## Electroweak and Radiative Penguin Decays at Belle II

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#### **SuperKEKB**

Energy asymmetric  $e^+e^-$  collider @  $\sqrt{s} = 10.58$  GeV:

- ▷ @ 60 MeV below  $\Upsilon(4S)$  resonance

 $\rightarrow$  control sample to c<sup>---t--i-</sup>

$$(e^+e^- \to q\bar{q}, \text{ where } q$$
  
 $L = \frac{\gamma_{\pm}}{2 e r_e} (1 + \frac{\sigma_y^*}{\sigma_y^*}) I_{\pm} \xi$   
 $L = \frac{\gamma_{\pm}}{2 e r_e} (1 + \frac{\sigma_y^*}{\sigma_y^*}) I_{\pm} \xi$ 

With nanobeam scheme

 $30 \times \text{higher } \mathcal{L}_{\text{inst}}$  than K\_LNP at cost of  $\mathcal{O}(10) \wedge \text{Higher backgrounds}$ .

- ▷ x 1.5 currents
- ▷ x 1/20 vertical beam size

DESY.



#### **Belle II Detector**



- ▷ Belle II was designed to give similar or better performance than Belle even under  $O(10) \times$ higher backgrounds
- DAQ and trigger systems were also upgraded (higher readout frequency + low multiplicity channels)!

## Luminosity

#### Status:

- ▷ Collected  $\sim$  **268 fb**<sup>-1</sup> since April 2019
- Slower luminosity accumulation than initially planned, but with ~90% data-taking efficiency
- $\triangleright$  Record-breaking instantaneous luminosity:  $3.8 \times 10^{34} {\rm cm}^{-2} {\rm s}^{-1}$
- ▷ Highest daily integrated luminosity: 2.2 fb<sup>-1</sup>





#### Plans:

- Short-term plan: shutdown in 2023:
  - $\triangleright~$  full PXD installation  $\rightarrow$  important to maintain good vertex resolution at high luminosity
  - Replacement of 50% of barrel TOP PMTs
- ▷ Goal: 50 ab<sup>-1</sup>



#### **Electroweak and Radiative Penguin Decays**

Flavour changing neutral current (FCNC) transitions occurring at loop level only  $\rightarrow$  highly suppressed

 $\triangleright$  In this this talk concentrate mostly on  $b \rightarrow s$  transitions:

Radiative penguin decays:

- ▷ Measurement of  $\mathcal{B}(B \to K^* \gamma)$  (exclusive)
- ▷ Observation of  $B \rightarrow X_s \gamma$  (inclusive)

Electroweak penguin decays:
> Study of B<sup>+</sup> → K<sup>+</sup>I<sup>+</sup>I<sup>−</sup> (exclusive)

▷ Search for 
$$B^+ \rightarrow K^+ \nu \bar{\nu}$$
 (exclusive)



Interesting as NP can appear either in a loop or mediate FCNC at the tree level, recently tensions wrt SM

## Measurement of $\mathcal{B}(B ightarrow \mathsf{K}^* \gamma)$

- $\triangleright~~$  SM  ${\cal B}({
  m B} o {
  m K}^*\gamma)={\cal O}(10^{-5})$  with large uncertainties due to FF
- ▷ First step before measurement of theoretically cleaner observables such as CP violation asymmetry  $A_{CP}$  and isospin asymmetry  $\Delta_{0+}$ :

$$\mathsf{A}_{CP} = \frac{\Gamma(\bar{B} \to \bar{K}^*\gamma) - \Gamma(B \to K^*\gamma)}{\Gamma(\bar{B} \to \bar{K}^*\gamma) + \Gamma(B \to K^*\gamma)}$$

$$\Delta_{0^+} = \frac{\Gamma(\mathbf{B}^0 \to \mathbf{K}^{*0} \gamma) - \Gamma(\mathbf{B}^+ \to \mathbf{K}^{*+} \gamma)}{\Gamma(\mathbf{B}^0 \to \mathbf{K}^{*0} \gamma) + \Gamma(\mathbf{B}^+ \to \mathbf{K}^{*+} \gamma)},$$



arxiv:2110.08219

which are then more sensitive to NP

 $\triangleright~$  Latest measurement from Belle with  $772 imes 10^6$  B $ar{B}$  pairs ightarrow 3.1 $\sigma$  evidence for the isospin asymmetry violation

