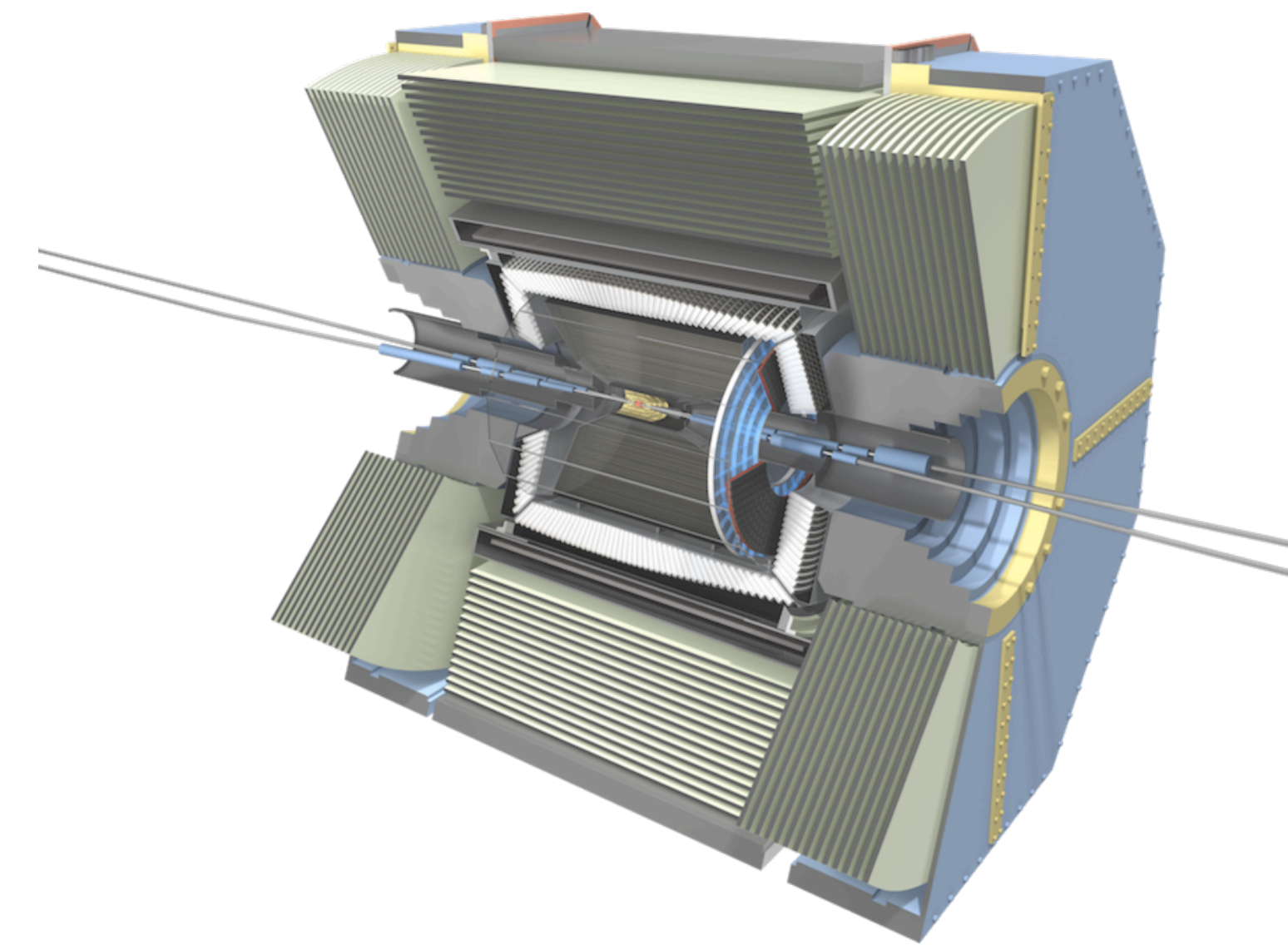


Searches for axions & ALPs at B factories



2023 February 17th, *NePSi 23*

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Overview

- B factories
- Axions & ALPs @ B factories
- Recent searches for axions/ALPs

Introduction

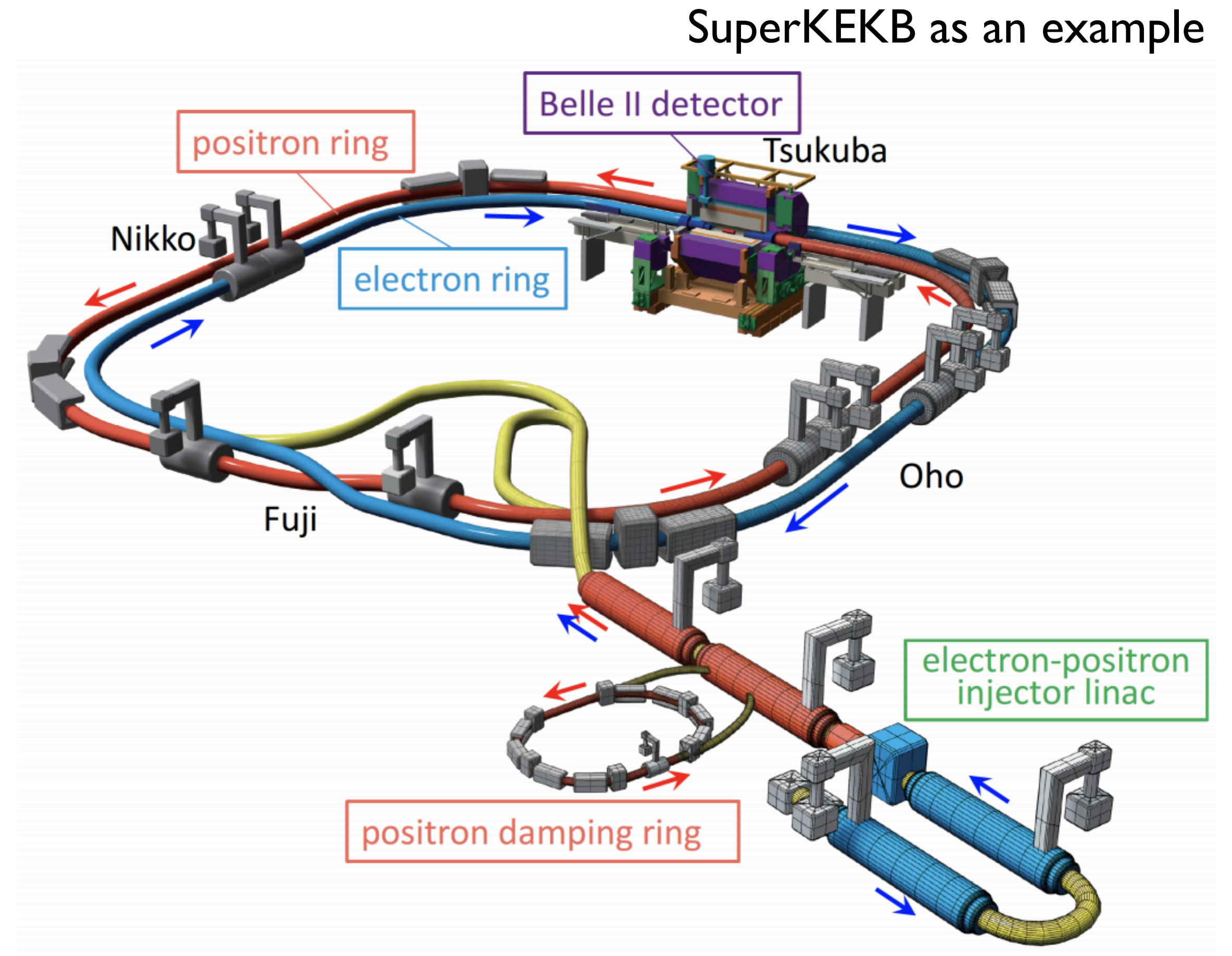
B factories

- First-generation B factories:
 - **BaBar:** @SLAC, on PEP-II, 1999-2008
 - $\mathcal{L} \approx 420 \text{ fb}^{-1} \approx 0.4 \text{ ab}^{-1}$
 - **Belle:** @KEK, on KEKB, 1999-2010
 - $\mathcal{L} \approx 710 \text{ fb}^{-1} \approx 0.7 \text{ ab}^{-1}$
- Second-generation B factory:
 - **Belle II:** @KEK, on SuperKEKB, 2018-onward
 - 30x instantaneous luminosity (target)
 - $\mathcal{L} \approx 50 \text{ ab}^{-1}$ total luminosity (target)
 - Updated detectors and triggers



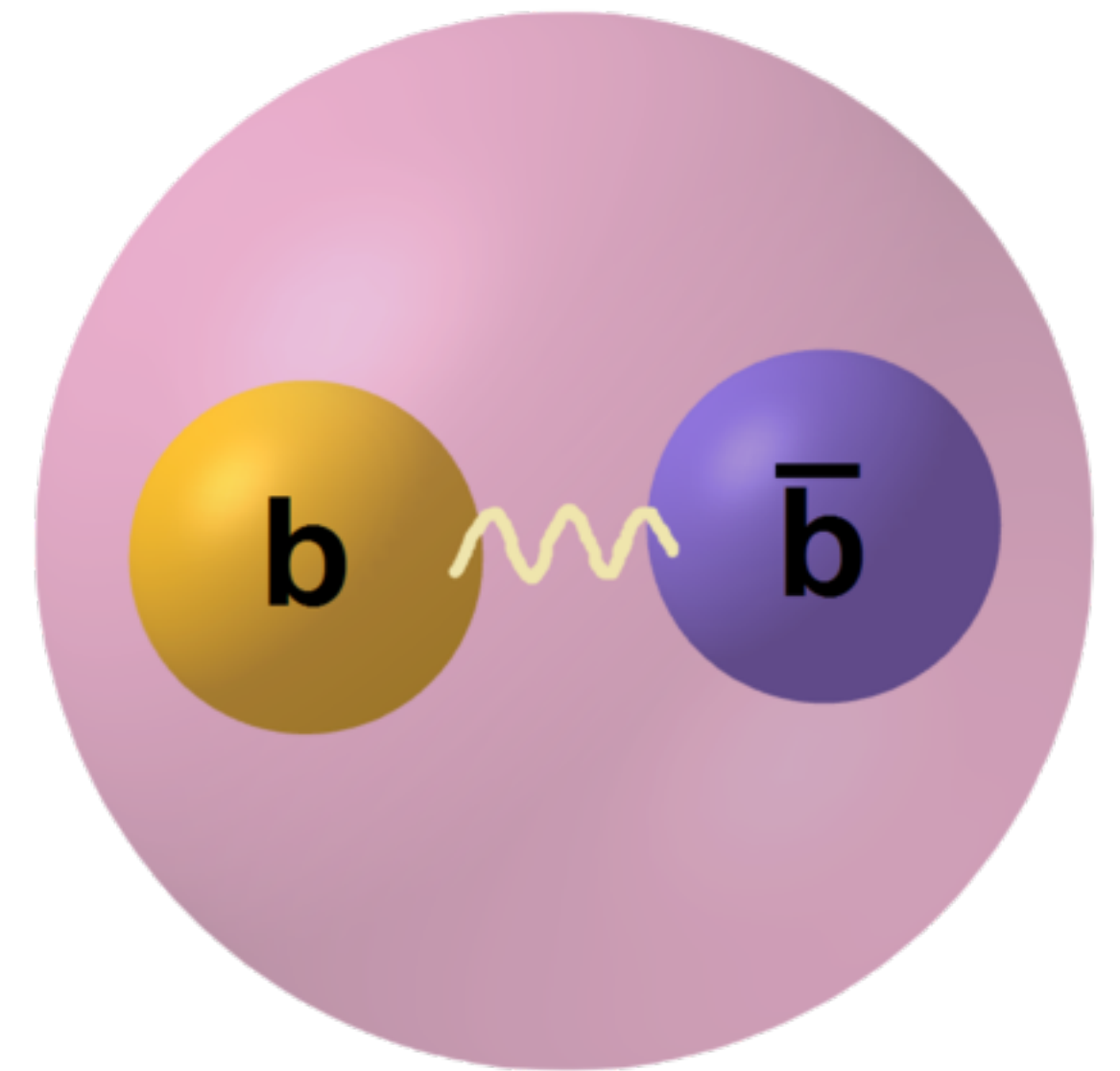
B factories

- **B factory:** produce a lot of B mesons
- **Asymmetric e^+e^- collider**
@ $\Upsilon(4S)$ energy = 10.58 GeV



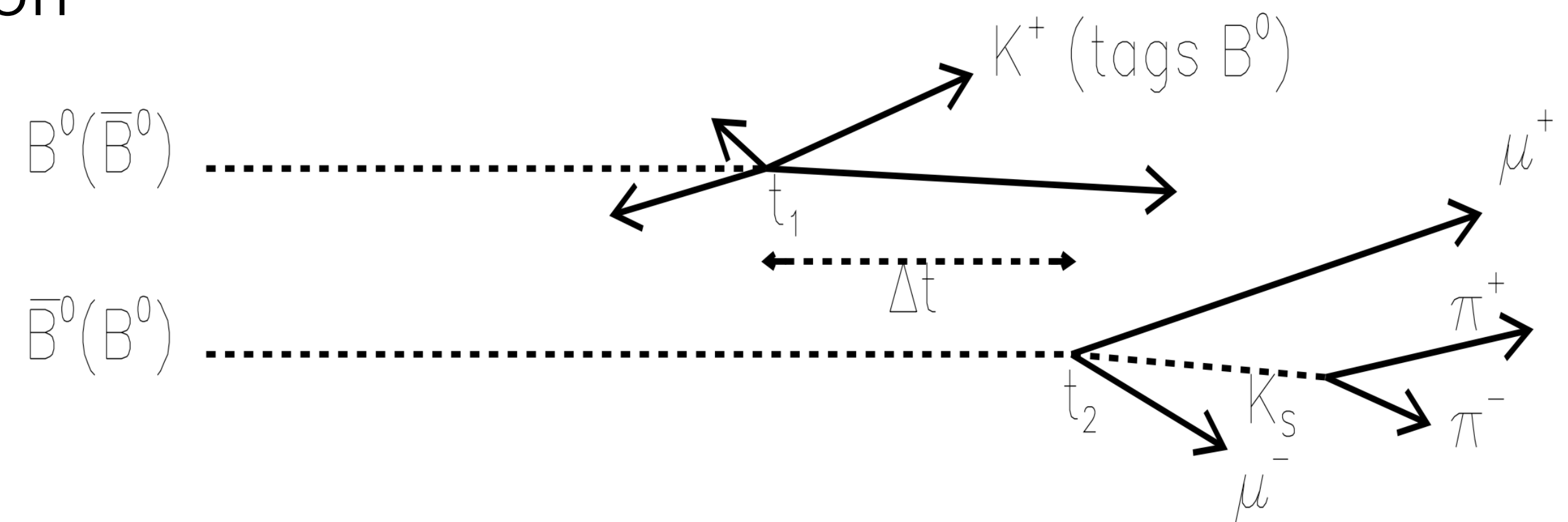
B factories

- **B factory:** produce a lot of B mesons
- **Asymmetric e^+e^- collider**
@ $\Upsilon(4S)$ energy = 10.58 GeV
- Why the $\Upsilon(4S)$?
 - Bottomonium: $b\bar{b}$ resonance
 - Just enough mass to decay into two B mesons
 - $m(\Upsilon(4S)) = 10.58 \text{ GeV} > 2m(B) = 2 \times 5.28 \text{ GeV} = 10.56 \text{ GeV}$
 - $B\bar{B}$ pair (charged or neutral) almost at rest in the centre-of-mass reference frame



