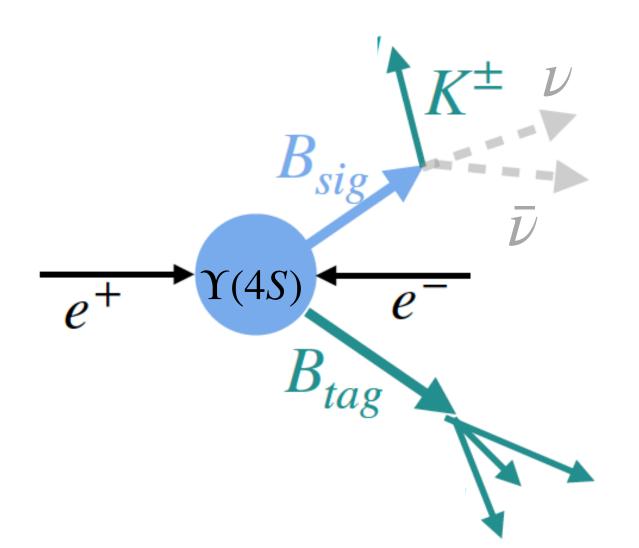




B meson tagging: two strategies

Hadronic B-tagging (HTA)

kinematic constraints help reconstruct signal with neutrinos in final state



Auxiliary analysis *Conventional approach for B factories*





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Inclusive B-tagging (ITA)

Only reconstruct the signal B final state, no request on the other B

Less precise reconstruction of final states with neutrinos, but higher efficiency

(4S)Principal analysis Much larger efficiency and significantly higher sensitivity Efficiency **Tagging efficiency (ITA) ~ 100%** Purity





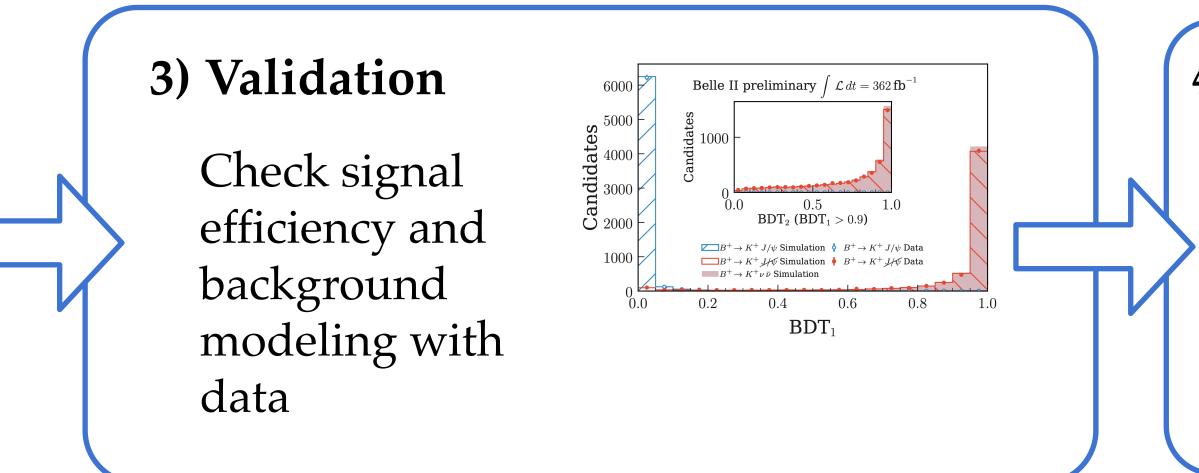
The analysis in a nutshell

Challenges: • Small signal rates, large background

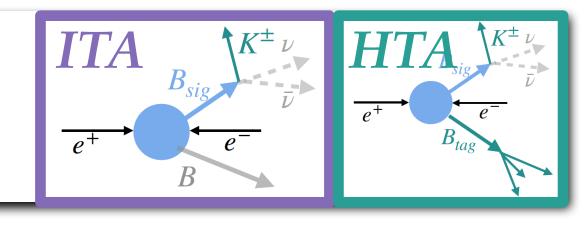
- Two neutrinos => **Under-constrained kinematics**
- Continuous spectrum for the signal kaon, **no good variable to fit**

1) Reconstruction and basic selection

- Kaon identification
- ITA: reconstruct rest of the event
- •*HTA:* reconstruct partner B in hadronic final states and rest of the event



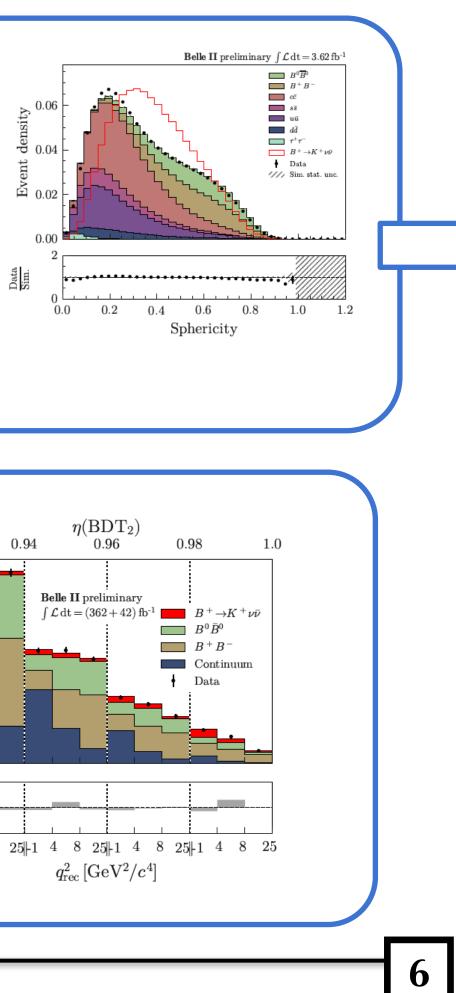
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Detected

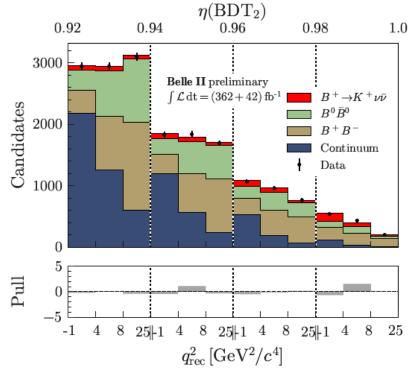
2) Background suppression

Cut on the output of MVA classifiers optimized and trained using simulated data



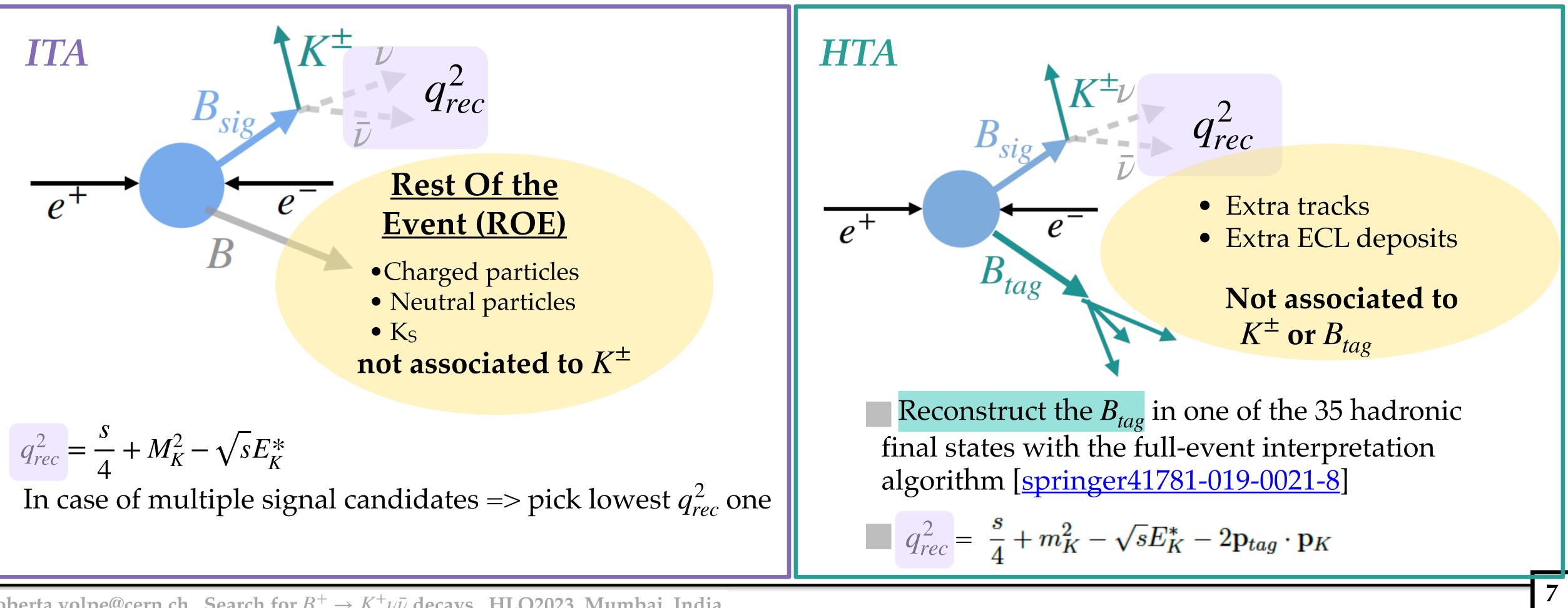
4) Signal extraction

- Binned profile likelihood fit to: •ITA: classifier output and dineutrino mass
- •HTA: classifier output

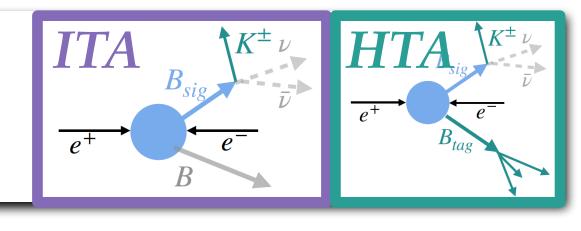


Reconstruction and basic selection

- Missing momentum direction requested to be in the detector acceptance • q_{rec}^2 : mass squared of the neutrino pair



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• K^+ selection: Reconstruct a track and use PID for Kaon identification, ϵ (KaonID) ~ 68 %, mis-tag rate ($\pi \rightarrow K$) ~ 1.2%



Background suppression

<u>Many sig/bkg discriminant variables used to feed</u> <u>MVA classifiers:</u>

- General event-shape variables
- Signal kaon kinematics
- Kinematic properties of the ROE and remaining tracks and clusters

ITA background suppression:

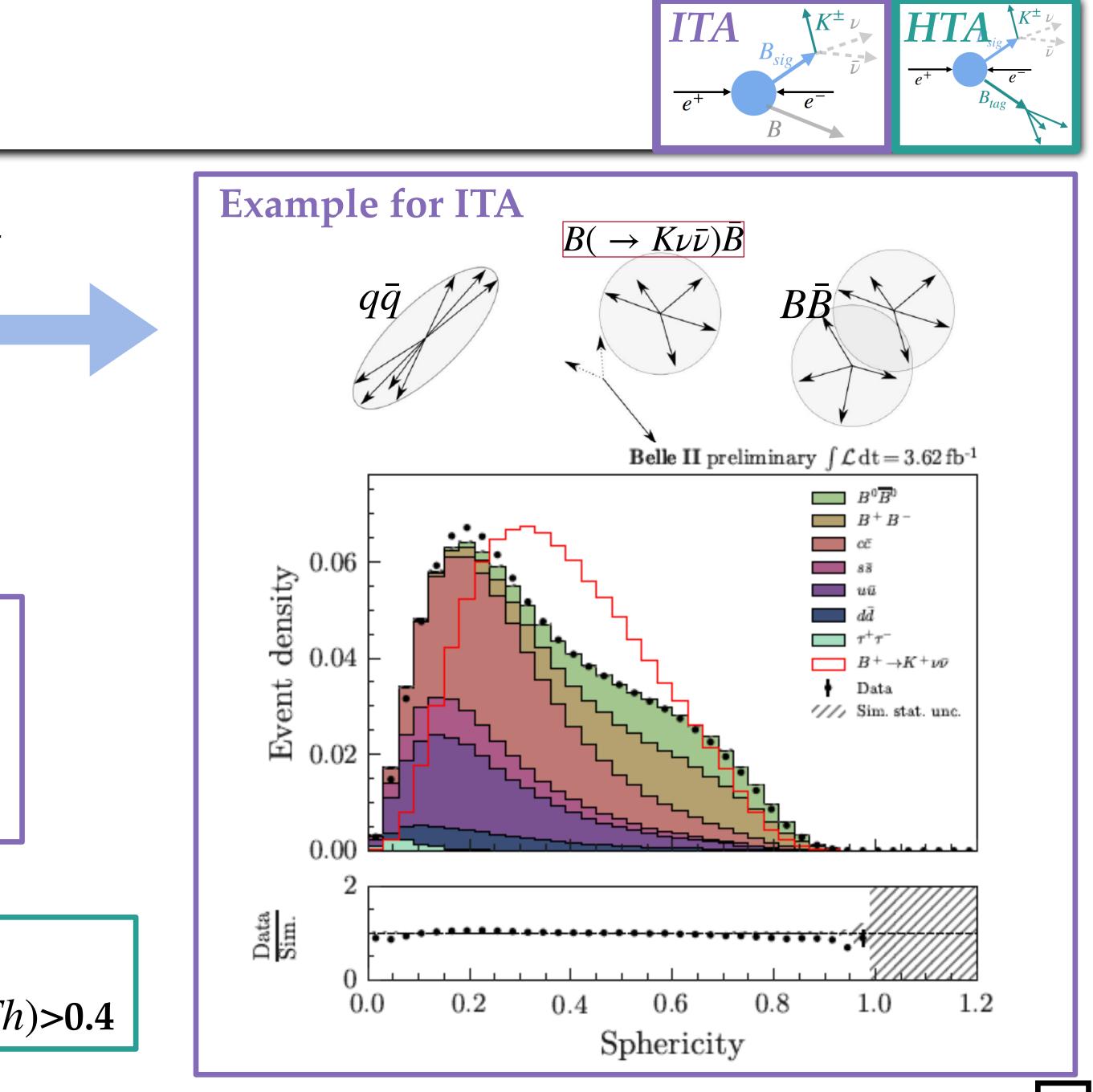
W Build BDT1 and use it as a first filter: *BDT*1**>0.9**

♦ Build BDT2, define η(BDT2) variable (BDT2 w/ flat signal efficiency) and require η(BDT2)>0.92

HTA background suppression:

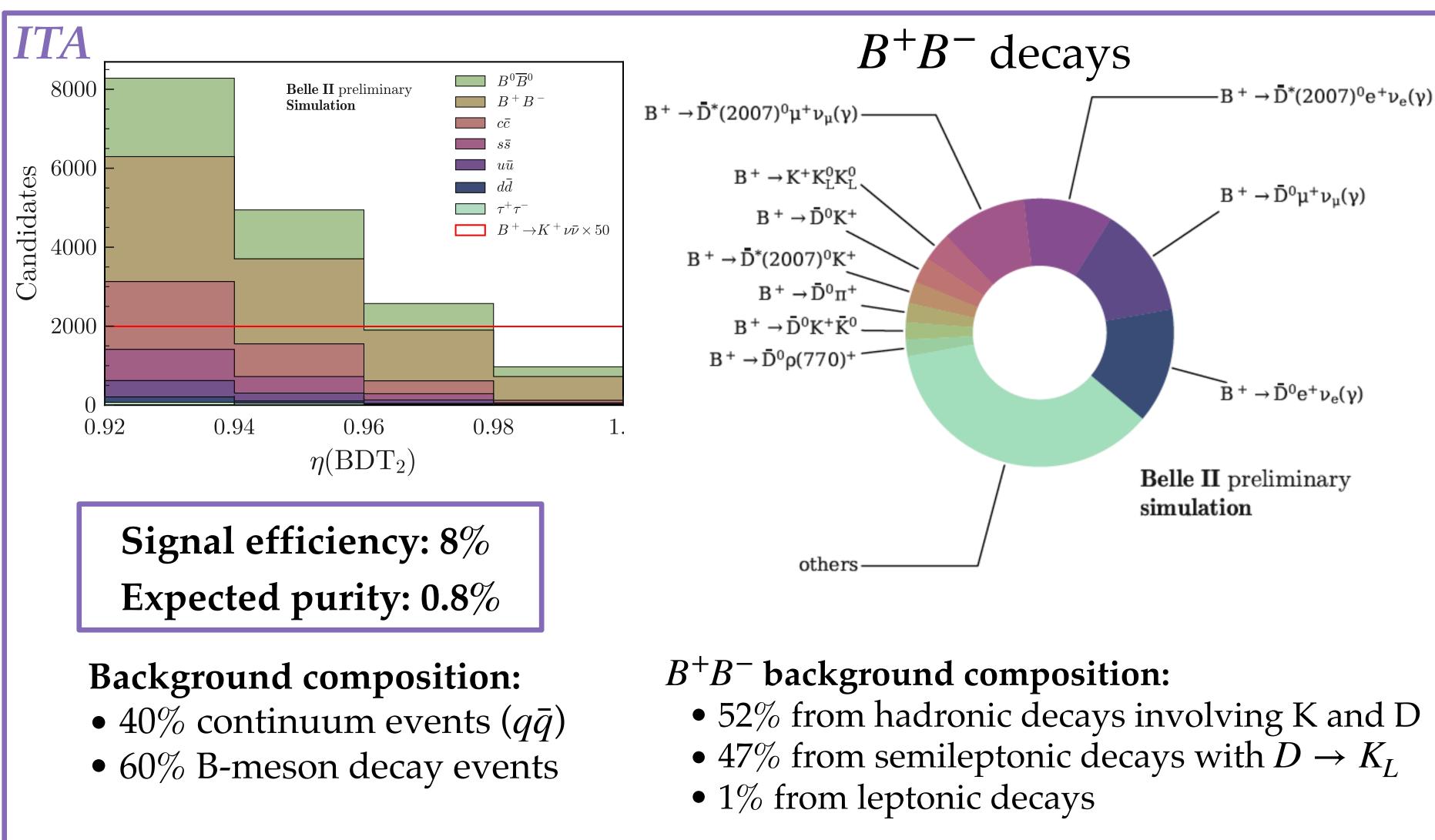
 \circledast Build *BDTh*, define $\eta(BDTh)$ and require $\eta(BDTh)$ >0.4