Observable	Belle [PRL 119, 191802 (2017)]	SM [JHEP 04,027 (2017)][PRD D88, 094004 (2013)]	]
${\cal B}({ m B}^0  o { m K}^{*0} \gamma)$	$(3.96 \pm 0.07 \pm 0.14) \times 10^{-5}$	$(3.48 \pm 0.81) \times 10^{-5}$	]
$\mathcal{B}(\mathbf{B}^+  o \mathbf{K}^{*+} \gamma)$	$(3.76 \pm 0.10 \pm 0.12) \times 10^{-5}$	$(3.43 \pm 0.84) \times 10^{-5}$	]
$A_{CP}(B^0  o K^{*0}\gamma)$	$(-1.3 \pm 1.7 \pm 0.4)\%$	$(0.3 \pm 0.1)$ %	]
$\Delta_{0^+}$	$(+6.2 \pm 1.5 \pm 0.6 \pm 1.2)\%$	$(4.9 \pm 2.6)\%$	

▷ **Challenge:** in future  $\Delta_{0^+}$  will be dominated by  $f_{+-}/f_{00}$ ,  $A_{CP}$  will be statistically limited

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#### Measurement of $\mathcal{B}(B ightarrow K^* \gamma)$

#### Full decay chain reconstruction:

$$\begin{split} & \succ \ \mathbf{K}^*: \mathbf{K}^{*0}(\mathbf{K}^+\pi^-,\mathbf{K}^0_{\mathrm{s}}\pi^0), \mathbf{K}^{*+}(\mathbf{K}^+\pi^0,\mathbf{K}^0_{\mathrm{s}}\pi^+); \mathbf{K}^0_{\mathrm{s}} \to \pi^+\pi^-, \pi^0 \to \gamma\gamma \\ & \triangleright \ \gamma: (2.25 < \mathbf{E}^{\mathrm{CMS}}_{\gamma} < 2.85 \ \mathrm{GeV}) \end{split}$$

Main backgrounds:

- ▷ Continuum events with  $\gamma$ s coming from  $\pi^0$ ,  $\eta \rightarrow$  veto events consistent with  $(\pi^0, \eta)$  kinematics + BDT suppression with event-based variables
- Misreconstructed events
- Combinatorial background

Signal extraction with unbinned ML fit to  $\Delta E = E_B^* - E_{beam}^*$ 

Mode	$\mathcal{B}_{\text{meas}} [10^{-5}]$	$\mathcal{B}_{PDG}$ $[10^{-5}]$
$B^0 \to K^{*0} \gamma$	$4.5\pm0.3\pm0.2$	$4.18\pm0.25$
$B^+ \to K^{*+} \gamma$	$5.2\pm0.4\pm0.3$	$3.92\pm0.22$

Consistent with world average within  $1\sigma(2\sigma)$  for neutral (charged) mode

## -



arxiv:2110.08219

#### **Observation of** $B \rightarrow X_s \gamma$

#### BELLE2-NOTE-PL-2021-004

First step towards the inclusive measurement of  $\mathcal{B}(B o X_s \gamma)$ 

Sensitive to NP: charged Higgs [EPJC 78 8, 675 (2018)]

Analysis strategy:

- $\triangleright~$  Untagged approach (reconstruct high energy  $\gamma$  on signal side)
- hinsty Basic selection includes  $\pi^0$  and  $\eta$  veto
- Suppression of the continuum backgrounds using BDT trained with event shape variables
- Expected continuum backgrounds obtained from off-resonance data; charged *B* backgrounds and neutral *B* backgrounds obtained from simulation