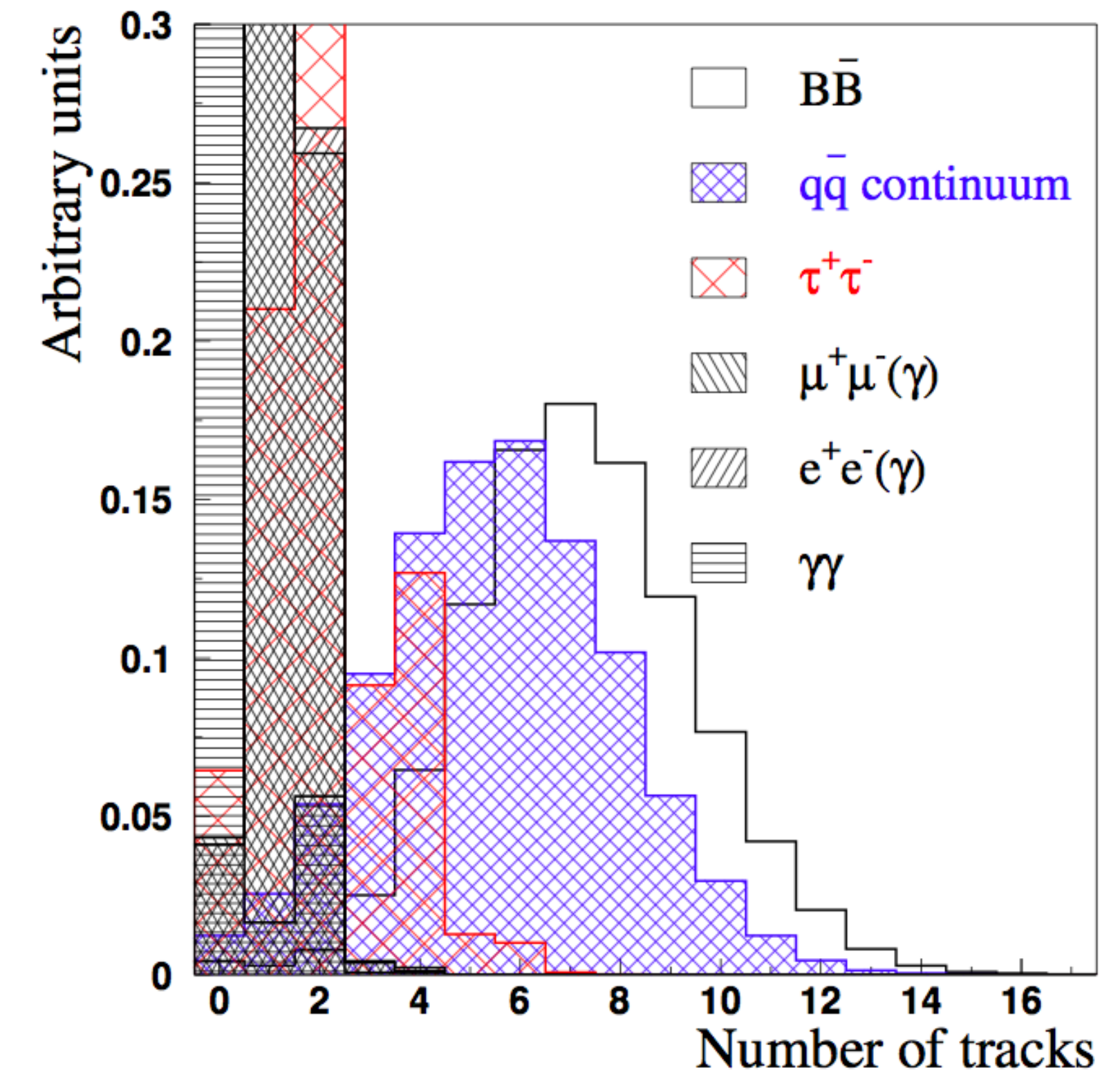
B factories

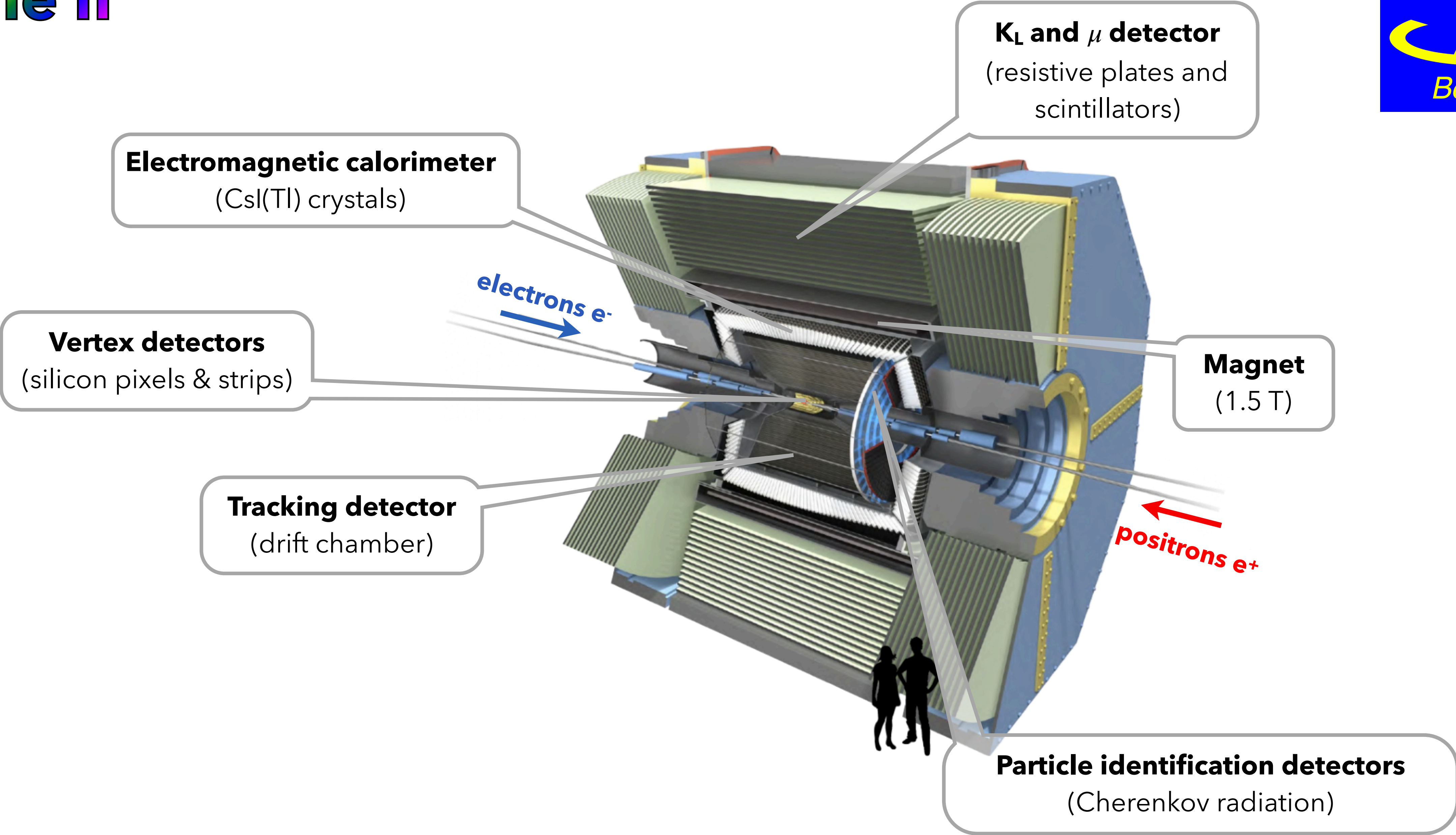
- **B factory:** produce a lot of B mesons
- **Asymmetric e^+e^- collider**
@ $\Upsilon(4S)$ energy = 10.58 GeV
- Asymmetric?
 - In beam energy:
 - $E(e^+) = 4$ GeV
 - $E(e^-) = 7$ GeV
 - To enhance separation of the two B mesons
 - Allows study of time-dependent CP violation



B factories

- **B factory:** produce a lot of B mesons
- **Asymmetric e^+e^- collider**
@ $\Upsilon(4S)$ energy = 10.58 GeV
- Why e^+e^- colliders?
 - High signal-over-bkg ratio
 - **Clean environment**
 - Few charged tracks (6~11 vs 300~7000 of e.g. ATLAS)
 - Dedicated **triggers for low-multiplicity** events (down to 1 particle)
 - Only Belle II and late BaBar
 - Almost-**hermetic detector**
 - **Initial state is exactly known** \Rightarrow kinematic constraints





Axions & ALPs at B factories

- **B factories** have amazing features for **dark/new physics searches**
 - Clean environment
 - Hermetic detector
 - Initial state is exactly known
 - Low-multiplicity triggers
- Can explore **higher masses** than other classes of axions/ALPs experiments and **lower masses** than LHC-like experiments
- Despite the nominal focus being B physics, efforts towards **beyond-SM physics**
 - Also about **axions/ALPs!**

SPOILER!!!

None of the following searches found any evidence! :(
All set **upper limits** (ULs)



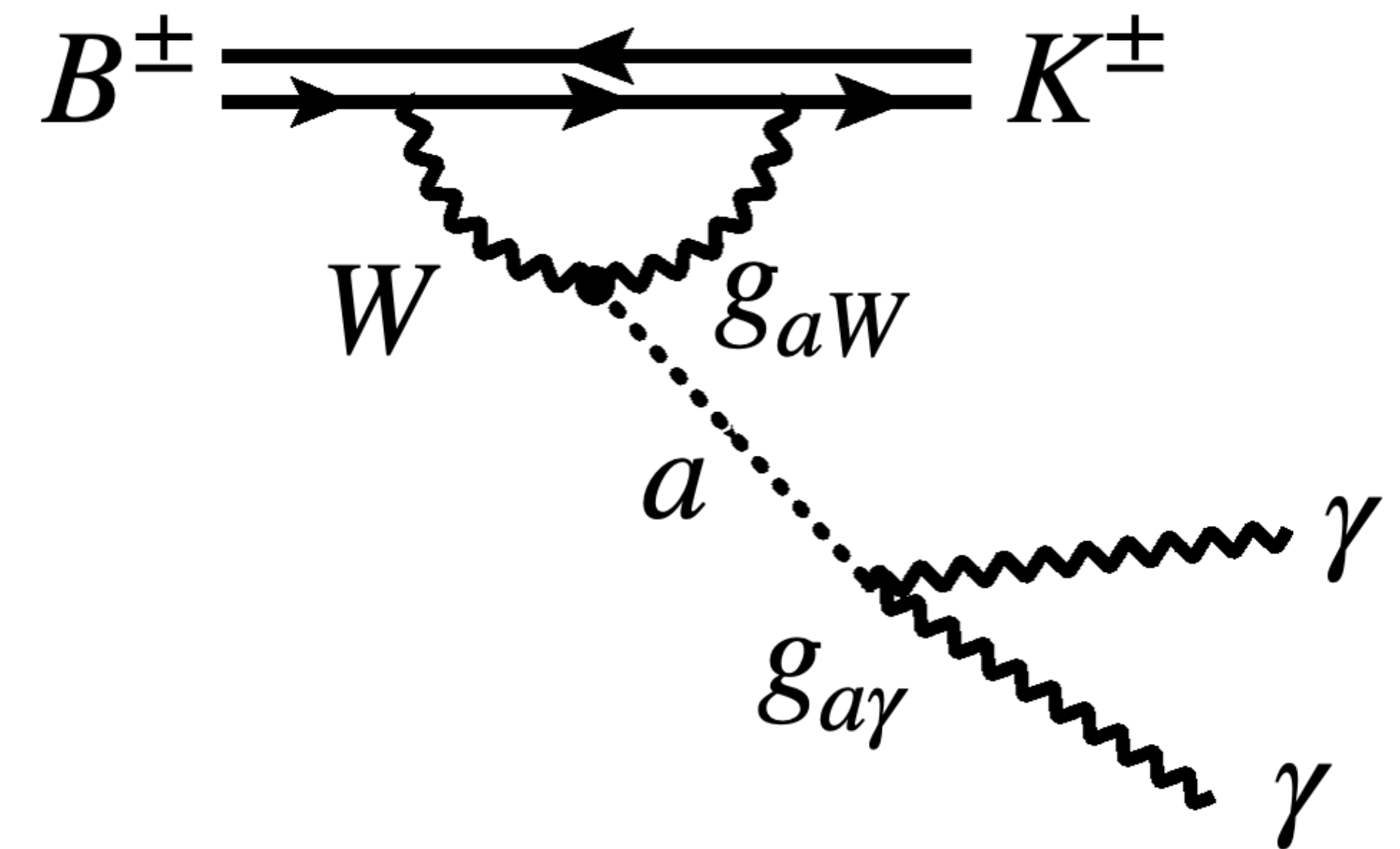
Axion-Like Particles in B decays:

$$B \rightarrow Ka, a \rightarrow \gamma\gamma$$

ALPs in B decays: $B \rightarrow Ka, a \rightarrow \gamma\gamma$



- ALPs: often investigated their coupling to gluon and γ
- Here: **coupling to W^\pm**
- $B^\pm \rightarrow K^\pm a, a \rightarrow \gamma\gamma$
- Also study non-prompt decay, i.e. lifetime $c\tau \neq 0$
- **First** search for visibly decaying ALPs coming from B s
- $\mathcal{L} = 424 \text{ fb}^{-1}$
 - On $\Upsilon(4S)$ resonance
 - 8% used to optimize search strategy, then excluded
- Range: $\sim 0.18 < m_a < m_{B^+} - m_{K^+} \approx 4.8 \text{ GeV}$



(I worked on this during my **master!**)