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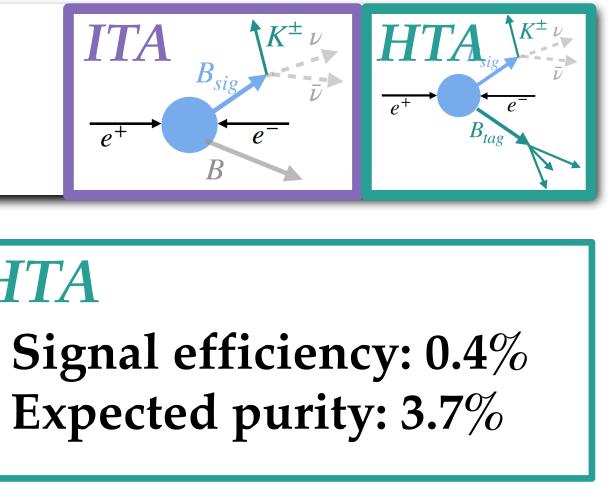


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Signal region composition



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HTA

Optimization of the strategy based on simulation

> Data driven validation is needed





Validation

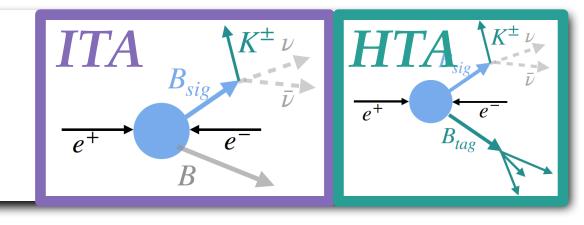
Every step of the analysis is validated using control samples

Signal efficiency validation

- Kaon ID efficiency and fake rate
- Full efficiency

Background validation

- Validation of $q\bar{q}$ contribution
- Validation of $B\overline{B}$ contribution
 - Semileptonic $B \to D^{(*)} (\to K^+ X) l\nu$
 - $B^+ \rightarrow K^+ K_L K_L$, $B^+ \rightarrow K^+ K_L K_S$
 - $B^+ \to K^+ nn$
 - Hadronic $B \rightarrow D^{(*)}K^+$ decays





In the following a description of only a few of these validation strategies and only for the ITA analysis is given

For HTA same methods are used

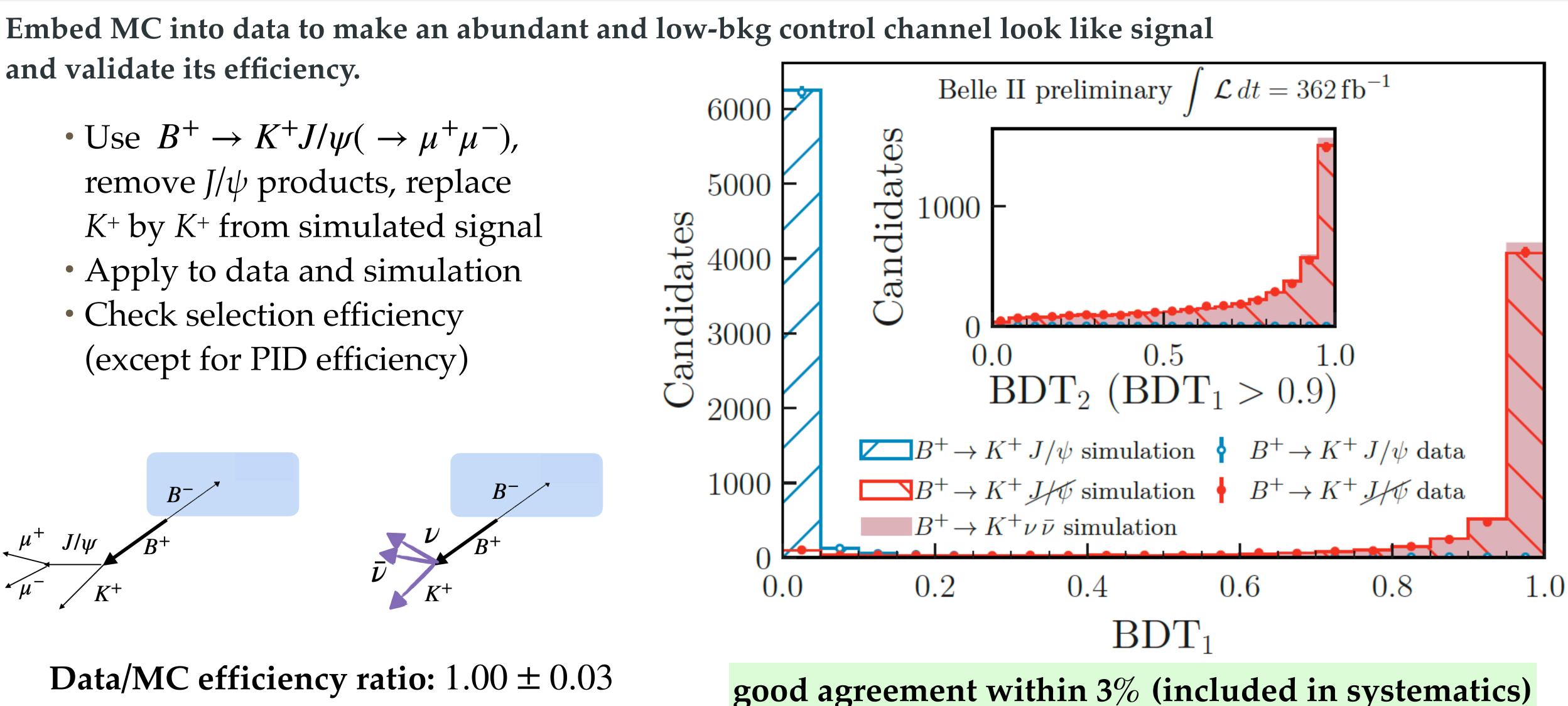
All details in arXiv:2311.14647



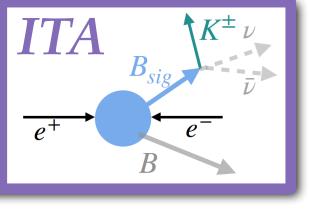


Signal efficiency validation

- remove J/ψ products, replace *K*⁺ by *K*⁺ from simulated signal
- (except for PID efficiency)



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Background estimation: Processes involving K_I

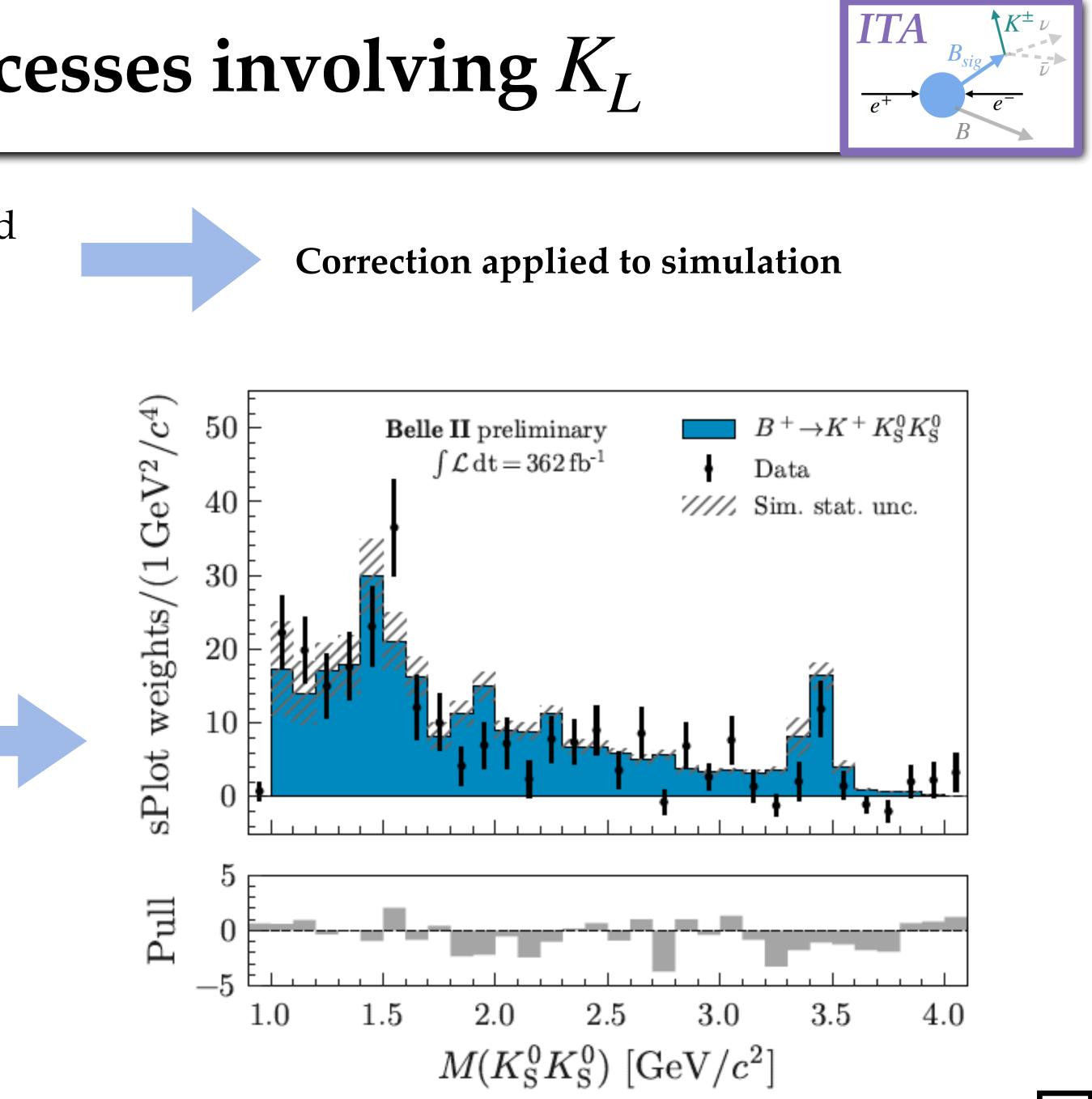
 K_L detection efficiency in the ECL calorimeter studied with the control sample $e^+e^- \rightarrow \phi(K_S K_I)\gamma$: inefficiency higher in data wrt MC of 17%

$$B^+ \to K^+ K^0 \overline{K}^0$$

Modeling of $B^+ \to K^+ K^0 \overline{K}^0$ using
BaBar study: PhysRevD.85.112010

 $B^+ \to K^+ K_L K_L$ is modeled by using $B^+ \to K^+ K_S K_S$

With this re-weighting: good data/MC agreement

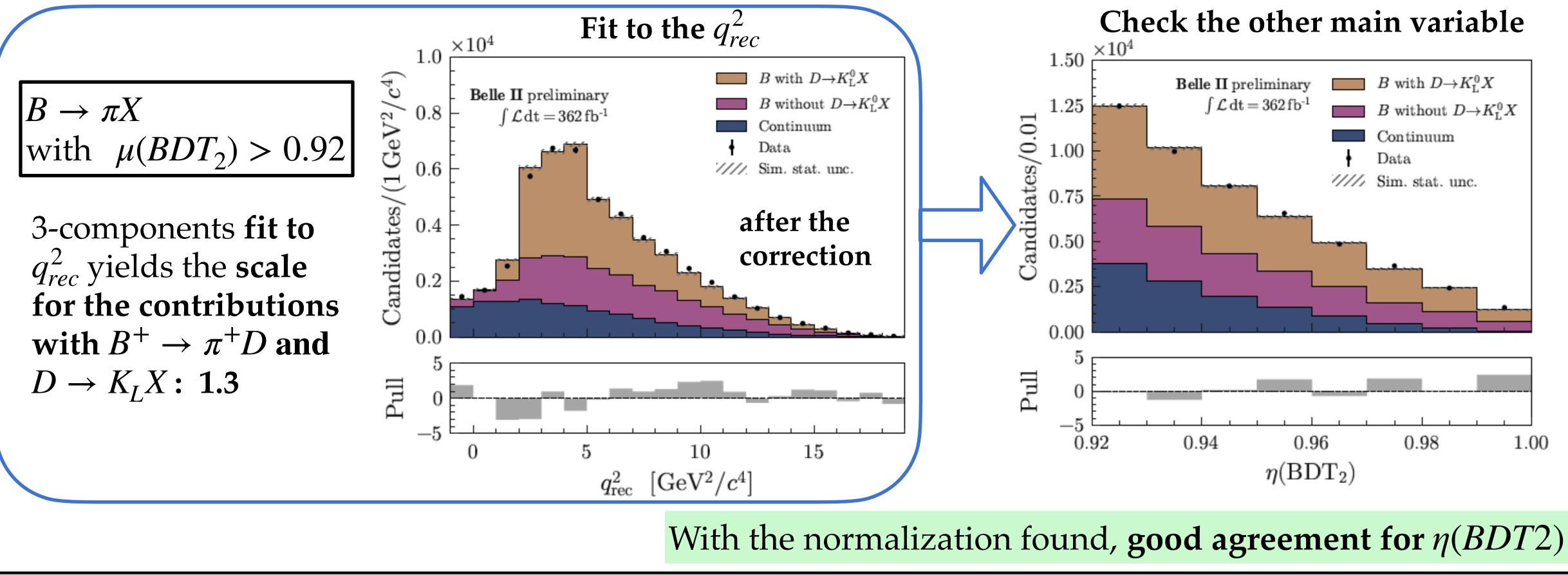


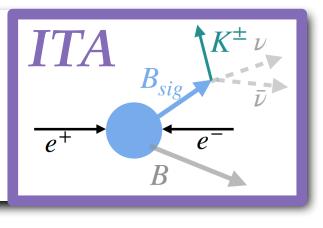


Background estimation: Processes involving *K_I*

Hadronic decays involving K and D mesons $B^0 \to K^+ D^{*-}$ and $B^+ \to K^+ \overline{D}^{*0}$ are critical because **D** decays to K_I^0 are poorly known

Use samples enriched in pions, selected as signal but with **pion ID instead of K ID (** $B \rightarrow \pi X$ **)** to check the simulation modeling



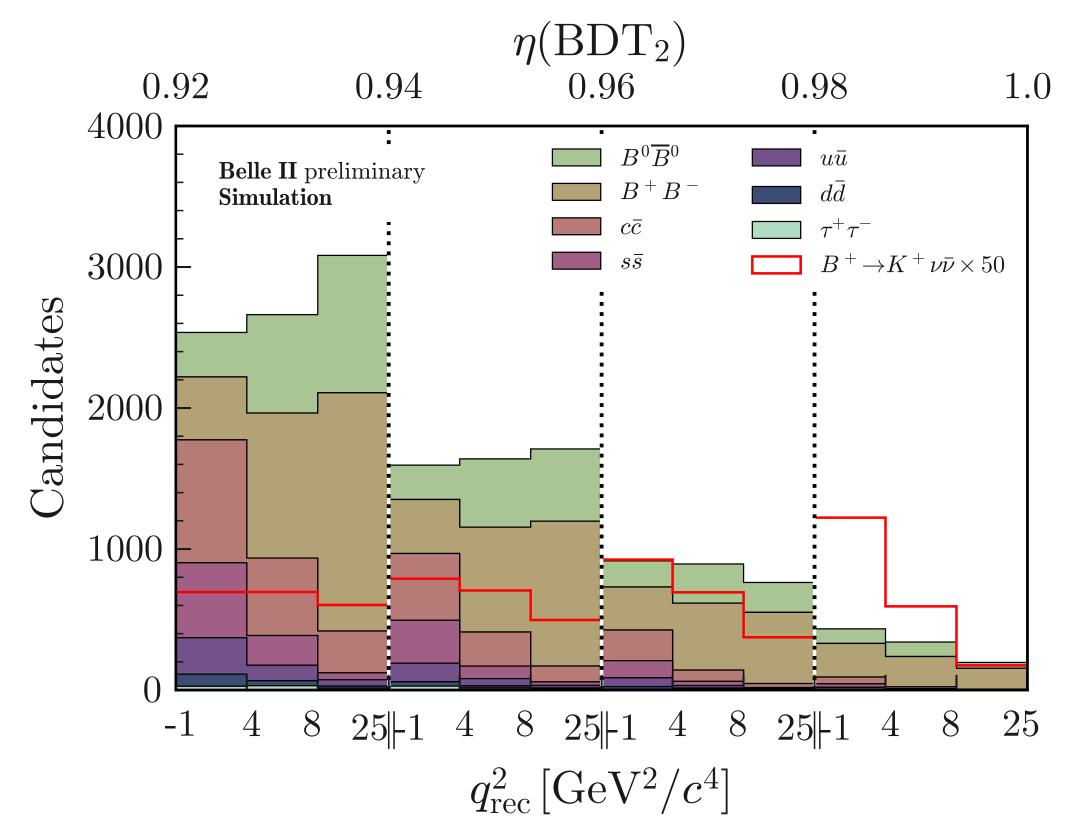






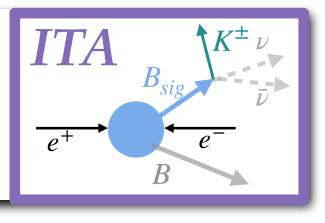
Signal extraction for ITA

Signal region divided into 4 bins of $\eta(BDT2)$ and 3 bins of q_{rec}^2



Off-resonance (60 MeV below the nominal energy) data used as well to better constraint background: $\eta(BDT2) \times q_{rec}^2 \times [\text{on/off res}](24 \text{ bins})$

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Binned profile likelihood fit to signal and 7 background categories

- Poisson uncertainties for data counts
- Systematic uncertainties included in the fit as predicted rate modifiers with Gaussian likelihoods

parameter of interest: signal strength $\mu = BR/BR_{SM}$, with $BR_{SM} = 4.97 \times 10^{-6}$ $(B \rightarrow \tau (\rightarrow K\overline{\nu})\nu$ removed, treated as background)