**Signal extraction** from inclusive photon energy spectrum by looking at the excess wrt total expected background



## Study of $B^+ \rightarrow K^+ I^+ I^-$

#### First look at the rare $B^+ \rightarrow K^+ I^+ I^-$ in Belle II:

- Both muon and electron modes are reconstructed
- ▷ Background suppression with BDT using event shape, vertex information
- ▷ Signal extraction with simultaneous ML fit to  $M_{bc} = \sqrt{E_{beam}^{*2} p_B^{*2}}$  and  $\Delta E$
- ▷ Peaking background from  $B^+ \to K^+ \pi^+ \pi^-$

Belle II

preliminary

Ldt = 62.8 fb

M<sub>ba</sub> [GeV/c<sup>2</sup>]

 $\rightarrow K^+ I^+$ 

inatorial Background

▷ 
$$N_{sig} = 8.6^{+4.3}_{-3.9}$$
(stat)  $\pm$  0.4(syst)  $\rightarrow$  hint for  $B^+ \rightarrow K^+ I^+ I^-$  signal

Candidates / (10 MeV

0.1 0.05

#### BELLE2-NOTE-PL-2021-005

## 3.1 $\sigma$ evidence for LFU violation in $b \rightarrow sl^+l^-$ transitions by LHCb!



Belle II with > 5 ab<sup>-1</sup> to provide significant independent information on R(K)

0.15

∆E [GeV]

Belle II

preliminary

 $Ldt = 62.8 \text{ fb}^{-1}$ 

 $B^+ \rightarrow K^+ I^+ I^-$ 

0.05

5.22 5.23 5.24 5.25 5.26 5.27 5.28 5.29

Candidates / (3 MeV/c<sup>2</sup>

52 521

## Search for $B^+ ightarrow K^+ u ar{ u}$

SM Theory:

- ▷  $\mathcal{B}(\mathbf{B}^+ \to \mathbf{K}^+ \nu \bar{\nu}) = (4.6 \pm 0.5) \times 10^{-6}$  [arxiv:1606.00916]
- ▷ SM  $q^2(\nu\bar{\nu})$  distribution [arXiv:1409.4557]

#### BSM:

- $\triangleright~$  Complementary channel to  $b \rightarrow \mathit{sll}$  transitions where tensions with the SM have been observed
- NP scenarios: Leptoquarks [PRD 98, 055003 (2018)], Axions [PRD 102, 015023 (2020)], and Dark Matter candidates [PRD 101, 095006 (2020)]

#### This decay has not been observed yet:

▷ So far best upper limit of  $1.6 \times 10^{-5}$  @ 90% C.L. set by BaBar [PRD 87, 112005 (2013)] using an exclusive reconstruction  $(\epsilon_{sig}^{max} = 0.2\%)$ 





#### PRL 127, 181802 (2021)

## Search for $B^+ o K^+ u ar{ u}$

With only 1/10  $\mathcal L$  new inclusive tag exploits very distinct signal kinematics:

- ▷ 1. Reconstruct signal: highest-p<sub>7</sub> track in the event with at least 1 PXD hit ( $\epsilon_{sig} = 78\%$ )
- 2. Reconstruct remaining tracks and clusters in the event
- Minimise the background contamination with two nested BDTs (variables: event topology, missing energy, vertex separation, signal kinematics)
- $\triangleright~$  20 imes higher signal efficiency ( $\epsilon_{sig}=4.3$ %) wrt exclusive reconstruction but also higher background contamination









PRL 127, 181802 (2021)

## Search for $B^+ o K^+ u ar{ u}$

**Results and prospects:** 

- ▷ Binned simultaneous ML fit to  $p_T(K^+) \times BDT_2$  to extract signal strength  $\mu$  ( 1  $\mu$  = SM  $\mathcal{B}$  = 4.6 × 10<sup>-6</sup>)
- ▷ No significant signal is observed, limit of  $4.1 \times 10^{-5}$  @ 90 C.L. is set → competitive with "only" 63 fb<sup>-1</sup>
- Inclusive tag shows the best performance, can be used in similar channels
- For next iteration, leading systematics can be reduced
- Combined analysis of inclusive + exclusive tagged events can lead to faster observation