ALPs in B decays: $B \rightarrow Ka, a \rightarrow \gamma\gamma$



- **Signal:**

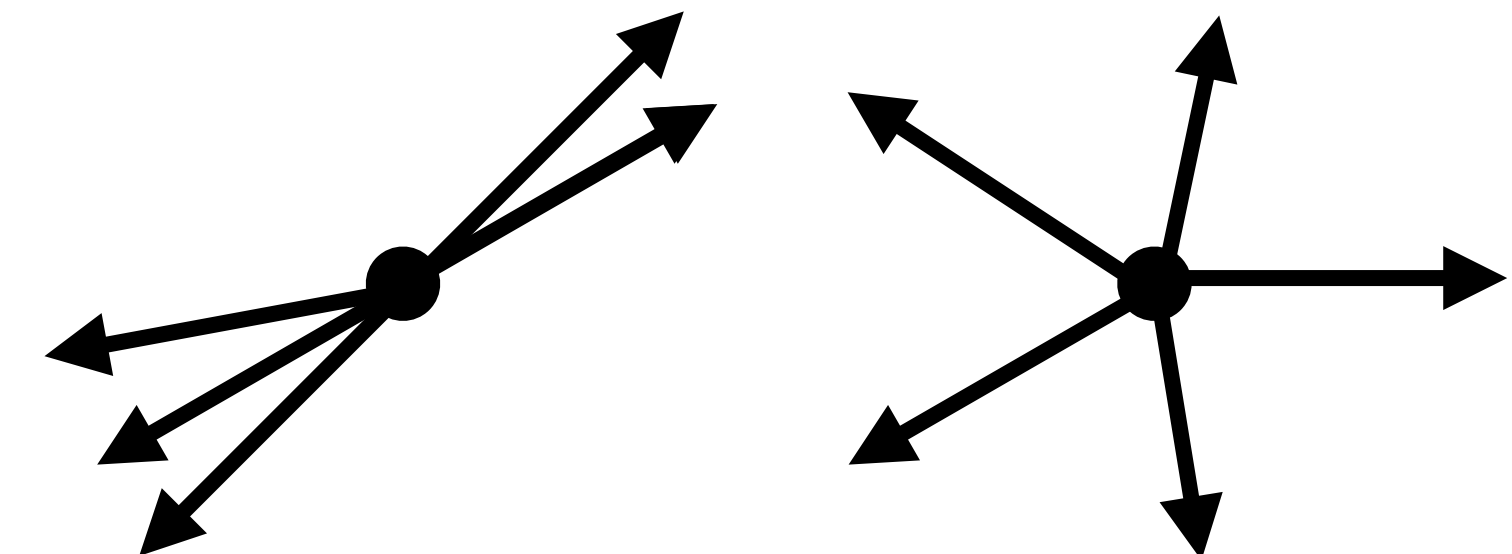
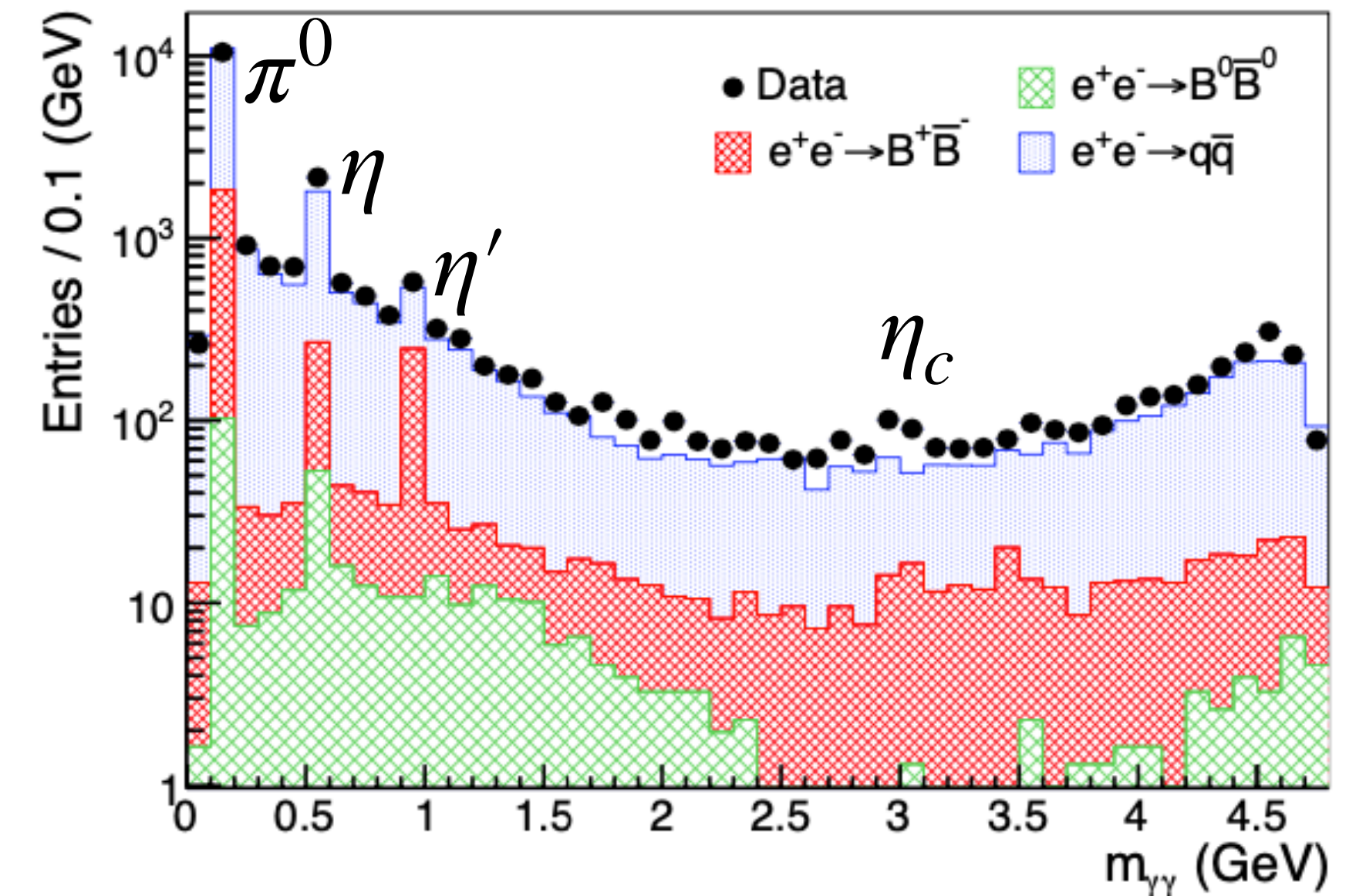
- 1 track + 2 γ summing to $m(B)$

- **Backgrounds:**

- $e^+e^- \rightarrow q\bar{q}$ ($q = u, d, c, s$): **continuum**
 - Dominant background
- $e^+e^- \rightarrow B\bar{B}$
 - Peaking, vetoed: $B^\pm \rightarrow K^\pm h^0, h^0 \rightarrow \gamma\gamma$ ($h^0 = \pi^0, \eta, \eta'$)
 - Peaking, small, not modeled: $B^\pm \rightarrow K^\pm \eta_c, \eta_c \rightarrow \gamma\gamma$

- **2 BDTs:** vs continuum and vs $B\bar{B}$

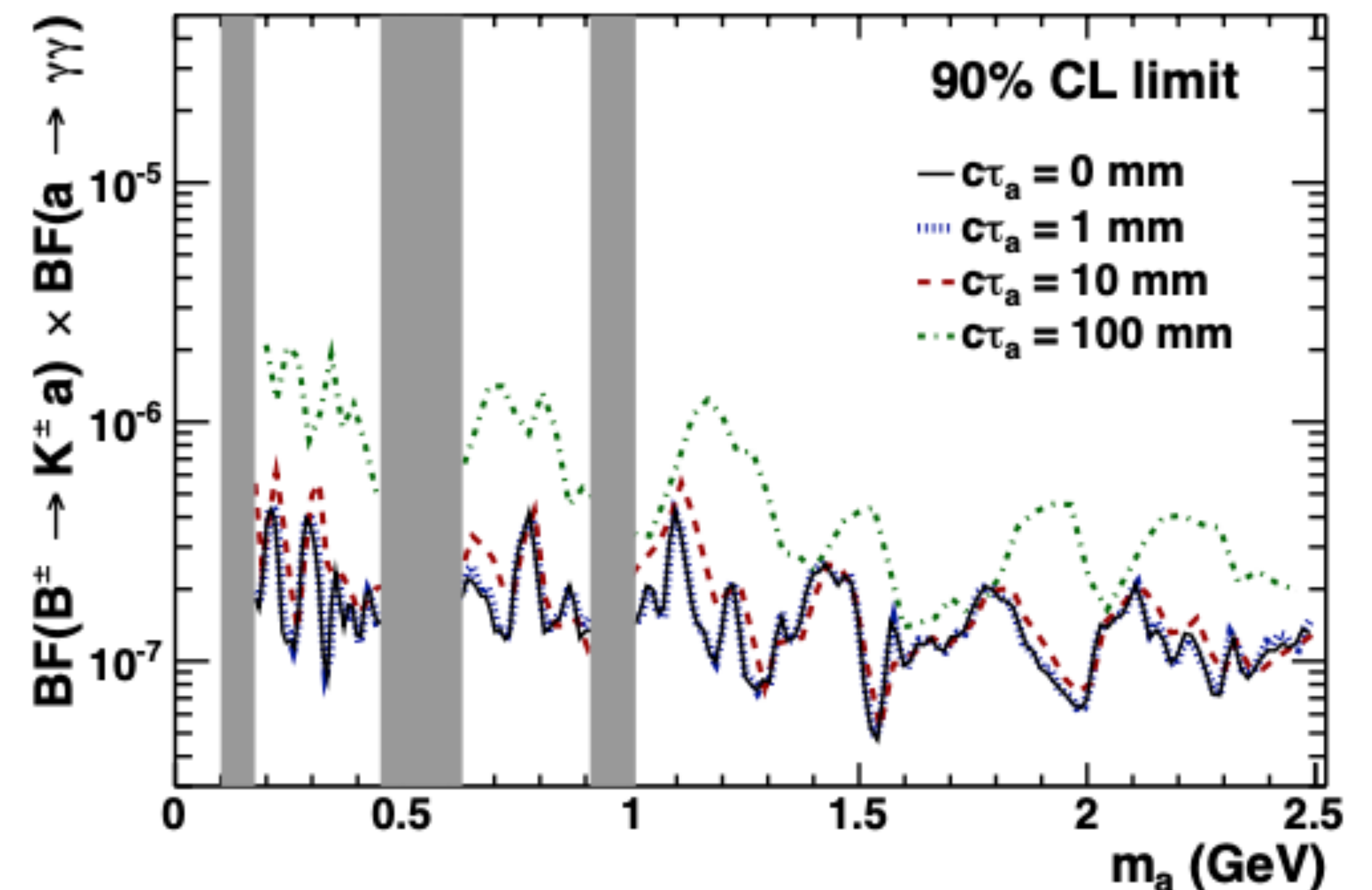
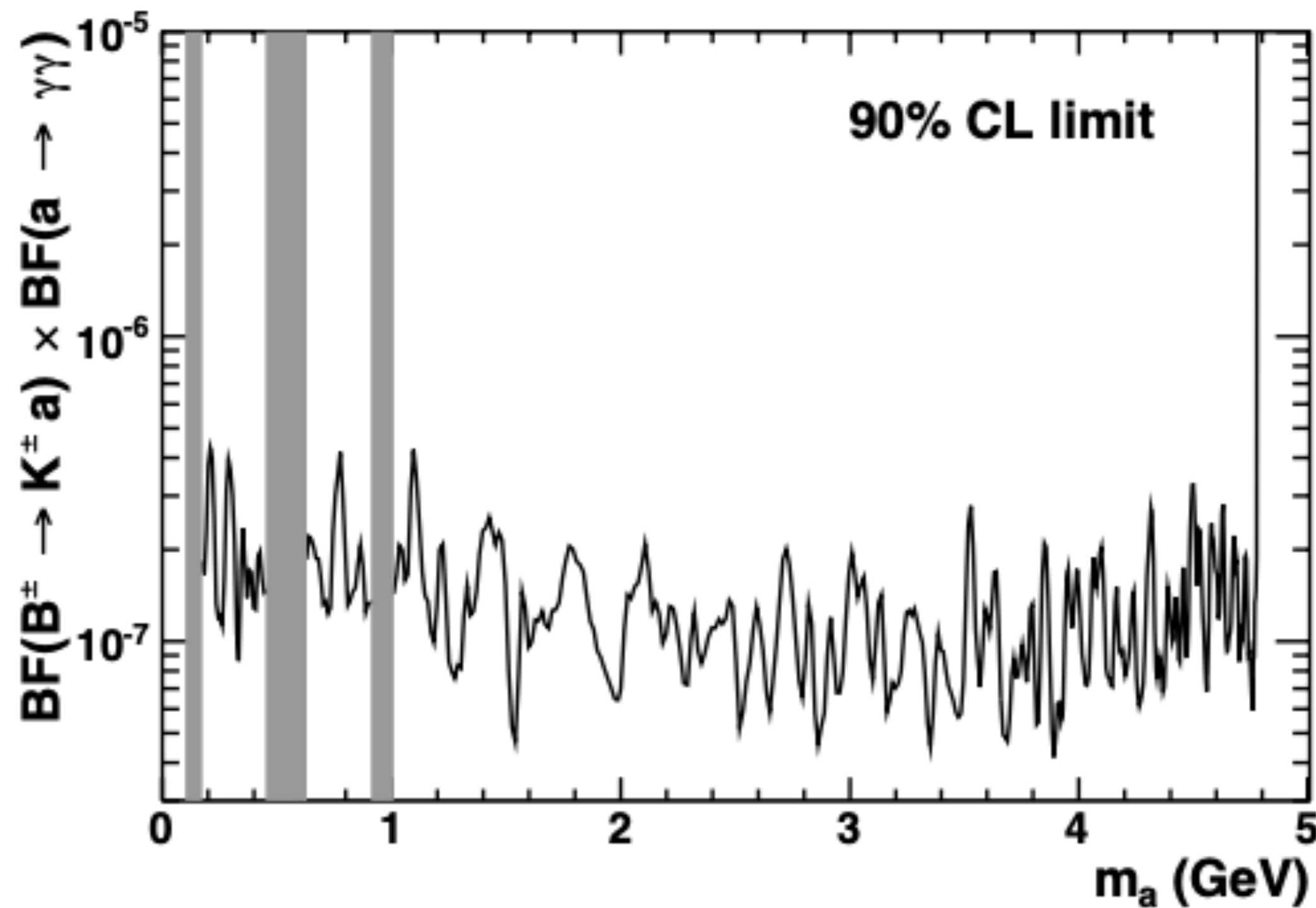
- 13 variables, including event-shape ones
- For signal: training on combo of m_a to uniform in $m(\gamma\gamma)$