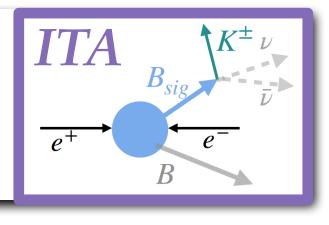




Systematics for ITA

Source	Uncertainty	Impact on σ_{μ}	
	size	1 μ	statist
Normalization of $B\bar{B}$ background	50%	0.90	
Normalization of continuum background	50%	0.10	
Leading B -decay branching fractions	O(1%)	0.22	
Branching fraction for $B^+ \to K^+ K^0_{\rm L} K^0_{\rm L}$	20%	0.49	
p-wave component for $B^+ \to K^+ K^0_{\rm s} K^0_{\rm L}$	30%	0.02	Main
Branching fraction for $B \to D^{**}$	50%	0.42	uncert
Branching fraction for $B^+ \to K^+ n \bar{n}$	100%	0.20	uncen
Branching fraction for $D \to K^0_L X$	10%	0.14	● <i>BB</i> B
Continuum-background modeling, BDT_{c}	100% of correction	0.01	motiv
Integrated luminosity	1%	< 0.01	discre
Number of $B\bar{B}$	1.5%	0.02	MISCIC
Off-resonance sample normalization	5%	0.05	•Limit
Track-finding efficiency	0.3%	0.20	for th
Signal-kaon PID	O(1%)	0.07	
Photon energy	0.5%	0.08	•know
Hadronic energy	10%	0.37	given
$K_{\rm L}^0$ efficiency in ECL	8%	0.22	1
Signal SM form-factors	O(1%)	0.02	•mode
Global signal efficiency	3%	0.03	
Simulated-sample size	O(1%)	0.52	

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tical uncertainty on $\mu = 1.0$

sources of systematic rtainties:

- Background normalization ivated by observed data/MC repancies
- ited size of simulation sample he fit model
- wledge of $\mathscr{B}(B^+ \to K^+ K_L K_L)$ n it is unmeasured

leling of $B^+ \to D^{**} l \nu$ decays







Final validation for ITA

Measure a known decay mode to validate the background estimation

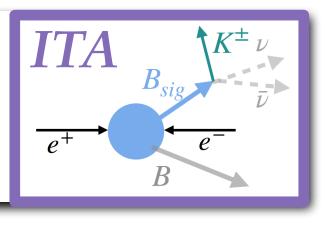
measure $B^+ \rightarrow \pi^+ K^0$ with the full nominal analysis applied

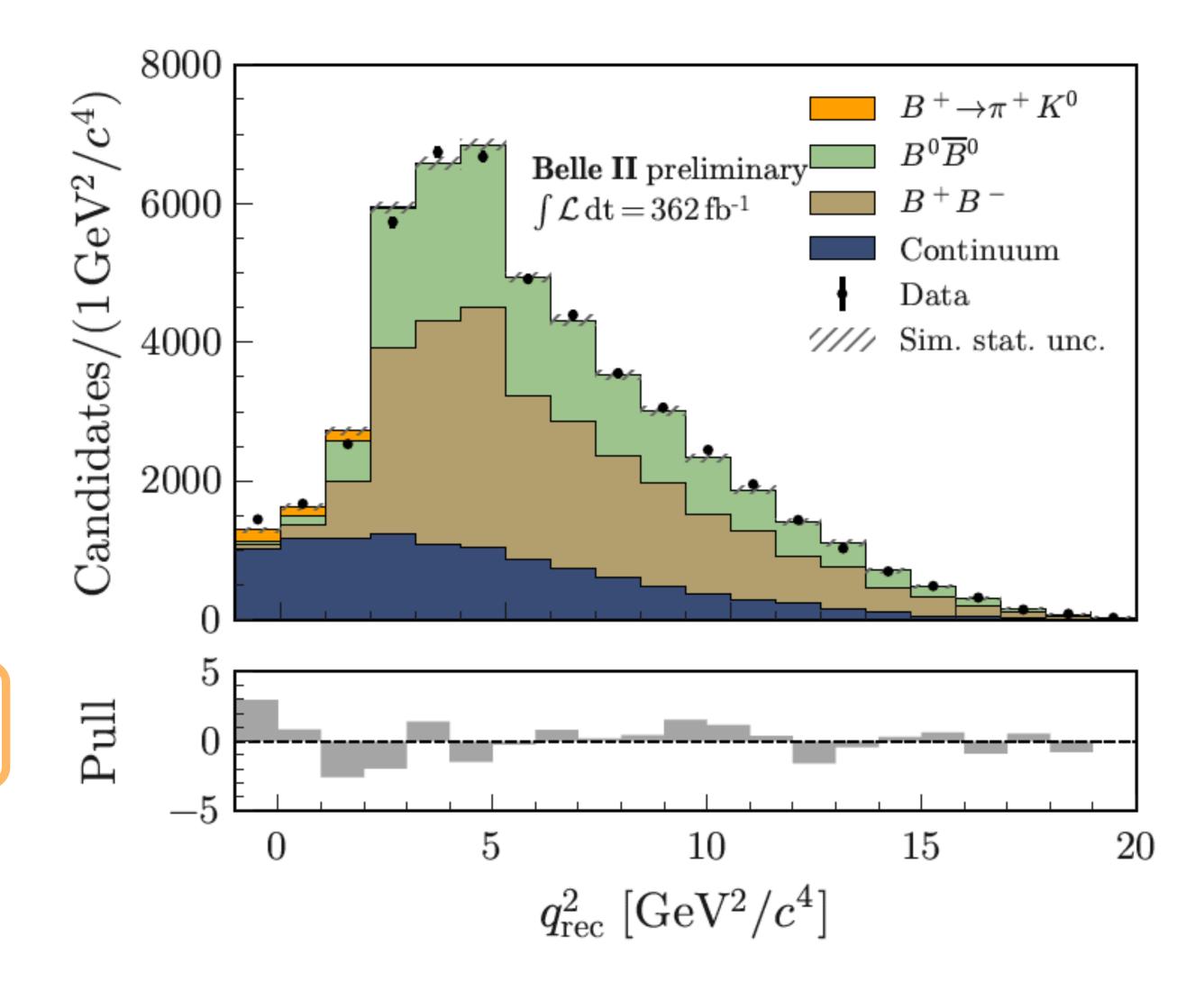
But:

- Pion ID instead of Kaon ID
- Different q^2 bin boundaries
- only on-res data used
- only normalization syst included

$$BR(B^+ \to \pi^+ K^0) = (2.5 \pm 0.5) \times 10^{-5}$$

Consistent with PDG: $BR(B^+ \rightarrow \pi^+ K^0) = (2.3 \pm 0.08) \times 10^{-5}$







Signal extraction settings for HTA

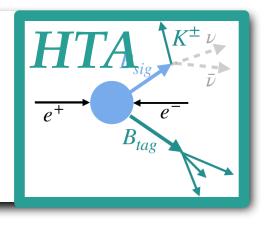
- 3 background categories
- Divide the signal region in 6 bins into η (BDTh)
- One-dimensional binned fit in η (BDTh) for the on-resonance data

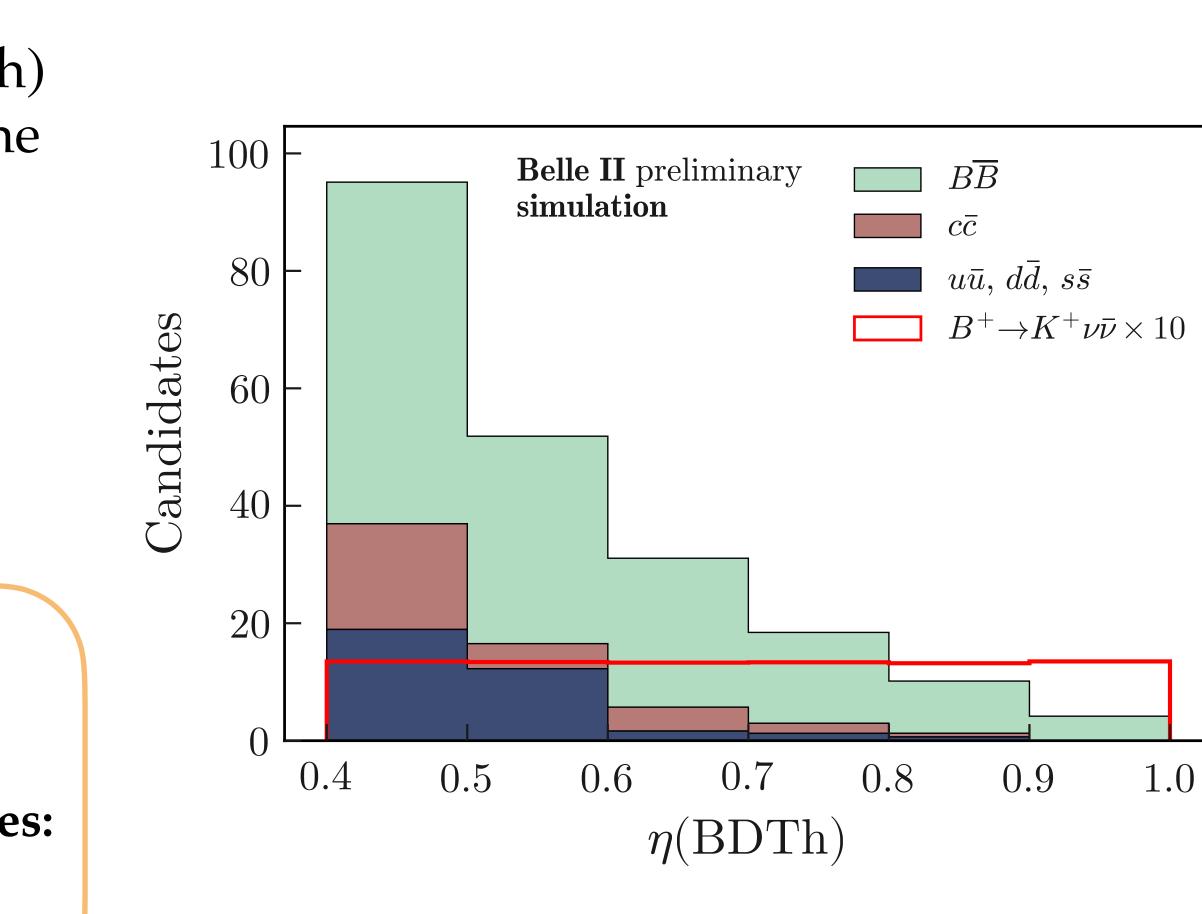
parameter of interest: signal strength $\mu = BR/BR_{SM}$ with $BR_{SM} = 4.97 \times 10^{-6}$ ($B \rightarrow \tau (\rightarrow K\overline{\nu})\nu$ removed)

Total uncertainty dominated by the statistical uncertainty

Dominant sources of systematic uncertainties:

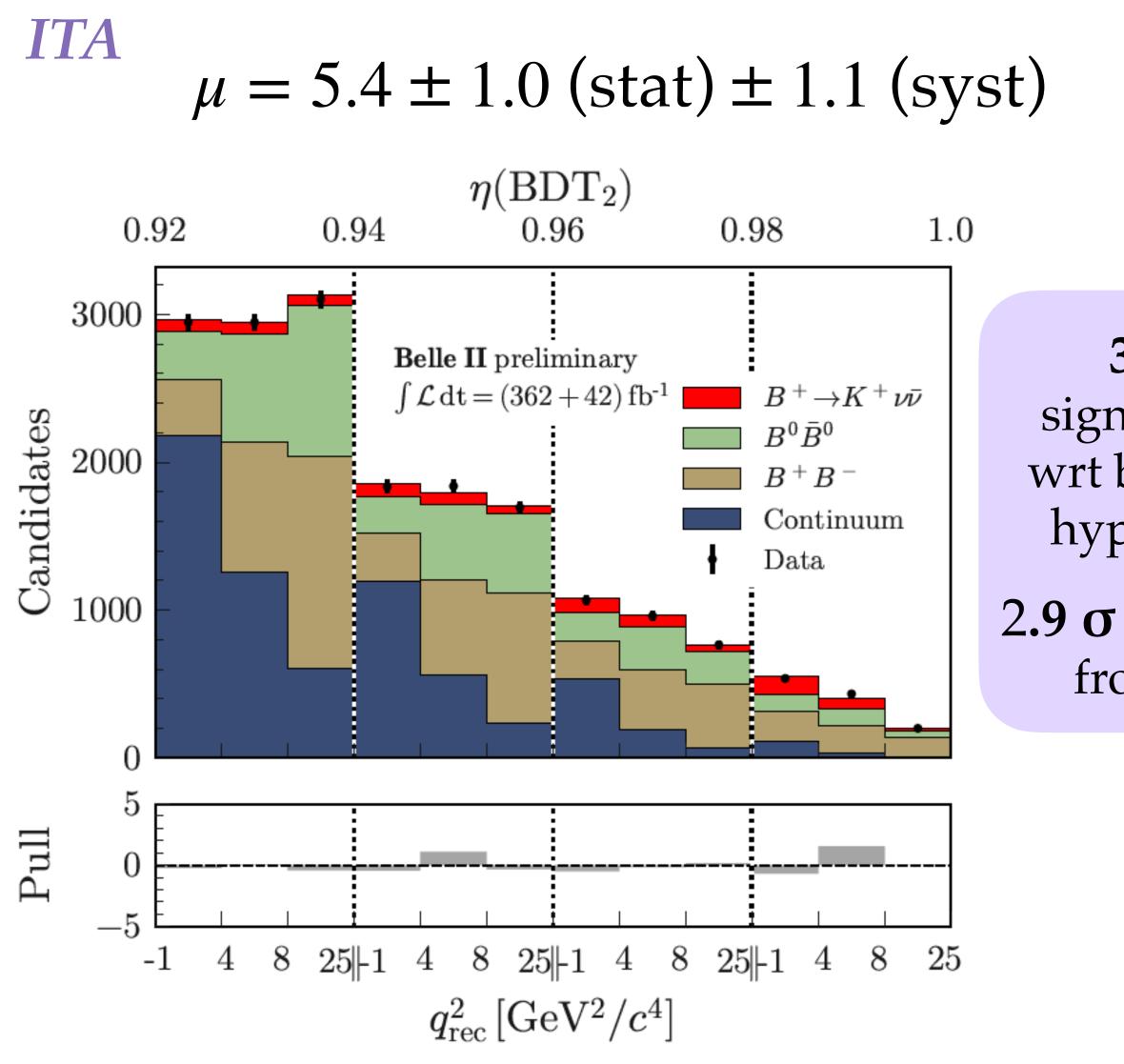
- background normalization
- simulation sample size