#### PRL 127, 181802 (2021)

On-resonance data





#### **Summary**

#### In conclusion:

- ▶ Belle II is accumulating high-quality data → the first electroweak and radiative penguin signals have been seen
- ▷ Search for  $B^+ \to K^+ \nu \bar{\nu} \to \text{first published Belle II B-physics paper employing novel inclusive tagging approach yielding highly competitive limit with "only" 1/10 of previous B-factory dataset$
- Expect improved measurements soon (4× bigger dataset on tape, improved analysis techniques)
- Belle II is going to become crucial player in understanding the flavour anomalies

#### Thank you!



#### BACKUP

#### LHCb vs Belle II

LHCb	Belle II	
single-arm detector	hermetic detector	
longitudinal momentum of B not known	known initial state kinematics	
	pro @ neutral object reconstruction (photon, $K_L$ )	



 $\triangleright$   $B^+ \rightarrow K^+ \nu \bar{\nu}$  is a golden channel @ Belle II: clean environment and well defined initial state but still challenging as two neutrinos in the final state leave no signature

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#### **BDT parameters I**

To suppress the backgrounds list of potential features (>100) such as:

▷ variables related to event-shape,



#### **BDT parameters II**

To suppress the backgrounds list of potential features (>100) such as:

variables related to event-shape, ROE-related variables, variables related to the distance wrt to beam spot and tag-vertex, variables related to 2/3-track vertex fits, missing mass ...

#### 51 most discriminating variables w/o loss of performace are chosen as an input to BDTs



#### **Two-stage BDT**

Two consecutive BDTs (BDT<sub>1</sub> and BDT<sub>2</sub>) have been trained on simulated subsamples to suppress the backgrounds:

- $ho
  m ~BDT_1$  trained on the chosen 51 variables on  $\sim 10^6$  events for all types of backgrounds and signal
- ▷ BDT<sub>2</sub> is trained with the same set of variables but only on events with BDT<sub>1</sub> > 0.9 ( $\sim 28\% \epsilon_{sig}$ )
- ho~ Boosting of statistics in signal region ightarrow improvement of signal purity of 35% @ 4%  $\epsilon_{
  m sig}$
- No overtraining is observed



## Validation I: $B^+ \rightarrow J/\psi (\rightarrow \mu^+ \mu^-) K^+$

BDT<sub>1</sub> and BDT<sub>2</sub> validated with data/MC comparison using  $B^+ \to J/\psi (\to \mu^+ \mu^-) K^+$ 

- Used because of high BF and clean signature
- ▷ Validation for both signal and *B*-backgrounds !
- $\triangleright$  Excellent agreement  $\rightarrow$  for BDT<sub>2</sub> > 0.95, data/MC =  $1.06 \pm 0.10$

Signal-like  $B^+ \rightarrow J/\psi (\rightarrow \mu^+ \mu^-) K^+$ 

- ▷ 0. Reconstruct  $B^+ \to J/\psi (\to \mu^+ \mu^-) K^+$
- $\triangleright~$  1. Ignore dimuon from J/ $\psi$  to mimic missing energy
- 2. Replace four-momenta of K<sup>+</sup> by that of the signal to mimic 3-body kinematics



## **Fit Region Definition**

- ▷ Signal region: maximum sensitivity  $\rightarrow$  BDT<sub>2</sub> > 0.95  $\rightarrow$  4.3%  $\epsilon_{sig}$
- $\triangleright~$  In SR, kaon PID > 0.9  $\rightarrow$  keep 62% kaons, remove 97% pions
- 24 bins in p<sub>T</sub> × BDT<sub>2</sub> space
   (12 bins on-resonance + 12 bins off-resonance)
- Bin boundaries determined from 2D grid optimisation