ALPs in B decays: $B \rightarrow Ka, a \rightarrow \gamma\gamma$



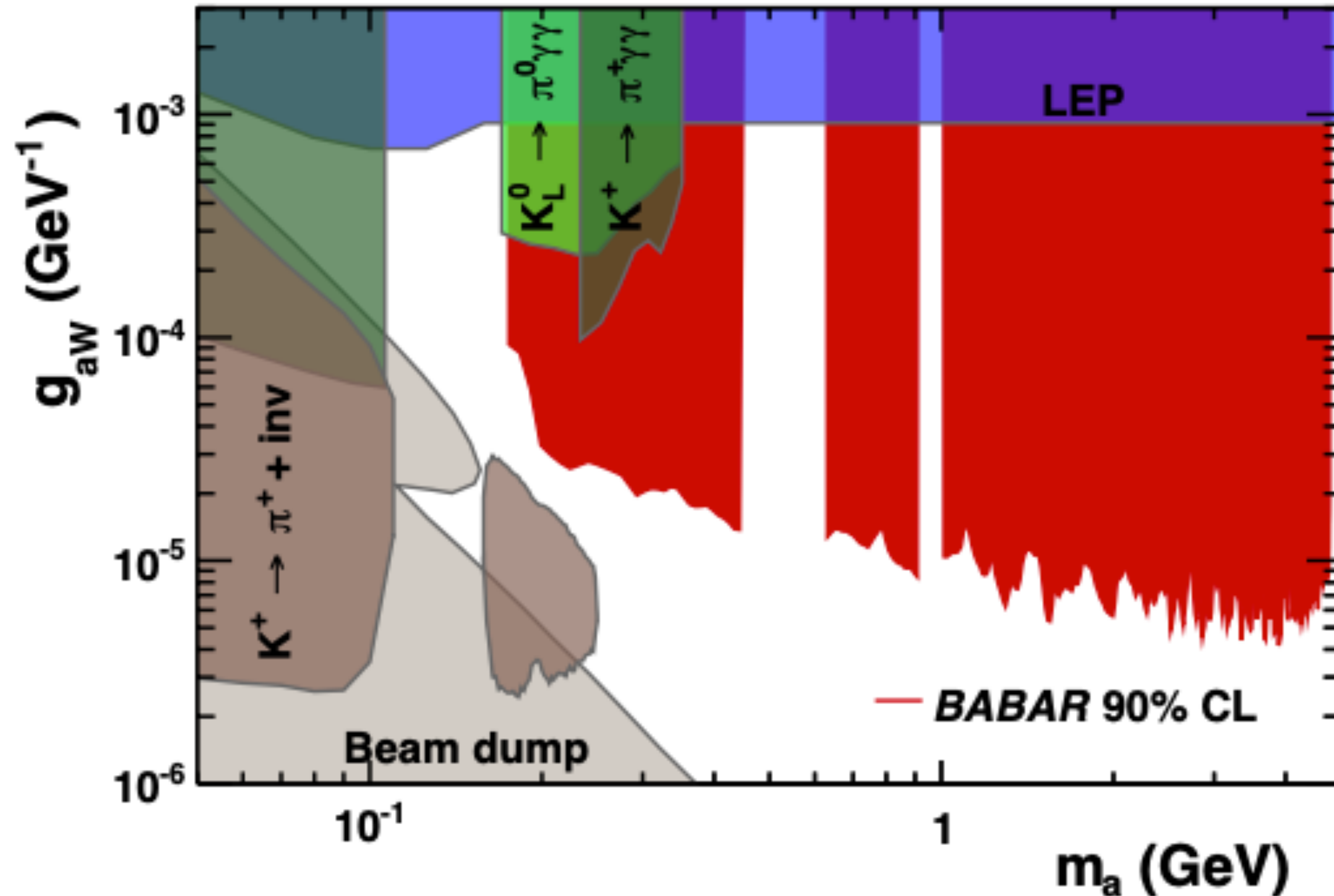
- **Peak hunt: fit on $m(\gamma\gamma)$** of peaking signal over bkg (except π^0, η, η')
 - Signal = KDE (Kernel Density Estimator)
 - Background = 1st-order polynomial + template & resonance peaks
- **Re-performed** the upper limit extraction for **non-zero lifetimes**
 - $c\tau = 1, 10, 100$ mm (\Rightarrow small mass and coupling)



ALPs in B decays: $B \rightarrow Ka, a \rightarrow \gamma\gamma$



- **Peak hunt: fit on $m(\gamma\gamma)$** of peaking signal over background
- 90% CL UL on g_{aW} : **improving previous constraints** by $>O(100)$





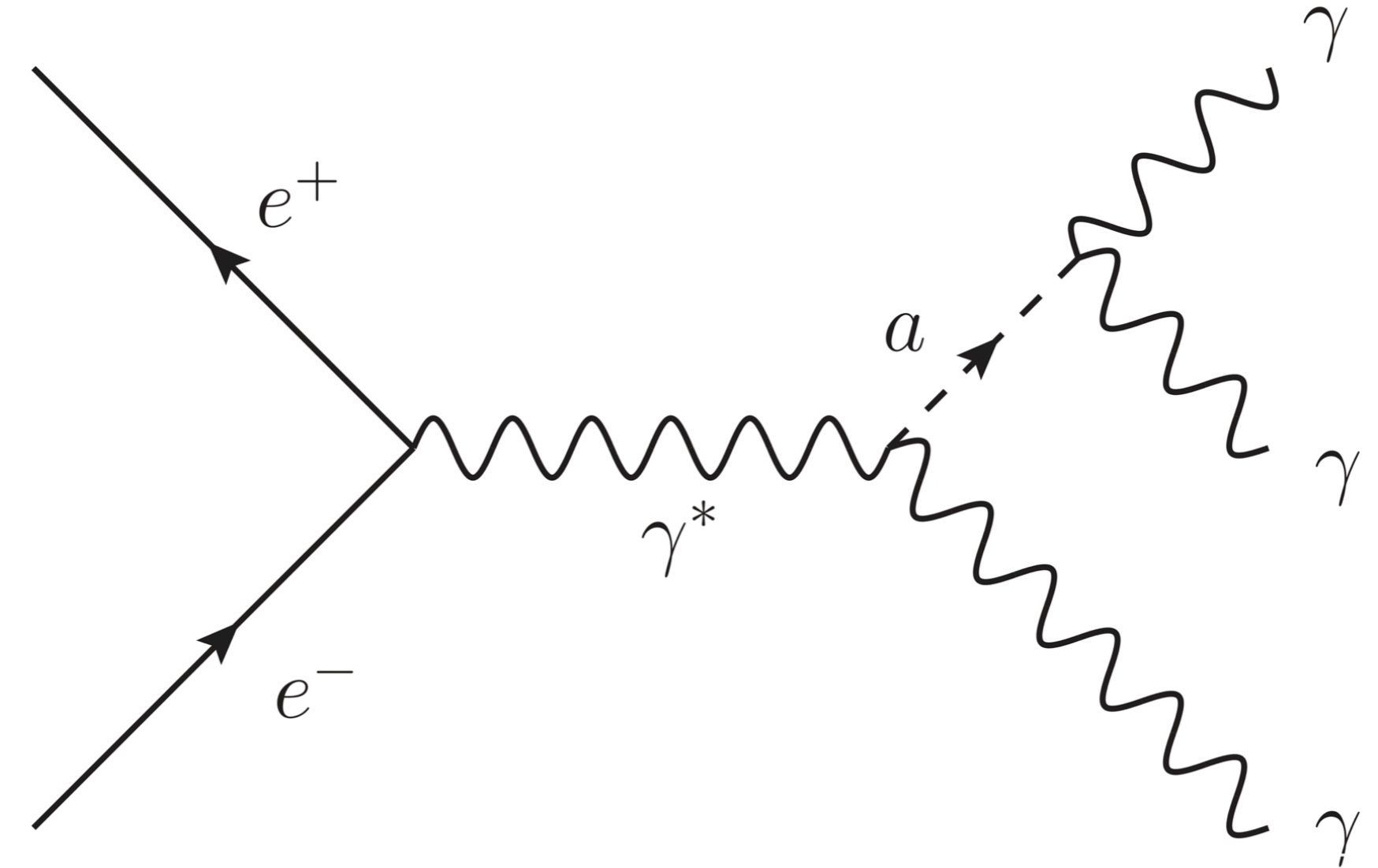
ALPs in ee collisions:

$$ee \rightarrow \gamma a, a \rightarrow \gamma\gamma$$

ALPs in ee collisions: $ee \rightarrow \gamma a, a \rightarrow \gamma\gamma$



- $e^+e^- \rightarrow \gamma a, a \rightarrow \gamma\gamma$
 - Photophilic ALP
- Parameters: mass m_a and coupling $g_{a\gamma\gamma}$
- Pushing to **low masses is difficult**
 - $\gamma\gamma$ from ALP merge & π^0 peak
 - Can be addressed in second iteration
- $\mathcal{L} = 0.445 \text{ fb}^{-1}$
 - Just preliminary data for calibration and tuning
- Range: $0.2 < m_a < 9.7 \text{ GeV}$



(this was my **PhD** research topic!)

ALPs in ee collisions: $ee \rightarrow \gamma a$, $a \rightarrow \gamma\gamma$



- **Signal:**

- 3-photon final state, no missing E nor tracks

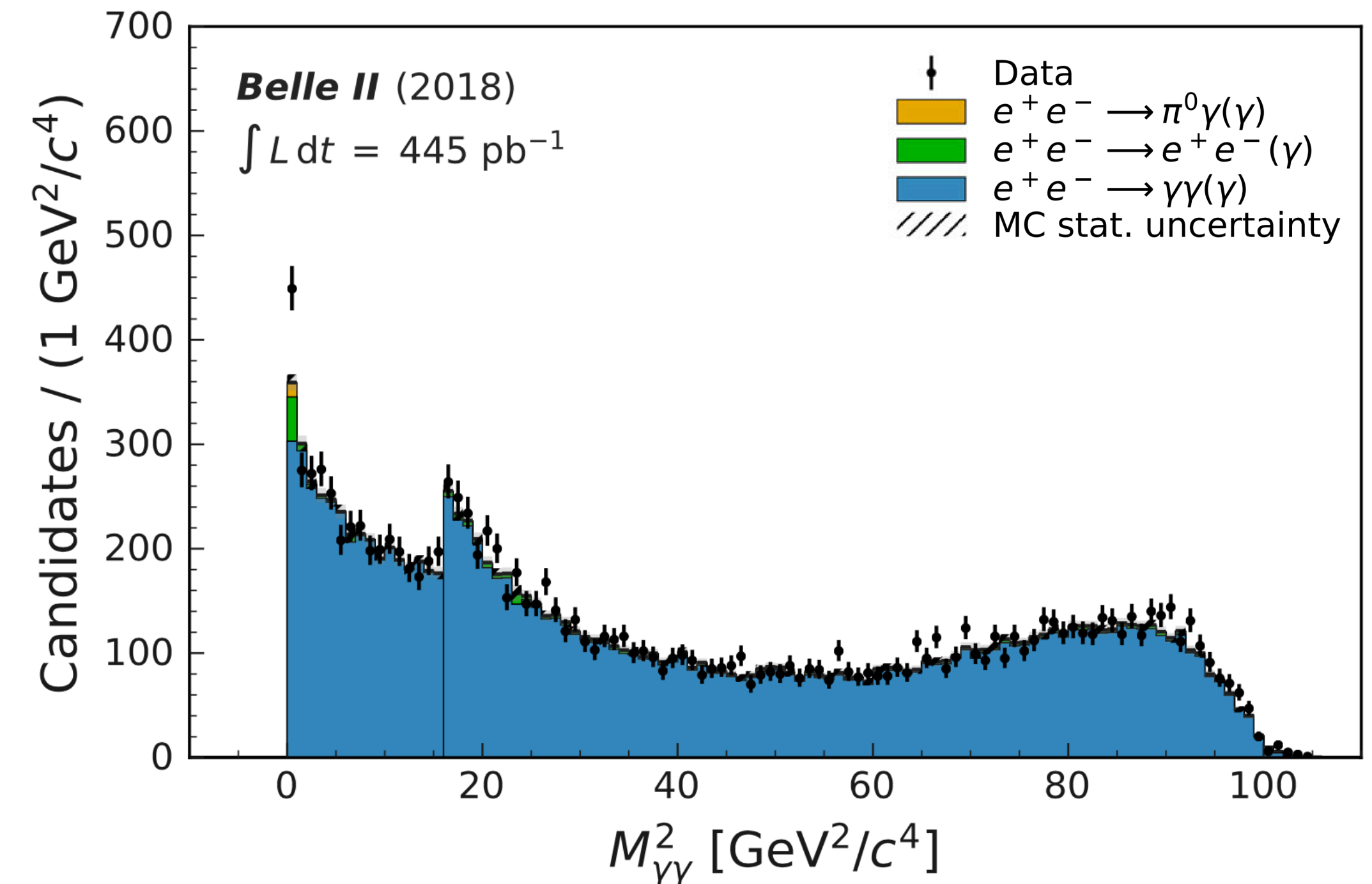
- **Backgrounds:**

- $e^+e^- \rightarrow \gamma\gamma(\gamma)$
- $e^+e^- \rightarrow ee(\gamma)$
- $e^+e^- \rightarrow h^0\gamma$, $h^0 \rightarrow \gamma\gamma$ ($h^0 = \pi^0, \eta, \eta'$)

- **Selection:** multi-dimensional **rectangular cut(s)**

- **Future** possibility: **Neural Network** particularly for low mass

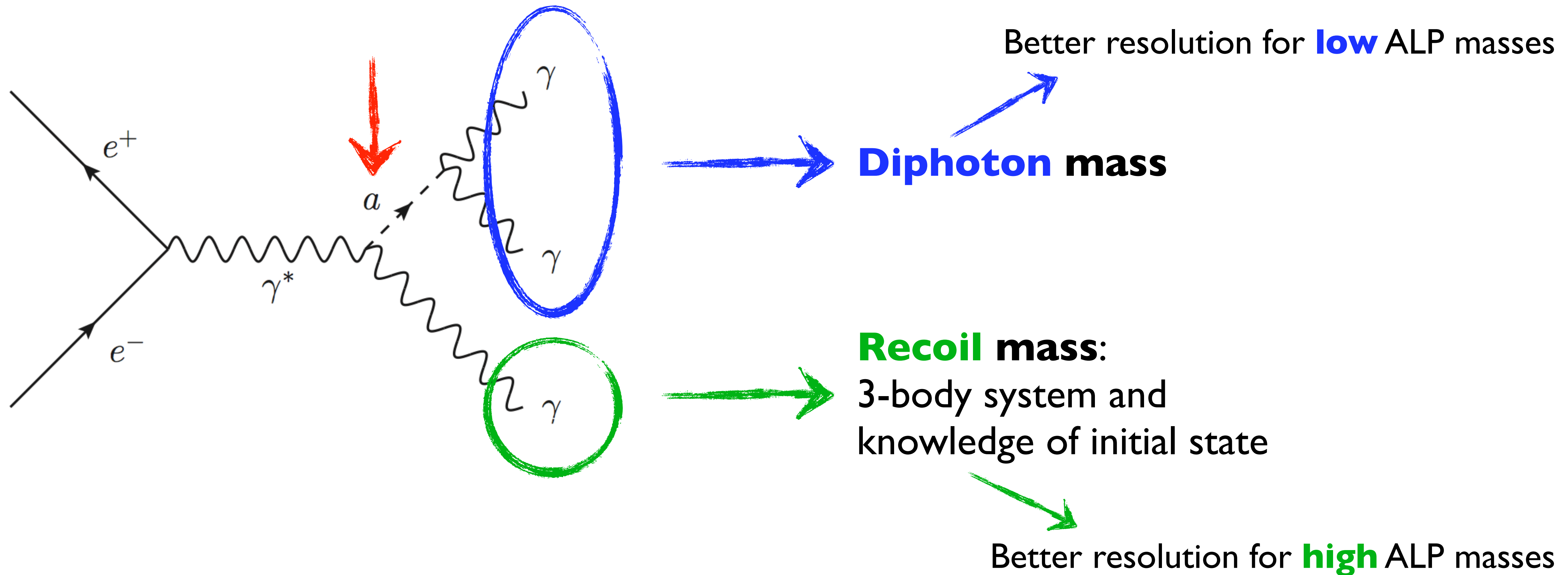
- Peak hunt over $m^2(\gamma\gamma)$ (or m_{recoil}^2): peaking signal over smooth bkg