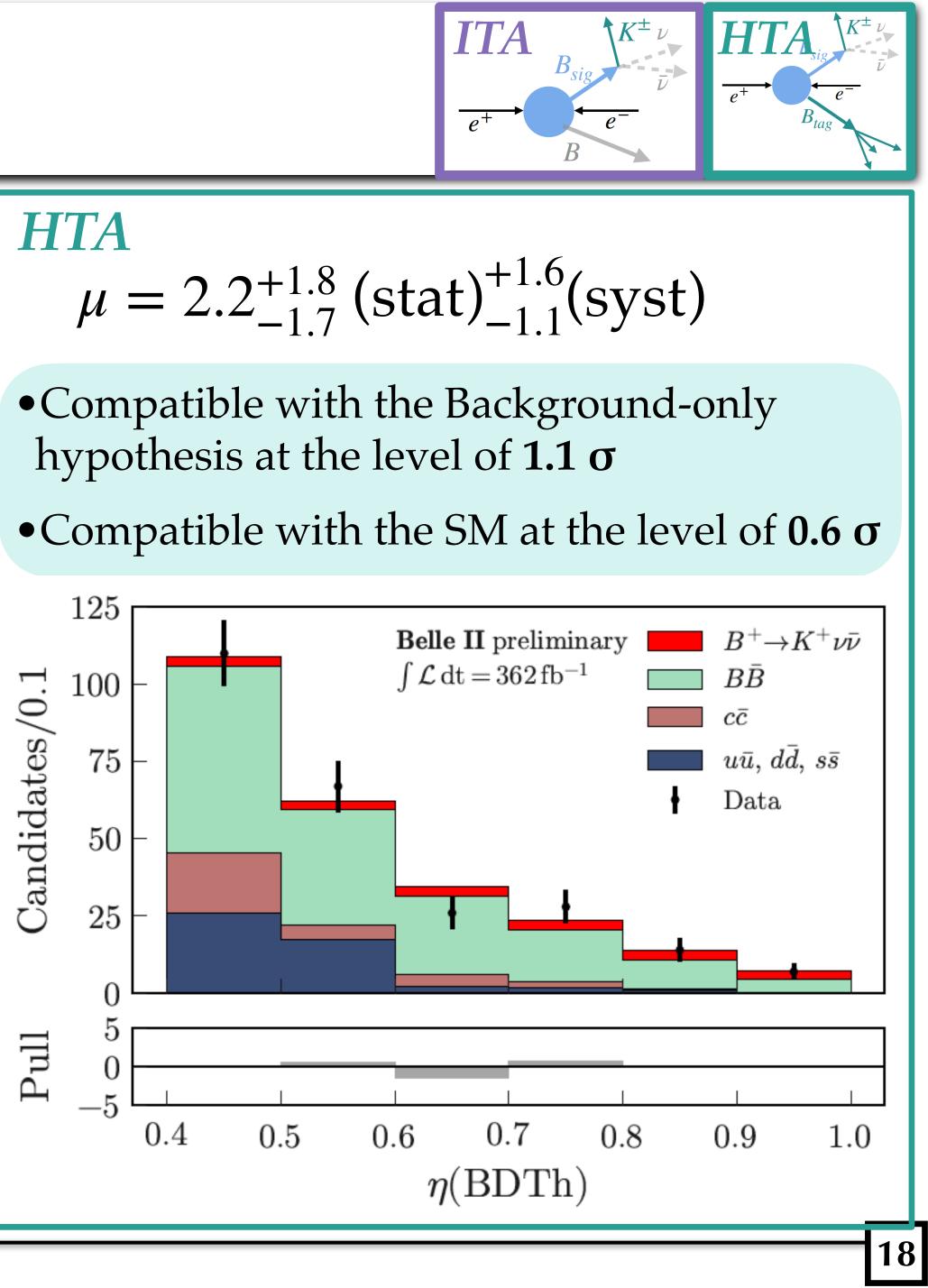
Results



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ITA

hypothesis at the level of **1.1** σ



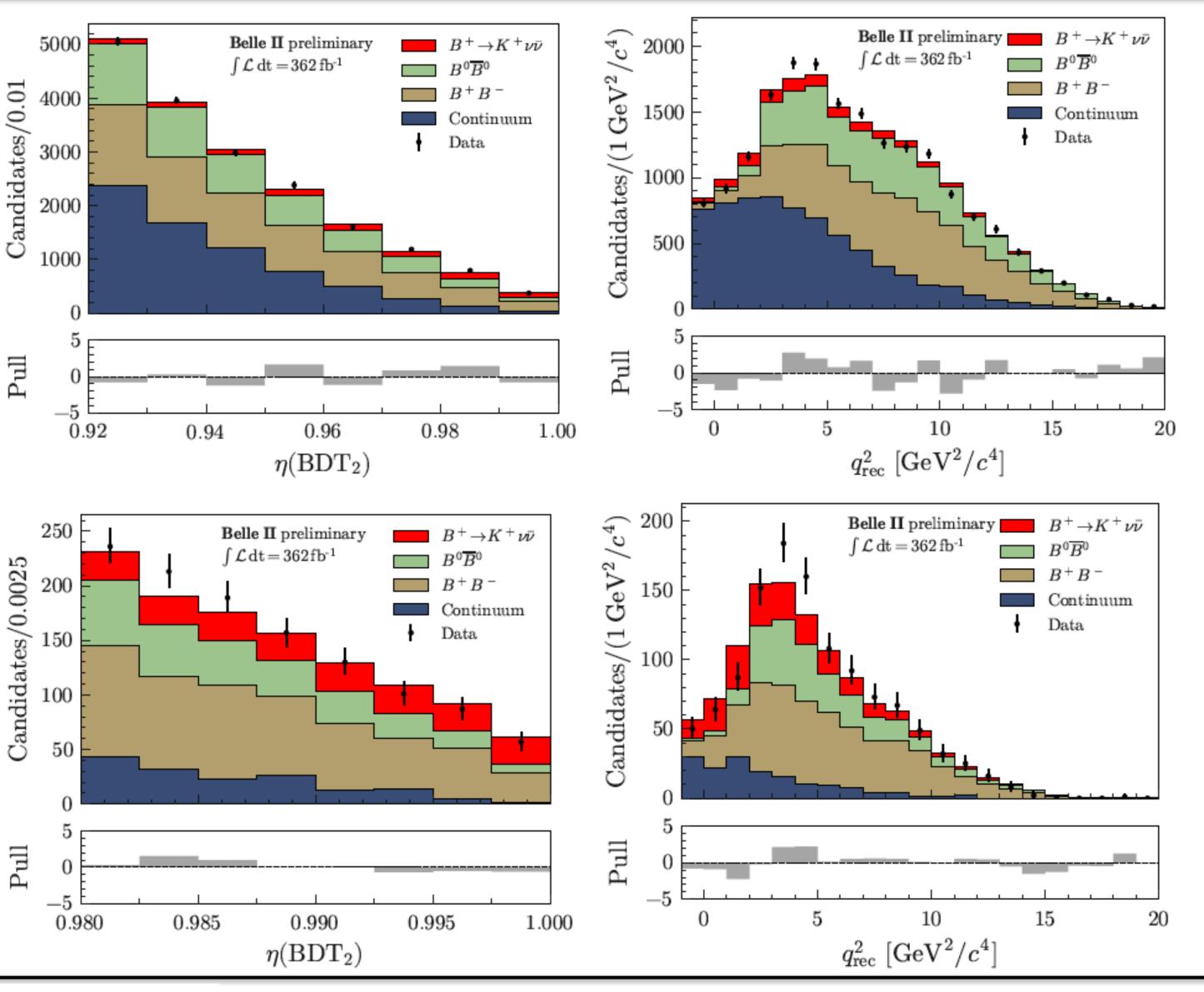
3.5 σ significance wrt bkg-only hypothesis

2.9 σ deviation from SM

ITA: post-fit distributions

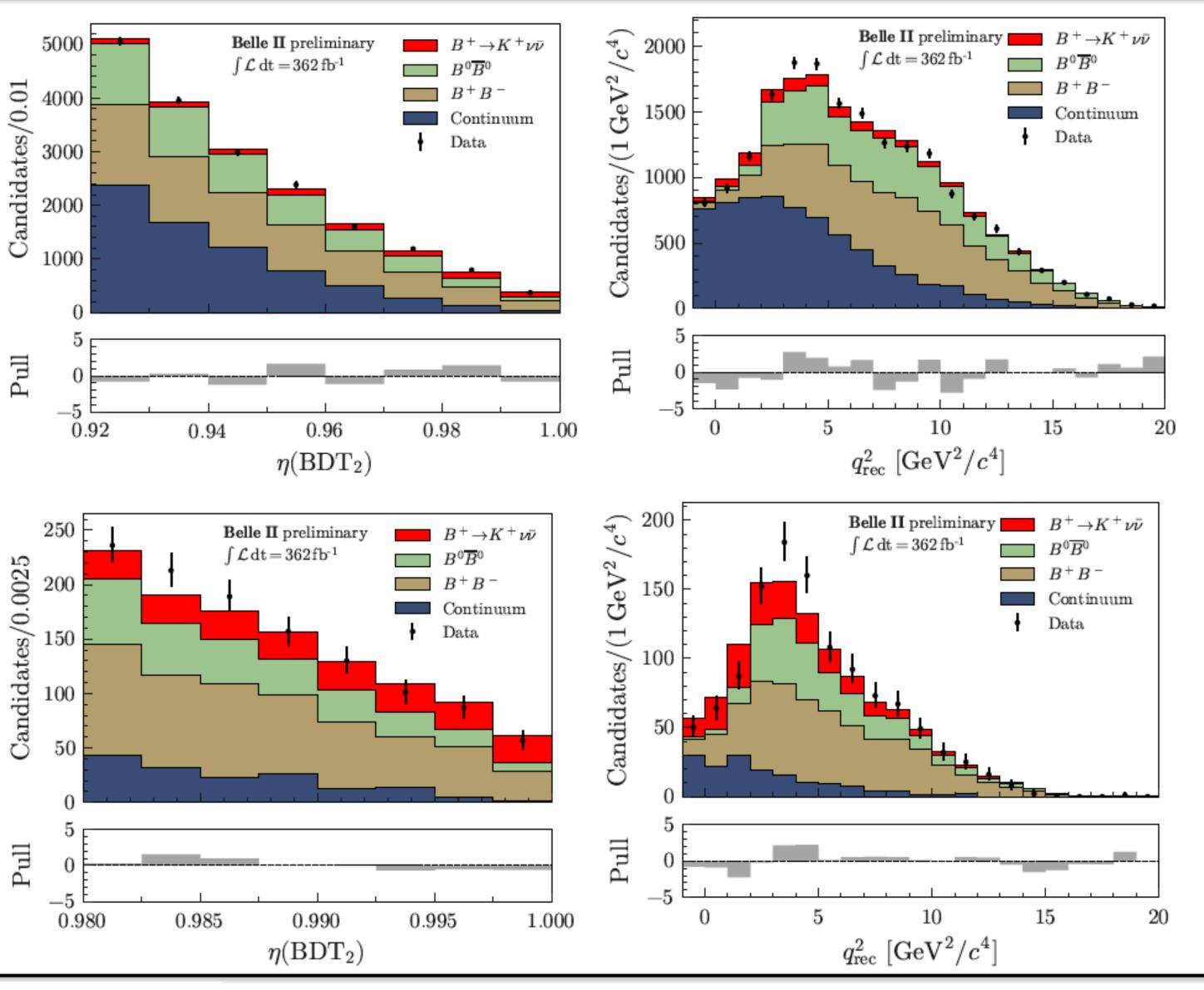
Examples:

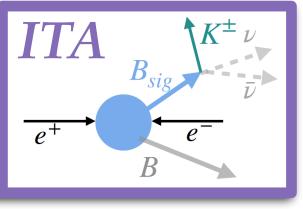
Signal region $\mu(BDT_2) > 0.92$



High sensitivity bins of the signal region

 $\mu(BDT_2) > 0.98$







Combination

- ITA and HTA results are consistent at 1.2σ level
- Overlap between the two data sample: 2% of ITA sample
- Remove common events from ITA sample and combine results taking into account common correlated uncertainties

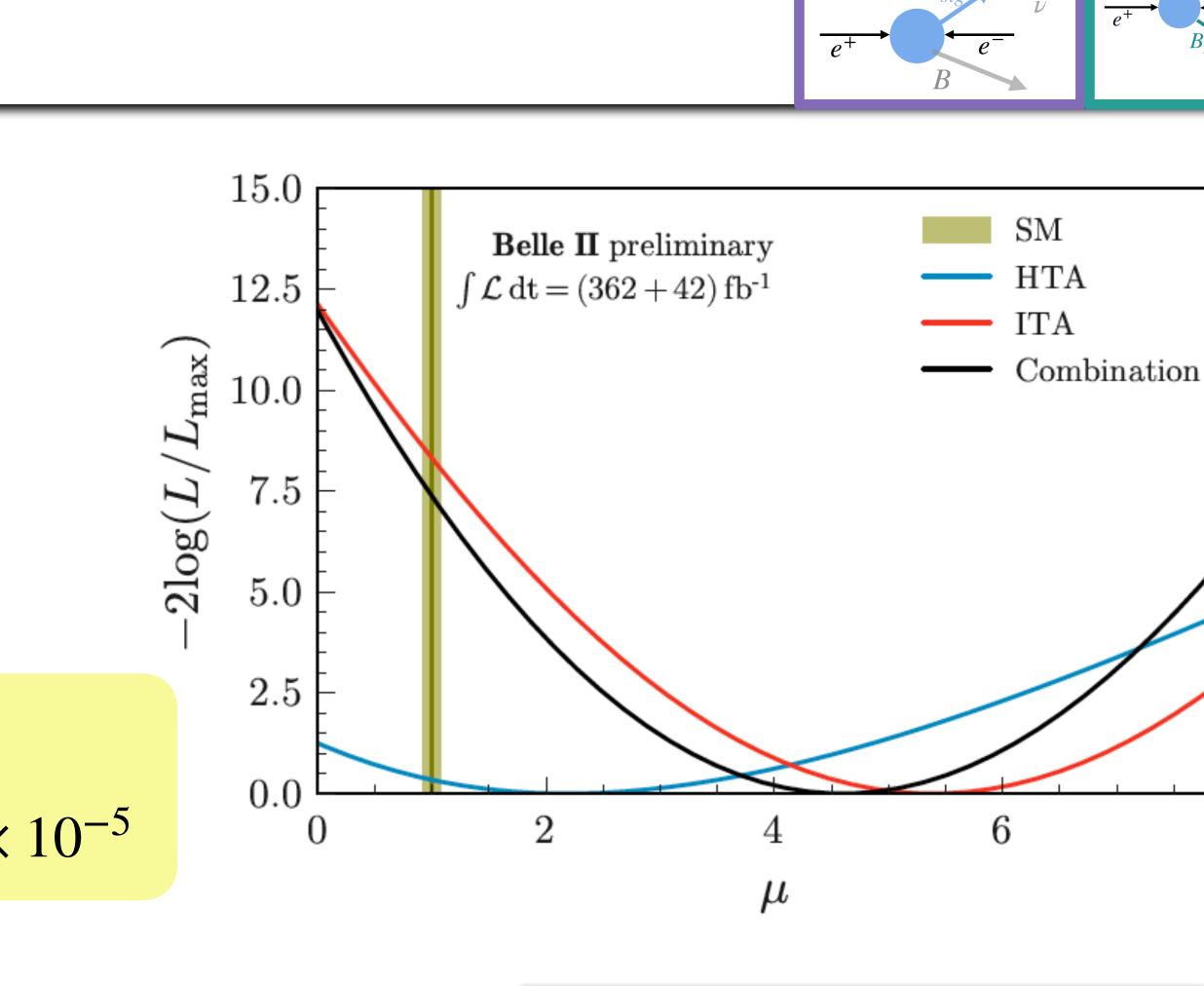
 $\mu = 4.6 \pm 1.0 \text{ (stat)} \pm 0.9 \text{ (syst)}$

 $\mathscr{B}(B^+ \to K^+ \nu \bar{\nu}) = [2.3 \pm 0.5 \text{ (stat)}^{+0.5}_{-0.4} \text{ (syst)}] \times 10^{-5}$

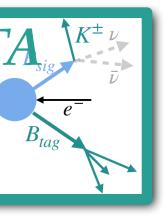
10% improvement in precision wrt ITA only

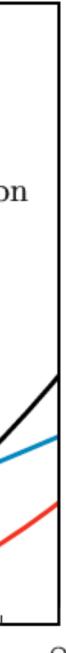
3.5 σ significance wrt the background-only hypothesis **2.7** σ deviation from the SM signal

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First evidence of the $B^+ \rightarrow K^+ \nu \bar{\nu} \ process$



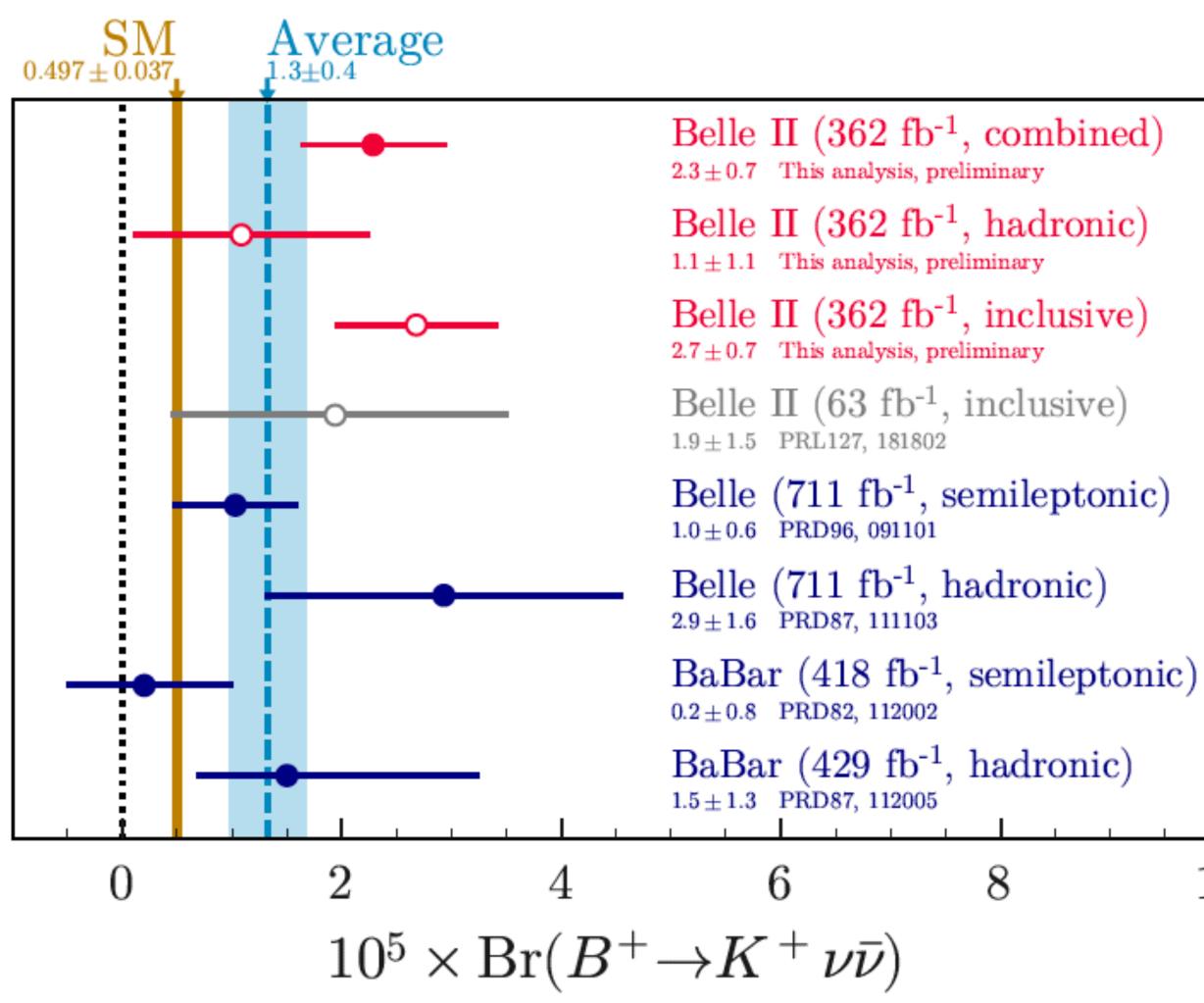








Global picture of $BR(B^+ \rightarrow K^+ \nu \overline{\nu})$



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ITA result:

- in agreement with previous hadronic-tag and inclusive measurements
- 2.3 σ tension with BaBar semileptonic-tag analysis
- comparable precision wrt previous best measurements

HTA result:

- In agreement with all the previous measurements
- Most precise result with hadronic tag strategy

Overall good compatibility: p-value ~ 35 %





Conclusion

- A search for the rare decay $B^+ \to K^+ \nu \bar{\nu}$ was performed with $L = 362 \text{ fb}^{-1}$
- The analysis strategy exploited an innovative technique with high sensitivity which allowed to obtain a good precision with a limited dataset
- Furthermore a B-factory conventional approach was used as support analysis
- The combination of the two analyses results in the

3.5 σ w.r.t. the background-only hypothesis

$\mathscr{B}(B^+ \to K^+ \nu \bar{\nu}) = [2.3 \pm$

All the details in <u>arXiv:2311.14647</u>

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First evidence for the $B^+ \rightarrow K^+ \nu \bar{\nu}$ decay