Region	2D Bin Boundary Definition	Physics Processes	$\sqrt{s}$
Signal	$p_T(K^+) \in [0.5, 2.0, 2.4, 3.5]$ GeV/c	signal +	$\Upsilon(4S)$
Region (SR)	$BDT_2 \in [0.95, 0.97, 0.99, 1.0]$	all backgrounds	
Control	$p_T(K^+) \in [0.5, 2.0, 2.4, 3.5]$ GeV/c	signal +	$\Upsilon(4S)$
Region 1 (CR1)	$BDT_2 \in [0.93, 0.95]$	all backgrounds	
Control	$p_T(K^+) \in [0.5, 2.0, 2.4, 3.5] \text{ GeV/c}$	continuum	off-resonance
Region 2 (CR2)	$BDT_2 \in [0.95, 0.97, 0.99, 1.0]$	backgrounds	$(-60 \text{ MeV/c}^2)$
Control	$p_T(K^+) \in [0.5, 2.0, 2.4, 3.5] \text{ GeV/c}$	continuum	off-resonance
Region 3 (CR3)	$BDT_2 \in [0.93, 0.95]$	backgrounds	$(-60 \text{ MeV/}c^2)$



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#### Validation II: Continuum

Data/MC comparison between off-resonance data and continuum simulation in  $p_T \times BDT_2$  bins

- Very good agreement in shape
- ▶ **Discrepancy in scale**: Data/MC factor = 1.40 ±0.12
- ▷ Introduction of normalisation uncertainty of 50% to all the backgrounds (conservative)



#### **Statistical Model**

- Likelihood implemented within pyhf package
- Cross-check with sghf: simplified Gaussian model
- Inclusion of systematics in the model via nuisance parameters: background normalisation uncertainty, tracking inefficiency, neutral energy miscalibration for photons, neutral energy miscalibration for unmatched photons, uncertainty on PID correction due to limited statistics, uncertainty on branching fractions of leading bkg processes, uncertainty on SM form factor
- All 7 background samples considered separately: mixed B, charged B, cc̄, uū, ss̄, dd̄, τ<sup>+</sup>τ<sup>-</sup>
- ▷ Total number of fit parameters: 175 nuisance parameters ( $\vec{\phi}$ ) and 1 parameter of interest ( signal strength= $\mu$  )
- $\triangleright$  1  $\mu$  = SM BF = (4.6  $\pm$  0.5) imes 10<sup>-6</sup>





#### **Fit To Data**

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- $\triangleright~$  Binned simultaneous ML fit to data to extract signal strength  $\mu$
- $\triangleright\;\;$  Result:  $\mu = 4.2^{+2.9}_{-2.8}(\mathsf{stat})^{+1.8}_{-1.6}(\mathsf{syst}) = 4.2^{+3.4}_{-3.2}$
- ▷ Continuum bkgs pulled up by up to 40%, B-bkgs stay the same





#### On-resonance data



### **Limit Setting**

- $\triangleright$  As no significant signal is observed  $\rightarrow$  limit setting
- $\triangleright$  Use both pyhf and sghfto compute a limit  $\rightarrow$  consistent results
- $ho \,$  Result:  ${\cal B}({
  m extsf{B}}^+ o {
  m extsf{K}}^+ 
  u ar{
  u}) < 4.1 imes 10^{-5}$  @ 90 CL
- Leading systematic: background normalisation





#### Reinterpretation

- hinspace Publish  $\epsilon_{
  m sig}$  as a function of  $q^2(
  uar
  u)$
- ▷ Reminder: default signal model  $\rightarrow$  PHSP model with SM form factor reweighting [arXiv:1409.4557]
- ho~ At low  $q^2$  maximum signal efficiency of  $\sim$  13%, but no sensitivity for  $q^2>16~{
  m GeV}^2/{
  m c}^2$



## **Comparison with Other Measurements**

- Competitive limit
- Comparison with other experiments via σ<sub>BR</sub> assuming same luminosity → the performance of inclusive tag:
  - 3.5 better than hadronic tag
  - 20% better than semileptonic tag
  - 10% better than combined hadronic and semileptonic tag



Experiment	Year	Observed limit on $BR(B^+ \to K^+ \nu \bar{\nu})$	Approach	Data[fb <sup>-1</sup> ]
BABAR	2013	$< 1.6 \times 10^{-5} \label{eq:1.6}$ [ Phys . Rev . D87 , 112005 ]	SL + Had tagging	429
Belle	2013	< 5.5 × 10 <sup>-5</sup> [Phys.Rev.D87,111103(R)]	Had tagging	711
Belle	2017	< 1.9 × 10 <sup>-5</sup> [Phys.Rev.D96,091101(R)]	SL tagging	711
Belle II	2021	$< 4.1 \times 10^{-5}$	Inclusive tagging	63