ALPs in ee collisions: $ee \rightarrow \gamma a, a \rightarrow \gamma\gamma$



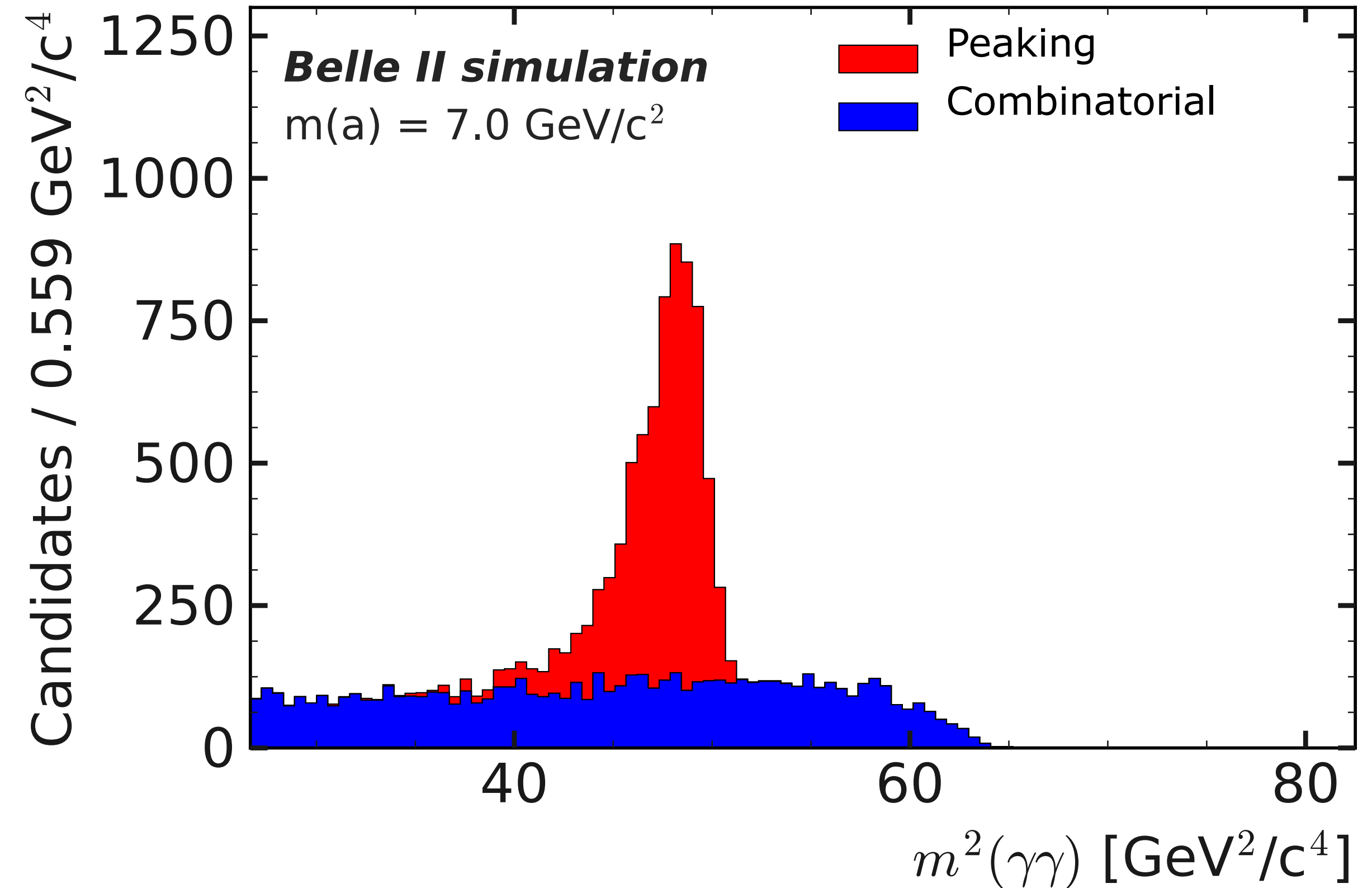
- Peak hunt over $m^2(\gamma\gamma)$ (or m_{recoil}^2): peaking signal over smooth bkg
- **Mass** of ALP candidate can be **computed in two ways**:



ALPs in ee collisions: $ee \rightarrow \gamma a, a \rightarrow \gamma\gamma$



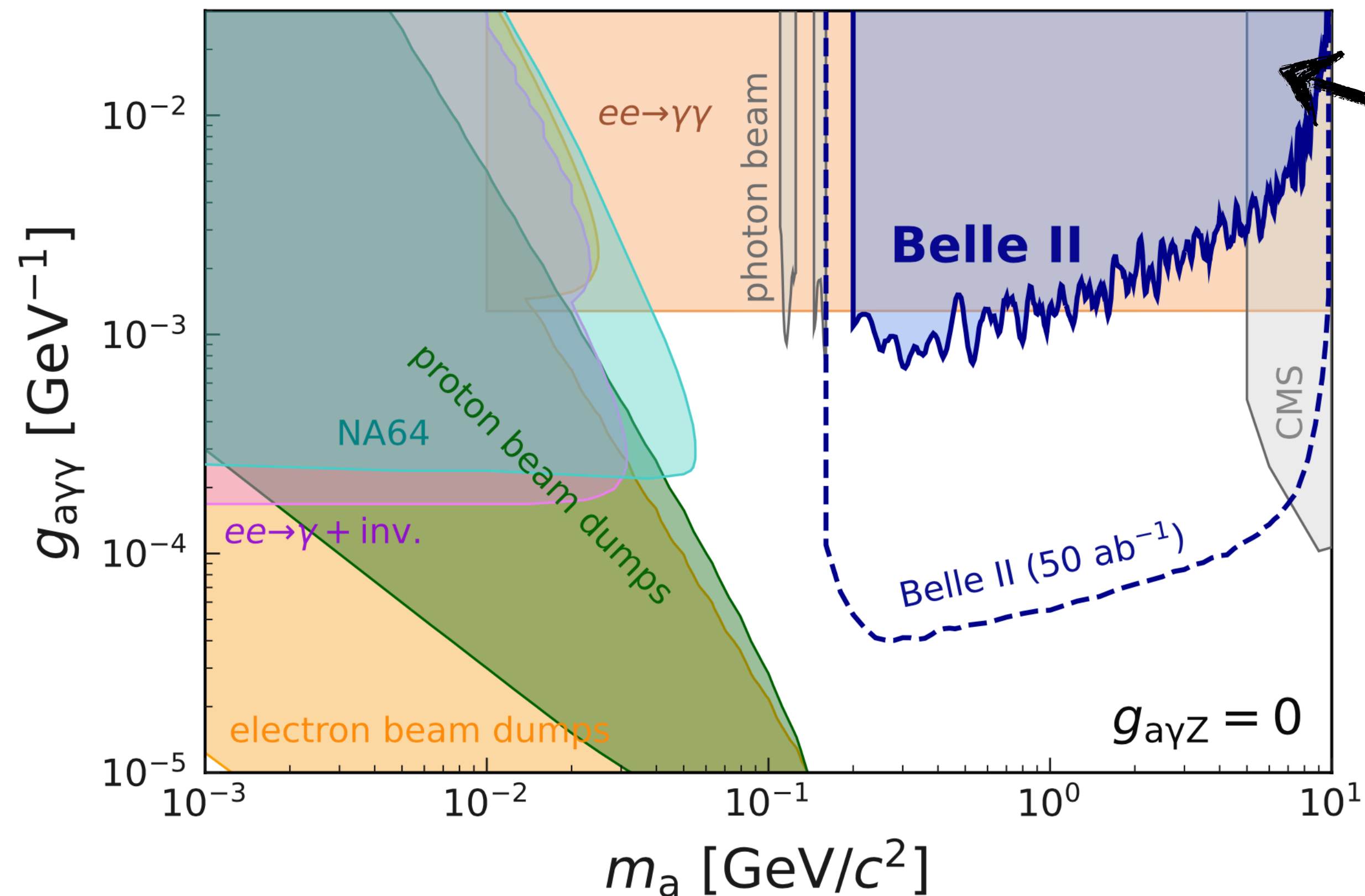
- Peak hunt over $m^2(\gamma\gamma)$ (or m_{recoil}^2): **peaking signal** over smooth bkg
- 1 event \Rightarrow 3 candidates
- **Signal** events:
 - 1 real ALP \Rightarrow **peaking component** (Crystal Ball)
 - 2 fake combinations \Rightarrow **combinatorial component** (KDE)



ALPs in ee collisions: $ee \rightarrow \gamma a$, $a \rightarrow \gamma\gamma$



- **95% CL UL** on $g_{a\gamma\gamma}$
- Already competitive with **preliminary data** (we now have x1000 data)
- Belle II has a **unique area of sensitivity**





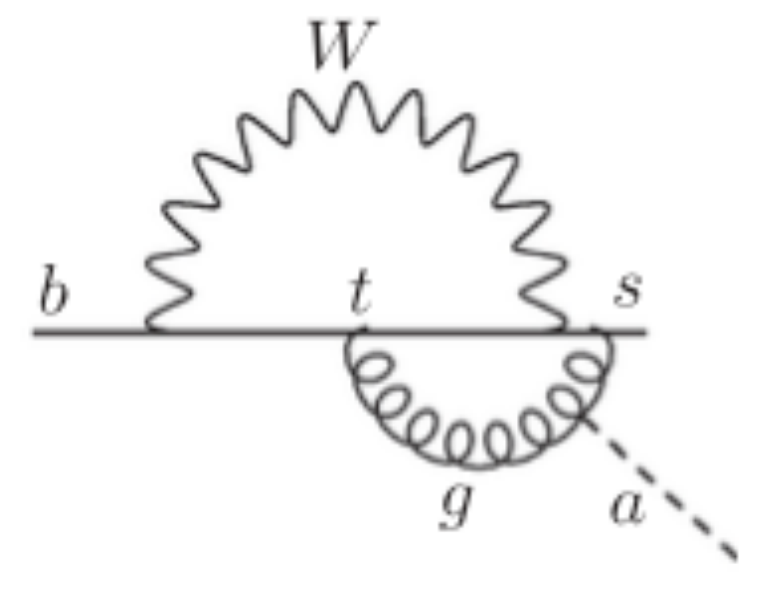
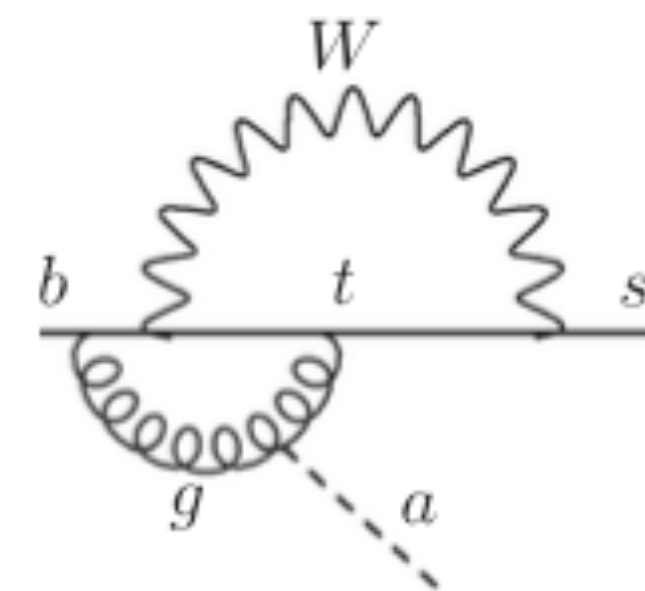
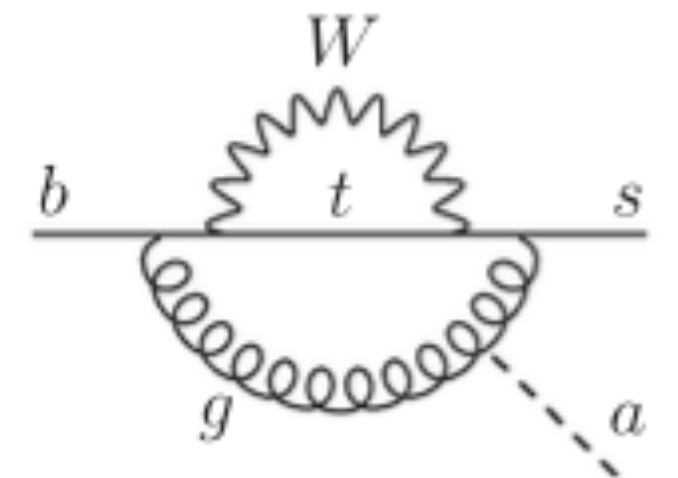
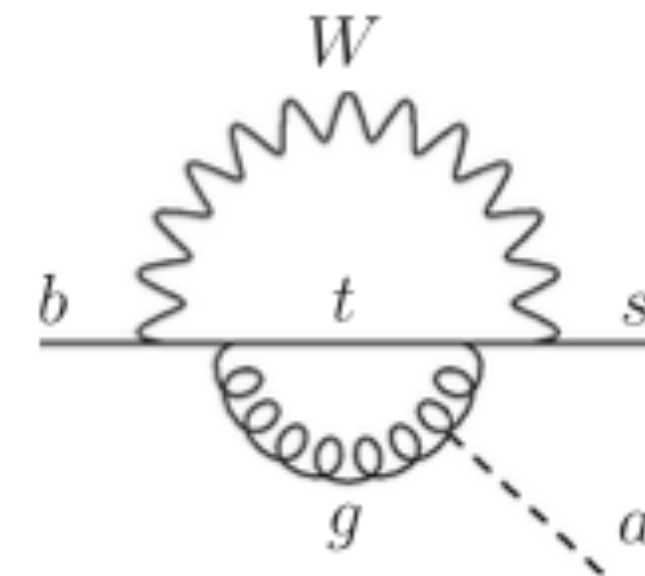
Heavy QCD axion in $b \rightarrow s$ transitions

Heavy QCD axion in $b \rightarrow s$ transitions



- For Peccei-Quinn (or QCD) axions: $m_a f_a \simeq m_\pi f_\pi$ (mass and decay constant)
 - $f_a \gtrsim \text{O}(\text{TeV}) \Leftrightarrow m_a \lesssim \text{O}(\text{keV})$ already largely excluded by experiments
- $m_a f_a \simeq m_\pi f_\pi$ not necessarily true if m_a has non-QCD contributions
 - **Heavy QCD axion:** $m_a > m_\pi f_\pi / f_a$
 - Coupling to SM mostly to gluons (2-loop)
- Is being **searched for at Belle II**
 - Sadly can't show you any results yet, as analysis is ongoing
 - General overview & projections (and stay tuned!)