- **2.7** σ deviation from the SM signal

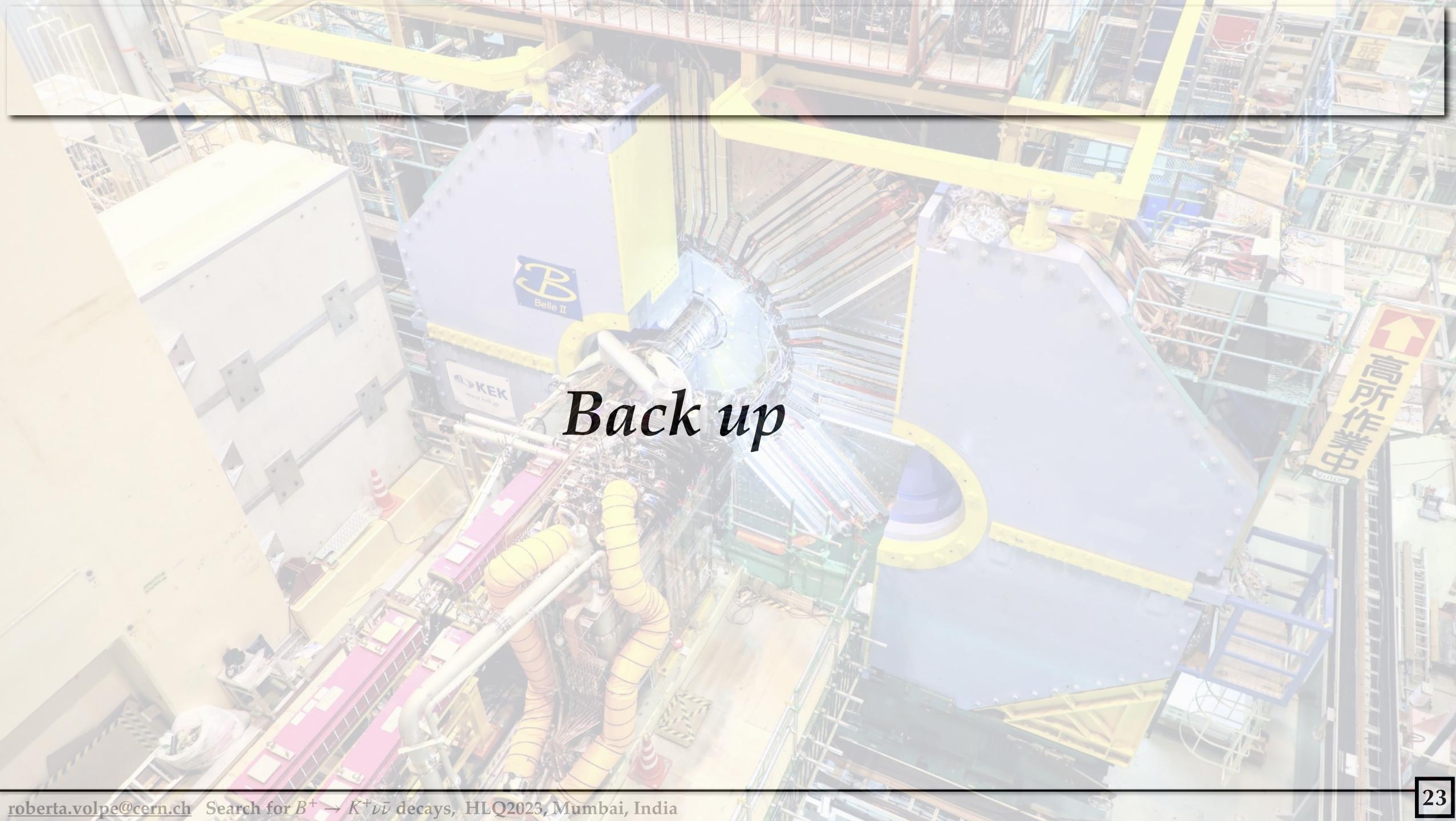
$$(0.5 (stat)^{+0.5}_{-0.4} (syst)] \times 10^{-5}$$

Thank you for your attention!

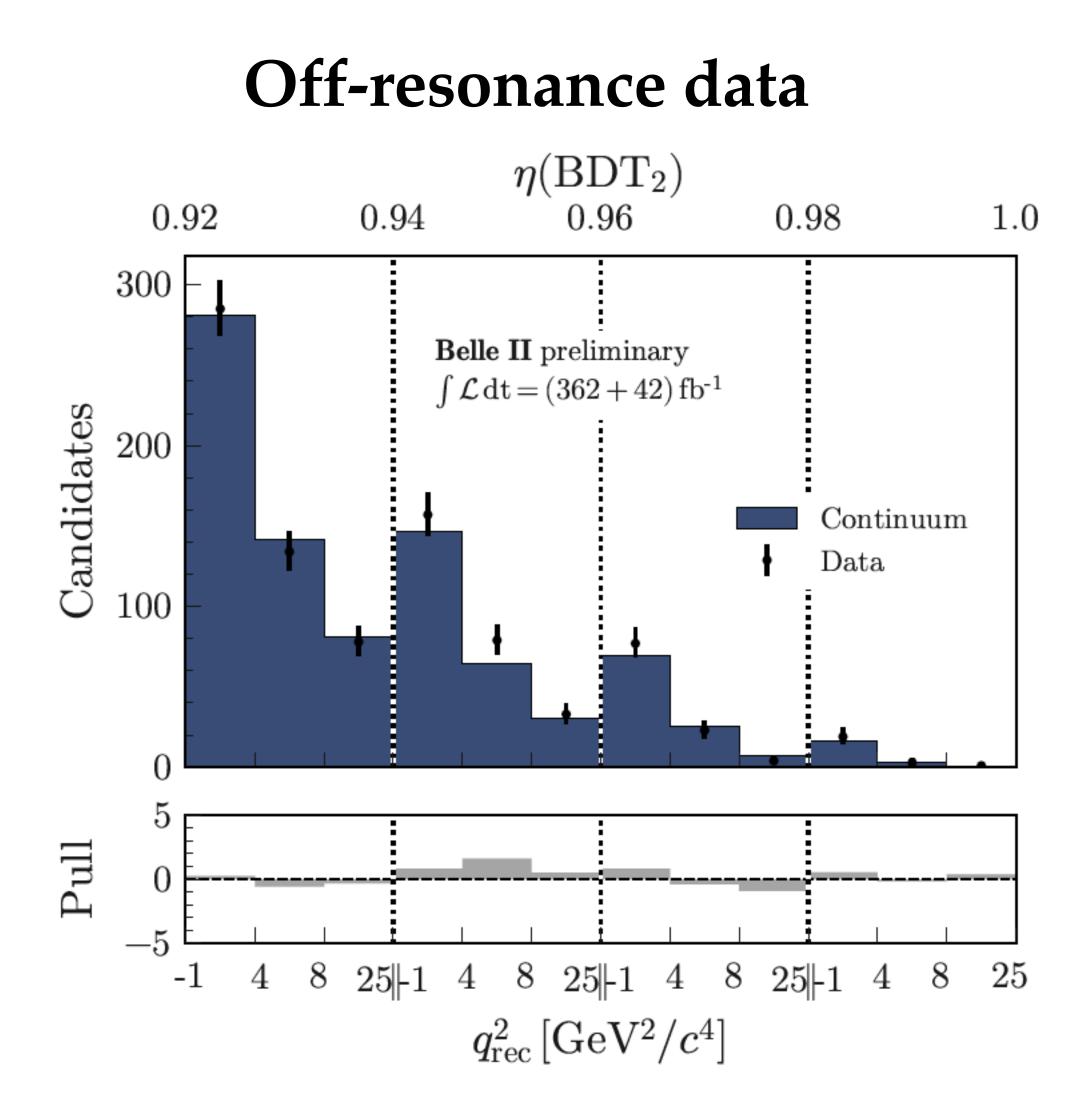




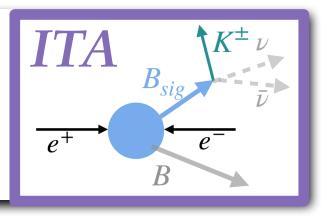




ITA: post-fit distributions



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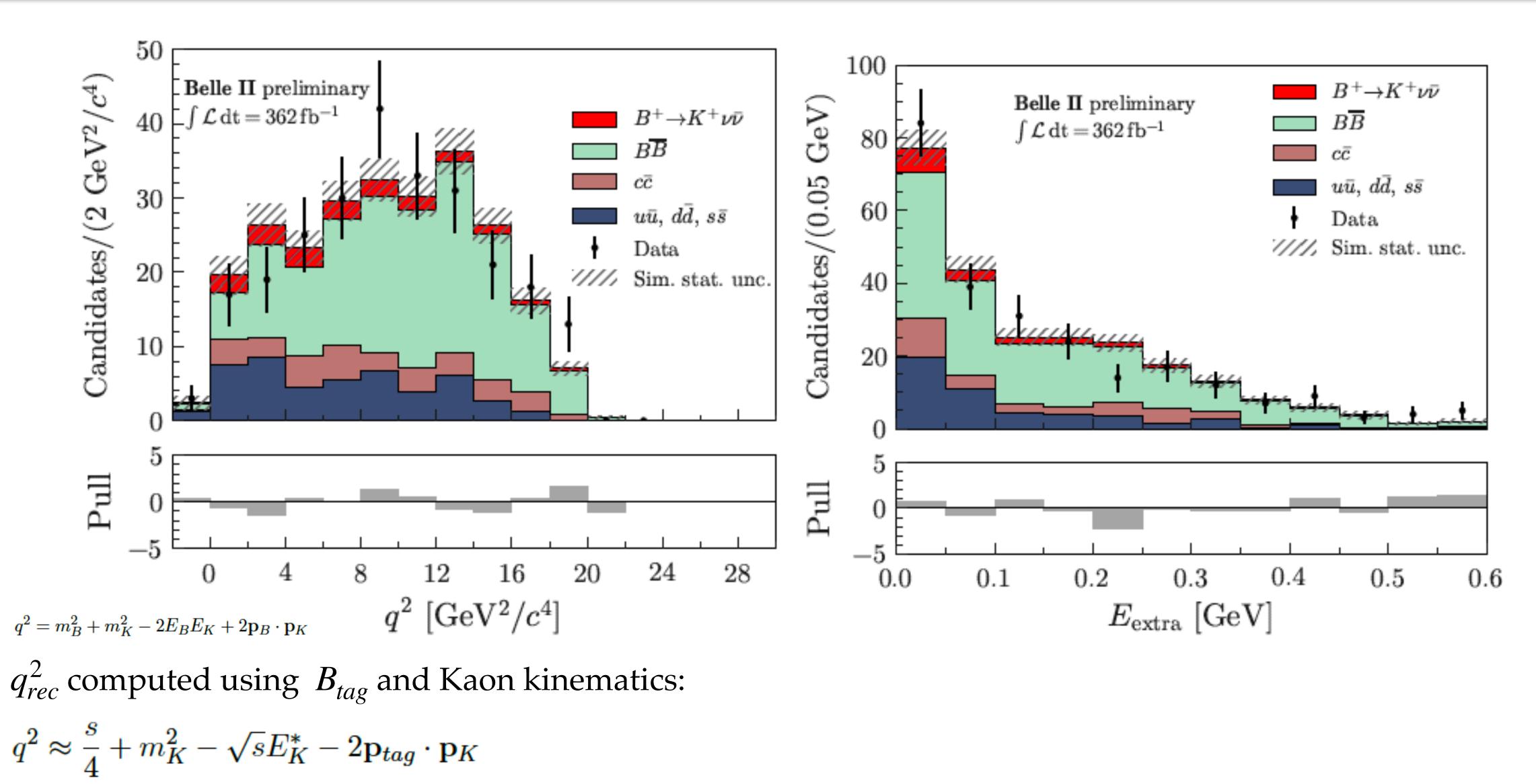
Simultaneous fit to on resonance and off resonance samples

$$\eta(BDT2) \times q_{rec}^2 \times [\text{on/off res}]$$
 (24 bins)

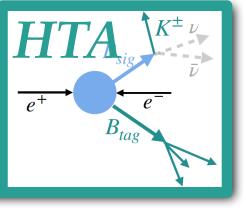
off-resonance sample
at
$$\sqrt{s} = 10.52$$
 GeV, L =42 fb⁻¹



HTA: post-fit distributions



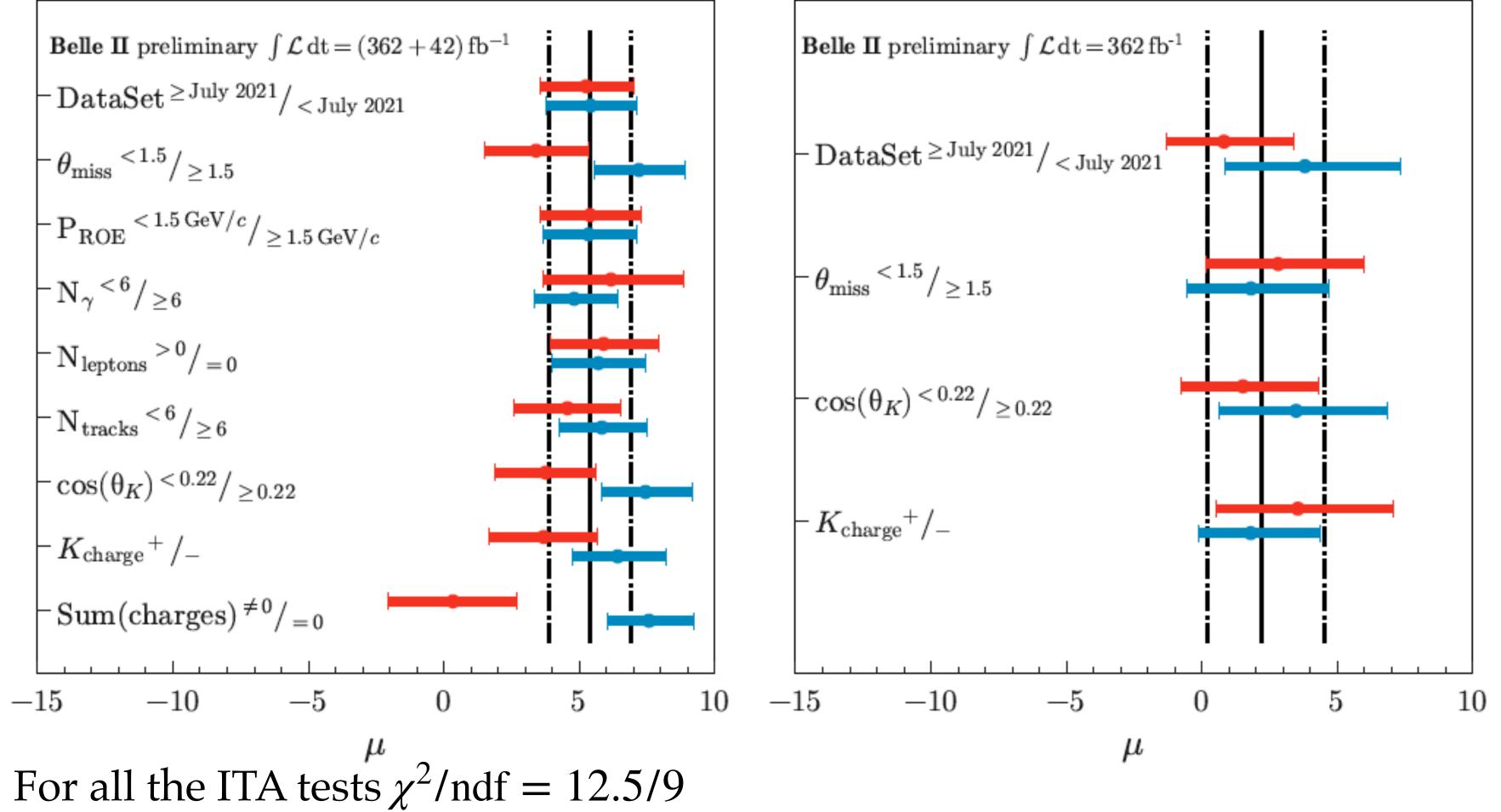
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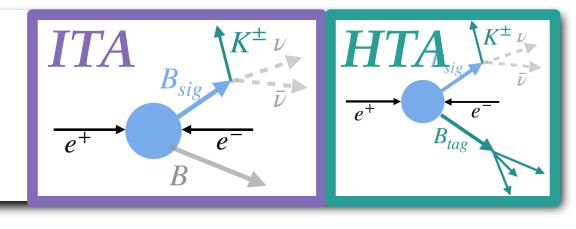


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Stability checks

Stability checks by splitting the sample into pairs of statistically independent datasets, according to various features HTA ITA



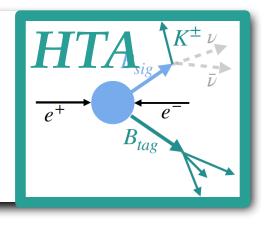




Systematics

Unce Source Normalization BB background Normalization continuum background Leading B-decays branching fractions Branching fraction for $B^+ \to K^+ K_L^0 K_L^0$ Branching fraction for $B \to D^{(**)}$ Branching fraction for $B^+ \to K^+ n\bar{n}$ Branching fraction for $D \to K_L X$ Continuum background modeling, BDT_c 100% Number of BBTrack finding efficiency Signal kaon PID Extra photon multiplicity K_L^0 efficiency Signal SM form factors Signal efficiency Simulated sample size

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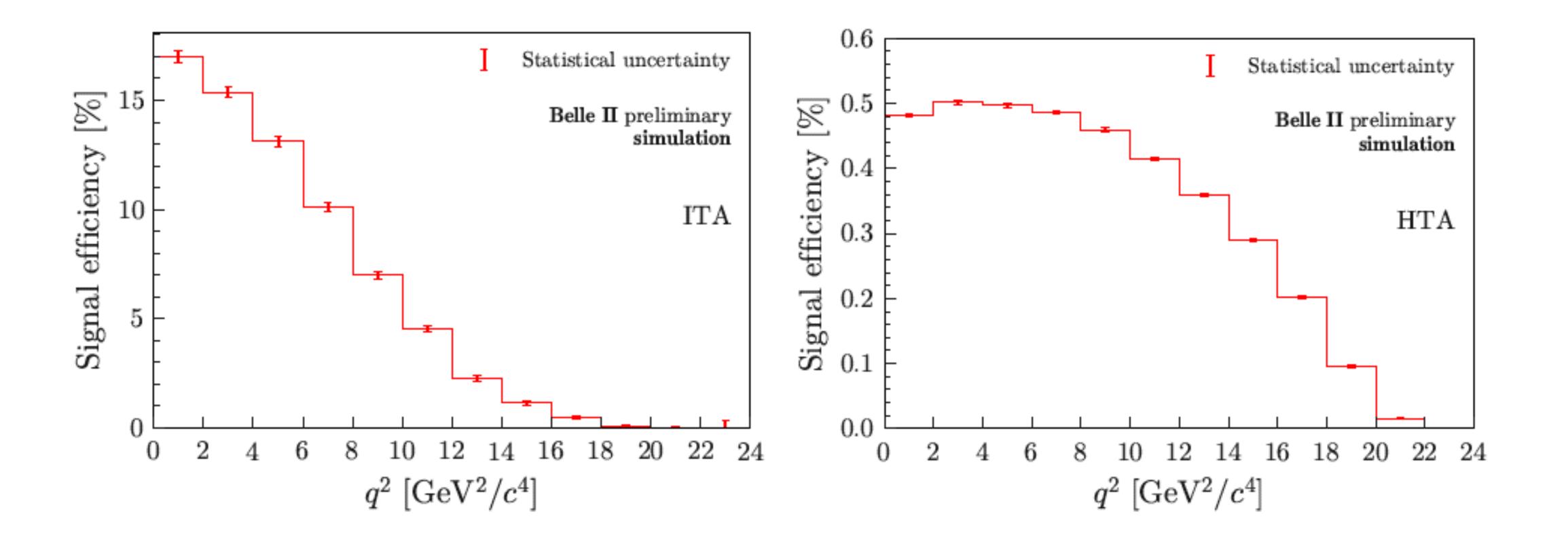
ertainty size	Impact on σ_{μ}
30%	0.91
50%	0.58
O(1%)	0.10
20%	0.20
50%	< 0.01
100%	0.05
10%	0.03
of correction	0.29
1.5%	0.07
0.3%	0.01
O(1%)	< 0.01
O(20%)	0.61
17%	0.31
O(1%)	0.06
16%	0.42
O(1%)	0.60