### **Conclusion and Prospects**

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**Bigger dataset** (+ possible combination with Belle dataset)

- Attacking biggest systematic (background normalisations, e.g continuum modelling)
- ▷ More channels ( $K^*$ ,  $K_s^0$ ,  $K^{*+}$ ...)
- Possible improvement in background suppression (use of NN architecture, discriminating vars)
- Combined analysis of inclusive and exclusive tagged events



#### **Basic Event Selection**

- ▷ Track cleanup:  $p_T > 0.1$ GeV/c,  $\theta \in$  CDC, |dr| < 0.5cm, |dz| < 3.0cm, E < 5.5 GeV
- $\triangleright$  Photon cleanup: E > 0.1 GeV,  $\in$  CDC, E < 5.5 GeV
- > Other loose preselection to reject low-multiplicity background:
  - $\triangleright 4 \leq \texttt{nTracksCleaned} \leq 10$
  - $\triangleright 0.3 < \theta(\mathbf{p}_{miss}) < 2.8 \text{ rad}$
  - ▷ Visible E in CMS frame > 4GeV



#### **Continuum Modelling Improvement**

Additional  $BDT_c$  is trained on events with  $BDT_1 > 0.9$  in order to correct mismodeling of continuum simulation:

- ▷ Signal = off-resonance data , background = continuum simulation
- ▷ Continuum simulation events are reweighted with  $\frac{p}{1-p}$ , where  $p = BDT_c$  output
- ▶ Method taken from here: J. Phys.: Conf. Ser. 368 012028



#### **Overtraining**



#### **Fit Validation**

- ▷ Test with injected signal  $\rightarrow$  check pulls =  $\frac{\mu_{fit} \mu_{inj}}{\sigma_{\mu}}$  for 1, 5, 20 × signal
- $\triangleright$  Test the fit quality ightarrow high p-value, good agreement with  $\chi^2$  distribution



### pyhf versus sghf

> Check correlation between pyhf and sghf fitted  $\mu$  for 1, 5, 20 imes signal o very good correlation



#### **Profile Likelihood Scan**

Assymetric uncertainty on signal strength  $\mu$  estimated by fitting of parabola of the points from profile likelihood scan



#### **Background Composition in the Fit Region**



•  $B^0 ar{B}^0$  tag side:



#### • $B^+B^-$ signal side:

•  $B^0 \overline{B}^0$  signal side:



DESY

•  $B^+\bar{B}^-$  tag side:



## **Belle II Prospects (R(K\*), angular)**



[Belle arXiv: 1904.02440]

Belle (R(K\*))

▶ Largest deviation in the low q<sup>2</sup> bin

### [Belle Phys. Rev. Lett. 118, 111801] Belle P'<sub>5</sub>

- The largest deviation with 2.6 sigma observed in muon channel
- Electron channel is deviating with
   1.1 sigma
- With 2.8 ab<sup>-1</sup> the uncertainty on P'<sub>5</sub> (both e & mu) will be comparable to LHCb 3 fb<sup>-1</sup> (mu only)



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## **R(K) Belle II vs LHCb**

Moriond 2021:63 fb<sup>-1</sup>



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# Towards R(K) in Belle II

### Moriond 2021:63 fb<sup>-1</sup>

~2028

First Belle II measurement of  $B^+ \to K^+ l^+ l^-$ 

- Signal yield extracted with 2D ML
  - fit to M<sub>bc</sub> and  $\Delta E$ : 8.6<sup>+4.3</sup><sub>-3.9</sub>(stat) ± 0.4(syst)
- ▷ Significance: 2.7 sigma

DESY.

 $\triangleright$  Peaking background from  $B^+ \to K^+ \pi^+ \pi^-$ 

Prospects for R(K)

- Measurement is going to be statistically limited for foreseeable future with leading systematics due to lepton ID~0.4%
- In order to confirm LHCb's R(K) anomaly (5 sigma) need at least 20 ab<sup>-1</sup>



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