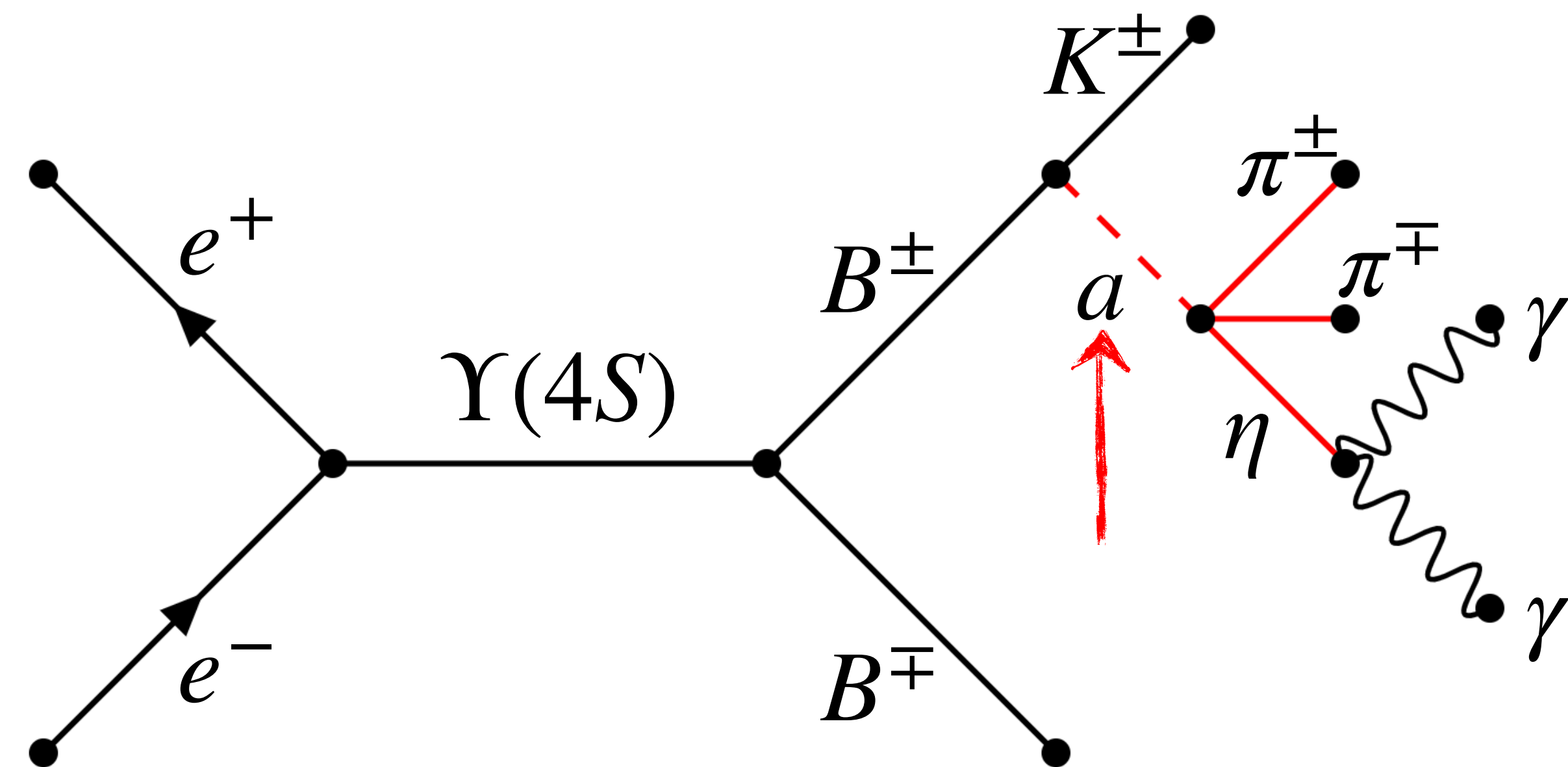
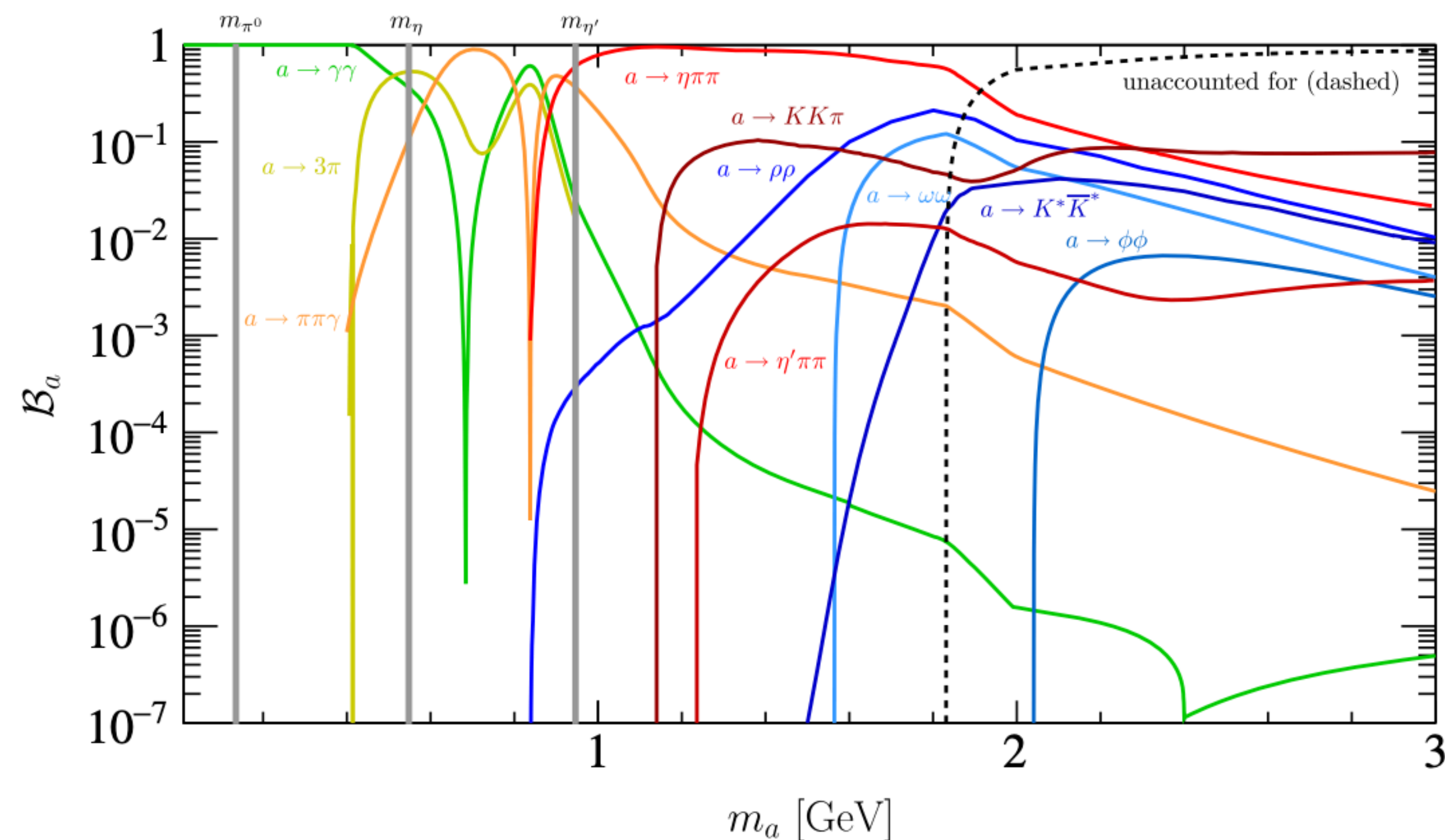
$$\mathcal{L} = \mathcal{L}_{\text{SM}} + \frac{\alpha_s}{8\pi} \frac{a}{f_a} G_{\mu\nu}^a \tilde{G}^{a\mu\nu} + \frac{1}{2} (\partial_\mu a)^2 - \frac{m_a^2}{2} a^2$$



Heavy QCD axion in $b \rightarrow s$ transitions



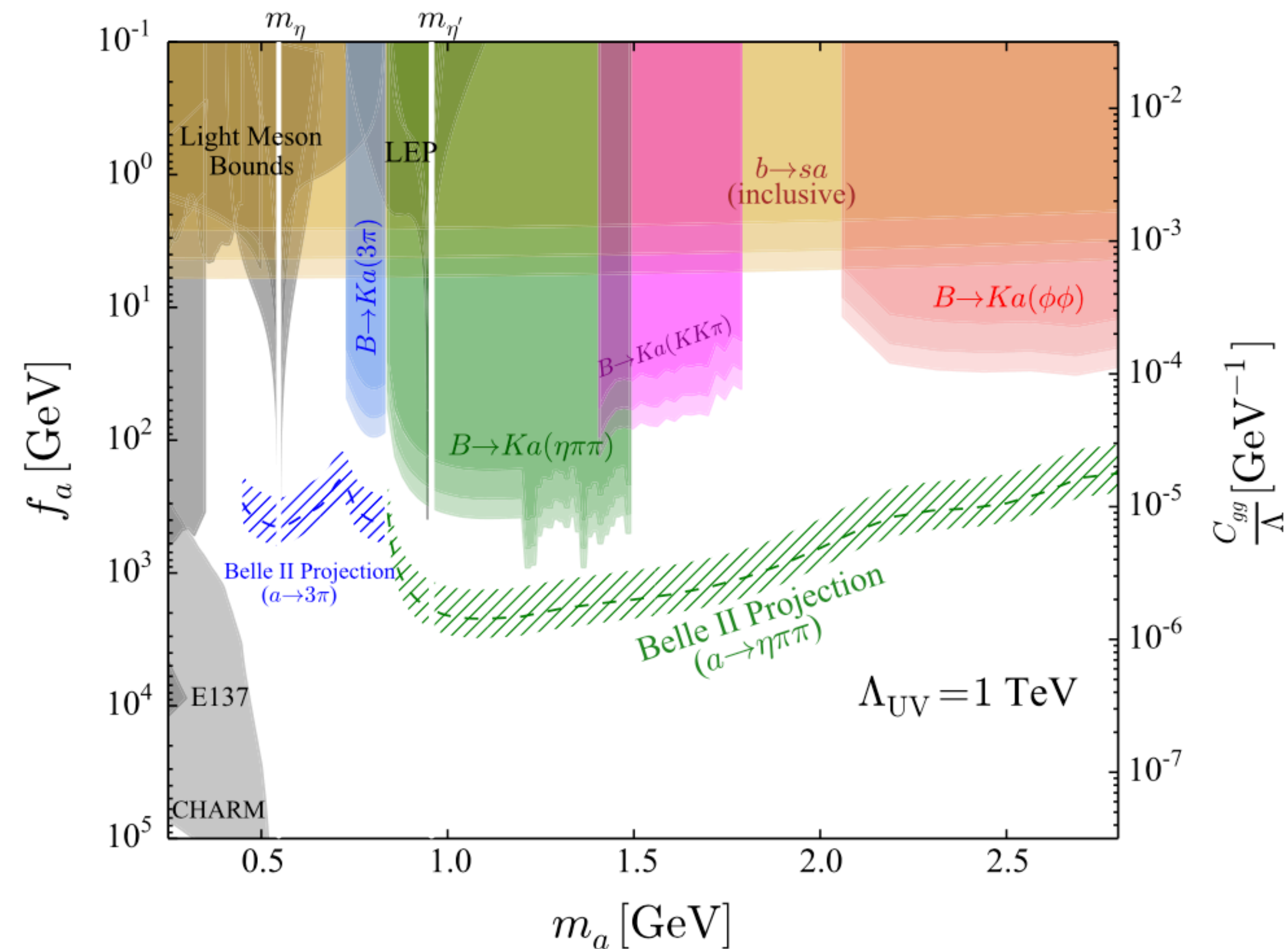
- For $f_a \sim \text{O}(\text{TeV})$, $m_a \lesssim 400$ MeV already explored (and excluded, obv)
- For $m_a \gtrsim 400$ MeV, poorly/not explored
- **Promising channel** to explore this region is $B^+ \rightarrow K^+ a$
- Axion decay under study in Belle II: $a \rightarrow \eta \pi^+ \pi^-$
- Range: $0.7 \lesssim m_a \lesssim 3$ GeV



Heavy QCD axion in $b \rightarrow s$ transitions



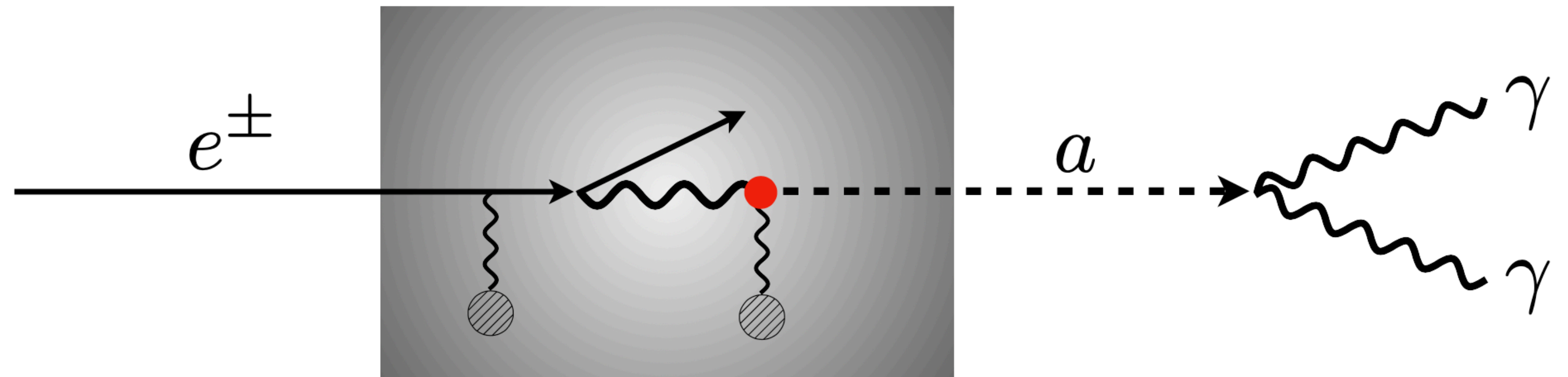
- Colored regions: **recast** from PDG and previous searches at **Belle and BaBar**
- **Projections for 50 ab⁻¹ @ Belle II** by extrapolating bkg from BaBar search and requiring signal < 2 std dev