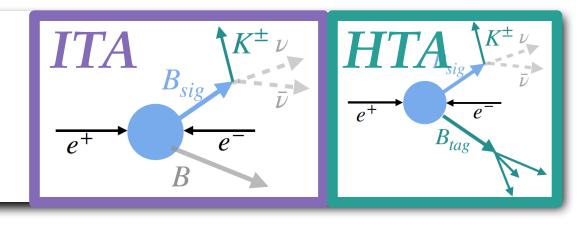
statistical uncertainty on $\mu = 2.3$





Efficiency in the signal regions







Kaon ID correction and validation

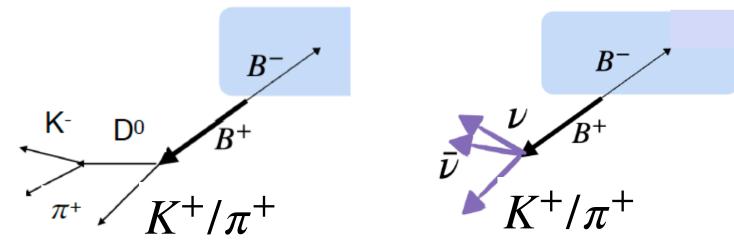
Control sample to derive kaon ID efficiency and pion-to-kaon fake rates as functions of relevant variables and correct MC

Validation:

Use
$$B^+ \to \overline{D}^0 (\to K^+ \pi^-) h^+$$
 with $h = K, \pi$

Use D-decay tracks to select the event and then remove to mimic signal topology

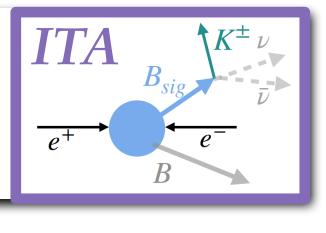
- Use the full $B^+ \to K^+ \nu \bar{\nu}$ selection
- Compute ΔE with π mass hypothesis and select hwith nominal K-id

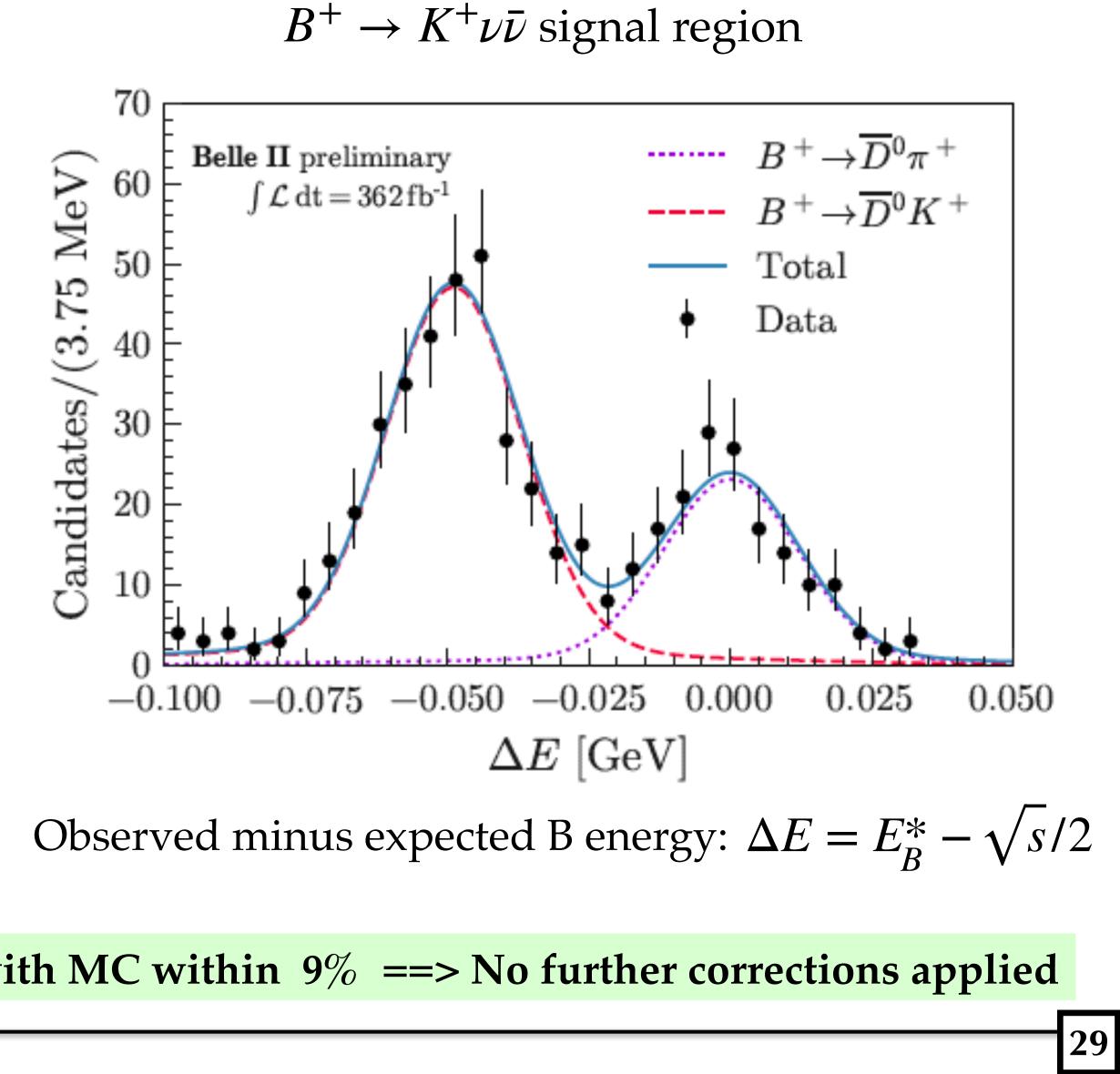


• estimate the number of $B^+ \to \overline{D}^0 K^+$ and $B^+ \to \overline{D}^0 \pi^+$ by fitting ΔE both for MC and **data**

Obtain fake rate $F = N_{\pi}/(N_{\pi} + N_{K}) = 1.03 \pm 0.09$

<u>roberta.volpe@cern.ch</u> Evidence for $B^+ \rightarrow K^+ \nu \bar{\nu}$ decay at Belle II, 5 September 2023





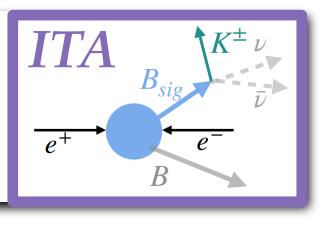
Data consistent with MC within 9% => No further corrections applied

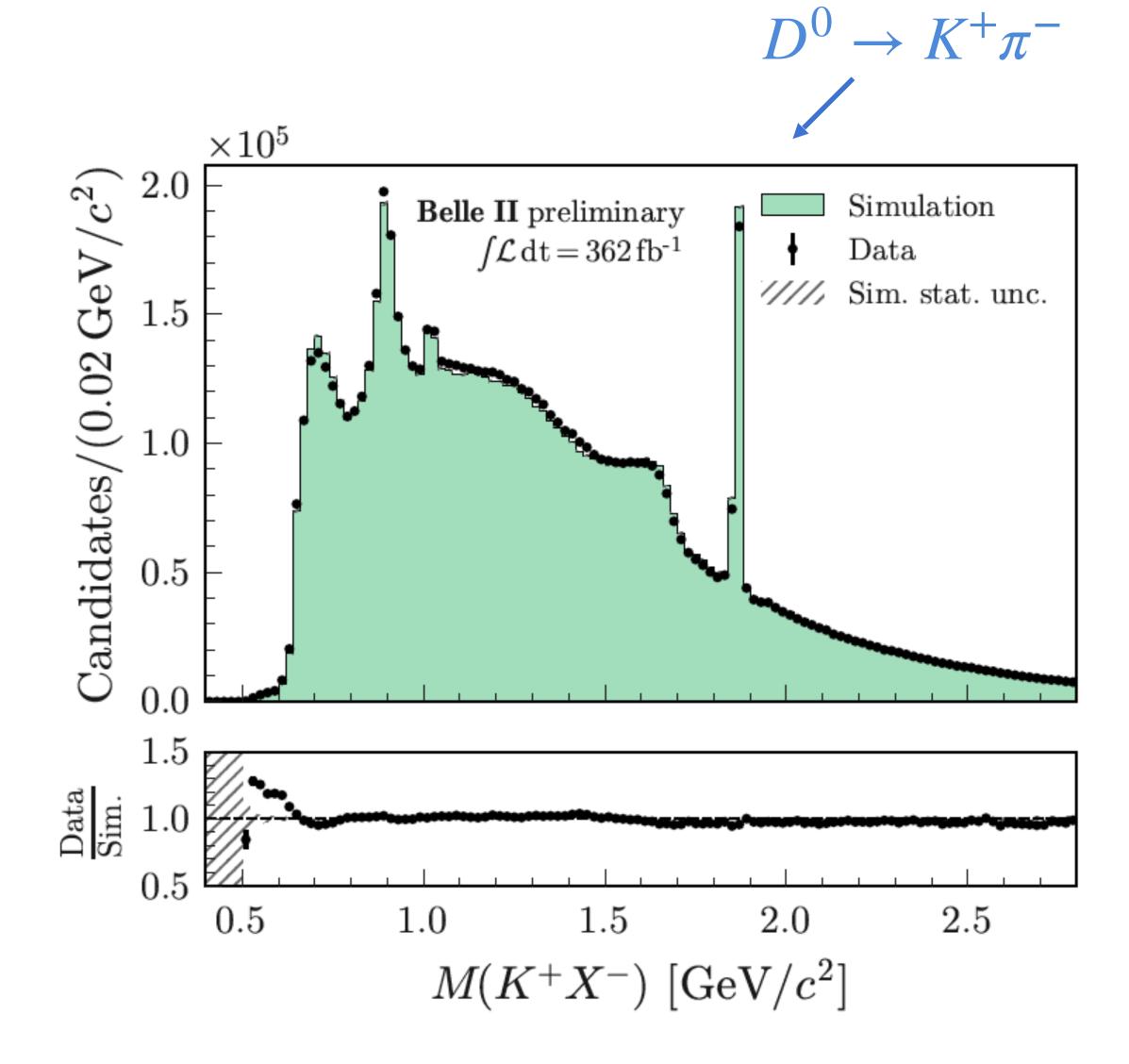
Background estimation — *BB*

Semileptonic *B*⁺ decays with K coming from a D decay

Data/MC comparisons at several stages of the selection

Example: Invariant mass of the signal kaon and a ROE charged particle (before η (BDT2) cut, mass hypothesis from PID info $X = \pi, K, p$)

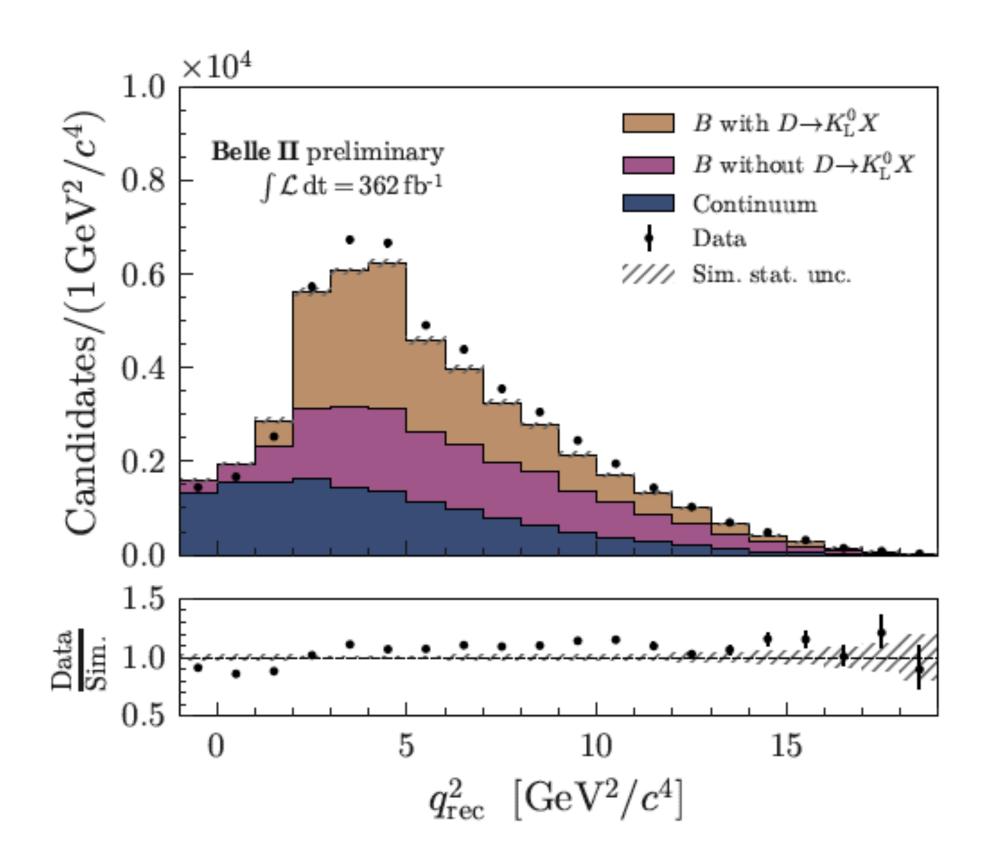




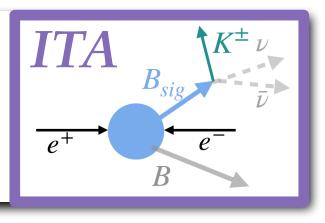
30

 $B^+ \to \pi^+ D, D \to K_L X$

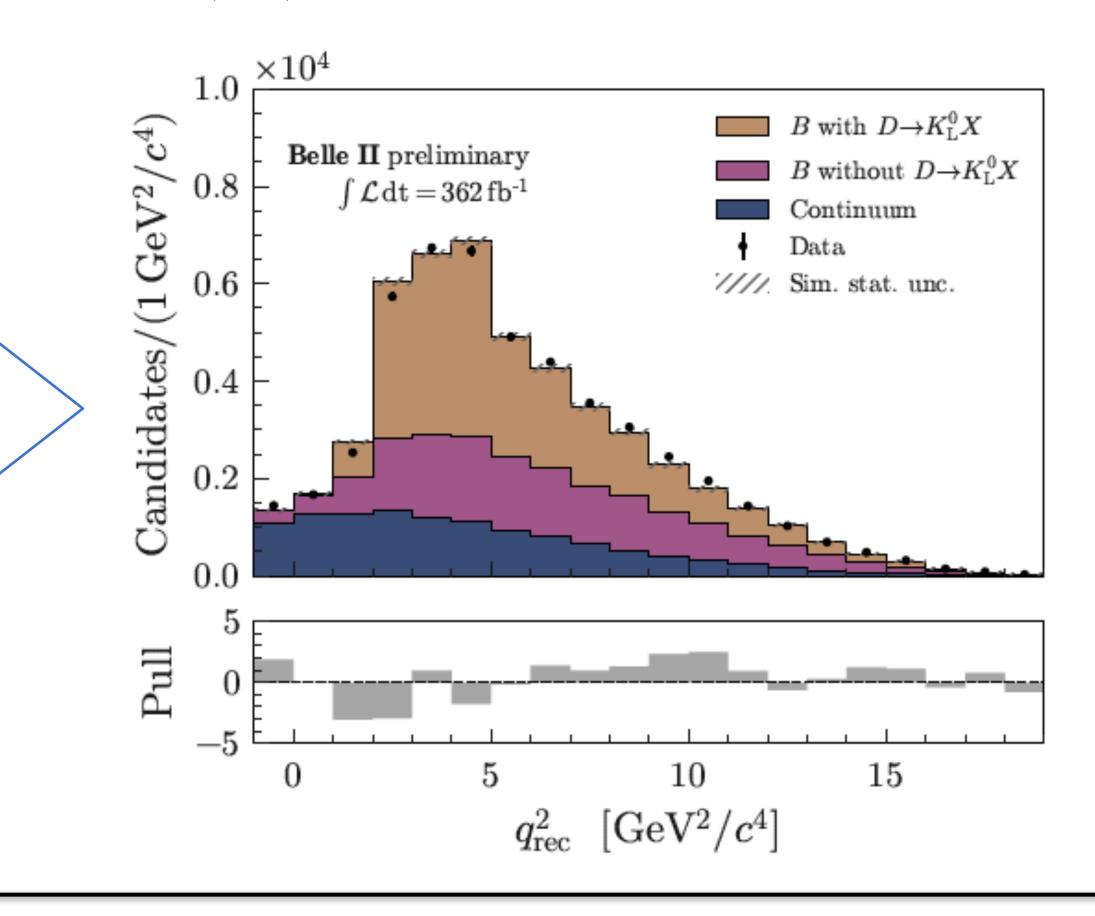
Before fit



<u>roberta.volpe@cern.ch</u> Search for $B^+ \rightarrow K^+ \nu \bar{\nu}$ decays, HLQ2023, Mumbai, India