Search for ALPs with e^+e^- beams at KEK Linac

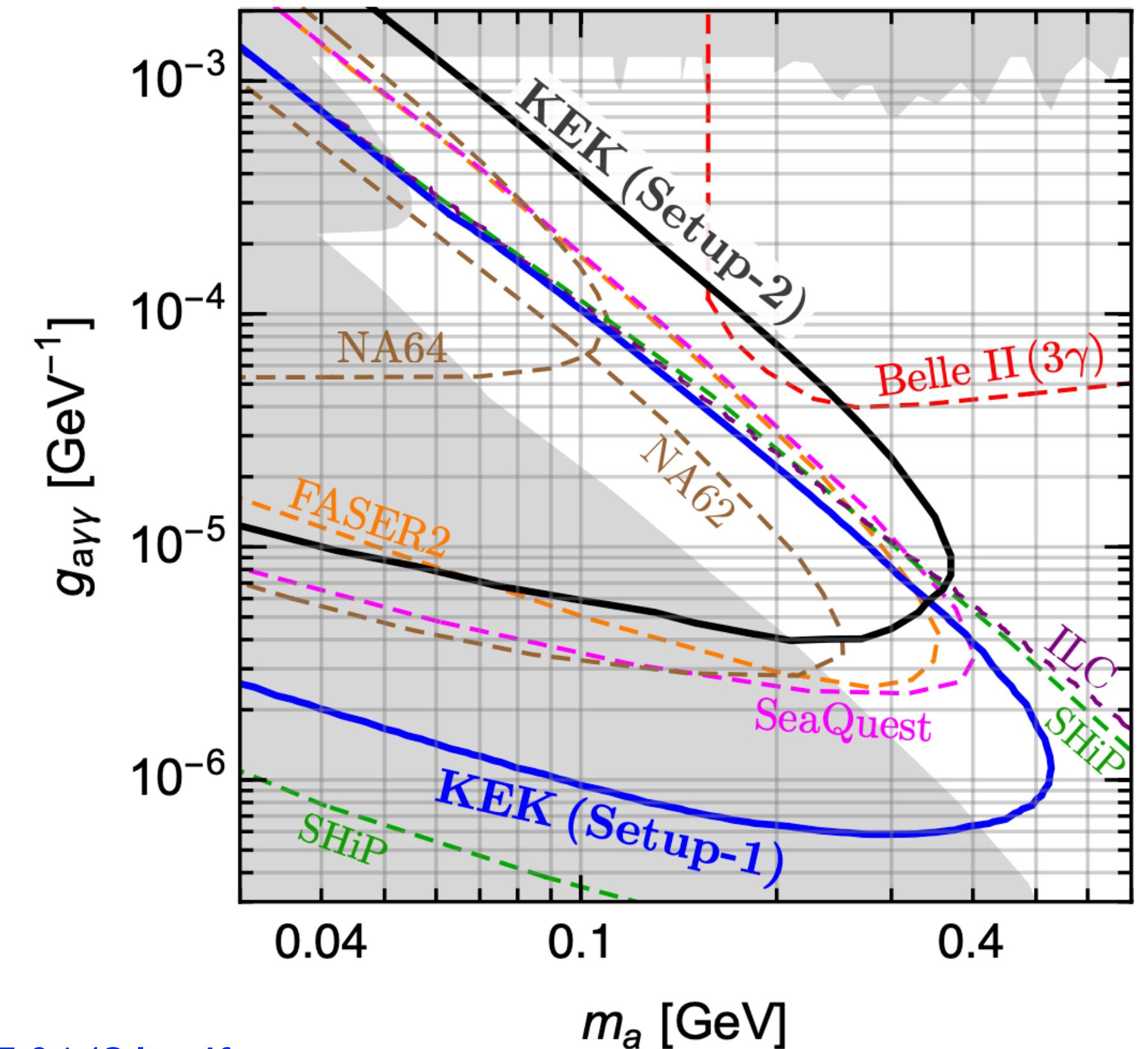
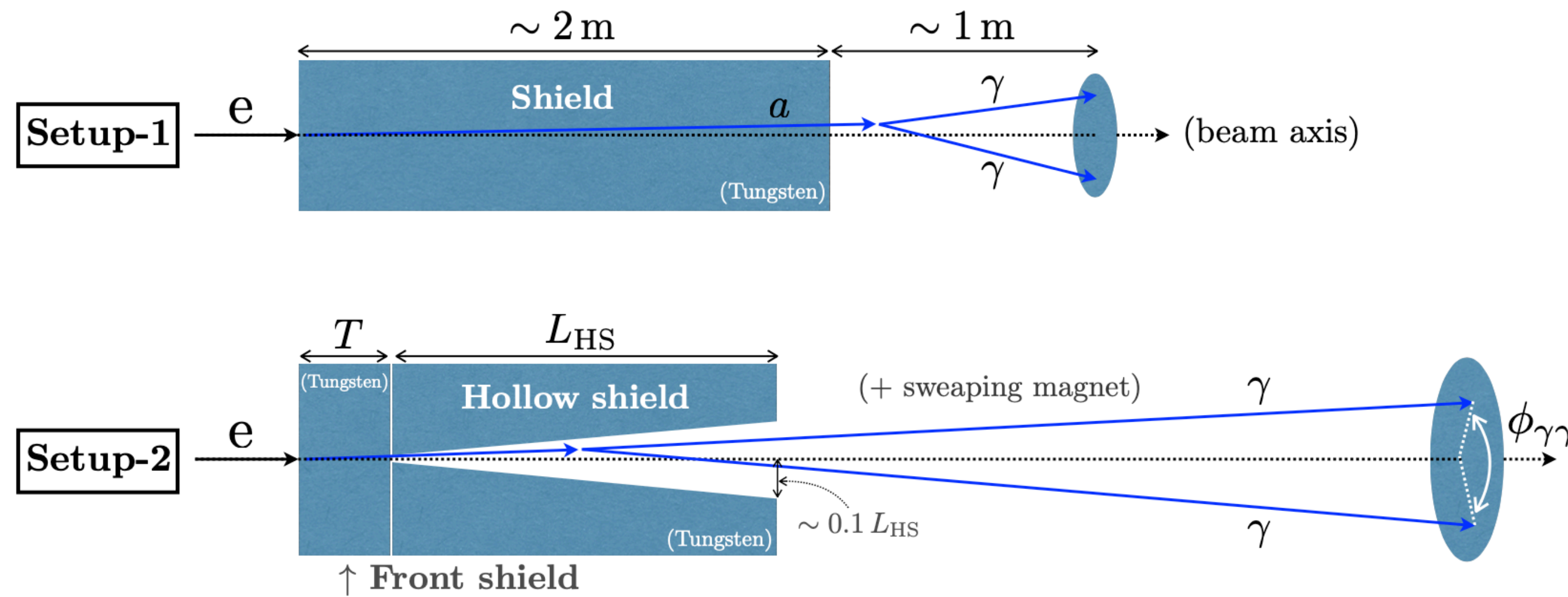
Search of ALPs with e^+e^- beams at KEK Linac

- **Fixed-target experiment:** “halfway” between dump experiments and colliders
 - Explore shorter lifetimes than the former, but longer than the latter
- e^\pm onto tungsten target \rightarrow
Bremsstrahlung $\gamma \rightarrow$
Primakoff conversion into ALPs \rightarrow
ALP decays into $\gamma\gamma \rightarrow$
detect these two photons
- Under approval procedure



Search of ALPs with e^+e^- beams at KEK Linac

- Two experimental setups explored:
 - **Setup 1** (almost-zero bkg): 2 m shield, 1 m decay volume, 4 GeV e^+ beam
 - **Setup 2** (non-zero bkg): 20 cm shield, hollow shield, sweeping magnet, 7 GeV e^- beam
- Both can explore uncharted regions; Setup 2 has competition not even from planned exps

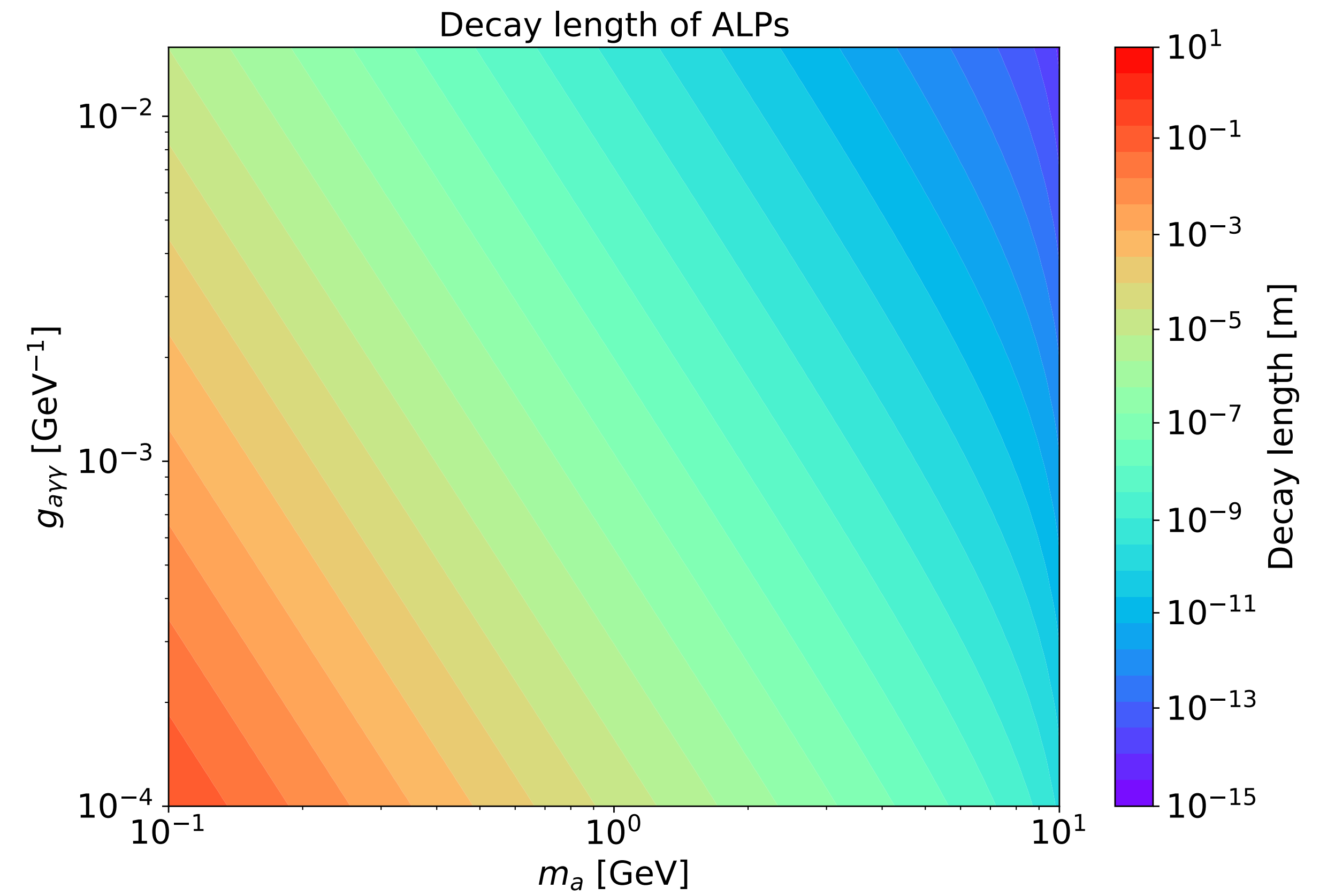
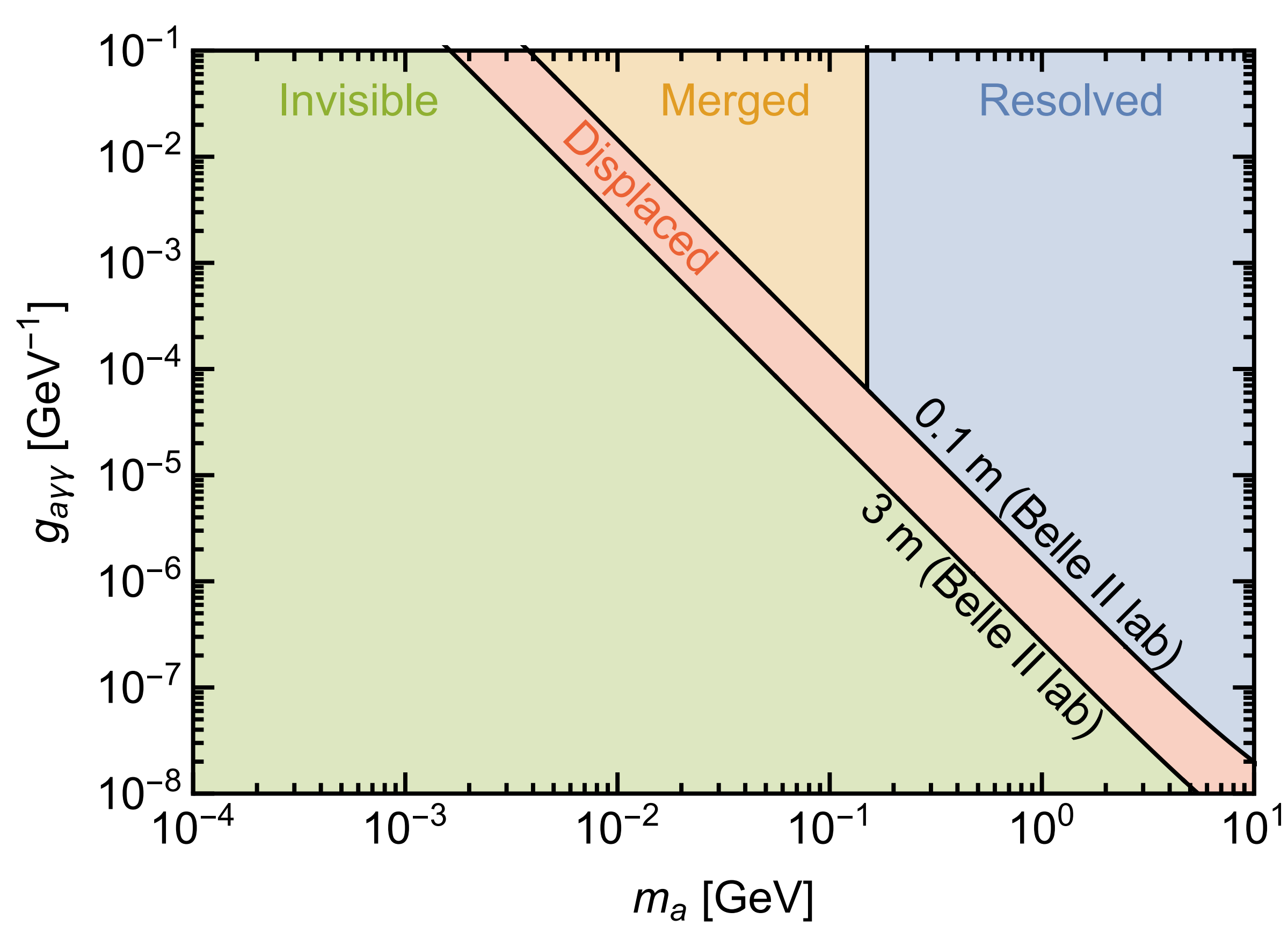


Summary

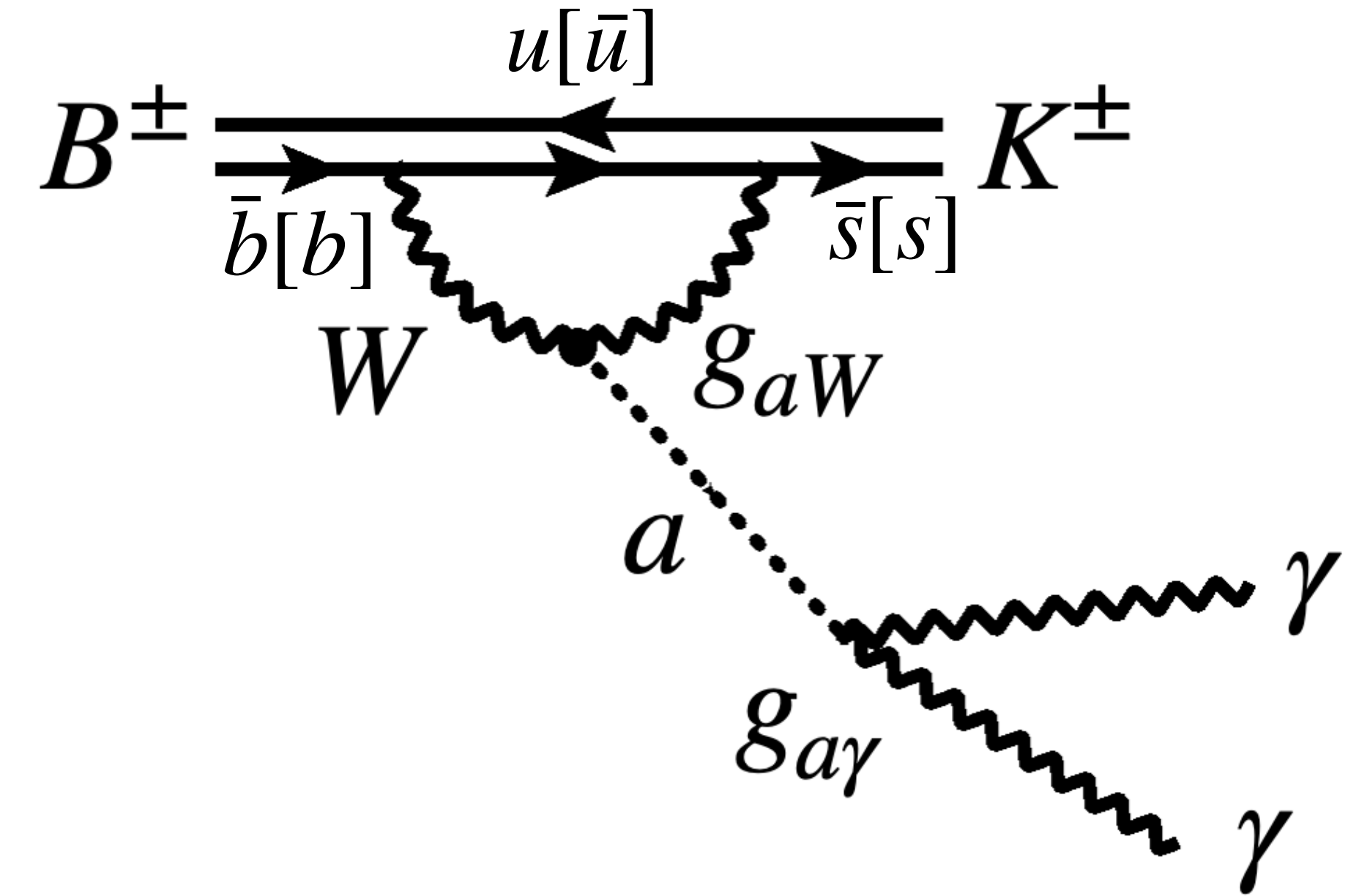
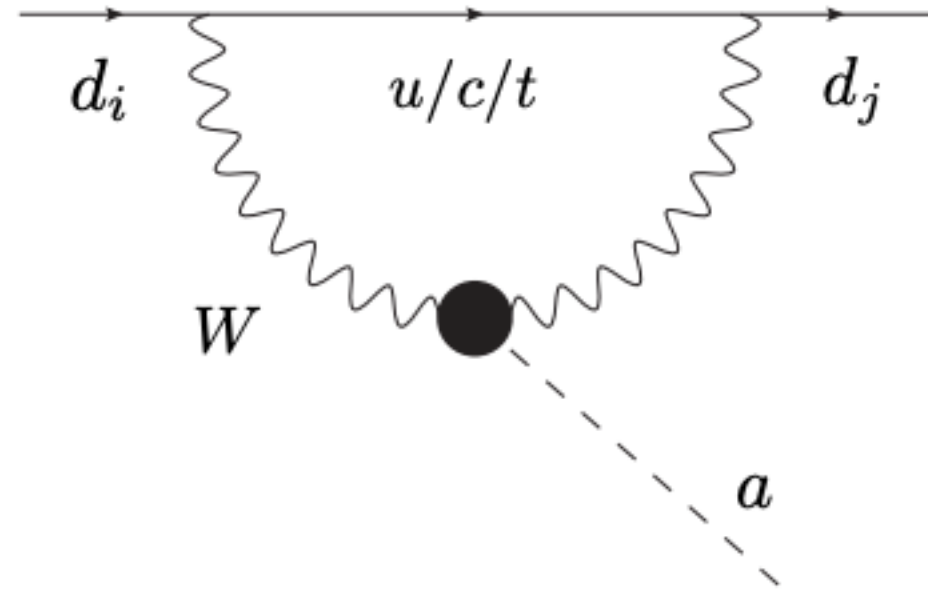
Summary

- Axions and ALPs searches at B factories are a relatively new territory
- But we are **already competitive** at “intermediate” masses
 - ALPs coupling to W
 - Photophilic ALPs
 - Heavy QCD axions
 - Fixed target
 - (and more)
- **0.1~10 GeV** is our domain
- Stay tuned for more results!

Backup



ALPs in B decays: $B \rightarrow Ka, a \rightarrow \gamma\gamma$

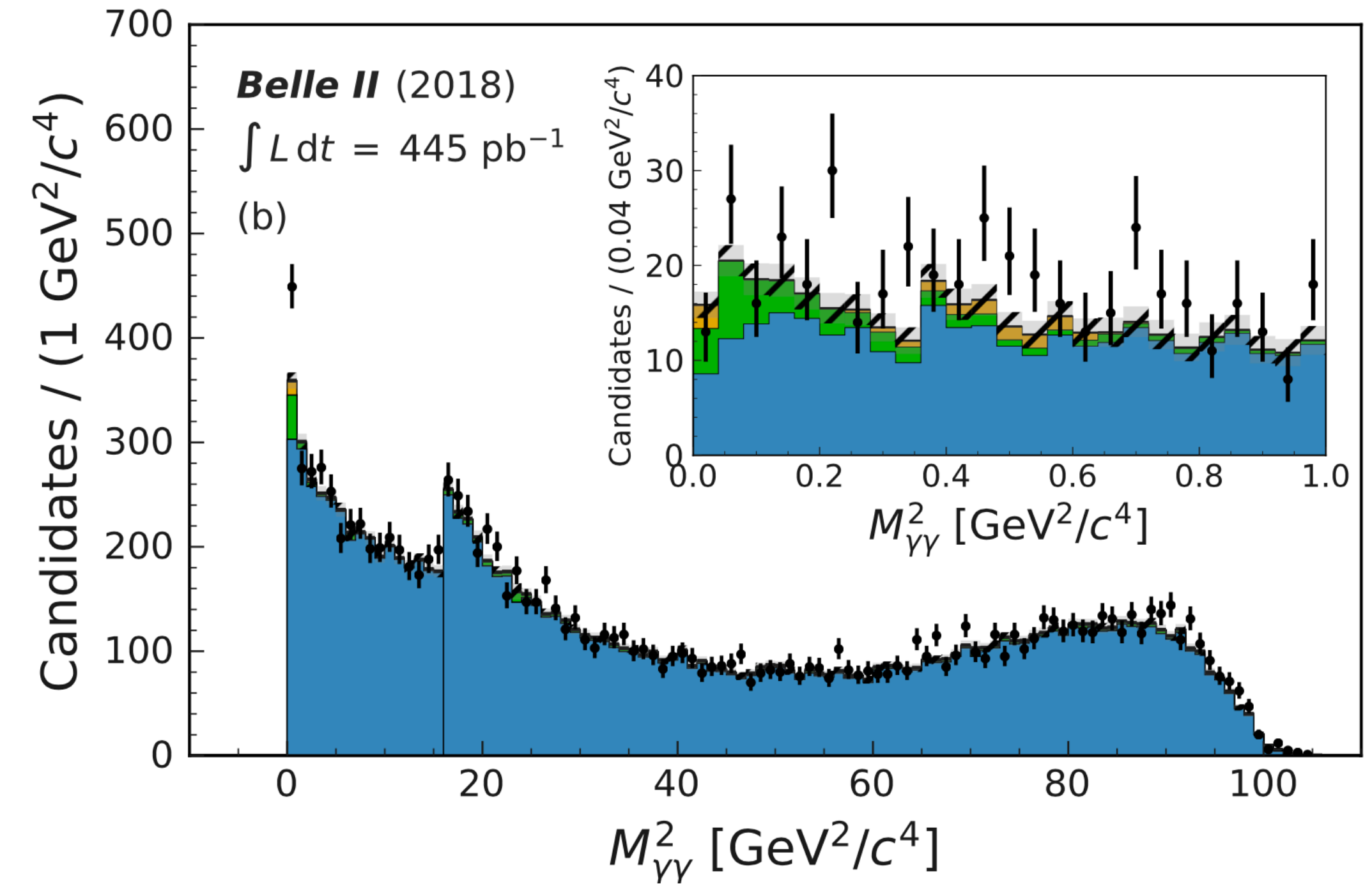
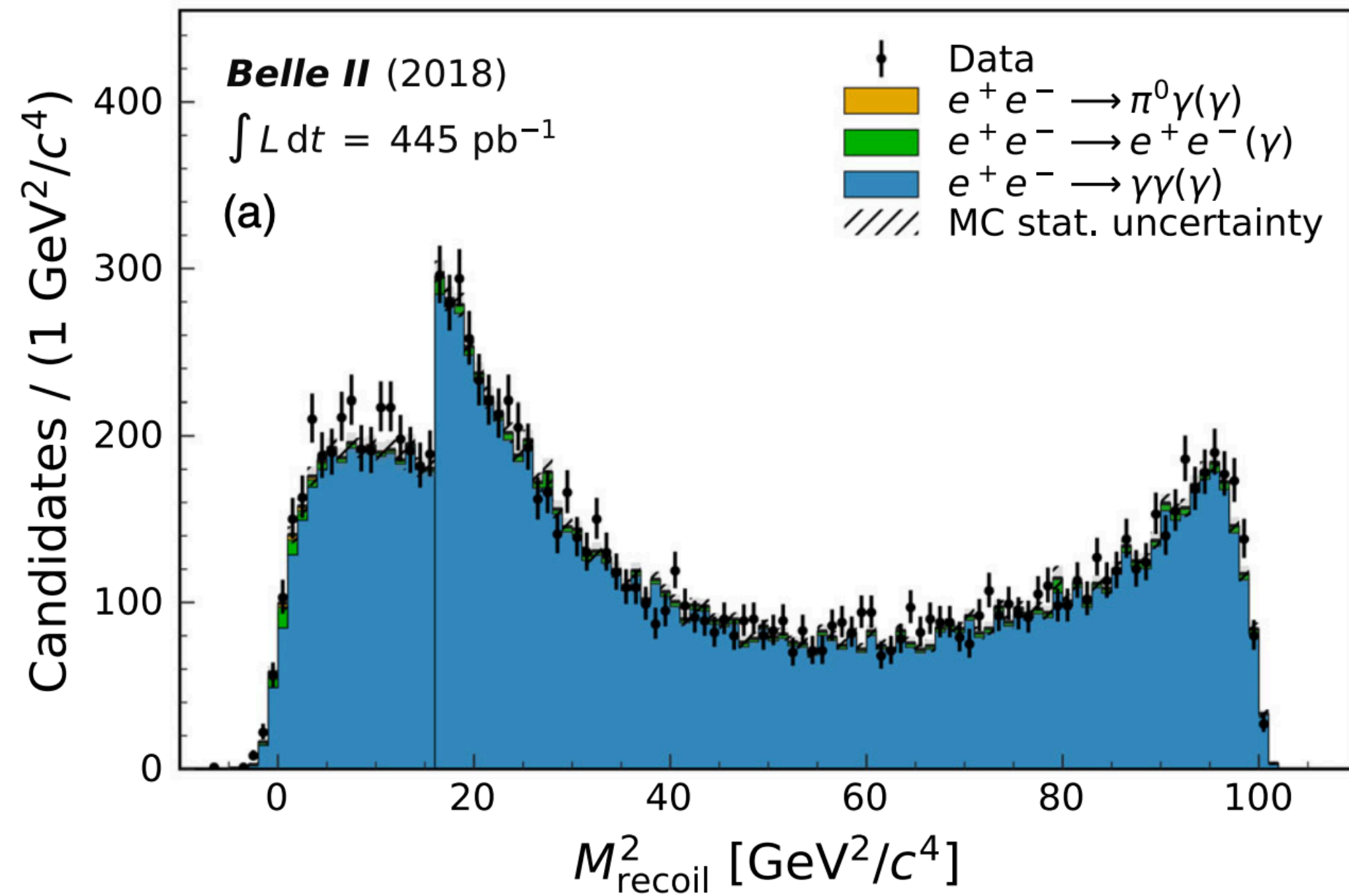


ALPs in ee collisions: $ee \rightarrow \gamma a$, $a \rightarrow \gamma\gamma$

MC validation

- Check goodness of MC simulation and reconstruction
- **10%** preliminary random **unblinding**
- Simulated **extraction** with radiative **Bhabha**
- **Sideband** in $m_{\gamma\gamma}$
- Studies on **2- γ events** & radiative Bhabha

ALPs in ee collisions: $ee \rightarrow \gamma a$, $a \rightarrow \gamma\gamma$



Heavy QCD axion in $b \rightarrow s$ transitions



- exclusive final states $a \rightarrow 3\pi$, $\varphi\varphi$, $KK\pi$, and $\eta\pi\pi$, use a paper to calculate corresp branching fractions for axion decay, data-driven approach
 - $a \rightarrow 3\pi$: Belle analysis of $B \rightarrow K \omega$, recasted
 - $B \rightarrow K\varphi\varphi$: BABAR, 2sigma max above data seen per bin (and axions width much smaller than bin)
 - $B \rightarrow Ka(\rightarrow KK\pi)$: BaBar, as before but per 2 bins because bins smaller than before; eff and cuts corrections
 - $a \rightarrow \eta\pi\pi$: as above (same babar article, same approach), except mass cut (just took weakest and extend)
- projections for B2, for $a \rightarrow \eta\pi\pi$ and $a \rightarrow 3\pi$ searches
 - extrapolate continuum bkg from babar analysis above, then require signal < 2 std dev
 - as above, but with the Belle's $a \rightarrow 3\pi$ bkg

Searches for Higgs/ALPs at BaBar



- <https://inspirehep.net/files/0ee7332fb6d26302eb8359d0db1ee85e>
- Generic searches for scalar into invisible/tautau/mumu