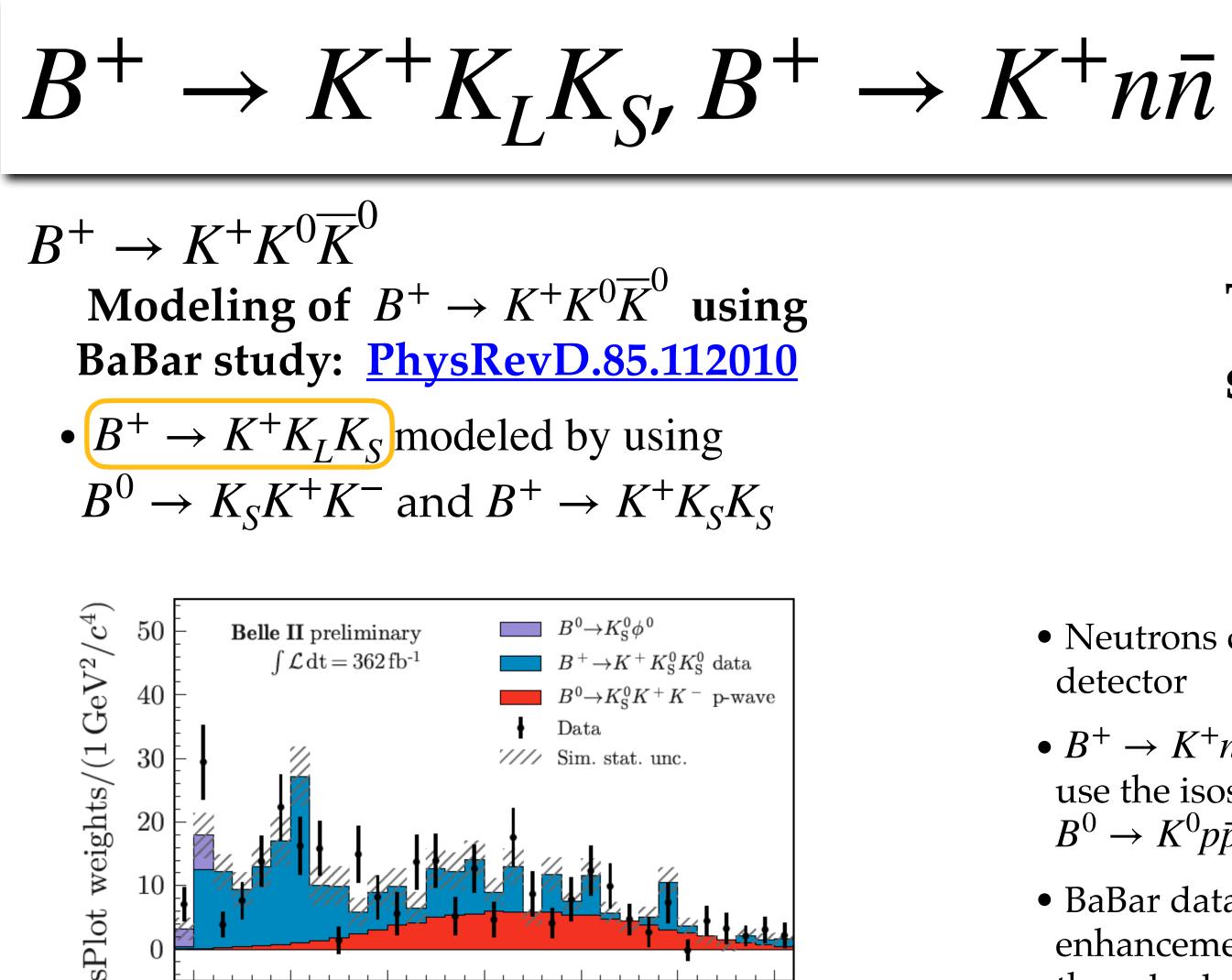


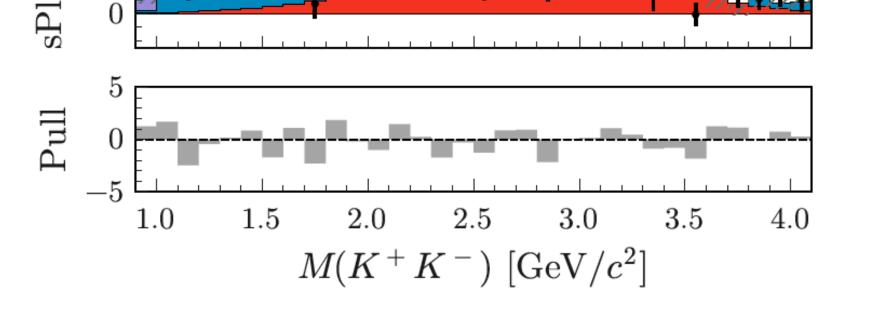
After fit normalization of $B^+ \to \pi^+ D$ and $D \to K_L X$ (1.3) obtained with the fit



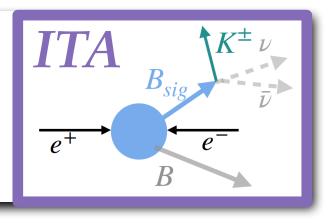








<u>roberta.volpe@cern.ch</u> Search for $B^+ \rightarrow K^+ \nu \bar{\nu}$ decays, HLQ2023, Mumbai, India

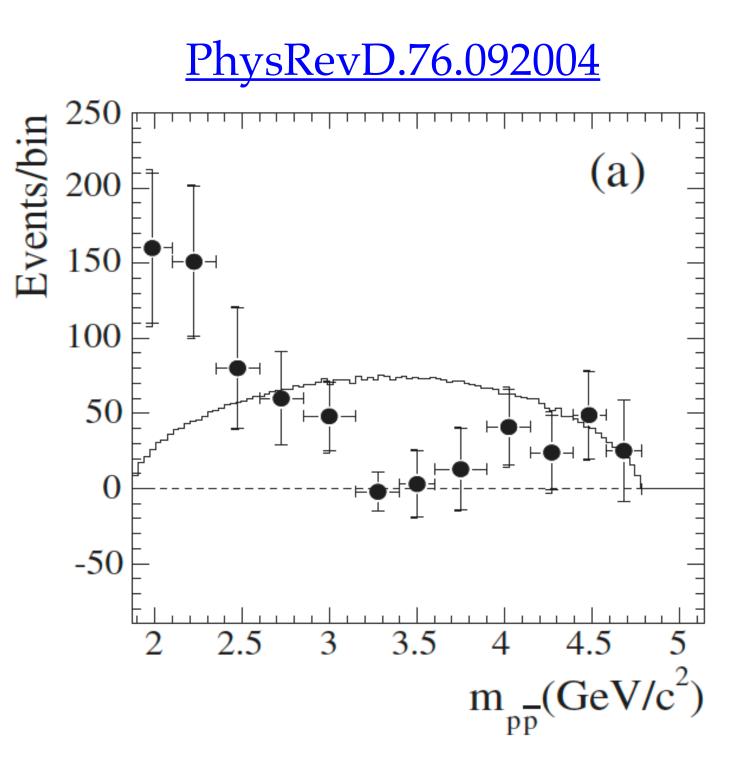


Treatment of the background source: $B^+ \to K^+ n \bar{n}$

• Neutrons can escape the ECL detector

• $B^+ \to K^+ n \bar{n}$ is not measured, use the isospin partner process: $B^0 \to K^0 p \bar{p}$

• BaBar data show a threshold enhancement not modeled in the three-body phase-space MC

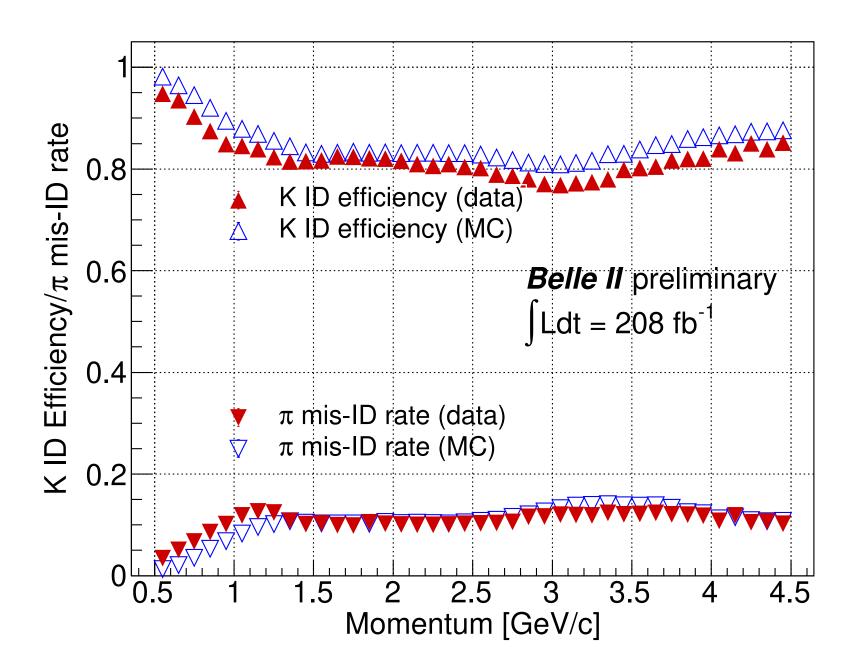


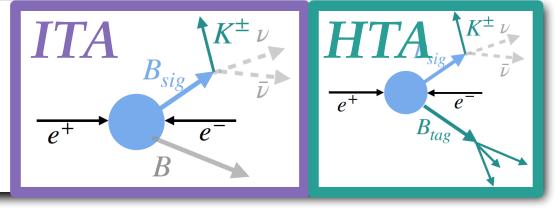


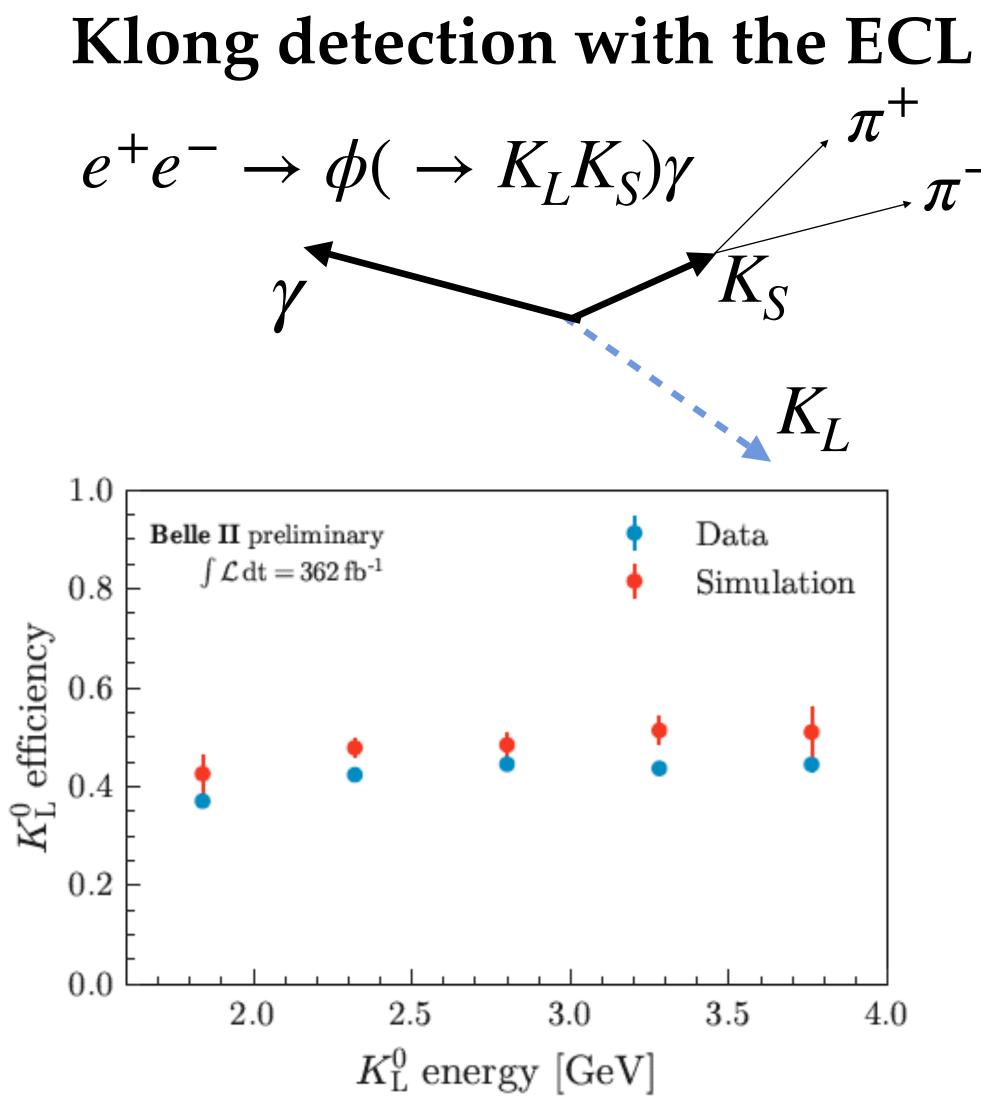
Corrections

Kaon ID

Sample selected as $D^{*+} \rightarrow \pi^+ D^0 (\rightarrow K^- \pi^+)$ provides abundant and low background K^- and π^+ samples

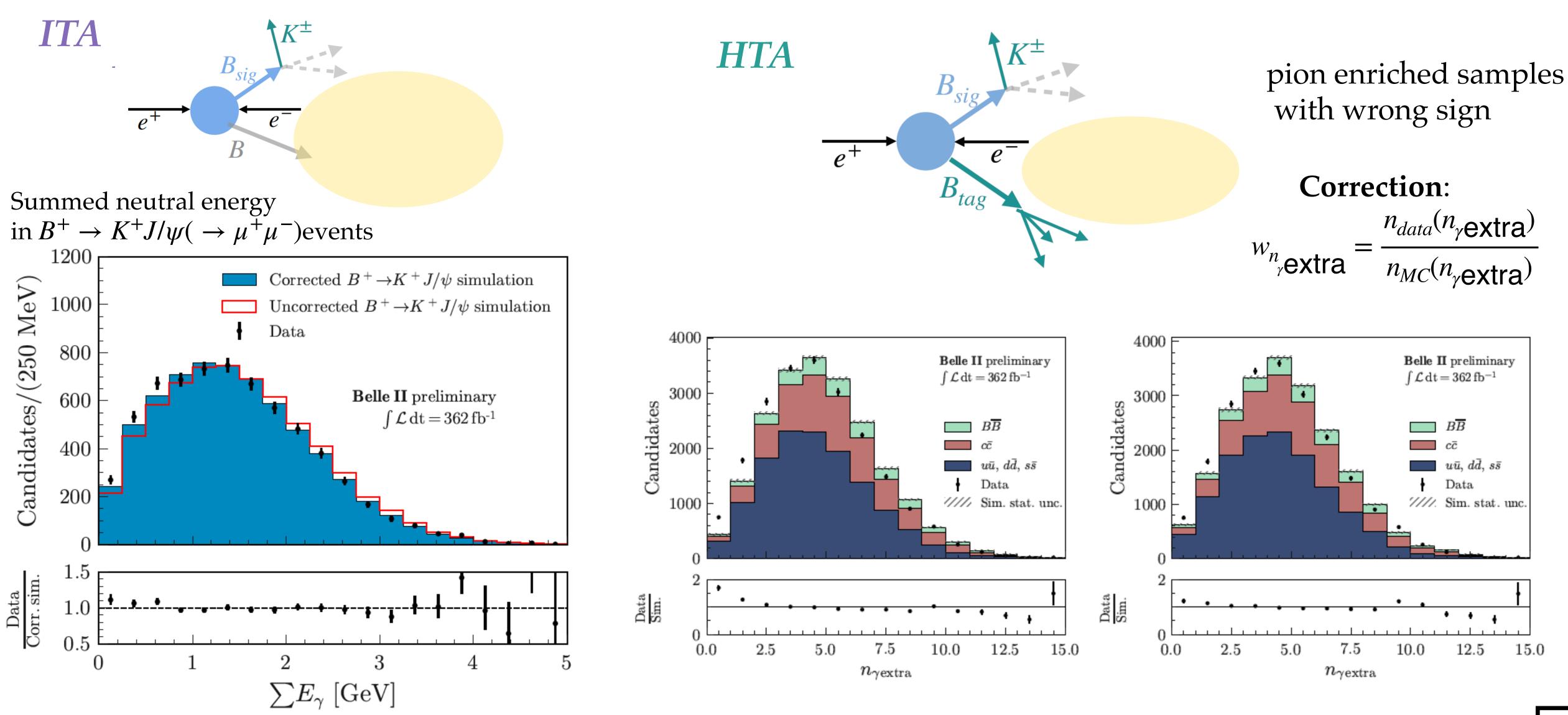


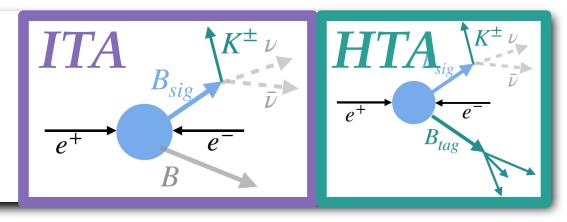






Corrections (neutral "extra energy")



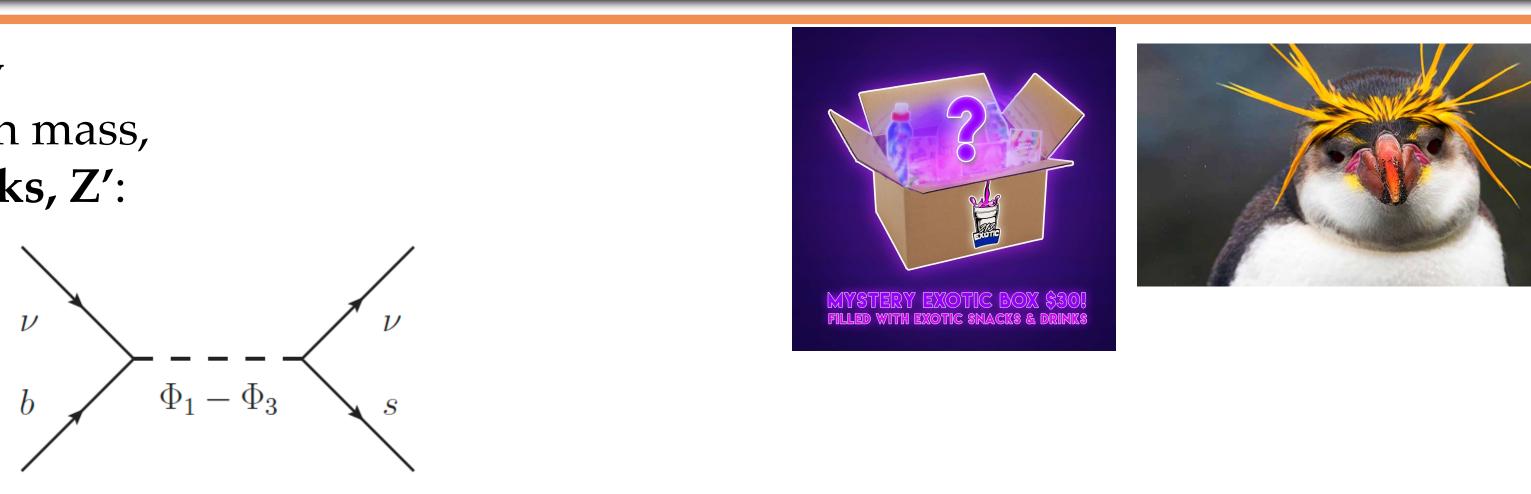




$B^+ \rightarrow K^+ + \text{ inv}$ beyond the Standard Model

 $\mathscr{B}(B^+ \to K^+ \nu \overline{\nu})$ can be significantly **modified** in models that predict high mass, non-SM particles, such as **leptoquarks**, Z':

PL B 821 (2021) 136607 PhysRevD.98.055003 JHEP09(2017)040 <u>JHEP08(2021)050</u> arXiv:2103.16558



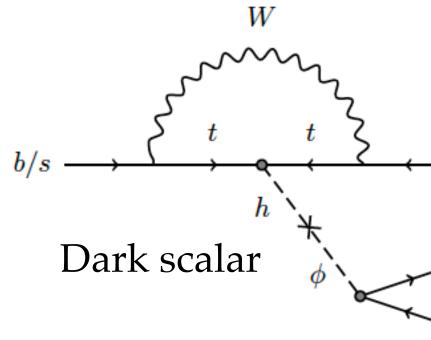
Indirect way to investigate the existence of multi-TeV particles

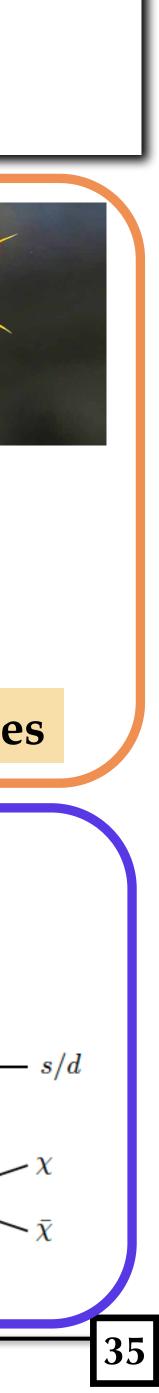
Similar signature

SM extensions predict $B^+ \rightarrow K^+ X_{inv}$, where X_{inv} is low mass undetectable particle

X_{inv} could be a feebly interacting, long-lived, particle that escapes the detector or a dark matter candidate, examples:

- A scalar as in models with dark sector mixing with the SM Higgs PhysRevD.101.095006
- A pseudo-scalar such as an axion or axion-like-particle <u>PhysRevD.102.015023</u>, JHEP03(2015)171





Reconstruction and basic selection - I

objects definition:

- Charged particles: good quality tracks with impact parameters close to the interaction point, with $p_T > 0.1$ GeV and within CDC acceptance
- **Photons:** ECL clusters not matched to tracks and with E>0.1 GeV
- K_s reconstruction with displaced vertex
 - Each of the charged particles and photons is required to have an energy of less than 5.5 GeV to reject misreconstructed particles and cosmic muons
 - Total energy > 4 GeV

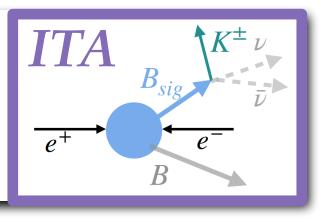
First event cleaning:

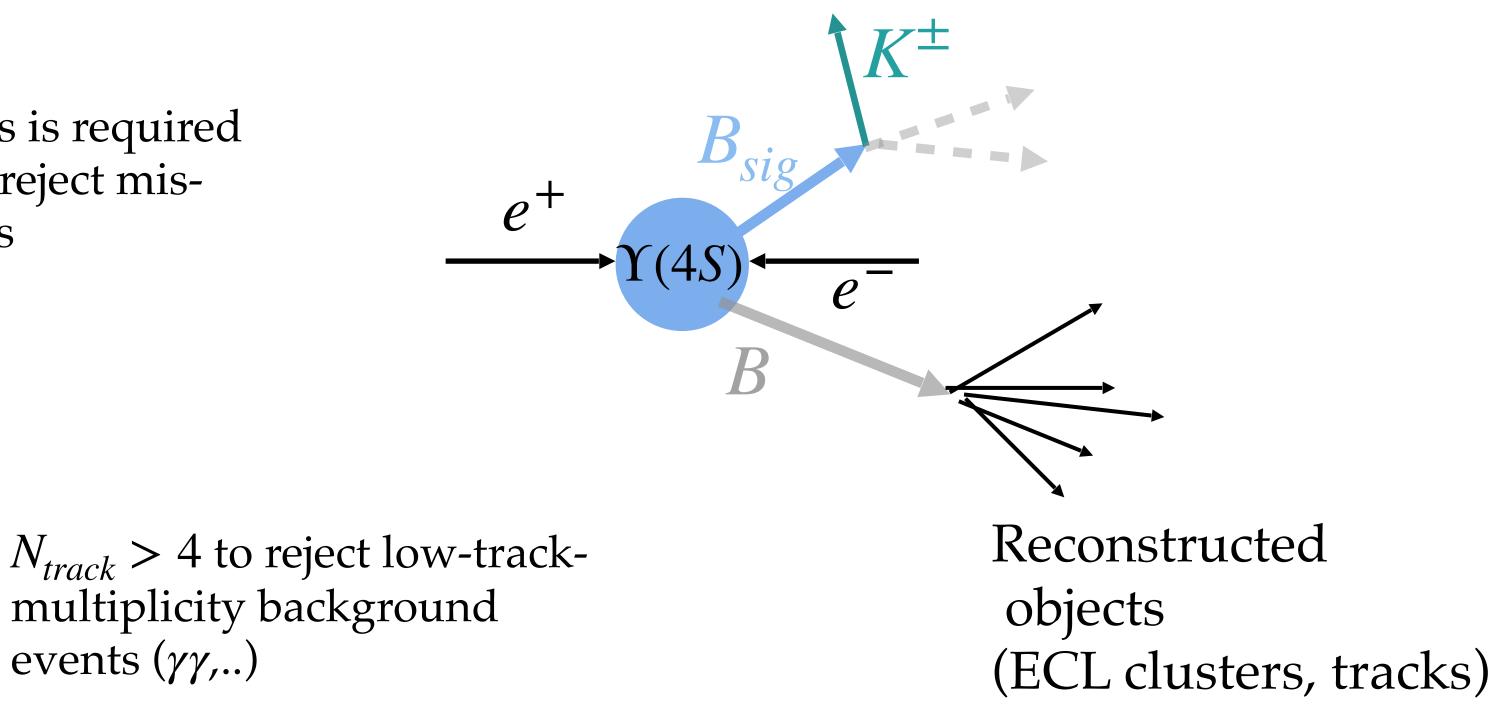
$$4 \le N_{tracks} \le 10$$

 $17^{\circ} \le \theta^{*}_{miss} \le 160^{\circ}$

events ($\gamma\gamma$,..)

Analysis of the rare $B^+ \rightarrow K^+ \nu \bar{\nu}$ decay at Belle II, KEK Seminar, 24 August 2023









Reconstruction and basic selection - II

<u>*K*⁺ Selection</u>

Reconstruct a track with at least one deposit in the Pixel Detector and use particle identification tools to identify the kaon

Particle ID likelihood computed with information from

- PID detectors
- silicon strip detector, CDC, KLM $\epsilon(K) \sim 68\%$

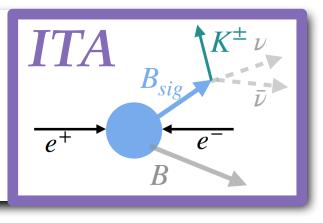
Probability to mis-id a pion for a Kaon: 1.2 %

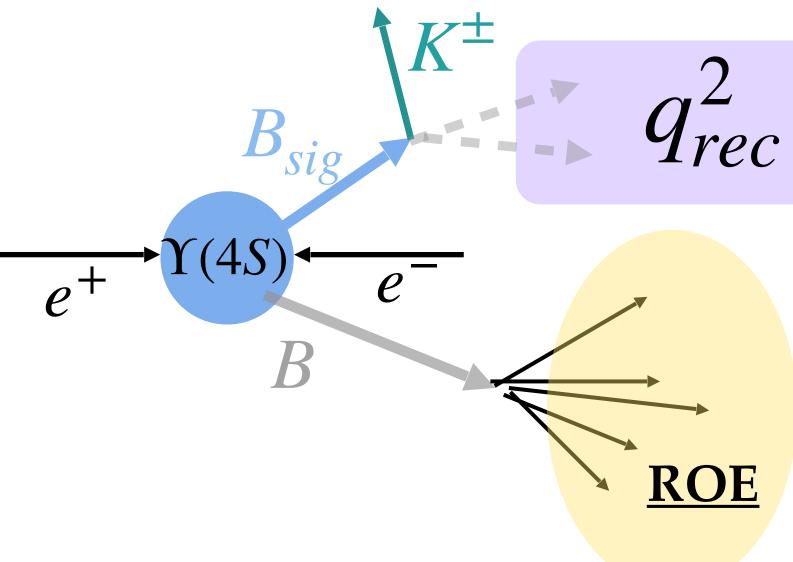
q_{rec}^2 : mass squared of the neutrino pair

$$q_{rec}^2 = \frac{s}{4} + M_K^2 - \sqrt{s}E_K^* \quad (B_{sig} \text{ at rest})$$

If more than one candidate is selected, the choice is: the candidate which corresponds to the lowest q_{rec}^2

Analysis of the rare $B^+ \rightarrow K^+ \nu \bar{\nu}$ decay at Belle II, KEK Seminar, 24 August 2023

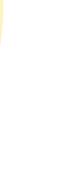


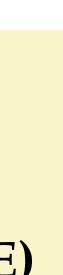


All the other objects (tracks, photons, KS) constitute the **Rest Of the Event (ROE)**











Reconstruction and basic selection

Reconstruct the B_{tag} in one of the 35 hadronic final states with the full-event interpretation algorithm [arXiv:2008.06096]

Requirements a good B_{tag}

- Cut on quality of B_{tag} reconstruction
- Cut on standard B-factory kinematics variables

Same kaon selection and identification as ITA

Event requirements:

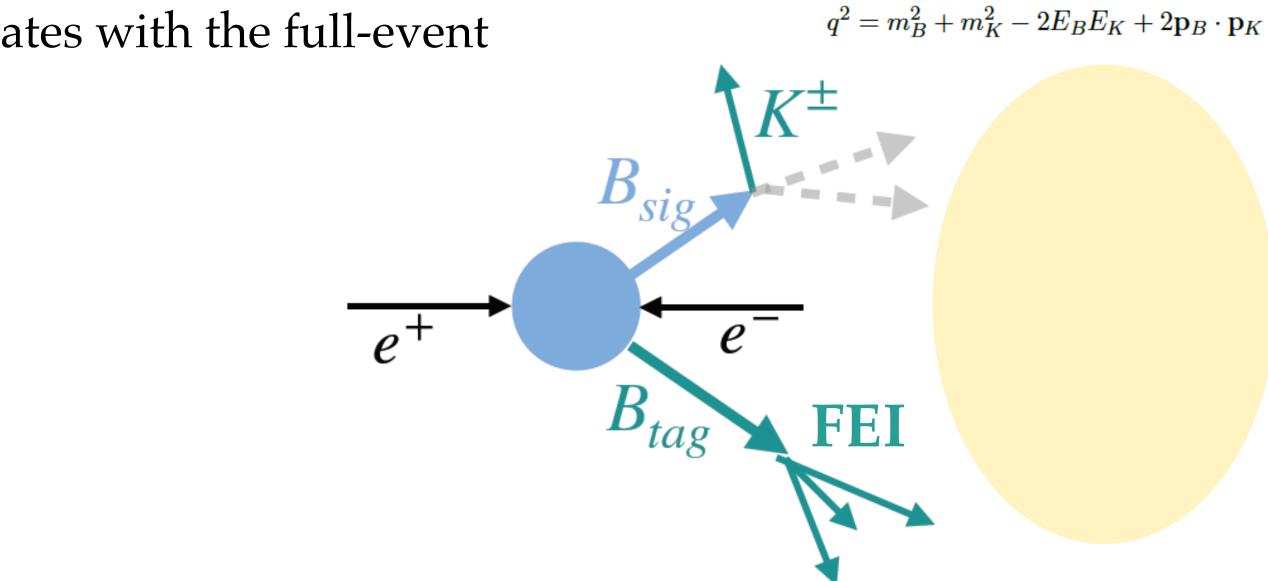
 B_{tag} and K opposite charge $N_{tracks} \leq 12$ N_{tracks} (in drift chamber not associated to B_{tag} or K) = 0 $n(K_S), n(\pi^0), n(\Lambda) = 0$

 q_{rec}^2 computed using B_{tag} and Kaon kinematics:

$$q^2 \approx \frac{s}{4} + m_K^2 - \sqrt{s} E_K^* - 2\mathbf{p}_{tag} \cdot \mathbf{p}_K$$

Analysis of the rare $B^+ \rightarrow K^+ \nu \bar{\nu}$ decay at Belle II, KEK Seminar, 24 August 2023

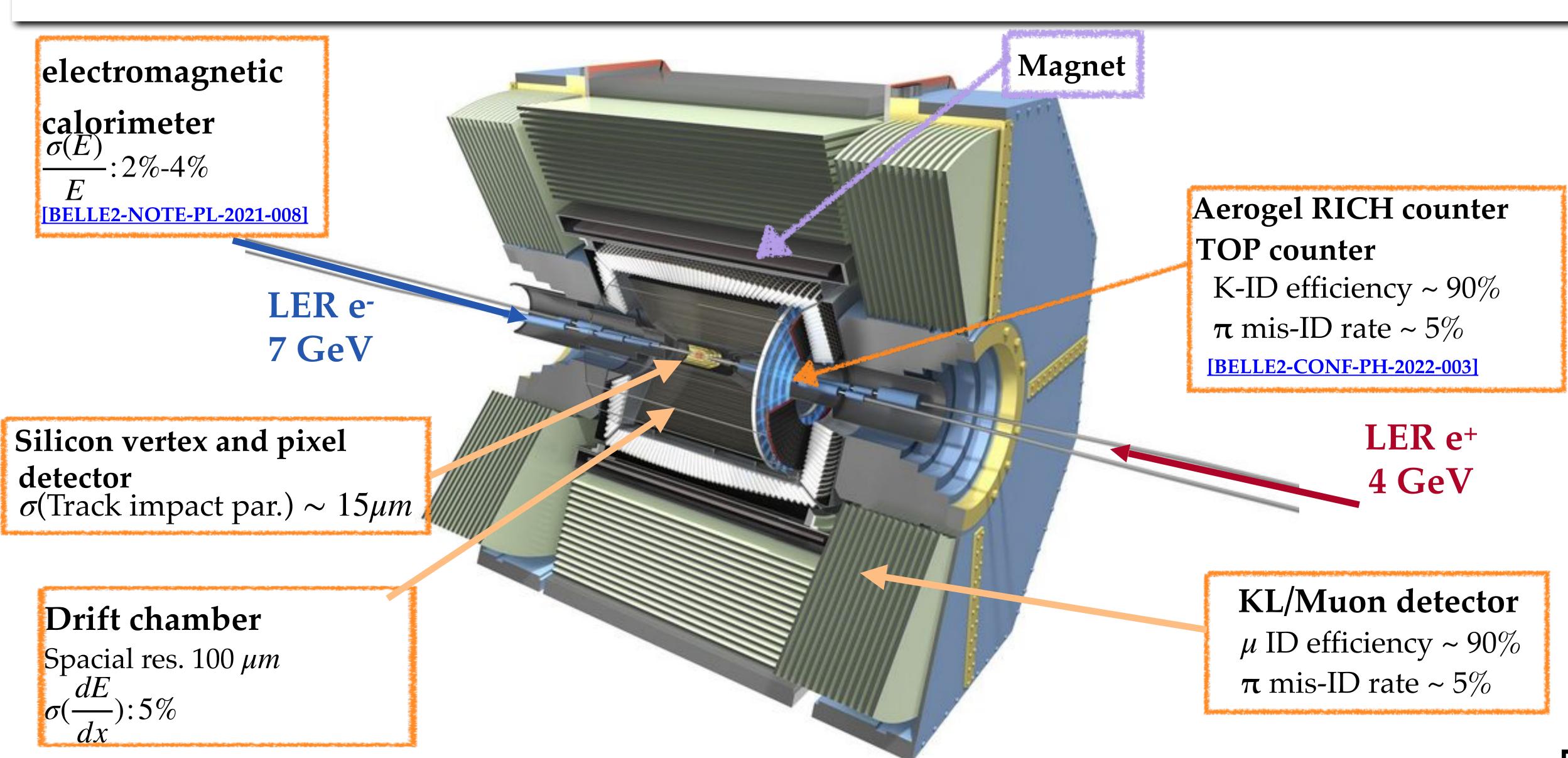




- Remaining tracks
- ECL deposits (E>60/150 MeV)

Not associated to kaon or B_{tag}

The Belle II detector



Analysis of the rare $B^+ \rightarrow K^+ \nu \bar{\nu}$ decay at Belle II, KEK Seminar, 24 August 2023

